# Research Methodologies and Instruments used to Evaluate Programs of the MDS 

Bolsa Família Social Assistance<br>Food and Nutrition Security

Organizers:
Rômulo Paes-Sousa and Jeni Vaitsman

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Food and Nutrition Security

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## - Preface

During its three years of existence the Ministry of Social Development and the Fight against Hunger (MDS) has committed itself to quality and transparency in implementing and executing the social policies and programs it is responsible for by means of several actions, one of which is the setting up of a specific unit for monitoring and evaluating activities: the Secretariat for Evaluation and Information Management (SAGI).

The main object of this initiative has been to promote improvements in the management of social development policies and to stimulate social control over them by publicizing the results of research surveys. The work has consisted of contracting and accompanying research and survey activities, and constructing a database containing information on program investments and their beneficiaries, in addition to disseminating technical information and publications.

Evaluation activities have been carried out by SAGI in an integrated manner with other specific, related secretariats - the National Secretariat for Social Assistance (SNAS), the National Secretariat for Citizenship Income (SENARC) and the National Secretariat for Food and Nutrition Security (SESAN) - , which together with the Secretariat for Institutional Network and Partnerships (SAIP) complete the structure of the ministry.

It is against this background that the MDS is publishing the present guide "Research Methodologies and Instruments used to Evaluate Programs of the MDS", made up of the methodologies and instruments that were adopted in the process of designing and implementing the surveys and research.

It is yet another resource for perfecting the interventions being made in the population being addressed by MDS programs and can be used as a reference for consultation by program managers, enabling them to exercise a more qualified management; and by researchers in the sense of optimizing investments in the field of research into social programs.

We believe that disseminating evaluation studies and practices and a broad understanding and appropriation of their results are fundamental elements for achieving the proposed objectives of governmental actions.

Patrus Ananias
Minister of Social Development and the Fight against Hunger

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## - Foreword

This book sets out the methodologies used in the first completed studies on the policies and programs of the Ministry of Social Development and the Fight against Hunger (MDS) carried out by the Secretariat for Evaluation and Information Management (SAGI) as part of its monitoring and evaluation system ${ }^{1}$.

The MDS, created in January $2004^{2}$ through the integration of the former Ministry of Social Assistance (MAS), the Extraordinary Ministry for Food Security and the Fight against Hunger (MESA), and the Executive Secretariat of the Bolsa Família Program, became responsible for national social development policy, encompassing policies on food and nutrition security, social assistance and citizenship income. These policies then became the responsibility of the National Secretariat for Food and Nutrition Security (SESAN), the National Secretariat for Social Assistance (SNAS), and the National Secretariat for Citizenship Income (SENARC) respectively and they took on the functions of the bodies that had given rise to them and the management of their programs. On that occasion two other secretariats were set up to develop activities of articulation and evaluation: the Secretariat for Institutional Network and Partnerships (SAIP) and the Secretariat for Evaluation and Information Management (SAGI)

It fell to the SAGI, as a specific unit located on the same hierarchical level as the other secretariats responsible for the national management of MDS policies and programs, to take on the functions of monitoring and evaluation. However, the accumulated information inherited from the three government bodies from which the present ministry originated had not been duly organized nor had it been systematized and the function of monitoring and evaluation was entirely absent. Effective implementation of that function demanded the formulation of a monitoring and evaluation policy with due provision in the budgeting for the necessary physical and human resources.

Institutionalizing monitoring and evaluation as part of the program managing and decision making processes, and the publicizing of monitoring indicators and the results of studies, have become one of the objects of that policy and have represented a considerable innovation in public administration in Brazil. They have also led to the development of an institutional evaluation model by SAGI that includes a formalized sequence of procedures for the evaluation cycle which consists of defining the problem, elaborating terms of reference for the research/surveys, contracting the research, accompanying it, and regularly making known and publishing the results.

Although studies, surveys and analyses of specific themes or problems are carried out quite frequently by the SAGI team, the direct conducting of empirical research was something exceptional. Faced with the primary need to evaluate the whole set of MDS programs, SAGI's strategy was to give priority to contracting evaluation research externally, by means of a public tendering process. On the other hand, different types of studies, enquiries, estimates and forecasts based on primary and secondary data and aimed at constructing the base lines and elaborating diagnoses to provide supporting elements for the administration of social development policies have also been contracted.

[^0]This process meant carrying out a large number of studies with different structures, methods and purposes, in a relatively short period of time and selecting the most appropriate institutions for each type of study. Studies ranged from large-scale national studies run jointly with the Brazilian Institute of Geography and Statistics - IBGE - through case studies based on qualitative approaches, to impact assessments with quasi-experimental longitudinal designs.

However, the strategy was only successful because the decision was made to form a qualified multidisciplinary team ${ }^{3}$ sufficiently experienced in research, and not only highly aware of what was being contracted, but also endowed with the theoretical and methodological knowledge necessary for participating in the various stages of the unfolding of the research activities. The evaluation sequence includes a procedure routine starting with the definition of the problem, then the elaboration of the terms of reference, the construction of the instruments for data collection and accompanying the studies, analysis of the reports handed in, right through to the dissemination and regular publication of the results.

It is hoped that the present publication will make the methodology employed in that part of the research that has been carried out so far ${ }^{4}$, accessible to a wider public. The studies required various designs and methods depending on their objectives and the specific questions that had to be addressed. There is a succinct description of the methodologies and an integral presentation of all the instruments used for collecting data: questionnaires and or scripts for semi-structured interviews.

In regard to the Bolsa Família Program, the methodologies used in the surveys carried out among those benefited by the program are described, involving themes like access and use of the benefit, stipulated provisos for receiving it, and effects on living conditions and more specifically on food and nutrition security. The design of the quasi-experimental longitudinal method used for assessing the impact of the Bolsa Família Program is also presented as well as the household questionnaires for all the variables that were investigated including those for collecting anthropometric data. And finally the methodology of the qualitative study carried out in 10 municipalities which focused on gender relations in the local processes for implementing the Program.

In the field of Social Assistance, the dimensions of the results and the implementation of the Continuous Cash Benefit (BPC) and the Social Protection for Children, Adolescents and Families who are Affected by Social Violence, Abuse and Exploitation (Sentinela) were evaluated. The methodologies of those research activities are presented here. In the case of the former they were directed at the beneficiaries, managers and other key informants in a qualitative-quantitative perspective, and in the latter, in a qualitative perspective, at managers, professionals and beneficiaries.

The methodology of three studies in the same field, but directed more towards formulation, management and decision making, are also set out here, namely: the Sentinela Program Index of Municipal Eligibility, and the other two carried out by the IBGE: the Social Assistance Supplement from the Survey of Basic Municipal Data and the Research on the Networks of Non Governmental Social Assistance Entities.

In the area of food and nutrition security, several methodologies used in the research concerning the implementation and results of the Food Acquisition Program are presented; a

[^1]profile of the Low-Income Restaurants users and an evaluation, in the ambit of the Cisterns Program, of the selection and capacity building of the beneficiaries, the quality of the water and the effects of the program on the beneficiaries' lives. In these last studies different designs and methods of data collection were used such as questionnaires, open-ended interviews and chemical analysis of the water.

Of the 62 studies that have been completed, that are presently in course, or that are now being contracted, the present publication has included the methodologies and instruments of 17 of them, which were the result of work carried out by SAGI with the participation of various partners: technical and management staff from the secretariats responsible for operating MDS policies and programs, research institutions, consultants, as well as international financing and cooperation agencies through whom most of the studies have been contracted.

The publication is divided into two sections. In the first the policies and programs that have been implemented by the Ministry of Social Development and the Fight against Hunger are described and it also offers brief information on the institutions carrying out the studies and the international financing and cooperation organizations involved. In the second section the methodologies and instruments ${ }^{5}$ used in the research into MDS programs and policies are presented, classified into three areas of activity: Citizenship Income, Social Assistance and Food and Nutrition Security.

Rômulo Paes-Sousa<br>Jeni Vaitsman

[^2]
## Section A - Introduction

- MDS Policies and Programs
- Executive Institutions
- International Bodies
- Acronyms


## - Policies and Programs of the Ministry of Social Development and the Fight against Hunger

## Bolsa Família Program

The purpose of the Bolsa Família Program is to fight poverty by guaranteeing the conditional transferal of income to families in situations of poverty and extreme poverty in Brazil.

The National Secretariat for Citizenship Income (SENARC) is the federal authority responsible for implementing this program and thereby responsible for the management of the Unified Registry System for Federal Government Social Programs (CadÚnico). SENARC, working jointly with the states and municipalities and society at large, promotes the access of beneficiaries to health and education services, striving to negotiate their participation in complementary programs aimed at creating employment and income. Such actions seek to create the conditions for the social inclusion of the most vulnerable families and to interrupt the cycle that passes poverty from generation to generation.

This is a program that transfers income directly with certain conditionalities and that benefits poor families (with a per capita family income of 60 Reals to 120 Reals) and those extremely poor (with a per capita family income of up to 60 Reals). It is mounted on three axes that are essential for overcoming hunger and poverty:

- promoting immediate relief from poverty by means of direct transferal of income to the family;
- strengthening the exercise of basic social rights in the fields of health and education by stimulating the beneficiary families to comply with the conditions imposed by the program in such a way as to promote the rupture of the cycle that passes poverty from generation to generation;
- promoting complementary programs aimed at the development of the families to the point where they can overcome their vulnerability and poverty. Examples of such complementary programs are: generating work and income, literacy training for adults, supplying birth certificates and other civil registry documents.

The Bolsa Família is part of the Zero Hunger Program which seeks to guarantee the human right to adequate food, promoting food and nutrition security and contributing towards eradicating extreme poverty and also to the achievement of full citizenship by that part of the population most vulnerable to hunger and social exclusion.

## National Social Assistance Policy

In the field of social assistance the programs are founded on the National Social Assistance Policy (PNAS) approved in 2004. The object of this policy is to consolidate the right to social assistance throughout the land and to go beyond assistance practices by guaranteeing universal rights.

The PNAS is guided by recognition of universal social needs rather than by segmented demands. Decentralizing processes, setting up networks of social assistance services, capacity building for professionals working in the field of social assistance, placing a high value on information, monitoring and evaluation as well as the strengthening of social control are all strategic management instruments for achieving improved performance of social policies.

Based on the PNAS, the Unified Social Assistance System (SUAS) is being implanted which establishes levels of complexity for the service provided to users of the policies, programs, benefits and social assistance actions. The SUAS is organized around the vectors Basic Social Protection and Special Social Protection and it establishes new standards for running social assistance services. Financing the programs and services is done through the National Social Assistance Fund (FNAS) which transfers resources to decentralized entities in the municipal and state spheres that are also responsible for co-financing such programs

The management of the SUAS is guided by the federative pact which sets out all the attributions and responsibilities of the three spheres of government in regard to social assistance actions in compliance with the Organic Act of Social Assistance (LOAS) and with the Basic Operational Policy of the SUAS (NOB/SUAS).

In the context of Basic Social Protection, the special rights concerning the situation of children's, adolescents' and young people's growth and development are recognized and guaranteed, taking into account the historical, cultural and social aspects of each age group. Actions directed at those specific populations promote family living and their participation in the life of the community, and are made up of socio-educative services directed at the families, care and attention offered in 'living together' centers, care and attention in infant education units and services directed at youngsters in situations of social vulnerability.

Special Social Protection administers part-time or full-time ongoing services that offer reception, support and professional accompaniment to children, elderly people, people with special needs and their families, for the purpose of strengthening their family ties and social bonds and creating conditions whereby they may achieve their autonomy and independence. The body responsible for implanting the PNAS is the National Social Assistance Secretariat (SNAS) of the Ministry of Social Development and the Fight against Hunger which, through contacts and negotiations and agreements with states and municipalities, runs the following programs and services:

## Basic Social Protection

- Basic Social Protection for the Family (Integral Family Care Program - PAIF) and the Social Assistance Reference Center (CRAS) ${ }^{1}$;
- Specific Basic Social Protection Services (ongoing actions to meet the needs of children, the family and elderly people);
- Social and Human Development Youth Agent - awarding grants and socio-educative actions directed at youngsters in vulnerable situations;
- Continuous Cash Benefit for Elderly and Disabled People (BPC).

[^3]
## Special Social Protection

- Child Labor Eradication Program (PETI) - awarding grants and socio-educative actions directed at children and adolescents exposed to working environments;
- Social Protection for Children, Adolescents and Families who are Affected by Social Violence, Abuse and Exploitation (Sentinela);
- Special Social Protection Services - children and adolescents (Shelter);
- Special Social Protection Services for the Family - disabled people, the elderly and their families;
- Specific Special Social Protection Services - disabled people and the elderly

The methodologies presented here are aligned with the themes Basic Social Protection Continuous Cash Benefit (BPC) and the theme Special Social Protection - Social Protection for Children, Adolescents and Families who are Affected by Social Violence, Abuse and Exploitation (Sentinela). In addition, two pieces of research are available, done by the IBGE with the aim of providing supporting elements for the planning and management of Social Assistance Policy: Special Social Assistance Supplement of the Basic Municipal Information Survey and the Survey of Private Non-profit Social Assistance Entities.

## Continuous Cash Benefit (BPC)

This is a right guaranteed by the 1988 Federal Constitution and consists of a payment of 01 (one) minimum salary a month to people 65 years old or over and to people with disabilities that make them unfit for an independent life or for work and in both cases when the family per capita income lies below one quarter of a minimum salary. The BPC also enjoys the legal backing of Law 10.741, dated October 12003 and known as the Statute of the Elderly. The MDS is responsible for meeting the costs (financial resources of the National Social Assistance Fund -FNAS) and the National Social Security Institute (INSS) for administering its operations.

## Social Protection for Children, Adolescents and Families who are Affected by Social Violence, Abuse and Exploitation (Sentinela)

A service that offers a set of specialized technical procedures to meet the need for immediate protection of children and adolescents that are victims of sexual abuse or sexual exploitation and members of their families, offering them conditions to strengthen their self-esteem, overcome their situation of violated rights and heal the effects of the violence they have undergone.

## National Food and Nutrition Security Policy

The object of the National Food and Nutrition Security Policy is to guarantee to all citizens sustainable access to water and food of adequate quantity and quality and with sufficient regularity and duly respecting cultural diversity. To that end, the MDS through its National Secretariat for Food and Nutrition Security (SESAN) promotes emergency and structuring activities to combat hunger, carrying out programs, projects and actions for producing and distributing food and supporting and stimulating family agriculture, regional development, food and nutrition education and other actions directed at specific populations, thereby contributing to the set of national strategies that make up the Zero Hunger Program.

The main programs and actions that have been implemented by the SESAN are:

- Food Acquisition Program (PAA)
- Urban Agriculture
- Food Banks
- Community Kitchens
- Low-Income Restaurants
- Food and Nutrition Education
- Cisterns
- Food Basket Distribution
- Support for Quilombola Communities
- Carteira Indigena (Social Development Project for Indigenous Communities)
- Food Security and Local Development Consortia (CONSADs)

The methodologies presented are those used in research into the programs: PAA actions, Cisterns, and Low-Income Restaurants described below, as well as two pieces of research that represent the base lines for diagnosis in the field of food and nutrition security: Health and Nutrition Day and the Food and Nutrition Insecurity Supplement of the National Household Sample Survey (PNAD/2004).

## Food Acquisition Program (PAA)

The purpose of the PAA is to guarantee access to food of adequate quantity and quality and with sufficient regularity, to populations in situations of food and nutrition insecurity, and to promote social inclusion in the rural areas through the strengthening of family agriculture. The program acquires food without going through a public bidding and tendering process, at reference prices that cannot be higher or lower than those being quoted in the regional markets and up to a limit of R\$ 3,500.00 (three thousand five hundred Reals) per annum, per farming family, purchasing only from families duly included in the National Program for the Strengthening of Family Agriculture (Pronaf), except in the modality of Incentives for the Production and Consumption of Milk where the limiting period is six months. The food acquired by the Program is destined for people in food and nutrition risk situations being assisted by local social programs and other citizens in situations of food and nutrition risk like indigenous and Quilombola populations, landless people in camps associated to the agrarian reform and people affected by the construction of dams. The specific modalities of the program submitted to evaluation were as follows ${ }^{2}$ :

## Direct Purchase from Family Agriculture (CDAF)

This enables the Federal Government to acquire food at referenced prices from family farmers classified in categories A to D of the Pronaf whether organized in formal groups (cooperatives and associations) or not, thereby inserting such farmers in the market in a more equitable manner,

[^4]through the direct purchasing of their production in order to form a strategic reserve of food. This version of the program is operated by the National Company for Food Supply (Conab) through agreements signed with the Ministry of Social Development and the Fight against Hunger (MDS) or with the Ministry of Agrarian Development and it has a national outreach.

## Antecipated Purchases from Family Agriculture (CAAF)

In this application of the program, financial resources for meeting planting costs are advanced to family farmers classified in categories A to D of the Pronaf including agro-extractivist groups, agrarian reform settlers, quilombolas, families affected by dam construction, landless rural workers living in camps, indigenous communities and family farmers that have not received the benefits of credit to finance planting and that are organized in formal or informal groups. This version of the program is operated by Conab through agreements signed with the MDS and it has a national outreach.

## Special Antecipated Purchases from Family Agriculture (CAEAF)

This provides for the acquisition of agricultural and livestock production from family farmers classified in categories A to D of the Pronaf organized in formal groups (cooperatives and associations) including extractivist groups, quilombolas, families affected by dam construction, landless rural workers living in camps (defined in accordance with MDA Decree $\mathrm{N}^{0} 111$ dated November 20, 2003) and indigenous communities, in order to build up stocks or for immediate donation of food to governmental or non governmental organizations carrying out publicly recognized work to meet the needs of populations in food and nutrition risk situations. This version of the program is operated by Conab through agreements signed with the MDS and it has a national outreach.

## Local Direct Purchase from Family Agriculture (CDLAF)

This promotes a direct connection between the production of family farmers classified in categories A to D of the Pronaf and the local demand for food and nutrition supplements from crèches, shelters, hostels, asylums, and from the local social programs like food banks, LowIncome Restaurants and community kitchens, resulting in the development of the local economy, the strengthening of family agriculture and the creation of jobs and income in the rural areas. This version of the program is operated by state governments or by local municipal authorities through agreements signed with the MDS and it has a national outreach.

## PAA Milk

Known as the Milk Program, its purpose is to propitiate the possibility of consuming milk to families that find themselves in situations of food and nutrition insecurity and it is also aimed at giving incentive to family agriculture production of milk. This version of the program guarantees the purchase of the product without the need for a regular bidding or tendering process provided that the prices involved are not above those being charged on the regional market. The maximum amount payable per half-year period is $\mathrm{R} \$ 3,500.00$ (three thousand five hundred Reals) per farming family that finds itself meeting the criteria of the Pronaf and producing up to 100 (one hundred) liters of milk/day but with priority being given to those producing an average of 30 (thirty) liters a day. It is operated by means of agreements drawn up between the MDS and state governments of the states of the northeastern region plus the state of Minas Gerais where the program is only operational in the north of the state. In this way the MDS is responsible for guaranteeing 80 to $85 \%$ of the total amounts foreseen in the agreements, with the counterpart of the states being 15 to $20 \%$ of the total amount.

The Milk Program has two focal points: the vulnerable segments of the population that receive free milk and the small family farmers. For a family to benefit from the distribution of milk, it must have a per capita family income of, at the most, a half of a minimum salary and
furthermore, have among the family members children between the ages of 6 months and 6 years old; newborn babies up to 6 months of age; pregnant woman with the pregnancy confirmed by a Health Post or Unit, old people over 60 years old or others, provided that they have been authorized by the State Council for Food and Nutrition Security.

## Cisterns

The action "Constructing Cisterns to Store Rainwater", which comes under the aegis of the Project for the Construction of Cisterns and Capacity Building for Living in Semi-arid Regions seeks to provide access to, and adequate use of good quality water by financing the construction of cisterns for capturing rainwater and training the benefited families. In order to be considered, the families must have a profile making them eligible for inclusion in the Bolsa Família Program even if they have not been benefited by that program at the time of being included in the cisterns project.

The Project is executed in a partnership with state and municipal authorities as well as the Articulação no Semi-árido Brasileiro (ASA) organization, and is directed at the population residing in brazilian semi-arid region.

## Low-Income Restaurants

These are food and nutrition units dedicated to preparing and commercializing healthy meals to be offered at accessible prices to the population at large and which are preferentially located in large urban centers of cities with populations of over 100,000 (one hundred thousand) inhabitants. The beneficiary public of the restaurants is made up of low income workers whether in formal or informal employment, the unemployed, students, people living on the streets, and families living in food and nutrition insecurity. The MDS supports the installation of the LowIncome Restaurants by: financing the construction, or the repair and adaptation of building installations; purchase of permanent equipment, furniture and utensils; and training and qualifying professionals in the field of food and nutrition. Operating and maintaining the equipment is the responsibility of the partner states and/or municipalities.

## - Executive Institutions

## Philosophy and Human Sciences Faculty of the Federal University of Minas Gerais FAFICH/UFMG

Created in 1939, the Philosophy Faculty was incorporated to the Federal University of Minas Gerais in 1948. It was named Philosophy and Human Sciences Faculty after the University Reform in 1968. Currently the FAFICH has six academic departments: Political Science, Sociology, Antropology, Social Communication, Philosophy, History and Psychology. It also shelters the Centro de Estudos Mineiros, The Introductory Cycle of Human Sciences and several other research nuclei.
www.fafich.ufmg.br

## Political Science Department of the Federal University of Minas Gerais - DCP/UFMG

Created in 1965 for the implantation of the Masters course in Political Science of the Philosophy and Human Sciences Faculty of the Federal University of Minas Gerais, the Department can count on 13 full-time professors and has teaching responsibilities in the degree courses in Social Sciences, the Masters course in Political Science and the Doctorate course in Sociology and Politics as well as engaging in scientific research.
www.fafich.ufmg.br/dcp

## Nucleus for Studies and Research on Women of the Federal University of Minas Gerais NEPeM/UFMG

The Center for Research on Women (NEPeM) was created in 1984 for the purpose of articulating, and publicizing studies, research and work done by different sectors and departments of UFMG which have women as their main theme. The NEPeM has exchange programs with similar institutions abroad and carries out academic work involving the academic community and society at large.
www.fafich.ufmg.br/pesq/r_nep.htm

## Economic Sciences Faculty of the Federal University of Minas Gerais - FACE/UFMG

Created as a private entity in 1941, the Economic and Administrative Sciences Faculty of Minas Gerais became a federal educational institute associated to the Federal University of Minas Gerais in 1949. Currently the FACE can count on Departments of Accounting, Administration, and Economics, the Regional Planning Development Center (Cedeplar), the Center for Post-Graduation and Research in Administration (Cepad), the Economics Foundation and the Administration and Accounting Research Institute of Minas Gerais.
www.face.ufmg.br

## Regional Planning Development Center of the Federal University of Minas Gerais Cedeplar/UFMG

Created in 1967, as a supplementary body of the Federal University of Minas Gerais, it has consolidated its role as a research institute in the field of Regional and Urban Economics and Demography and dedicates itself to running the post-graduate courses and conducting research in those areas.
www.cedeplar.ufmg.br

## Research Development Foundation of the Federal University of Minas Gerais FUNDEP/UFMG

Created in 1974 by a group of university teachers from the UFMG as an instrument to provide support for academic and research activities, it has been contributing ever since to their development in various fields of knowledge. It performs the role of administrative-financial management for academic activities in teaching extension and technological development at UFMG and further supports the community as a whole through advisory and consultancy services, products and provision of services, courses and events, and public admission exams for universities and others.
www.fundep.ufmg.br

## Applied Social Sciences Centre of the Federal University of Pernambuco - CCSA/UFPE

The Applied Social Sciences Centre was created in 1974 with the merger of the Economic Sciences Faculty and the Social Service School CCSA has Departments of Social Service, Economics, Accounting Science and Administrative Science. It carries out research in the areas of: Social Policies, costing for public and private institutions, marketing, finance, human resourses, administration, rural communication, economy of the public sector, of the work, agricultural and industrial.
www.ufpe.br

## Department of Economics of the Federal University of Pernambuco - DECON/UFPE

The DECON is an integral part of the Federal University of Pernambuco and has offered masters courses since 1967 and doctorate courses since 1982, undertaking research with an emphasis on the theme of regional aspects of the Brazilian development process and particularly highlighting studies on regional inequalities, the labor market, and agricultural, industrial and technological development.
www.decon.ufpe.br

## Support Foundation for the Development of the Federal University of Pernambuco FADE/UFPE

Created in 1981, the FADE is a private non-profit entity based in Recife, Pernambuco. It functions as an instrument for widening the field of activities of the Federal University of Pernambuco in various fields of knowledge. The Foundations acts as an administrative-financial manager for research activities enabling the productive potential of UFPE staff to be made use of by the most varied sectors of society.
www.fade.org.br

## Nucleus for Studies and Research on Women of Brasília University - NEPeM/UnB

The Nucleus for Studies and Research on Women aims to develop inquiries into diverse groups and institutions by means of studies on public and private security, violence, crime, citizenship, justice, human rights and sex and gender relations.

The NEPeM consists of a reference center for congregating specialists and researchers of multidisciplinary origins to think critically about such conflicts, producing studies and informing the population, social control agencies and the media regarding the themes of the following lines of research: Frontiers - Imagined Spaces and Concrete Places; Violence, Citizenship and Security; Violence, Gender and Citizenship; and Social Politics, Gender and Social Services.

[^5]
## University of Brasilia Foundation - Fubra/UnB

Created in 1999, it is a private non-profit body that gives support and stimulus to the teaching, research and extension activities of the University of Brasília (UnB) by elaborating and managing institutional, academic and market projects and activities in several fields of knowledge.
www.fubra.com.br

## Brazilian Agricultural Research Corporation - Embrapa

Linked to the Ministry of Agriculture, Livestock and Food Supply (MAPA), Embrapa was created in 1973 for the purpose of finding viable solutions for sustainable development of the rural areas by generating, adapting and transferring information and technology to benefit various segments of Brazilian society.
www.embrapa.br

## Regional Development Foundation - FUNDER/Embrapa

FUNDER aims to support research and extension, and foster all aspects of institutional, scientific, technological, environmental, and cultural development and well-being. To that end it seeks integration of institutions that can collaborate to the benefit of society in the Zona da Mata region of the State of Minas Gerais. Created after a Congress in April of 1989 (Federal University of Juiz de Fora - UFJF/EMBRAPA Milk Cattle and Industrial Zone of Juiz de Fora), it is considered to be a municipal and state public utility foundation and is accredited by MEC, MCT, FAPEMIG, Ministry of Culture, and the CNPq.
www.funder.com.br

## School of Nutrition of the Federal University of Bahia-UFBA

Created in 1956, the School of Nutrition of UFBA was the fifth one to be established in the country and the first one in the Northeast Region. The school provides out-patient health care and has two research centers and a Collaboration Center in partnership with the Ministry of Health. It has other research groups developing many projects that contribute to nutritional diagnosis and analyses of the social-environmental conditions and the nutrition and food security situation of the population of Salvador and surrounding cities. It also takes part in research with a national outreach.
www.nutricao.ufba.br

## National School of Public Health - ENSP

The ENSP, an institution that was created in 1954, is one of the technical-scientific units of the Oswaldo Cruz Foundation (Fiocruz), of the Ministry of Health. It carries out capacity building and qualification of human resources and supplies reference services in the field of public health, engaging in technical cooperation activities in all Brazilian states and municipalities as well as with several national and international institutions involved in various fields of health.

## Luiz de Queiroz Agricultural College - ESALQ/USP

Created in 1901, as part of the São Paulo State Agriculture Secretariat, the ESALQ, based in Piracicaba, was originally intended to be a school of agriculture. In 1934 however, it became the nucleus around which the University of São Paulo was founded. Nowadays it is an educational and research institute with eleven departments, among them: Soil Science, Biological Sciences, Forestry and Exact Sciences, Agro-industry, Food and Nutrition, Rural Engineering, and Animal husbandry technology.
www.esalq.usp.br

## Luiz de Queiroz Agrarian Studies Foundation - FEALQ/USP

This is a non-profit entity founded in 1976 and its purpose is to lend support to the scientific, economic and social development programs of the Luiz de Queiroz Higher School of Agronomy (ESALQ), the Center for Nuclear Energy in Agriculture and other units of the University of São Paulo and public and private institutions.
www.fealq.org.br

## The Policy Evaluation Nucleus of the Fluminense Federal University School of Social Work

The Policy Evaluation Nucleus aims to contribute to the consolidation of policy evaluation in the social field. It seeks to develop an area of knowledge directed at identifying and understanding the multiple manifestations of inequality and exclusion, fostering a more profound critical reflection in the social area, and incorporates them in proposals for lines of action such as formulating and monitoring public policies, programs and social projects. It also provides feedback for the evaluation of social actions already undertaken or being implemented.
www.uff.br/ess

## Applied Social Research, Information and Public Policies Nucleus of the Fluminense Federal University - DataUFF/UFF

Linked to the Extension Vice-Chancellor's Office of the Fluminense Federal University, the DataUFFV was created in 1999 as a body with administrative autonomy. The Financial resources obtained for institutional activities are used to finance national and international exchanges, and research and survey activities that contribute towards academic production and perfecting the services offered by the university.

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www.uff.br/datauff
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## Euclides da Cunha Foundation of the Fluminense Federal University - FEC/UFF

Created in 1997 with the mission of giving support to the Fluminense Federal University (UFF) and registered with the Ministries of Education, and Science and Technology, the FEC began its activities in 1999 by promoting the easier access of society at large to the academic, scientific and extension work production of the UFF in various fields of knowledge, by means of agreements, contracts and other forms of partnership with public and private institutions.

## Brazilian Institute of Geography and Statistics - IBGE

This institution is under federal government administration and is subordinated to the Ministry of Planning, Budgeting and Administration (MP). The IBGE was created in 1936 with the object of identifying and analyzing national territory and demonstrating the evolution of the economy through information on labor and production as well as identifying the population's characteristics.
www.ibge.gov.br

## Brazilian Institute of Public Opinion and Statistics - IBOPE

Created in 1942, the Ibope is a Brazilian multi-national made up of 52 companies and operating in 16 countries ${ }^{1}$. In Brazil it has its head offices in São Paulo and Rio de Janeiro, and branches in Belo Horizonte, Brasília, Curitiba, Florianópolis, Fortaleza, Porto Alegre, Recife and Salvador. It conducts opinion polls for public and private institutions.
www.ibope.com.br

## Gender, Citizenship and Development Actions - Agende

Created in 1998, Agende is a non-profit civil society organization with a public mission and based in Brasília, Federal District. It is active in practically all of Brazilian territory and in Latin America and strives to strengthen the negotiating power and capacity for action of Brazilian and Latin American women's organizations with activities embracing capacity building on gender issues, public policies and budgeting, and planning and the socialization of information.
www.agende.org.br

## Pólis Pesquisa

A private research and survey institution that has been active in the market since 1996 carrying out research and surveys for governments, political parties, advertising agencies, nongovernmental organizations, class associations, and other entities and institutions.
www.geocities.com/polispesquisa

[^6]
## - International Bodies

## United Nations Development Program - UNDP

The United Nations Development program (UNDP) is a multilateral organization present in 166 countries and its primary mandate is to fight against hunger. Its object is to act in favor of those changes necessary to the sustainability of the planet and improved living conditions for its peoples, generating the circulation of information, experiences and resources among the member countries so as to strengthen local capabilities.

It is further responsible for coordinating United Nations efforts to achieve the Millennium Development Goals, commitments made by world leaders at the 2000 Millennium Summit which embrace the reduction of poverty, the fight against hunger, the reduction of infant and maternal mortality rates, gender issues, reversing the tide of HIV/AIDS and the sustainability of the environment.
www.undp.org

## United Nations Food and Agriculture Organization - FAO

The United Nations Food and Agriculture Organization (FAO), conducts international activities directed at the eradication of hunger. In implementing its services, whether in developed or developing countries, FAO obeys its directive of acting in a neutral manner so that countries may negotiate agreements and discuss policies.

Founded in 1945, the institution supplies independent advisory services in agricultural policy and planning, legal and administrative structure, including national strategies for: rural development; food security, fighting hunger and poverty; and sustainable development, in addition to contributing towards improved agricultural productivity and the management of natural resources in a sustainable manner.
www.fao.org

## United Nations Educational, Scientific and Cultural Organization - UNESCO

Founded on 16 November 1945, it functions as a laboratory of ideas and a standardsetter to forge universal agreements on emerging ethical issues. The Organization also serves as a clearinghouse - for the dissemination and sharing of information and knowledge - while helping Member States to build their human and institutional capacities in diverse fields. Through its strategies and activities, UNESCO is actively pursuing the Millennium Development Goals, especially those aiming to: halve the proportion of people living in extreme poverty in developing countries; achieve universal primary education in all countries; eliminate gender disparity in primary and secondary education; help countries implement a national strategy for sustainable development; and to reverse current trends in the loss of environmental resources. Brazil has been a member state of UNESCO since 1946. Apart from the main office in Brasilia, UNESCO has offices-antenna installed in the capitals of five states: Rio de Janeiro, Salvador, São Paulo, Cuiabá and Porto Alegre.
www.unesco.org

## InterAmerican Development Bank - IADB

The InterAmerican Development Bank (IADB) was founded in 1959 as an institution directed at stimulating the development of Latin American countries. It acts as a source of multilateral financing for economic, social and institutional development projects as well as for programs designed to promote trade and regional integration in Latin America and the Caribbean. Its main mission is to "contribute towards the acceleration of the economic, social, individual and collective development process of developing regional member countries", in addition to offering financial and technical assistance aimed at ecologically sustainable economic growth, allied to greater competitiveness, social equality and the reduction of poverty. It further seeks the modernization of the state and to promote free trade and regional integration.
www.iadb.org

## World Bank

A multilateral international body for financing social and economic development, the World Bank has 184 member countries among them, Brazil, and it plays a central role in the field of international policies.

It makes financial and human resources available to support developing countries by means of loans and according to the precepts of stable, sustainable and equitable growth.

It seeks to promote social development and inclusion, good governance and institutional strengthening as being the essential elements for the reduction of poverty. That includes investing in personnel, protection for the environment, stimulating the development of private companies and the capacity of governments to increase the transparency and efficiency of their services.
www.obancomundial.org

## Department for International Development of the British Government

The Department for International Development (DFID) is the British government body responsible for administering UK support for poor countries. Its main objectives are to promote development and the reduction of poverty. To that end, it supports long term programs to face up to the fundamental causes of poverty but it also acts in emergency situations whether they result from natural phenomena or from human activities. The work undertaken by DFID respects the global commitment to achieve the Millennium Development Goals proposed by UNO.

## Acronyms

Abong Brazilian Association of Non Governmental Organizations<br>Agende Gender, Citizenship and Development Actions<br>ANP National Petroleum, Natural Gas and Biofuel Agency<br>APS Social Security Agency<br>ASA Brazilian Semi-Arid Network<br>BID Inter-American Development Bank<br>BPC Continuous Cash Benefit<br>CadÚnico Unified Registry System for Federal Government Social Programs<br>CAAF Anticipated Purchases from Family Agriculture<br>CAEAF Special Anticipated Purchases from Family Agriculture<br>CCSA Applied Social Sciences Center of the Federal University of Pernambuco<br>CDAF Direct Purchases from Family Agriculture<br>CDLAF Local Direct Purchases from Family Agriculture<br>Cedeplar Center for Development and Regional Planning<br>Cempre Central Company Register<br>CID International Statistical Classification of Diseases and Related Health Problems<br>CLT Consolidation of Labor Laws<br>CMAS Local Social Assistance Council<br>CNAS National Social Assistance Council<br>CNPJ Corporate Taxpayer Registry<br>CPF Individual Taxpayer Registry<br>Conab National Company for Food Supply<br>Conade National Council for Disability Rights<br>Congemas Collegiate of Local Government Social Assistance Administrators<br>Consad Food Security and Local Development Consortium<br>CPR Rural Product Certificate<br>CRAS Social Assistance Reference Center<br>CREAS Social Assistance Specialized Reference Center<br>DAP Pronaf Eligibility Declaration<br>DAPAA Declaration of Eligibility for Agrarian Reform Squatters in Camps<br>DataUFF Research, Data and Public Policies Nucleus of the Fluminense Federal University<br>DCP Political Science Department of the Federal University of Minas Gerais<br>Decon Department of Economics of the Federal University of Pernambuco<br>DF Federal District<br>DFID Department for International Development - British Government<br>Dirben National Department of Benefits of the National Social Security Institute<br>DPE/COPIS Department of Research/Coordinator of Population and Social Indicators of the Brazilian Institute of Geography and Statistics<br>DPE/GTD Department of Research/Technique management of the Demographic Census of the Brazilian Institute of Geography and Statistics<br>Ebia Brazilian Scale of Food Insecurity<br>Emater State Company for Technical Assistance and Rural Extension<br>Embrapa Brazilian Agricultural Research Corporation<br>ENSP Sérgio Arouca National School of Public Health<br>ESALQ Luiz de Queiroz Agricultural College<br>ESCCA Commercial Sexual Exploitation and Abuse of Children and Adolescents<br>EYA Education of the Young and Adult<br>FACE Economic Sciences Faculty of the Federal University of Minas Gerais<br>FADE Support Foundation for the Development of the Federal University of Pernambuco<br>FAFICH Philosophy and Human Sciences Faculty of the Federal University of Minas Gerais<br>FAO United Nations Food and Agricultural Organization<br>FASFIL Private NonProfit Foundations and Associations in Brazil<br>FEALQ Luiz de Queiroz Agrarian Studies Foundation<br>FEC Euclides da Cunha Foundation<br>FGTS Unemployment Sinking Fund<br>Fiocruz Oswaldo Cruz Foundation<br>FNAS National Social Assistance Fund<br>Fubra University of Brasilia Foundation<br>Fundep Research Development Foundation of the Federal University of Minas Gerais<br>Funder Foundation for Regional Development of the Brazilian Agricultural Research Corporation

IBGE Brazilian Institute of Geography and Statistics
IBOPE Brazilian Institute of Public Opinion and Statistics
IDH Human Development Index
IEMS Sentinela Program Index of Municipal Elegibility
Incra National Institute for Colonization and Agrarian Reform
Inep National Institute for Educational Studies and Research
Inpe National Institute for Space Research
INSS National Social Security Institute
Ipea Institute for Applied Economic Research
ISA Environmental Sustainability Index
LOA Annual Organic Act
LOAS Organic Act of Social Assistance
Mapa Ministry of Agriculture, Livestock, and Food Supply
MAS Ministry of Social Assistance
MDA Ministry of Agrarian Development
MDS Ministry of Social Development and the Fight Against Hunger
MESA Extraordinary Ministry for Food Security and the Fight Against Hunger
MP Ministry of Planning, Budget and Administration
MS Ministry of Health
MST Landless Workers Movement
Munic Survey of Basic Municipal Information
NEPeM/UFMG Women Research Centre of the Federal University of Minas Gerais NEPeM/UNB Women Research
Centre of the University of Brasilia
NIS Social Identification Number
NOB/SUAS Basic Operational Standard of the Unified Social Assistance System
ODM Millennium Development Goals
UN United Nations
OSCIP Public Interest Civil Society Organization
P1MC-ASA One Million Cisterns Program - Brazilian Semi-Arid Network
PAA Food Acquisition Program
PAA - Milk Food Acquisition Program - Milk
PAIF Integral Family Care Program
PBF Bolsa Família Program
PETI Child Labor Eradication Program
PIM-PF Monthly Industrial Research - Physical Production
PNAD National Household Sample Survey
PNAS National Social Assistance Policy
PNDS National Demographic and Health Survey
UNDP United Nations Development Programme
Proagro Program to Guarantee Agricultural Activity
Pronaf National Program for the Strengthening of Family Agriculture
PSF Family Health Care Program
RIDE Integrated Development Region of the Federal District and Surroundings
RJU Unified Legal Regimen
SAGI Secretariat for Evaluation and Information Management
SAIP Secretariat for Institutional Network and Partnerships
SCIENCE Scientific Society of the National School of Statistics Sciences
SENARC National Secretariat for Citizenship Income
SESAN National Secretariat for Food and Nutritional Security
Siape Integrated System for Human Resources Administration
Sinteg System of Integrated Controls of the National Company for Food Supply
SNAS National Secretariat for Social Assistance
SUAS Unified Social Assistance System
Sureg Regional Bureau of the National Company for Food Supply
SUS Unified Health System
UFBA Federal University ofcBahia
UFF Fluminense Federal University
UFMG Federal University of Minas Gerais
UFPE Federal University of Pernambuco
UnB Univesity of Brasília
Unesco United Nations Educational, Scientific and Cultural Organization
Unicamp State University of Campinas
USP University of São Paulo

## Section B - Methodologies

- Part I - Citizenship Income
- Part II - Social Assistance
- Part III - Food and Nutrition Security


## - Part I - Citizenship Income

- Evaluation of the impact of the Bolsa Família Program - Phase 1
- The PBF and the Confrontation of Gender Bias: the challenge of promoting a new order in the domestic environment and the access of women to the public space
- Survey of Food and Nutrition Security Conditions of Bolsa Família Program Beneficiaries
- Household Survey of Bolsa Família Program Beneficiaries


# Evaluation of the Impact of the Bolsa Família Program - Phase 1 

Executive Institutions: Regional Planning Development Center of the Federal University of Minas Gerais (Cedeplar/UFMG) through the Research Development Foundation (FUNDEP/UFMG).

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Period undertaken: December/2004 to October/2006.

## 1. Objectives

To evaluate the impact of the Bolsa Família Program in regard to the following aspects:

- domestic consumption: current spending on food, housing, clothing, transport, health, education, children's goods, adult's goods, miscellaneous expenses;
- nutrition: anthropometric measurement;
- education: school attendance, school progress and evasion;
- adult labor and child labor, search for work and occupational transitions;
- health situation, consultations, vaccination, use of health services;
- variables linked to living conditions, including participation in associations, political participation, level of confidence in the various spheres of government, number of meals per day, distribution of their time for women and children and the decision making process in the domestic environment.


## 2. Methodology

The household based research has a quasi-experimental longitudinal design which foresees the questionnaire's being re-applied to the same families that make up the sample, in subsequent years. Considering that the families included in the Bolsa Família Program are selected in a non random manner, evaluating the impact of the Program by simply comparing beneficiaries and non beneficiaries could lead to mistaken conclusions. On the one hand, it could be that differences found between those groups after one of them had participated in the program were mere reflections of differences that already existed prior to the program. On the other hand, the effects of participating in the program could depend on variables that were different in the two groups being compared. In order to find similar families in the beneficiary group and the non beneficiary group the supposition is that the participation in the program was determined as a result of observed characteristics Thus the probability of a family's participating is calculated as a function of those characteristics and so the work is carried out with families that have similar estimated probabilities.

For that reason, the technique used was Propensity Score Matching (PSM) which allows comparisons between participating and non participating families that are similar in terms of those observable characteristics. The Propensity Score represents the probability of a family or a household receiving the allowance of the Bolsa Família Program. The object of the matching process is to find an ideal comparison group in regard to the group receiving the treatment, starting with a sample of non-beneficiaries of the program. The method basically consists of taking the characteristics of the benefited group as the basis and trying to find, in the comparison group, non beneficiaries that have the same characteristics. After the Propensity scores had been calculated, matching methods were used that allow for the definition of the controls for each unit being dealt with thereby making it possible to calculate the average effect of the treatment. The effect is measured as the difference between the result obtained for the group being treated and the result obtained for the paired comparison group. Three pairing techniques were used in this research to ensure the reliability of the results: Nearest Neighbor Matching (NNM), with and without replacement, and Radius Matching (RM).

The comparative analysis involved three groups: the treatment group (made up of households benefiting from the Bolsa Família Program), "Comparison group 1" made up of households presently receiving some other form of benefits, and "Comparison group 2" made up of households that declared that they had never received any kind of benefit, irrespective of whether they were registered in any kind of public program or not. The results of future rounds of household surveys will make it possible to evaluate any alterations in the indicators referring to the three groups, taking into account the eventual transition of families from the non-benefited group to the benefited group.

## 3. The sample

The sample was divided into three strata of differing proportions The first is made up of program beneficiary families and denominated "cases". The second is made up of families registered in the Single Registry System but who are not yet benefiting from the program, denominated "Type 1 control". Lastly, the third stratum consists of families with none of their members registered or benefiting from programs, denominated "Type 2 control".

To distinguish the families researched on the basis of those characteristics the sampling was done in two phases. In the first phase the areas were selected (census sectors) and following that there was a screening process of the sampled sectors using the census information to arrive at pre-established proportions between cases, Type 1 controls and Type 2 controls.

The sample size was defined in such a way as to obtain representativity in regard to three great regions of Brazil: the Northeast (NE), the South and Southeast (SE-South) together, and the North and Center-west (NOCO). The sample was distributed as follows: cases $30 \%$, Type 1 controls $60 \%$ and Type 2 controls $10 \%$. Once the regional strata had been defined, the Primary Sample Units were defined for each super-region. The total number of completed questionnaires collected during the fieldwork was 15,240 . Data was collected in all the federated States except Acre, Roraima and Tocantins as shown in the table:

Table 1 - Numbers of municipalities selected for the sample

| Region | State | Number of municipalities |
| :---: | :---: | :---: |
| Northeast | Maranhão - MA | 04 |
|  | Piauí - PI | 08 |
|  | Ceará - CE | 20 |
|  | Rio Grande do Norte - RN | 02 |
|  | Paraíba - PB | 15 |
|  | Pernambuco - PE | 17 |
|  | Alagoas - AL | 11 |
|  | Sergipe - SE | 06 |
|  | Bahia - BA | 28 |
| North and Center-West | Rondônia - RO | 06 |
|  | Amazonas - AM | 11 |
|  | Pará - PA | 29 |
|  | Amapá - AP | 01 |
|  | Mato Grosso do Sul - MS | 12 |
|  | Mato Grosso - MT | 10 |
|  | Goiás - GO | 16 |
|  | Distrito Federal - DF | 01 |
| Southeast and South | Minas Gerais - MG | 27 |
|  | Espírito Santo - ES | 03 |
|  | Rio de Janeiro - RJ | 07 |
|  | Sao Paulo - SP | 21 |
|  | Paraná - PR | 02 |
|  | Santa Catarina - SC | 02 |
|  | Rio Grande do Sul - RS | 10 |
| TOTAL |  | 269 |

[^7]Part I-Citizenship Income Evaluation of the Impact of the Bolsa Família Program - Phase 1

CCedeplai $\begin{gathered}\text { UFGG } \\ \text { Fegional Planning and Development Center }\end{gathered}$
Scientific Society of the National School of Statistical Sciences
AIBF Evaluation of the Impact of the Bolsa Família Program
Situation of the Interview
1 ___| Totally carried out

Identification of the Questionnaire
Interview Control
In case of doubt or for further information use Science free call service: (903121) 25094966
Transcribe from Section 02, PART A - CHARACTERISTICS OF THE RESIDENTS

INFORMATION FOR FUTURE CONTACT

| 1 Information on the person responsible should there be a need for further contact | 2 If you or any member of your family were to move away from here, whom would you inform or who could tell people where you had gone (a relative or a close person)? | 3 Could you give the name of any other relative or person who would know your address if you were to move away? |
| :---: | :---: | :---: |
| Name: | Name: | Name: |
| Address: | Relationship: | Relationship: |
|  | Address: | Address: |
| District/neighborhood: |  |  |
| Municipality: |  |  |
|  | District/neighborhood: | District/neighborhood: |
| State: | Municipality: | Municipality: |
| Telephone for contact: | State: | State: |
| Type of Telephone: 1 Own <br>  2 Community <br>  3 Message only | Telephone for contact: ___\| 1 I ____| $\qquad$ \| | Telephone for contact: 1 1 I \| $\qquad$ |
|  | Type of Telephone: 1 Own <br>  2 Community <br>  3 Message on | Type of Telephone: 1 Own <br>  2 Community <br>  3 Message on |


Evaluation of the Impact of the Bolsa Família Program
SECTION 01 - CHARACTERISTICS OF THE DWELLING

PART A - General Information

| 12 HOW MANY BATHROOMS ARE THERE IN THE DWELLING (only those that have shower/bath + toilet bowl)? |  |
| :---: | :---: |
| [ ] If zero go to question 13, if otherwise jump to question 14 |  |
| 13 IS THERE A TOILET USED BY THE RESIDENTS IN THIS DWELLING OR IN THE |  |
| SURROUNDINGS? |  |
| [ ] 1 Yes |  |
| 2 No-Go to question 15 |  |
| 14 WHAT TYPE OF COLLECTOR IS THERE FOR SEWAGE? |  |
| ] 1 Connected to public sewage s | tem |
| 2 Septic tank |  |
| 3 Simple collecting tank |  |
| 4 Trench |  |
| 5 Other type |  |
| 6 Other condition |  |
| 15 IS THERE RUNNING WATER SUPPLY INSIDE THE DWELLING? |  |
| [ ] 1 Yes |  |
| 2 No |  |
| 16 MAIN SOURCE OF WATER SUPPLY |  |
| [ ] 1 Public water supply system |  |
| 2 Well or spring on the property | go to qu. 18 |
| 3 Well or spring off the property | go to qu. 18 |
| 4 Public tap or fountain | go to qu. 18 |
| 5 Water bowser | go to qu. 18 |
| 6 Cistern (rainwater) | go to qu. 18 |
| 7 Other source |  |
| 17 WHAT IS THE FREQUENCY OF WATER SUPPLY? |  |
| [ ] 1 Up to 4 days a week |  |
| 25 days a week or more |  |

Evaluation of the Impact of the Bolsa Família Program
SECTION 02 - CHARACTERISTICS AND ANTHROPOMETRY OF THE RESIDENTS
PART A - Characteristics of the Residents
1 INCLUDING SMALL CHILDREN, OLD PEOPLE AND SERVANTS,
HOW MANY PEOPLE ALTOGETHER LIVE IN THIS DWELLING
HOW

Evaluation of the Impact of the Bolsa Família Program
SECTION 02 - CHARACTERISTICS AND ANTHROPOMETRY OF THE RESIDENTS
PART A - Characteristics of the Residents

| № <br> OF <br> PER <br> SON | 11 <br> DOES THE FATHER <br> LIVE IN THE SAME DWELLING? <br> 1 Yes <br> 2 No - Go to question <br> 13 | 12 <br> FATHER'S NUMBER IN THE LIST: | 13 <br> DOES THE MOTHER <br> LIVE IN THE SAME DWELLING? <br> 1 Yes 2 No - Go to question 15 | 14 <br> MOTHER'S NUMBER IN THE LIST: | 15 <br> COLOR OR <br> RACE? <br> 1 White <br> 2 Black <br> 3 Brown <br> 4 Oriental <br> 5 Indigenous | 16 <br> NATIONALI <br> TY: <br> 1 Brazilian born - <br> Go to 18 <br> 2 <br> Naturalized <br> Brazilian <br> 3 Foreigner | 17 <br> HOW LONG <br> HAVE YOU <br> BEEN A <br> PERMANENT <br> RESIDENT IN <br> BRAZIL? <br> 1Less than 1 yr <br> 2 From 1 to 2 <br> yr <br> 3 From 2 to 3 <br> yr <br> 4 From 3 to 4 <br> yr <br> 5 From 4 to 5 <br> yr <br> 6 From 5 to 9 <br> yr <br> 710 years or more | 18 <br> HOW <br> LONG <br> HAVE <br> YOU <br> BEEN <br> LIVING <br> IN THIS <br> MUNI- <br> CIPALIT <br> Y? <br> 1 Less than1 yr <br> 2 From 1 <br> to 2 yr <br> 3 From 2 <br> to 3 yr <br> 4 From 3 <br> to 4 yr <br> 5 From 4 <br> to 5 yr <br> 6 From 5 <br> to 9 yr <br> 710 <br> years or more <br> 8 Always | 19 <br> HOW <br> LONG <br> HAVE YOU <br> BEEN <br> LIVING IN <br> THIS <br> DWELLING ? <br> 1 Less than 1 yr <br> 2 From 1 to 2 yr <br> 3 From 2 to <br> 3 yr <br> 4 From 3 to <br> 4 yr <br> 5 From 4 to <br> 5 yr <br> 6 From 5 to <br> 9 yr <br> 710 years <br> or more <br> 8 Always - <br> Go to 21 | FOR <br> PEOPLE <br> 14 AND <br> OVER <br> 20 <br> WHERE <br> DID YOU <br> LIVE <br> MOST OF <br> YOUR <br> FIRST 14 <br> YEARS? <br> 1 Urban area of the capital 2 Rural area of the capital 3 Urban area in the interior 4 Rural area in the interior | 21 <br> DO YOU <br> HAVE A <br> BIRTH <br> CERTIFICA <br> TE OR A <br> MARRIAGE <br> CERTIFICA <br> TE? <br> 1 Yes <br> 2 No | 22 <br> DO <br> YOU <br> HAVE <br> AN ID <br> CARD? <br> 1 Yes <br> 2 No | 23 <br> DO <br> HAVE A <br> TAX <br> REGIST <br> RA- <br> TION <br> CARD? <br> 1 Yes <br> 2 No | 24 <br> DO <br> YOU <br> HAVE <br> A <br> VOTE <br> RS <br> REGIS <br> TRA- <br> TION <br> CARD <br> ? <br> 1 Yes <br> 2 No | $\mathrm{N}^{\circ}$ <br> OF <br> PER <br> SON |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 01 |  | -11__\| |  | II |  |  |  |  |  |  |  |  |  |  | 01 |
| 02 |  | II |  | \|| |  |  |  |  |  |  |  |  |  |  | 02 |
| 03 |  | II |  | II |  |  |  |  |  |  |  |  |  |  | 03 |
| 04 |  | II |  | II |  |  |  |  |  |  |  |  |  |  | 04 |
| 05 |  | II |  | II |  |  |  |  |  |  |  |  |  |  | 05 |
| 06 |  | II |  | II |  |  |  |  |  |  |  |  |  |  | 06 |
| 07 |  | II |  | II |  |  |  |  |  |  |  |  |  |  | 07 |
| 08 |  | II |  | 11 |  |  |  |  |  |  |  |  |  |  | 08 |
| 09 |  | II |  | II |  |  |  |  |  |  |  |  |  |  | 09 |
| 10 |  | II |  | II |  |  |  |  |  |  |  |  |  |  | 10 |
| 11 |  | \|| |  | II |  |  |  |  |  |  |  |  |  |  | 11 |
| 12 |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 02 - CHARACTERISTICS AND ANTHROPOMETRY OF THE RESIDENTS

PART A - General Information
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION

- |

Evaluation of the Impact of the Bolsa Família Program
SECTION 03 -EDUCATION

| ONLY FOR THOSE ATTENDING SCHOOL (EXCEPT FOR AJA STUDENTS) |  |  |  |  |  | FOR THOSE ATTENDING SCHOOL |  |  |  |  | $\begin{aligned} & \text { No } \\ & \text { OF } \\ & \text { PER } \\ & \text { SON } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N O OF PER <br> SON | 11 <br> PERIOD OF DAY <br> STUDYING: <br> 1 Morning <br> 2 Afternoon <br> 3 Night <br> 4 Morning and <br> afternoon <br> 5 Morning and night 6 Afternoon and night | 12 NAME OF CRĖCHE OR SCHOOL ATTENDED | 13 <br> CODE NUMBER FOR SCHOOL/CRĖCHE (this code will be registered only after data collection has finished) | 14 <br> DOES <br> [NAME] <br> HAVE <br> ANY <br> FREE <br> MEAL AT <br> SCHOOL? <br> 1 Yes <br> 2 No - Go <br> to 21 | 15 <br> HOW OFTEN DOES (NAME) HAVE FREE MEALS AT SCHOOL? <br> 1 Once a week 2 Two or three days a week 3 four or five days a week 4 More than five days a week | 16 <br> WHAT IS <br> THE MAIN <br> TYPE OF <br> FREE MEAL <br> THAT <br> (NAME) <br> HAS AT <br> SCHOOL? <br> 1 Breakfast/ <br> snack <br> 2 <br> Lunch/snack <br> 3 <br> Dinner/snack | 17 <br> WHAT IS THE <br> MAIN <br> COMPONENT <br> OF THE <br> MOST <br> IMPORTANT <br> MEAL? <br> 1 Fruit / juice, <br> 2 Bread and <br> butter with <br> coffee and <br> milk <br> 3 Rice, beans, <br> meat and <br> vegetables <br> 4 Soup, <br> porridge, <br> white maize <br> porridge, etc. <br> 5 Other | 18 <br> WHAT IS THE <br> SECOND <br> KIND OF <br> FREE MEAL <br> THAT (NAME) <br> HAS AT <br> SCHOOL? <br> 1 Breakfast <br> 2 Lunch <br> 3 snack <br> 4 Dinner <br> 5 Only has one kind of meal - <br> Go to 21 | 19 <br> WHAT IS THE MAIN COMPONENT OF THE SECOND <br> MOST IMPORTANT MEAL? <br> 1 Fruit / juice, <br> 2 Bread and butter with coffee and milk <br> 3 Rice, beans, meat and vegetables <br> 4 Soup, porridge, <br> white maize porridge, etc. <br> 5 Other | 20 <br> DOES <br> HE/SHE <br> HAVE <br> MORE <br> THAN TWO <br> MEALS A <br> DAY AT <br> SCHOOL? <br> 1 Yes <br> 2 No |  |
| 01 |  |  | I _II _II |  |  |  |  |  |  |  | 01 |
| 02 |  |  | II |  |  |  |  |  |  |  | 02 |
| 03 |  |  | I |  |  |  |  |  |  |  | 03 |
| 04 |  |  | II |  |  |  |  |  |  |  | 04 |
| 05 |  |  | 11 |  |  |  |  |  |  |  | 05 |
| 06 |  |  | II |  |  |  |  |  |  |  | 06 |
| 07 |  |  | II |  |  |  |  |  |  |  | 07 |
| 08 |  |  | , |  |  |  |  |  |  |  | 08 |
| 09 |  |  | II |  |  |  |  |  |  |  | 09 |
| 10 |  |  | \| _II |  |  |  |  |  |  |  | 10 |
| 11 |  |  | \| |  |  |  |  |  |  |  | 11 |
| 12 |  |  | II |  |  |  |  |  |  |  | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 03 -EDUCATION
PART A - General Information

|  | ONLY FOR THOSE ATTENDING SCHOOL |  |  |  |  | ONLY FOR THOSE NOT ATTENDING SCHOOL |  | FOR PEOPLE FROM 6 TO 15 YEARS OLD |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{N}^{\circ}$ <br> OF <br> PER <br> SON | 21 <br> WHAT IS THE <br> MAIN MEANS OF <br> TRANSPORT <br> USED TO GET <br> TO SCHOOL OR <br> THE CRĖCHE? <br> 1 Public bus <br> service <br> 2 Train/metro <br> 3 Train/metro/bus <br> 4 School <br> transport (van, <br> kombi, bus) <br> 5 Private car or <br> motorbike <br> 6 Other owned <br> mechanized <br> vehicle (launch, <br> tractor) <br> 7 Own non <br> mechanized <br> transport (bicycle, <br> horse <br> canoe etc.) <br> 8 Other type of transport <br> 9 Not used (goes on foot) | 22 <br> HOW LONG DOES IT USUALLY TAKE TO GET TO SCHOOL? (Register time in hrs, and mins.) | 23 <br> HAS HE/SHE <br> FAILED TO <br> ATTEND <br> SCHOOL AT <br> ANY TIME IN <br> THE LAST 30 <br> DAYS? <br> 1 Yes <br> 2 No - Go to 28 | 24 <br> HOW MANY DAYS <br> TIMES HAS HE/SHE MISSED SCHOOL IN THE LAST 7 DAYS? <br> If the answer is 0 - Go to 28 | 25 <br> WHAT WAS THE MAIN REASON FOR MISSING SCHOOL IN THE LAST 7 DAYS? <br> 01 Health/sickness problems 02 Needed to work 03 Needed to help father/mother in their work <br> 04 Needed to stay home to look after brothers/sisters 05 Needed to stay home to look after sick person 06 Doesn't like school 07 Doesn't like the teacher 08 Doesn't want to study/ doesn't feel motivated 09 There was no one to take him/her there 10 Problem of violence near the home or school 11 Violence inside the school 12 Other reason | 26 <br> WHAT WAS THE HIGHEST <br> LEVEL OF SCHOOLING IN <br> WHICH AT LEAST ONE <br> SCHOOL YEAR WAS <br> COMPLETED? <br> 01 Crèche <br> 02 Infant school <br> 03 Literacy Training Class <br> 04 Adult and Adolescent Literacy <br> Training/AJA <br> 05 Basic Education years 1 to 8 - <br> regular serial course <br> 06 Basic Education equivalent to years 1 to 8 in non serial form 07 Supplementary course/ Adult and Adolescent Education - EJA (Basic Education level) <br> 08 Regular senior high school in series 1 to 3 <br> 09 Senior High School equivalent in non serial form <br> 10 Supplementary course/EJA (equivalent senior high school) <br> 11 University Entrance preparatory course <br> 12 Higher education - graduate course <br> 13 Masters or doctors course | 27 <br> WHAT WAS <br> THE HIGHEST SCHOOLING LEVEL IN <br> WHICH HE/SHE OBTAINED A PASS MARK FOR THE YEAR? 01 Year one 02 Year two 03 Year three 04 Year four 05 Year five 06 Year six 07 Year seven 08 Year eight 09 Non serial course 10 None | 28 <br> HOW OLD WAS HE/SHE WHEN HE/SHE INGRESSED IN THE FIRST YEAR OF BASIC COMPULSORY EDUCATION (YEAR ONE) for those that have not yet begun compulsory basic education register: $\mathbf{0 0}$ | $\mathrm{N}^{\circ}$ <br> OF <br> PER <br> SON |
| 01 |  | \|: |  |  | II | II | \|| | II_ | 01 |
| 02 |  | : |  |  | -_\|| | -1\|_-_1 | \|l-_1 | II | 02 |
| 03 |  | \|: |  |  | II | II | II | II | 03 |
| 04 |  | : |  |  | II | II | II | II | 04 |
| 05 |  | \|:|__|| |  |  | II | II | II__1 | II | 05 |
| 06 |  | \| : |  |  | II | II | __II_1 | 11 | 06 |
| 07 |  | \| : |  |  | I\| | II | I\| | \|| | 07 |
| 08 |  | $1: 1$ |  |  | II_-1 | II | 11 | II | 08 |
| 09 |  | \| : |  |  | -11 | II | __II_1 | II | 09 |
| 10 |  | \|: |  |  | 11 | II | II | II | 10 |
| 11 |  | \|: 1 |  |  | 11 | \||1 | II | II | 11 |
| 12 |  | \| : |  |  | 11 | II | II | II | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 03 -EDUCATION
PART A - General Information

| $\mathrm{N}^{\circ}$ <br> OF <br> PER <br> SON | FOR EVERYONE 15 YEARS OLD OR OVER |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 29 <br> HAS [NAME] BEGUN ANY KIND OF ADULT AND <br> ADOLESCENT LITERACY TRAINING (AJA) COURSE? <br> 1 Yes - Go to 31 2 No | 30 WHY HASN'T HE/SHE BEGUN AN AJA <br> COURSE? <br> $1 \mathrm{He} /$ she attends/attended a regular school course <br> 2 Doesn't know about any AJA course <br> 3 There is no AJA course in the municipality or region <br> 4 Hasn't had time (works, looks after children etc.) <br> 5 Too old <br> 6 Course is too far away <br> 7 Too expensive to get to the course <br> 8 The course doesn't work <br> 9 Doesn't see the need to <br> (Mark the answer and go to 37) | 31 <br> HOW OLD WAS HE/SHE WHEN HE/SHE BEGAN THE AJA COURSE FOR THE FIRST TIME? | 32 <br> HOW MANY <br> TIMES HAS <br> SHE BEGUN <br> AN AJA <br> COURSE? <br> 1 Only once <br> 2 Twice <br> 3 Three times or more | 33 <br> HOW MANY <br> TIMES HAS <br> HE/SHE <br> CONCLUDED AN <br> AJA COURSE? <br> 0 Has never concluded <br> 1 Only once - Go to 35 <br> 2 Twice - Go to 35 <br> 3 Three times or more - Go to 35 | 34 <br> WHY HASN'T HE/SHE FINISHED THE AJA COURSE? <br> 1 Because he/she is still studying in it Go to 36 <br> 2 Didn't have time (works, looks after children. etc.) - Go to 37 <br> 3 Course was too far away - Go to 37 <br> 4 Too expensive take the course - Go to 37 <br> 5 The course doesn't work - Go to 37 <br> 6 Doesn't see the need to - Go to 37 | 35 <br> HOW OLD WAS HE/SHE THE LAST TIME HE/SHE FINISHED AN AJA COURSE? | 36 <br> HOW MANY MONTHS AGO DID HE/SHE START ON THE AJA COURSE? | $\mathrm{N}^{\circ}$ OF PER SON |
| 01 |  |  | II |  |  |  | II | II | 01 |
| 02 |  |  | II |  |  |  | II_1 | \|| | 02 |
| 03 |  |  | II |  |  |  | II__\| | II | 03 |
| 04 |  |  | II |  |  |  | II | I | 04 |
| 05 |  |  | II |  |  |  | II | , | 05 |
| 06 |  |  | II |  |  |  | II | 11 | 06 |
| 07 |  |  | II |  |  |  | II | II | 07 |
| 08 |  |  | II |  |  |  | \|| | , | 08 |
| 09 |  |  | II |  |  |  | II | II | 09 |
| 10 |  |  | II |  |  |  | \||-1 | III | 10 |
| 11 |  |  | I_II |  |  |  | II_-1 | II | 11 |
| 12 |  |  | II |  |  |  | - | II | 12 |

Part I - Citizenship Income Evaluation of the Impact of the Bolsa Família Program - Phase 1
Evaluation of the Impact of the Bolsa Família Program
SECTION 03 -EDUCATION
PART A - General Information

|  | FOR EVERYONE 15 YEARS OLD OR OVER |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{N}^{\circ}$ <br> OF PER SON | 37 <br> HAS [NAME] BEGUN ANY KIND OF ADULT AND ADOLESCENT EDUCATION (EJA) COURSE? 1 Yes - Go to 39 2 No | 38 WHY HASN'T HE/SHE BEGUN AN EJA COURSE? <br> 1 He /she attends/attended a regular school course <br> 2 Doesn't know about any EJA course <br> 3 There is no EJA course in the municipality or region <br> 4 Hasn't had time (works, looks after children etc.) <br> 5 Too old <br> 6 Course is too far away <br> 7 Too expensive to get to the course <br> 8 The course doesn't work <br> 9 Doesn't see the need to <br> (Mark the answer and close Part A) | 39 <br> HOW OLD <br> WAS <br> HE/SHE <br> WHEN <br> HE/SHE <br> BEGAN THE <br> EJA <br> COURSE <br> FOR THE <br> FIRST <br> TIME? | 40 <br> HAS HE/SHE EVER <br> CONCLUDED <br> AN EJA COURSE? <br> 1 Yes - Go to 42 <br> 2 No | 41 <br> WHY HASN'T HE/SHE <br> FINISHED THE COURSE? <br> 1 Because he/she is still studying in it <br> 2 Didn't have time (works, looks after children. etc.) <br> 3 Course was too far away <br> 4 Too expensive take the course <br> 5 The course doesn't work 6 Doesn't see the need to <br> (Mark the answer and pass to the next person) | 42 <br> HOW OLD <br> WAS <br> HE/SHE <br> THE LAST <br> TIME <br> HE/SHE <br> FINISHED <br> AN EJA <br> COURSE? | 43 <br> WHAT TYPE OF EJA COURSE DID HE/SHE LAST CONCLUDE? <br> 1 Basic EJA - 1st segment (equivalent to complete primary) <br> 2 Basic EJA - 2nd segment (equivalent to complete junior secondary education) <br> 3 Senior EJA (equivalent to complete senior high school education) <br> 4 Career training EJA | $\mathrm{N}^{\circ}$ OF PER SON |
| 01 |  |  | -II__1 |  |  | 11 |  | 01 |
| 02 |  |  | \|| |  |  | II |  | 02 |
| 03 |  |  | II |  |  | 11 |  | 03 |
| 04 |  |  | II |  |  | II |  | 04 |
| 05 |  |  | \|| |  |  | 11 |  | 05 |
| 06 |  |  | II |  |  | 11 |  | 06 |
| 07 |  |  | II |  |  | II |  | 07 |
| 08 |  |  | \||1 |  |  | \|| |  | 08 |
| 09 |  |  | II |  |  | II |  | 09 |
| 10 |  |  | I__\||I |  |  | - 11 |  | 10 |
| 11 |  |  | I_I\| |  |  | 1_\||1 |  | 11 |
| 12 |  |  | \|_I|I |  |  | 1 |  | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 03 -EDUCATION
PART B - Spending on Education

| $\mathrm{N}^{\circ}$ OF PER SON | 1 <br> HAVE YOU HAD ANY EXPENSES WITH THE EDUCATION OF [NAME] <br> THIS YEAR? <br> 1 Yes <br> 2 No - Close off Part B | 2 <br> HOW MUCH HAVE YOU SPENT ON SCHOOL FEES FOR [NAME] IN THE LAST 30 DAYS? | 3 <br> HOW MUCH HAVE YOU SPENT ON SCHOOL TRANSPORT FOR [NAME] IN THE LAST 30 DAYS? | 4 <br> HOW MUCH HAVE YOU SPENT ON SCHOOL MEALS/SNACKS FOR (NAME) IN THE LAST 30 DAYS? | 5 <br> HOW MUCH DID YOU SPEND ON SCHOOL MATERIAL FOR (NAME) IN 2005? | 6 <br> HOW MUCH DID YOU SPEND ON SCHOOL ENROLMENT FOR (NAME) IN 2005? | 7 <br> HOW MUCH DID YOU SPEND ON SCHOOL UNIFORMS FOR (NAME) IN 2005? | 8 <br> HOW MUCH HAVE YOU SPENT ON OTHER EDUCATIONRELATED ITEMS IN THE LAST 30 DAYS? (Private classes, extracurricular activities, school repairs and conservation, school cash box contribution, cleaning material and personal hygiene material for use in school or crèche etc.) | $\mathrm{N}^{\circ}$ <br> OF <br> PER <br> SON |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 01 |  | L_II_II_II_I.I | I_II_II_IILI.I_I |  |  | I_II_II_II_I.I |  | L_II_II_ \| I _ l. | 01 |
| 02 | \||-1| | -\| - ||-||-|.|-| | -1\|-1|_||-|.| - | -1\|-||-|.1-| |  | \||1||||-| $1 . \mid$ | -11 | \|_||_||_|||.|.| | 02 |
| 03 | II_\|I | \||-|||-||-|.| | \||-|||||-|.| | \||I||I_1.| | \||-|| 1.1 | \||-1| | II | \||-1| | 03 |
| 04 | -1\|_||I||-1.| | \||-1|-1|-1.| | -1\|-_||-||-|.| | -\||-||-||-|.|-| |  | II__\|I_|I_I.| | II_\|I_|I_-|.| |  | 04 |
| 05 | \||-|||-||-|.|-1 | -1\| $\|\mid$ |  |  | \|_||_||_|| $1 . \mid$ | -\|| -||_||-|.| | -1\||-1 | \|_||_||_|||.|.| $\mid$ | 05 |
| 06 | II-\|I|| | \|11|||1-1.| |  | -1\|-11-1|-1.| | - -1\| _|| - || 1.1 | -1\|-1|-1|-1.| | -11 |  | 06 |
| 07 | -\||_||_||-|.| | -\|I_||-1| | -1\|-1|_||-1.| | -\|I-11 | \|| 1.1 | II | -11 | \|| -|| | 07 |
| 08 | I_\|I_||_||_1.|_| | L_\||_||-|||-|.|_| |  | \| - || - || - || |  | -\||-||||-|| 1 | II-II |  | 08 |
| 09 | \| - |1-1|-1|-1.| |  |  |  |  | \| - || -|||||1.| | \| - || -||||||1.| |  | 09 |
| 10 |  | \| -||_||-_||-1.| - | |  |  | \|_||-_||-_|| 1.1 | |  | -\||-||-||-|.| |  | 10 |
| 11 |  |  | \|_-||_||_||_|.|_|_| |  |  |  | -\||_||-1|_1.| - | | \|_||_||-||_|.|_| | 11 |
| 12 |  | \|_||_||||||||||-| | \|_||-||||||||||-|| | \|_||_-||_||||.| - | | |  |  | -\||-|||||-|.|-| | \|-||-||-||||||-| | 12 |

PART A - General Information
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION: $\mid$

| $\begin{aligned} & \text { No } \\ & \text { OF } \\ & \text { PE } \\ & \text { R } \\ & \text { SO } \\ & \mathrm{N} \end{aligned}$ | 2 <br> HOW <br> WOUL <br> D YOU <br> DESCR <br> IBE <br> THE <br> STATE <br> OF <br> HEALT <br> H OF <br> [NAME] <br> 1 Very good <br> 2 Good <br> 3 <br> Regular <br> 4 Bad <br> 5 Very <br> bad <br> 6 <br> Cannot <br> evaluat <br> e it | 3 <br> DOES <br> HE/SHE <br> SUFFER <br> FROM <br> ANY <br> CHRONIC <br> HEALTH <br> PROBLE <br> M HAS <br> HE/SHE <br> HAD ANY <br> OTHER <br> HEALTH <br> PROBLE <br> M IN THE <br> LAST 30 <br> DAYS? <br> 1 Yes <br> 2 No - Go <br> to 6 | 4 <br> WHAT <br> WAS THE MAIN <br> PROBLE <br> M ? <br> 1 <br> Accident/ injury <br> 2 Dental problem 3 Flu/ cold 4 <br> Bronchitis/ pneumoni a <br> 5 Infection <br> 6 Chronic disease 7 Diarrhea 8 Other | 5 <br> HAS[NAME] <br> NEEDED <br> MEDICAL <br> CARE OR <br> HEALTH <br> CARE <br> DURING <br> THE LAST <br> 30 DAYS? <br> 1 Yes <br> 2 No - Go to <br> 18 | 6 <br> HAS <br> [NAME] <br> SOUGHT <br> MEDICAL <br> OR <br> HEALTH <br> CARE <br> DURING <br> THE <br> LAST 30 <br> DAYS? <br> 1 Yes <br> 2 No- Go <br> to 16 | 7 WHAT WAS THE MAIN REASON FOR [NAME] SEEKING MEDICAL OR HEALTH CARE THE LAST TIME <br> 01 Accident/injury <br> 02 Dental problem 03 <br> routine/preventive treatment <br> 04 Childbirth <br> 05 To obtain <br> Doctor's Certificate <br> 06 Rehabilitation treatment <br> 07 Antenatal exams <br> 08 Vaccination <br> 09 Flu/ cold <br> 10 <br> Bronchitis/pneumoni <br> a <br> 11 Sick from some <br> kind of infection <br> 12 Was in pain <br> 13 Chronic disease <br> 14 Diarrhea <br> 15 Other reason <br> 16 Reason unknown | 8 <br> IN <br> REGARD <br> TO THE <br> LAST <br> MENTION <br> ED <br> REASON <br> THAT <br> LED <br> [NAME] <br> TO SEEK <br> MEDICAL <br> OR <br> HEALTH <br> CARE, <br> DID <br> HE/SHE <br> RECEIVE <br> DUE <br> CARE? <br> 1 Yes <br> 2 No - Go <br> to 17 | 9 <br> WHERE DID <br> (NAME)'S LAST <br> HEALTH OR <br> MEDICAL <br> CONSULTATION <br> TAKE PLACE? <br> 1 Drugstore <br> 2 Health Center <br> 3 Private doctor's <br> surgery <br> 4 Dentists surgery <br> 5 Surgeries of <br> other health <br> professionals <br> (Phono- <br> audiologists, <br> psychologists, <br> physiotherapists, <br> etc.) <br> 6 Consulting room or surgery of a company or a trade union <br> 7 Consulting room or surgery of a clinic <br> 8 Hospital <br> 9 Laboratory or clinic for complementary examinations 10 In the home 11 Hospital ward (in-patient) <br> 12 Other place | 10 <br> HOW LONG <br> DID IT TAKE <br> [NAME] <br> TO GET TO <br> THAT <br> PLACE <br> THE LAST <br> TIME? <br> 1 Up to $1 / 2$ <br> hr <br> 2 From 1/2 <br> to 1 hr <br> 3 One to 2 hr <br> 4 Two to 5 hr <br> 5 Over 5 hr | 11 <br> WHAT IS THE MAIN MEANS OF TRANSPORT THAT [NAME] USED TO GET TO THE PLACE WHERE MEDICAL OR HEALTH <br> ASSISTANCE WAS LAST <br> RECEIVED? <br> 1 Ambulance <br> 2 Public bus service <br> 3 Train/metro <br> 4 Train/metro/bus <br> 5 School transport (van, kombi, bus) <br> 6 Private car or motorbike <br> 7 Other owned mechanized vehicle <br> (launch, tractor) <br> 8 Own non mechanized transport <br> (bicycle, horse <br> canoe etc.) <br> 9 Other type of transport <br> 10 Not used (went on foot) | 12 <br> DID (NAME) <br> PAY FOR THE LAST <br> MEDICAL OR HEALTH CARE RECEIVED? 1 Yes 2 No | 13 <br> WAS THE LAST HEALTH OR MEDICAL CARE REFERRED TO ADMINISTERED BY THE UNIFIED HEALTH SYSTEM (SUS)? 1 yes, in a hospital or health center 2 yes, by a community health agent 3 No | $\mathrm{N}^{\circ}$ OF PER SON |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
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| 12 |  |  |  |  |  | II |  | II |  | II |  |  | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 04 - HEALTH
PART A - General Information

| $\mathrm{N}^{\circ}$ <br> OF PER SON | 14 <br> WHAT IS THE NAME OF THE PLACE WHERE (NAME) LAST RECEIVED CARE? | 15 <br> WHAT IS THE <br> ADDRESS OF THE <br> PLACE WHERE (NAME) <br> LAST RECEIVED CARE? <br> (If this question is answered go to 17) | 16 <br> WHAT WAS THE MAIN REASON FOR <br> (NAME)'S NOT SEEKING MEDICAL OR HEALTH CARE? <br> 1 He she had no health problems <br> $2 \mathrm{He} /$ she had health problems but they did not require health service care <br> 3 There was a need for health service care but the health service center was too far from home 4 There was a need for health service care but there were transport difficulties involved 5 There was a need for health service care but there was not enough money for it <br> 6 There was a need for health service care but there was no time for it <br> 7 There was a need for health service care but the service is too slow <br> 8 There was a need for health service care but the center does not have an established timetable <br> 9 There was a need for health service care but the center does not have a specialist available 10 There was a need for health service care but the patient considered that he/she would not be attended to, <br> 11 Other reason | 17 <br> HOW MANY SCHOOL DAYS OR WORK DAYS DID (NAME) LOSE BECAUSE OF HEALTH PROBLEMS DURING THE LAST 30 DAYS? <br> Register 99 for those who neither work nor study | 18 <br> HAS [NAME] BEEN ADMITTED TO A HOSPITAL IN THE LAST 12 MONTHS? $\begin{aligned} & 1 \mathrm{Yes} \\ & 2 \mathrm{No} \end{aligned}$ | 19 <br> IS [NAME] <br> COVERED BY A <br> HEALTH <br> INSURANCE <br> PLAN? <br> 1 Yes <br> 2 No | $\mathrm{N}^{\circ}$ OF PER SON |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
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| 02 |  |  | 11 | II |  |  | 02 |
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| 05 |  |  | 11 | II |  |  | 05 |
| 06 |  |  | II | II |  |  | 06 |
| 07 |  |  | II | II |  |  | 07 |
| 08 |  |  | \|| | II |  |  | 08 |
| 09 |  |  | 11 | II |  |  | 09 |
| 10 |  |  | \|| | II |  |  | 10 |
| 11 |  |  | 11 | II |  |  | 11 |
| 12 |  |  | 11 | II |  |  | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 04 - HEALTH
PART B - For girls and women from 10 to 49 years old:

| $\mathrm{N}^{\circ} \mathrm{OF}$ PERSON | 1 ARE YOU PREGNANT? 1 Yes 2 No - Close off this part | 2 HOW MANY MONTHS PREGNANT ARE YOU? | 3 HOW MANY ANTENATAL CHECK UPS HAVE YOU HAD DURING THIS PREGNANCY? | $\mathrm{N}^{\circ} \mathrm{OF}$ PERSON |
| :---: | :---: | :---: | :---: | :---: |
| 01 |  | I_II_-_1 | L__II_I | 01 |
| 02 |  | -II | III_ | 02 |
| 03 |  | II | 1-\||-1 | 03 |
| 04 |  | II | I_I\|_-1 | 04 |
| 05 |  | II | \|_||-_| | 05 |
| 06 |  | 11 | 11. | 06 |
| 07 |  | II | , | 07 |
| 08 |  | II | L_II_I | 08 |
| 09 |  | II | I | 09 |
| 10 |  | I__\||__| | \|__||_-_| | 10 |
| 11 |  | I__\|I_ | L__\|| | 11 |
| 12 |  | +_II_ |  | 12 |

Evaluation of the Impact of the Bolsa Família Program

## SECTION 04 - HEALTH

PART C - Health Agents
1 DOES THIS HOUSEHOLD RECEIVE VISITS FROM HEALTH AGENTS?

[^8] 2 Receives visits every
6 With unscheduled frequency
PART D - Spending on Health

| No OF ITEM | ITEM | 1 <br> IN THE <br> [REFERENCE PERIOD], DID YOU <br> (HOUSEHOLD RESIDENTS) <br> HAVE ANY <br> EXPENSES <br> WITH (ITEM) <br> FOR <br> PERSONS 14 <br> YEARS OLD <br> OR UNDER? <br> 1 Yes <br> 2 No - Go to 4 | 2 <br> NUMBER OF CHILDREN 14 OR UNDER FOR WHOM MONEY WAS SPENT ON THIS ITEM | 3 <br> HOW MUCH WAS SPENT IN REALS ON (ITEM) FOR CHILDREN 14 OR UNDER? | 4 <br> IN THE [REFERENCE PERIOD], DID YOU (HOUSEHOLD RESIDENTS) HAVE ANY EXPENSES WITH (ITEM) FOR PERSONS 15 YEARS OLD OR OVER? 1 Yes <br> 2 No - Close this line | 5 <br> NUMBER OF PERSONS 15 OR OVER FOR WHOM MONEY WAS SPENT ON THIS ITEM | 6 <br> HOW MUCH WAS SPENT IN REALS ON (ITEM) FOR PERSONS 15 OR OVER LIVING IN THIS HOUSEHOLD? |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| REFERENCE PERIOD: LAST 30 DAYS |  |  |  |  |  |  |  |
| 01 | CONSULTATIONS |  | III |  |  | - | 1.1 |
| 02 | EXAMINATIONS |  | 11 | - 1 _ 1 |  | I- | 1.1 |
| 03 | MEDICINES FOR CONTINUOUS USE |  | II__\| | I_I_I_l.\| |  | II_-_ | 1.1 |
| 04 | MEDICINES FOR OCCASIONAL USE |  | , | I. 1 |  | II | 1.1 |
| 05 | HEALTH PLAN/INSURANCE |  | , | 1.1 |  | \||-1 | 1.1 |
| REFERENCE PERIOD: LAST 12 MONTHS |  |  |  |  |  |  |  |
| 06 | HOSPITAL ADMISSION |  | L__\||__| |  |  | L__\|I__| | \|__|__|__|_|, | _ | _ | |

[^9]Part I-Citizenship Income Evaluation of the Impact of the Bolsa Família Program - Phase 1
Evaluation of the Impact of the Bolsa Família Program
SECTION 04 - HEALTH
PART E - Child Health - For all children up to 6 years old

| $\left\|\begin{array}{l} \text { No } \\ \text { OF } \\ \text { PER } \\ \text { SON } \end{array}\right\|$ | 1 <br> DOES [NAME] HAVE A CHILDREN'S HEALTH CARD OR A VACCINATION CARD? <br> 1 Yes <br> 2 Never had one - Close off this part <br> 3 Not at the moment - Close off this part | 2 <br> MAY I SEE IT? <br> 1 Yes <br> 2 No - Close off this part <br> Be careful in filling in the columns. If the card indicates that vaccine of a certain type was administered but the date is not recorded, than register the date as: 99/99/9999 | 3 ANTI-PÓLIO-1st DOSE | 4 ANTI-PÓLIO-2nd DOSE | 5 ANTI-PÓLIO - 3rd DOSE | 6 ANTI-PÓLIO Last Booster | ${ }^{N}{ }^{\circ}$ <br> OF <br> PER <br> SON |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
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| 02 |  |  |  |  |  | L_\||_|/I_|_|/ | 02 |
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| 04 |  |  |  |  |  |  | 04 |
| 05 |  |  |  |  |  |  | 05 |
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| 10 |  |  |  |  |  |  | 10 |
| 11 |  |  |  | -_\||_|l_|_|l_|||-| |  |  | 11 |
| 12 |  |  |  |  |  |  | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 04 - HEALTH
PART E - Child Health - For all children up to 6 years old


Questionnaire - Evaluation of the Impact of the Bolsa Família Program - Phase 1
SECTION 04 - HEALTH
Evaluation of the Impact of the Bolsa Família Program
PART E - Child Health - For all children up to 6 years old

Evaluation of the Impact of the Bolsa Família Program
SECTION 05 - WORK AND CHILD LABOR
PART A - General information - For all residents in the household 5 years old or over
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION:

Evaluation of the Impact of the Bolsa Família Program
SECTION 05 - WORK AND CHILD LABOR
PART B - For all residents in the household 5 years old or over that worked or had some kind of occupation during the previous 12 months

5 EMPLOYMENT SITUATION IN OCCUPATION: QUESTIONS 8, 9, 10, AND 11:
1 Public sector employee - work card signed
3 Private sector employee - work card signed

$$
\begin{aligned}
& 5 \text { Employer } \\
& 6 \text { Self-employed worker }
\end{aligned}
$$

6 Self-employed worker
8 Rural worker -subsistence production
9 Non salaried worker

| 1 |  |  |  |  |  | months worked in the occupation (mark with an $\mathbf{X}$ ) |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 10 <br> RECEIVE (S)/(ED) IN KIND? | 11 <br> RECEIVE (S)/(ED) IN CASH? | WHAT WAS MONTHLY INCOME IN SAID OCCUPATION INCLUDING TRAVEL /MEAL/FOOD VOUCHERS IN OCTOBER 2005? (register zeros if he/she did not work in that month) |  | INFORM <br> VALUE OF OCCUPATION PRODUCTS SOLD IN LAST 12 MONTHS |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{N}^{\circ}$ <br> OF <br> PE <br> R <br> SO <br> N | OCCUPATION 1 | OCCUP ATION CODE 1 | FIEL <br> D <br> OF <br> ACTI <br> VITY | $\begin{aligned} & \text { POS } \\ & \text { T } \\ & \text { HEL } \\ & \text { D } \end{aligned}$ | HOU RS PER WEE K NOR MAL LY WOR KED | $\begin{aligned} & \mathrm{O} \\ & \mathrm{C} \\ & \mathrm{~T} \\ & 04 \end{aligned}$ | $\begin{aligned} & \mathrm{N} \\ & \mathrm{O} \\ & \mathrm{~V} \\ & 04 \end{aligned}$ | $\begin{array}{\|l} \hline D \\ E \\ C \\ 04 \end{array}$ | $\begin{array}{\|l} J \\ A \\ N \\ N \\ 05 \end{array}$ | $\begin{array}{\|l\|} \hline F \\ E \\ B \\ 05 \end{array}$ | $\begin{aligned} & \mathrm{M} \\ & \mathrm{~A} \\ & \mathrm{R} \\ & 05 \end{aligned}$ | $\begin{array}{\|l\|} \hline A \\ P \\ R \\ R \end{array}$ | $\begin{aligned} & \text { M } \\ & A \\ & \text { Y } \\ & 05 \end{aligned}$ | $\begin{array}{\|l} J \\ U \\ N \\ N \\ 05 \end{array}$ | $\begin{aligned} & \mathrm{J} \\ & \mathrm{U} \\ & \mathrm{~L} \\ & 05 \end{aligned}$ | $\begin{array}{\|l} \mathrm{A} \\ \mathrm{U} \\ \mathrm{G} \\ 05 \end{array}$ | $\begin{array}{\|l\|} \hline S \\ E \\ \mathrm{E} \\ \hline 05 \end{array}$ | $\begin{array}{\|l} \mathrm{O} \\ \mathrm{C} \\ \mathrm{~T} \\ 05 \end{array}$ |  |  |  |  |  |  |  |  |
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| 02 |  | \| - - | - | |  |  | L_I_I | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | _-_ \| | 1.00 |  | \|__|_|_1.00 |
| 03 |  | L_-_\|_| |  |  | - - - - | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |
| 04 |  | - - - \| - | |  |  | - _ - - | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |
| 05 |  | L - - \| - | |  |  | L_-_ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |
| 06 |  | L - - L - \| |  |  | - - - - | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |
| 07 |  | \| - - - - | |  |  | - - - - | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |
| 08 |  | \| - - | - | |  |  | I_I_I | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |
| 09 |  | L - - \| - | |  |  | - - - - | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |
| 10 |  | \| - - - - | |  |  | - - - - | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | . 00 |
| 11 |  | \| - - - | - | |  |  | I_I_I | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |
| 12 |  | L_\|_| |  |  | \|_1_| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 0 | 1 | 2 | 3 |  |  |  |  |  | 1.00 |  | 1.00 |

$\begin{array}{ll}\text { Register occupation according to code list } \\ & \\ 4 \text { FIELD OF ACTIVITY: } & \\ 1 \text { Agriculture } & 5 \text { Transport } \\ 2 \text { Industry } & 6 \text { Other service } \\ 3 \text { Construction industry } & 7 \text { Public Admin } \\ 4 \text { Commerce } & \end{array}$
7 Public Administration

OCCUPATION


Evaluation of the Impact of the Bolsa Família Program
SECTION 05 －WORK AND CHILD LABOR
PART B－For all residents in the household 5 years old or over that worked or had some kind of occupation during the previous 12 months
5 EMPLOYMENT SITUATION IN OCCUPATION：QUESTIONS 8，9，10，AND 11： 1 Yes
2 No



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1 Public sector employee－work card signed
3 Private sector employee－work card signed

$$
\begin{aligned}
& 5 \text { Employer } \\
& 6 \text { Self-employed worker }
\end{aligned}
$$

8 Rural worker－subsistence production
9 Non salaried worker

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Evaluation of the Impact of the Bolsa Família Program
SECTION 05 - WORK AND CHILD LABOR
PART B - For all residents in the household 5 years old or over that worked or had some kind of occupation during the previous 12 months
5 EMPLOYMENT SITUATION IN OCCUPATION: QUESTIONS 8, 9, 10, AND 11
1 Public sector employee - work card signed
2 Public sector employee - work card unsigned
3 Private sector employee - work card signed
4 Private sector employee - work card unsigned
5 Employer
7 Member of cooperative
8 Rural worker -subsistence production
9 Non salaried worker

Evaluation of the Impact of the Bolsa Família Program
SECTION 06 - INCOME (except income from work or government benefits) RECEIVED IN REFERENCE MONTH
PART A - For all residents in the household 10 years old or over
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION

| $\mathrm{N}^{\circ}$ <br> OF <br> PE <br> R <br> SO <br> N | 2 <br> DID HE/SHE RECEIVE RETIREME NT PAY OR A PENSION FROM THE PUBLIC SOCIAL INSURANC E INSTITUTE (including rural old-age pension) 1 Yes 2 No - Go to 4 | 3 <br> HOW MUCH DID HE/SHE RECEIVE? | 4 <br> DID HE/SHE RECEIVE UNEMPLOY <br> MENT <br> BENEFIT? <br> 1 Yes <br> 2 No-Go to <br> 6 | 5 <br> HOW MUCH DID HE/SHE RECEIVE? | 6 <br> DID HE/SHE <br> RECEIVE <br> SUBSIS- <br> TENCE <br> PENSION? <br> 1 Yes <br> 2 No - Go to 8 <br> (REGISTER <br> FOR THE <br> MOTHER <br> OR THE <br> PERSON <br> LEGALLY <br> RESPON- <br> SIBLE FOR <br> THE CHILDREN <br> SHOULD THAT <br> BE THE CASE) | 7 <br> HOW MUCH DID HE/SHE RECEIVE? | 8 <br> DID <br> HE/SHE <br> WITHDRA <br> W MONEY <br> FROM A <br> SAVINGS <br> ACCOUNT <br> OR ANY <br> OTHER <br> FINANCIAL <br> APPLICA- <br> TION? <br> 1 Yes <br> 2 No - Go <br> to 10 | 9 <br> HOW MUCH DID HE/SHE WITHDRAW? | 10 DID HE/SHE RECEIVE RENT FOR ROOMS (lodgers), PROPERTIES, MACHINES OR EQUIPMENT? 1 Yes 2 No - Go to 12 | 11 HOW MUCH DID HE/SHE RECEIVE? | $\mathrm{N}^{\circ}$ <br> OF <br> PER <br> SON |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 01 |  | +_\|__|_|_|.|_| |  | - |  |  |  | - $\mid$ _ $\mid$ \| $\mid$ |  | I. | 01 |
| 02 |  | \|__|__|_|_|.|_| |  | 1.\|__| |  | \|.|__| |  | 1 |  | I. 1 | 02 |
| 03 |  | 1.1_\| |  | 1.1_\| |  | 1.1_\| |  | 1. |  | 1. | 03 |
| 04 |  | 1.1 |  | 1.1 |  | 1.1 |  | 1.1 |  | I. 1 | 04 |
| 05 |  | 1.1 |  | I. 1 |  | 1.1 |  | 1.1 |  | I. 1 | 05 |
| 06 |  | 1.1 |  | 1.1 |  | 1.1 |  | 1.1 |  | 1.1 | 06 |
| 07 |  | I. 1 |  | I. 1 |  | 1.1 |  | 1.1 |  | 1.1 | 07 |
| 08 |  | \|_|__|_|_|.|_| |  | \|_I__|__| $\mid$ __\|__| |  |  |  | 1.1 |  | 1.1 | 08 |
| 09 |  | \|_|__|_|_| 1.1 |  | 1.1 |  | 1.\| 1 |  | 1.1 |  | 1.1 | 09 |
| 10 |  | 1.1 |  | I. 1 |  | 1.1 |  | 1.1 |  | 1.1 | 10 |
| 11 |  |  |  | \|_|_ | _ | $\mid$ \| | _ | _ $\mid$ |  | \|_|__|_I_|.|_|__| |  | I__\|_l.| |  | \|__ I__|.| $\mid$ | 11 |
| 12 |  | 1.1-1 |  | 1.1 |  | 1.1-1 |  | 1.1 |  | 1 | 12 |

Part I - Citizenship Income $\quad$ Evaluation of the Impact of the Bolsa Família Program - Phase 1
Evaluation of the Impact of the Bolsa Família Program
SECTION 06 - INCOME (except income from work or government benefits) RECEIVED IN REFERENCE MONTH
PART A - For all residents in the household 10 years old or over

Evaluation of the Impact of the Bolsa Família Program
SECTION 07 - INDIVIDUAL SPENDING OF EACH RESIDENT MEMBER OF THE HOUSEHOLD
PART A - Spending on public and private transport and communications of each resident member of the household
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION:

| No <br> OF <br> PER <br> SON | 2 <br> DID HE/SHE SPEND MONEY ON PUBLIC TRANSPORT DURING THE LAST 7 DAYS (not counting school transport or expenses with journeys)? <br> [Local bus, inter-municipal bus, coach, van, kombi, shared taxi, metro, train, boat, ferry] <br> 1 Yes and knows how much <br> 2 Yes but doesn't know how much - Go to 4 3 Yes but didn't say how much - Go to 4 <br> 4 No - Go to 4 | 3 <br> HOW MUCH DID HE/SHE SPEND ON PUBLIC TRANSPORT IN THE LAST 7 DAYS? | 4 <br> DID HE/SHE SPEND MONEY ON PRIVATE TRANSPORT DURING THE LAST 30 DAYS (not counting school transport or expenses with journeys)? <br> [Fuel, maintenance , parking fees, etc. for car, motorbike, bicycle, cart or boat] <br> 1 Yes and knows how much 2 Yes but doesn't know how much - Go to 6 <br> 3 Yes but didn't say how much - Go to 6 <br> 4 No - Go to 6 | 5 <br> HOW MUCH DID HE/SHE SPEND ON FUEL, MAINTENANCE , PARKING FEES, ETC. FOR CAR, MOTORBIKE, OR PRIVATE BOAT DURING THE LAST 30 DAYS? | 6 <br> DID HE/SHE SPEND MONEY ON COMMUNICATIONS DURING THE LAST 30 DAYS (post-paid mobile phone, card for pre-paid mobile phone, cards for public telephones, postage)? <br> 1 Yes and knows how much <br> 2 Yes but doesn't know how much - <br> Close off this part <br> 3 Yes but didn't say how much - <br> Close off this part <br> 4 No - Close off this part | 7 <br> HOW MUCH DID HE/SHE SPEND ON COMMUNICATIONS DURING THE LAST 30 DAYS? | $\mathrm{N}^{\circ}$ OF PER SON |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 01 |  | \|_||_|| _|.| |||_| |  | -\||_|||_|.| - ||_| |  | -1I_\|I | 01 |
| 02 |  | -\||||-||-||-| |  | \||-|||-|.|||-| |  |  | 02 |
| 03 |  | \|-||1||1.|||-| |  | \|1-1|_1.1|| |  | \|1-11-1.| | 03 |
| 04 |  |  |  | -\||-||-|.||||-| |  | -1\|-11.|l | 04 |
| 05 |  |  |  |  |  |  | 05 |
| 06 |  |  |  | -\||-||-|.| - ||-| |  | \|| - || - 1.1.-||-| | 06 |
| 07 |  | \||1| $11.1\|1\|$ |  | \|||-||-|.|||-| |  | II 11.1 .1 | 07 |
| 08 |  | II |  | -1\|-1| $1.1 .1 \mid$ |  | \||-11-1.| | 08 |
| 09 |  | L_\||_||_|.| -|| |  | -\||-||-|.| - ||-| |  | -\||_||-|.|-|||-| | 09 |
| 10 |  | \| $11.1\|1 .\| \|$ |  | \|||1.||1| |  | \| -1|-||1.||-1| | 10 |
| 11 |  | -\||_||-|.|.|||-| |  | -\||-||-|.||-||| |  | \| -1||| || || | 11 |
| 12 |  | L_\||_||_|.| || - | |  | -\||-||-|.|-|||-| |  | \||_||_|.|_||-| | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 07 - INDIVIDUAL SPENDING OF EACH RESIDENT MEMBER OF THE HOUSEHOLD
PART B - Spending on meals away from home and other expenses incurred by each resident member of the household in the last 7 days

| $\mathrm{N}^{\circ}$ <br> OF <br> PER <br> SON | 1 DID HE/SHE CONSUME FOOD OR BEVERAGES (not counting alcoholic beverages) OUTSIDE THE HOME IN THE LAST 7 DAYS? <br> MEALS (lunch or dinner) IN RESTAURANTS, BARS AND SNACK BARS ( not counting alcoholic beverages), SODAS, JUICES, SQUASHES, WATER, FRUIT CRUSHES, (separately from meals); SNACKS, BLACK COFFEE, COFFEE WITH MILK, MILK, SANDWICHES, BREAD, BREAD AND BUTTER, APPETIZERS, SAVORIES, ETC; SWEET OR SALTY BISCUITS; SWEETS, CHEWING GUMS, SWEETMEATS, CHOCOLATES, POPCORN, ETC. <br> 1 Yes and he/she knows how much spent 2 Yes but he/she doesn't know how much spent - Go to 3 <br> 3 Yes but he/she didn't say how much spent Go to 3 <br> 4 Yes but he/she didn't spend - Go to 3 <br> 5 No - Go to 3 | 2 <br> HOW MUCH DID HE/SHE SPEND ON FOOD (not counting alcoholic beverages) DURING THE LAST 7 DAYS? | 3 <br> DID HE/SHE SPEND MONEY ON ALCOHOLIC BEVERAGES OUTSIDE THE HOME OR ON CIGARETTES OR TOBACCO, OR BETTING OR GAMES OF CHANCE OR SPEND ON ANYTHING ELSE OUTSIDE THE HOME DURING THE LAST 7 DAYS? <br> 1 Yes and knows how much <br> 2 Yes but doesn't know how much - Close off this part <br> 3 Yes but didn't say how much - Close off this part <br> 4 No - Close off this part | 4 HOW MUCH DID HE/SHE SPEND DURING THE LAST 7 DAYS? | $\mathrm{N}^{\circ}$ <br> OF PER SON |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 01 |  | _\||_||_||.|_||__| |  | \||_1|_1.|_|| | 01 |
| 02 |  | \|| _||_|||_|| |  | \||_||__|||_|| | 02 |
| 03 |  | II_\|I_|.|_|| |  | \||_||I_|.|_||| | 03 |
| 04 |  | \|| _||_1.| $1 \mid$ |  | \||_||_1.| _|| | 04 |
| 05 |  | \|| $1 . \mid$ \|_|| |  | 1.\|_-1| | 05 |
| 06 |  | II_\||_|.|_|| |  | IL_II_\|.| _ || | 06 |
| 07 |  | _\||_||_||.|_||_| |  | \||_||_1.|_||| | 07 |
| 08 |  | \||_||_|||_|| $\mid$ |  | \||_1|_1.|_|| | 08 |
| 09 |  | \||_1|_1.|_|| |  | \||_||_1.|_||I | 09 |
| 10 |  | \||_||_||.|_||_| |  | \||_||I_|.|_|| | 10 |
| 11 |  | \||_1|_|||_|| |  | \||__||_|||I_|| | 11 |
| 12 |  | \||_||_|.|_|| |  | \||_||_1.|_|| | 12 |

Evaluation of the Impact of the Bolsa Família Program
SECTION 08 - COLLECTIVE SPENDING OF THE HOUSEHOLD
PART A - Spending done by the household residents as a whole
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION: |___|_|

| 2 ITEM CODE | $3$ <br> ITEM OF EXPENDITURE | 4 REFERENCE PERIOD | 5 <br> DID HE/SHE SPEND ON (ITEM) IN THE CORRESPONDING REFERENCE PERIOD? $\begin{aligned} & 1 \mathrm{Yes} \\ & 2 \mathrm{No} \end{aligned}$ | 6 <br> HOW MUCH DID HE/SHE SPEND IN THE REFERENCE PERIOD? (MONETARY SPENDING) | 7 <br> APPROXIMATE VALUE OF PRODUCTS AND SERVICES OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind etc.) (Non monetary expenses) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 0 SPENDING ON HABITATION |  |  |  |  |  |
| 001 | PROPERTY RENTAL | LAST MONTHLY |  | \| _||__||_||__|.|_|| | USE QU. 6 FIELD |
| 002 | PROPERTY MORTGAGE PAYMENT | LAST MONTHLY |  | \||_1|__||_||||| | \||__||_|| |
| 003 | ESTIMATED RENTAL OF DWELLING OF RESIDENCE | 30 DAYS |  | USE QU. 7 FIELD | \|I__|I_II__|.|_|| |
| 004 | TAX ON PROPERTIES | 12 MONTHS |  |  | \||__||_||__|.|_|| |
| 005 | ELECTRICITY | 90 DAYS |  | \| _||_||_||_|||||| | \||__||_||_1.|_|| |
| 006 | WATER AND SEWAGE | 90 DAYS |  | \||_||__||_|||_|| | \||__||_||_|||_|| |
| 007 | FIXED RESIDENTIAL TELEPHONE | 90 DAYS |  | \|_I|__||_||_|||_|| | \|_II_I|_||_1.|_|| |
| 008 | COOKING GAS CYLINDER | 90 DAYS |  | \|_||__||_||_|||_|| | \||__||_||_|||_|| |
| 009 | KEROSENE OR CHARCOAL | 90 DAYS |  | \||__||_||__|.|_|| | \||__||_||_|||_|| |
| 010 | CONDOMINIUM FEES | LAST MONTHLY |  | II__II_II__\| 1 _ II |  |
| 011 | INTERNET PROVIDER, SUBSCRIBER TV AND OTHER HOUSEHOLD EXPENSES | 90 DAYS |  | \|_||__||_||_||l_|| | \|_||__||_||_||I_||_| |
| 1 SPENDING ON BUILDING AND REPAIRS TO THE DWELLING |  |  |  |  |  |
| 101 | BUILDING MATERIAL | 90 DAYS |  | \| _I|__||_||_||.|_|| | -\||_||_-||_|.| - || |
| 102 | LABOR (PAINTER. BRICKLAYER, CARPENTER, CABINET MAKER, ELECTRICIAN ETC.) | 90 DAYS |  |  |  |
| 2 SPENDING ON FURNITURE |  |  |  |  |  |
| 201 | PURCHASE OF FURNITURE FOR CHILDREN | 12 MONTHS |  | \||_1|__||_|||_|| | \||_1|_||I_|.|_|| |
| 202 | PURCHASE OF FURNITURE IN GENERAL | 12 MONTHS |  | \||_I|__||_|||_|| | \||_1|_I|_1.|_|| |
| 203 | CHILDREN'S HAMMOCKS (UP TO 14 YEARS OLD) | 90 DAYS |  |  | II__\|I_II__| 1 I_I| |
| 204 | ADULTS HAMMOCKS | 12 MONTHS |  | _II_II_II_I.\|_ || | \|| _||_-||_|||_||_| |
| 205 | FURNITURE REPAIRS/MAINTENANCE | 12 MONTHS |  |  |  |

Evaluation of the Impact of the Bolsa Família Program
SECTION 08 - COLLECTIVE SPENDING OF THE HOUSEHOLD
PART A - Spending done by the household residents as a whole

| $\begin{aligned} & 2 \\ & \text { ITEM } \\ & \text { CODE } \end{aligned}$ | $\stackrel{3}{\stackrel{3}{\text { ITEM OF EXPENDITURE }}}$ | 4 REFERENCE PERIOD | 5 DID HE/SHE SPEND ON (ITEM) IN THE CORRESPONDING REFERENCE PERIOD? $\begin{aligned} & 1 \mathrm{Yes} \\ & 2 \mathrm{No} \end{aligned}$ | 6 <br> HOW MUCH DID HE/SHE SPEND INTHE REFERENCE PERIOD? (MONETARY SPENDING) | 7 <br> APPROXIMATE VALUE OF PRODUCTS AND SERVICES OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind etc.) (Non monetary expenses) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 3 SPENDING ON UTENSILS, AND DOMESTIC, BATHROOM, KITCHEN AND PANTRY APPLIANCES |  |  |  |  |  |
| 301 | PURCHASE OF INDIVIDUAL UTENSILS, AND DOMESTIC, KITCHEN, BATHROOM AND PANTRY APPLIANCES | 90 DAYS |  |  |  |
| 302 | REPAIRS AND MAINTENANCE OF UTENSILS, AND DOMESTIC, KITCHEN, BATHROOM AND PANTRY APPLIANCES | 90 DAYS |  |  | \|_||_-|I_||_||I_||_| |
| 4 SPENDING ON HOUSEHOLD ARTICLES AND FABRICS |  |  |  |  |  |
| 401 | PURCHASE/REPAIR OF CURTAINS | 90 DAYS |  | - | \|_ل| |
| 402 | PURCHASE /REPAIR OF PILLOWS | 90 DAYS |  |  |  |
| 403 | PURCHASE /REPAIR OF FABRICS, BEDSHEETS, TABLECLOTHS, TOWELS ETC. | 90 DAYS |  |  | L_\|L_|L_||_l||_|| - | |
| 5 SPENDING ON CLOTHING |  |  |  |  |  |
| 501 | PURCHASE OF MENS CLOTHING | 90 DAYS |  |  | \|_|||||||||_|.|||| |
| 502 | PURCHASE OF WOMENS CLOTHING | 90 DAYS |  | \||-1|_||-1.| - || | \||1|||-_||-1.|_|| |
| 503 | PURCHASE OF CHILDRENS CLOTHING (UP TO 14 YEARS OLD) | 90 DAYS |  | -_\||_||-||-|.|-||| |  |
| 504 | REPAIRS TO ADULTS CLOTHING | 90 DAYS |  |  |  |
| 505 | REPAIRS TO CHILDRENS CLOTHING (UP TO 14 YEARS OLD) | 90 DAYS |  |  |  |
| 506 | MENS FOOTWEAR | 90 DAYS |  |  | _\||_|||||-|.||||| |
| 507 | WOMENS FOOTEAR | 90 DAYS |  |  |  |
| 508 | CHILDRENS FOOTWEAR (UP TO 14 YEARS OLD) | 90 DAYS |  | \|| - || - || $1.1\|1\|$ | -1\| ||-||-|.|||-| |
| 509 | REPAIRS TO ADULTS FOOTWEAR | 90 DAYS |  |  |  |
| 510 | REPAIRS TO CHILDRENS FOOTWEAR (UP TO 14 YEARS OLD) | 90 DAYS |  | \||_||_||-|.|_|| | -\||_-||-_||-1.|_|| |
| 511 | ACCESSORIES FOR ADULTS | 90 DAYS |  | \||-1|_|||1.||| |  |
| 512 | ACCESORIES FOR CHILDREN (UP TO 14 YEARS OLD) | 90 DAYS |  | \||_|||||__||||| |  |
| 513 | OTHER ARTICLES OF CLOTHING | 90 DAYS |  | \||_||_-||_|.|_|| - | | \||_|.|_|| |

Evaluation of the Impact of the Bolsa Família Program
SECTION 08 - COLLECTIVE SPENDING OF THE HOUSEHOLD

| $\begin{aligned} & 2 \\ & \text { ITEM } \\ & \text { CODE } \end{aligned}$ | $\stackrel{3}{\stackrel{3}{3}}$ | 4 <br> REFERENCE PERIOD | 5 <br> DID HE/SHE SPEND ON (ITEM) IN THE CORRESPONDING REFERENCE PERIOD? <br> 1 Yes <br> 2 No | 6 <br> HOW MUCH DID HE/SHE SPEND INTHE REFERENCE PERIOD? (MONETARY SPENDING) | 7 <br> APPROXIMATE VALUE OF PRODUCTS AND SERVICES OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind etc.) (Non monetary expenses) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 6 SPENDING ON DOMESTIC SERVICES |  |  |  |  |  |
| 601 | DOMESTIC SERVICES | LAST MONTHLY |  | \| |||_||_||_|.|_||_| | \|_||_||_||_|.| || _ | |
| 7 SPENDING ON CULTURE AND RECREATION |  |  |  |  |  |
| 701 | BOOKS (NOT SCHOOL BOOKS), MAGAZINES, NEWSPAPERS, ALBUMS, PAPER | 30 DAYS |  |  |  |
| 702 | CINEMA, THEATER | 30 DAYS |  |  |  |
| 703 | PURCHASE OR RENTAL OF DVD/VIDEO.CD. | 30 DAYS |  | -\||-||-||-|.|-||-| |  |
| 704 | FILM, PHOTOGRAPHS, PRINTING AND COPYING | 30 DAYS |  | -\||-||-||-|.|-||-| |  |
| 705 | DANCE HALLS, NIGHT CLUBS, DANCES | 30 DAYS |  |  |  |
| 706 | OTHERS | 30 DAYS |  |  |  |
| 8 SPENDING ON PERSONAL HYGIENE PRODUCTS, ANDHOUSEHOLD CLEANSING PRODUCTS |  |  |  |  |  |
| 801 | DISPOSABLE NAPPIES | 7 DAYS |  |  | \| _|| $1 \mid$ \|_||_|.| - || |
| 802 | PERSONAL HYGIENE PRODUCTS | 7 DAYS |  |  |  |
| 803 | HOUSEHOLD CLEANSING PRODUCTS | 7 DAYS |  |  |  |
| 9 MISCELLANEOUS EXPENSES |  |  |  |  |  |
| 901 | MISCELLANEOUS EXPENSE: NOTARY, LAWYER,,CLERK, ESTATE AGENT | 90 DAYS |  |  |  |
| 902 | LABOR CONTRIBUTIONS | 90 DAYS |  | \|_||_|||_||-|.|-||| |  |
| 903 | CEREMONIES AND CELEBRATIONS | 90 DAYS |  | \|_|| -||_||_|.|_|| | \|_||-_||_||_|.| -|| |
| 904 | TAXES (EXCEPT ON THE BUILDING) | 12 MONTHS |  | -\||_||_||-|.||_||-| |  |
| 905 | PENSIONS, ALLOWANCES, MONEY SENT TO OTHER HOUSEHOLDS AND DONATIONS | 30 DAYS |  |  |  |
| 906 | PURCHASE, TREATMENT AND FEEDING OF DOMESTIC ANIMALS | 30 DAYS |  | -1\|_||_||_1.| ||_| | L_\||_||_|||1.|||| |
| 907 | EXPENSES WITH OTHER HOUSEHOLDS | 30 DAYS |  |  |  |

Part I - Citizenship Income $\quad$ Evaluation of the Impact of the Bolsa Família Program - Phase 1
Evaluation of the Impact of the Bolsa Família Program
SECTION 09 -FOOD AND ALCOHOLIC AND NON ALCOHOLIC BEVERAGES FOR HOUSEHOLD CONSUMPTION
PART A - Spending done by the household residents as a whole
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION:

| 2 <br> PRODUCT CODE: (the code for the OTHER products will be determined after data collection has been completed) | $\stackrel{3}{\text { PRODUCT: }}$ | $\begin{gathered} 4 \\ \text { PERIOD OF } \\ \text { REFERENCE } \end{gathered}$ | 5 <br> DID HE/SHE BUY, OBTAIN OR PRODUCE THIS PRODUCT DURING THE REFERENCE PERIOD? 1 Yes 2 No - Go to the following item | 6 <br> HOW MUCH DID HE/SHE BUY, OBTAIN OR PRODUCE FOR HOUSEHOLD CONSUMPTION? | 7 <br> MEASUREMENT UNITS: <br> 1 Kg <br> 2 Gram <br> 3 Liter <br> 4 Milliliter <br> 5 Item (unit) | 8 <br> AMOUNT SPENT ON PURCHASED PRODUCTS: (MONETARY SPENDING) | 9 APPROXIMATE VALUE OF PRODUCTS OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind, etc.): <br> (NON MONETARY EXPENDITURE) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 GRAINS, CEREALS, MEALS/FLOURS, LEGUMES AND OLEAGENOUS PRODUCTS |  |  |  |  |  |  |  |
| 001 | RICE | 30 days |  | \|_||_||_||-|.|_||_| |  |  |  |
| 002 | BEANS | 30 days |  |  |  |  |  |
| 003 | MAIZE - GRAIN AND ON THE COB | 30 days |  |  |  | L_\||_-||_l|I_|I_| | L_\||_||_-|.|_||_| |
| 004 | CASSAVA (MANIOC) MEAL | 30 days |  |  |  |  | \|_||_-||_||I_||_| |
| 005 | MACARONI | 30 days |  | \| - ||-||_||-|.|-||-| |  |  | \|_||_1|_1.|_||_1 |
| 006 | MAIZE MEAL | 30 days |  | \|_||-_||_|||-|.||| |  | \|_||_|||_|.|_|| |  |
| 0) I_IL_\| | OTHER 1: | 30 days |  | \|_||-_||-|||||||| |  |  | -\||1-||-1.|-||| |
| 01_II_-1 | OTHER 2: | 30 days |  | \|_||_||_|||-|.||| |  | L_\|I_||_-|.|_|I_| |  |
| 01__\|| | OTHER 3: | 30 days |  | \|-||||||||-||-||-| |  | \|_||-_||-|.|-_|| | \|_||-_||-|.|-||| |
| 0) _-_\|| | OTHER 4: | 30 days |  |  |  |  |  |
| 01 | OTHER 5: | 30 days |  | \|_||_||I||-|.|-||-| |  | L_\|I_||_-|.|_||_| |  |
| 01__\|| | OTHER 6: | 30 days |  | \|_||_|||||-|.|_||-| |  | \|_||_|||_|.| -||_| | \|_||_||_|.| ||||| |

Evaluation of the Impact of the Bolsa Família Program
SECTION 09 - FOOD AND ALCOHOLIC AND NON ALCOHOLIC BEVERAGES FOR HOUSEHOLD CONSUMPTION PART A - Spending done by the household residents as a whole

| 2 <br> PRODUCT CODE: (the code for the OTHER products will be determined after data collection has been completed) | $\stackrel{3}{\text { PRODUCT: }}$ | $\stackrel{4}{\text { PERIOD OF }}$ REFERENCE | 5 <br> DID HE/SHE BUY, OBTAIN OR PRODUCE THIS PRODUCT DURING THE REFERENCE PERIOD? 1 Yes 2 No - Go to the following item | 6 <br> HOW MUCH DID HE/SHE BUY, OBTAIN OR PRODUCE FOR HOUSEHOLD CONSUMPTION? | 7 <br> MEASUREMENT UNITS: <br> 1 Kg <br> 2 Gram <br> 3 Liter <br> 4 Milliliter <br> 5 Item (unit) | 8 <br> AMOUNT SPENT ON PURCHASED PRODUCTS: (MONETARY SPENDING) | 9 <br> APPROXIMATE VALUE OF PRODUCTS OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind, etc.): (NON MONETARY EXPENDITURE) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 Vegetables, greens and tubers |  |  |  |  |  |  |  |
| 101 | TOMATO | 7 days |  |  |  |  | \|_||_1|_|.| | ||_| |
| 102 | ONION | 7 days |  | _\||_||_||-|.|_|| |  | - | \|||-||-|.| - ||-| |
| 103 | LETTUCE | 7 days |  | -\|1| $11.1 \mid$ |  | \||-1|_1.| - ||-| | -\||-||-|.|-||-| |
| 104 | PUMPKIN | 7 days |  | \||1||1.1| |  | II | \||-|||1-1|-1 |
| 105 | PARSLY, SPRING ONION, FRESH CORIANDER | 7 days |  |  |  | - | \|. 1 - || - | |
| 106 | SWEET PEPPER | 7 days |  |  |  | -\||-_||-|.||-| |  |
| 107 | CHAYOTE | 7 days |  |  |  | \||-11.1.-1| | \||1||1.||1-1| |
| 108 | POTATO | 7 days |  | \|| - || - || - 1.||| |  | \||-11.1.-|| | \||-|| $1.1 \mid$ |
| 109 | CARROT | 7 days |  |  |  |  | \||-|||-|.|_||-| |
| 110 | SWEET CASSAVA | 7 days |  |  |  |  | -\||-||-|.|-||-| |
| 1\| || | OTHER 1: | 7 days |  | \||-1|-1| $1.1 \mid$ |  | - 1 - | \||-||-1.||-| |
| 1 - | OTHER 2: | 7 days |  |  |  |  | -\||-||-|.|-||-| |
| 1\| _ || | OTHER 3: | 7 days |  | \|_|||||-|||_||I|| |  | -\||_||I.| - ||-| |  |
| 1 \|| | OTHER 4: | 7 days |  | \||1| - || $1.1 \mid$ |  | \||-1| $1.1 \mid$ | \||||1.||-|| |
| 1\| _|| | OTHER 5: | 7 days |  | \|_||_|||||_1.|_|| |  | -1.1.-1. | \|_||_-||_-| | - ||_| |
| 1\|__|| | OTHER 6: | 7 days |  | \|_-||_||_||||.|_|| |  | \|_||-||_||||||| | \|_||_-||_|.|_||_| |

Part I-Citizenship Income Evaluation of the Impact of the Bolsa Família Program - Phase 1
Evaluation of the Impact of the Bolsa Família Program
SECTION 09 - FOOD AND ALCOHOLIC AND NON ALCOHOLIC BEVERAGES FOR HOUSEHOLD CONSUMPTION
PART A - Spending done by the household residents as a whole

| 2 <br> PRODUCT CODE: (the code for the OTHER products will be determined after data collection has been completed) | $\stackrel{3}{\text { PRODUCT: }}$ | $\stackrel{4}{\text { PERID OF }}$ REFERENCE | 5 <br> DID HE/SHE BUY, OBTAIN OR PRODUCE THIS PRODUCT DURING <br> THE REFERENCE PERIOD? 1 Yes 2 No - Go to the following item | 6 <br> HOW MUCH DID HE/SHE BUY, OBTAIN OR PRODUCE FOR HOUSEHOLD CONSUMPTION? | 7 <br> MEASUREMENT <br> UNITS: <br> 1 Kg <br> 2 Gram <br> 3 Liter <br> 4 Milliliter <br> 5 Item (unit) | 8 AMOUNT SPENT ON PURCHASED PRODUCTS: (MONETARY SPENDING) | 9 <br> APPROXIMATE VALUE OF PRODUCTS OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind, etc.): <br> (NON MONETARY EXPENDITURE) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 FRESH FRUITS |  |  |  |  |  |  |  |
| 201 | BANANA | 7 days |  |  |  |  | L_\||_||_||I_|| - | |
| 202 | ORANGE | 7 days |  | \||I_||_-1| 1 | 1 | |  |  |  |
| 203 | WATERMELON | 7 days |  | L\|I_||-_||-|1.| |  | -\||-||-|.||||-| |  |
| 204 | PAWPAW | 7 days |  | -11-1\|-11-1.1.| |  | -11-1\|-1.1-||-| |  |
| 205 | MANGO | 7 days |  | -\|1-1|-1|-1.|-| |  |  |  |
| 206 | TANGERINE | 7 days |  | -\|I_||-1|_1.| |  | _l\|_-1|_1.|_|| |  |
| 207 | GRAPES | 7 days |  | -_\||_||-_||-|.| |  |  | \|_||_||_|.|_|| |
| 208 | PINEAPPLE | 7 days |  |  |  |  | -1\| - -1| 1.1 |
| 209 | APPLE | 7 days |  |  |  | -1\| -1| $1.1 .1 \mid$ | _l\| _|I_ 1.1 |
| $2 \mid$ | OTHER 2: | 7 days |  |  |  |  | \|_||_||_|.|_|| |
| 2 \| | OTHER 3: | 7 days |  |  |  | \|-1|-_|| $1 . \mid$ \|||-_| | I-1\|-1| 1.1 |
| 2 I | OTHER 4: | 7 days |  |  |  | -1\|_|| - |.| - || | -\||_|||-1.|||| |
| $2 \mid$ | OTHER 5: | 7 days |  | _II_II_II_I.I_\| |  | \|_-||_||_|||-_|| |  |
| $2 \mid$ \||| | OTHER 6: | 7 days |  |  |  |  |  |

Evaluation of the Impact of the Bolsa Família Program
SECTION 09 - FOOD AND ALCOHOLIC AND NON ALCOHOLIC BEVERAGES FOR HOUSEHOLD CONSUMPTION

| 2 <br> PRODUCT <br> CODE: <br> (the code for the <br> OTHER <br> products will <br> be determined <br> after data <br> collection has <br> been <br> completed) | $\stackrel{3}{\text { PRODUCT: }}$ | $\begin{gathered} 4 \\ \text { PERIOD OF } \\ \text { REFERENCE } \end{gathered}$ | 5 <br> DID HE/SHE BUY, <br> OBTAIN OR PRODUCE <br> THIS PRODUCT <br> DURING THE <br> REFERENCE PERIOD? <br> 1 Yes <br> 2 No - Go to the <br> following item | 6 <br> HOW MUCH DID HE/SHE BUY, OBTAIN OR PRODUCE FOR HOUSEHOLD CONSUMPTION? | 7 <br> MEASUREMENT <br> UNITS: <br> 1 Kg <br> 2 Gram <br> 3 Liter <br> 4 Milliliter <br> 5 Item (unit) | 8 <br> AMOUNT SPENT ON PURCHASED PRODUCTS: (MONETARY SPENDING) | 9 <br> APPROXIMATE VALUE OF PRODUCTS OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind, etc.): (NON MONETARY EXPENDITURE) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3 MILK PRODUCTS AND BAKERY PRODUCTS |  |  |  |  |  |  |  |
| 301 | COWS MILK (FRESH AND PASTEURIZED) | 7 days |  | \|_-||_||_||_|.|_|| |  |  |  |
| 302 | POWDERED MILK | 30 days |  | \|| |  |  |  |
| 303 | BUTTER | 30 days |  | -1\|_|I_||-1.|_|| |  | L_II_\|I_|.|_||I |  |
| 304 | MARGARINE | 30 days |  | \|_||_||||||-|.||| |  |  | \|_||_||_|||_|| $\mid$ |
| 305 | CHEESE | 7 days |  |  |  |  |  |
| 306 | YOGHURT | 7 days |  |  |  | \|_|||||-|||-||- | \|||-||-|.|-||| |
| 307 | FRENCH ROLL | 7 days |  | \|_||_||_|||.|.||| |  | \| -| - || - | | - || - | | L_\||_||_||I_|| |
| 308 | BISCUITS | 7 days |  |  |  |  |  |
| 3\|_I|_1 | OTHER 1: | 7 days |  | \||_-||_|||_|.||| |  |  | -\||_||-|.|I_||l| |
| 31_\|| | OTHER 2: | 7 days |  | -\||-||-||-|.|-|| |  |  | \|_||-_||-|.|-||-| |
| 31.11 | OTHER 3: | 7 days |  | \|_||_|||||||||| |  | \|_||_|||l||-_|| |  |
| 31.11 | OTHER 4: | 7 days |  | \|_||_||_||_|.||| |  | \|_||-||_|l|I_|| | -\||_||-|.| ||l| |
| 31_II_1 | OTHER 5: | 7 days |  | \|_-||_||_||_-|.||| |  |  | L_\||_I|_I.|_||_| |
| 3)_I\| _ | | OTHER 6: | 7 days |  | \|_||_|||||-_|.||| |  | \|_||_|||l||-|||-| | \|_||_||_|||_|| | |

Part I - Citizenship Income $\quad$ Evaluation of the Impact of the Bolsa Família Program - Phase 1
Evaluation of the Impact of the Bolsa Família Program

| 2 <br> PRODUCT CODE: (the code for the OTHER products will be determined after data collection has been completed) | $\stackrel{3}{\text { PRODUCT: }}$ | $\begin{gathered} 4 \\ \text { PERIOD OF } \end{gathered}$ REFERENCE | 5 <br> DID HE/SHE BUY, <br> OBTAIN OR PRODUCE <br> THIS PRODUCT <br> DURING THE <br> REFERENCE PERIOD? <br> 1 Yes <br> 2 No-Go to the following item | 6 <br> HOW MUCH DID HE/SHE BUY, OBTAIN OR PRODUCE FOR HOUSEHOLD CONSUMPTION? | 7 <br> MEASUREMENT <br> UNITS: <br> 1 Kg <br> 2 Gram <br> 3 Liter <br> 4 Milliliter <br> 5 Item (unit) | 8 <br> AMOUNT SPENT ON PURCHASED PRODUCTS: (MONETARY SPENDING) | 9 <br> APPROXIMATE VALÇUE OF PRODUCTS OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind, etc.): <br> (NON MONETARY EXPENDITURE) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 MEAT, FOWLS, FISH AND EGGS |  |  |  |  |  |  |  |
| 401 | PRIME BEEF | 7 days |  | _II_II__\|I_ | $\mid$ \|_II |  | \||__||_1.|_|| | \||_||I_|.|_|| |
| 402 | SECOND CLASS BEEF | 7 days |  | II _III_II_I.\|__|| |  | \||__||_1.|_||| | II__\|I_|l|_I|_ |
| 403 | BOVINE TRIPE AND VISCERA | 7 days |  | \|_II _II__||_|||_|| |  | \||-_||_1.|_|| | \|I__|I__|.|_|| |
| 404 | CHICKEN BREAST (FRESH OR FROZEN) | 7 days |  |  |  | \|_||__||__| | | || | L_\||__||_|||_||_ |
| 405 | OTHER CHICKEN CUTS | 7 days |  | I_II_\||I_||_|.|_|| |  | \|| _|| _||.|_|| | \||__||__|.|_||_ |
| 406 | LIVE CHICKEN OR WHOLE CHICKEN | 7 days |  |  |  | \||__||_1.|_||| | \|I_II__|.|_||_ |
| 407 | PORK | 7 days |  | \||__||_||_|||]_|| |  | \||__||_1.|_|| | \||__||_|||I_|| |
| 408 | PORK TRIPE OR VISCERA | 7 days |  | \|| _||__||_|||__|| |  | \||__||__|.|_|| | \||__||_1.|_||_ |
| 409 | SAUSAGES, HAMS, SPAM | 7 days |  | II _III_II_I.\|__|| |  | \||__||_1.|_|| | \|I__||_1.|__|| |
| 410 | FISH | 7 days |  | \|_I| _|| _||_|||_|| |  | \|||_||_1.| |||| | \||__||_1.| |_||_| |
| 411 | MEAT OF OTHER ANIMALS | 7 days |  |  |  | -\||_-_||-|.| || | \||-_||_||| |
| 412 | VISCERA OF OTHER ANIMALS | 7 days |  |  |  | I\|__||_l.|_|| | \||__||_1.| $\|\mid$ |
| 413 | READY TO EAT CANNED FOOD | 7 days |  | \|__||_||_-||_||I_|| |  | \||__||_1.|_|| | \|1_||-_||I_|| $\mid$ |
| 414 | EGGS | 7 days |  | \||__||__||_|||_|| |  | \||__||_1.|_|| | \|| _|| $\mid$ _\| | I_|| |
| $31.1 \mid$ | OTHER 1: | 7 days |  | _\||_||__||_1.|_|| |  | \||I_||_1.|_|| | \||__||__|.|_|| |
| 31.11 | OTHER 2: | 7 days |  | I_II_I\|I_||_|||_|| |  | _\||__||_|.|I_|| | \||I_||_1.|_||| |
| 31.11 | OTHER 3: | 7 days |  | I_II_\||_-||_|||_|| |  | \|_-||_|||_|.|_|| | \|_||_||_|.| ||I_| |
| 31.11 | OTHER 4: | 7 days |  | I_I\|__||_||_|||_|| |  | \|__||_||__|.|_|| | L_\||_||I_|.| _||_| |
| $31.1 \mid$ | OTHER 5: | 7 days |  | \|_II_II__||_||I_||_| |  | \|__||_||__|.|_|| | \|_||_-||__|.|_||__| |

Evaluation of the Impact of the Bolsa Família Program
SECTION 09 - FOOD AND ALCOHOLIC AND NON ALCOHOLIC BEVERAGES FOR HOUSEHOLD CONSUMPTION

| 2 <br> PRODUCT CODE: (the code for the OTHER products will be determined after data collection has been completed) | $\stackrel{3}{3} \text { PRODUCT: }$ | 4 PERIOD OF REFERENCE | 5 <br> DID HE/SHE BUY, OBTAIN OR PRODUCE THIS PRODUCT DURING THE REFERENCE PERIOD? <br> 1 Yes 2 No - Go to the following item | 6 <br> HOW MUCH DID HE/SHE BUY, OBTAIN OR PRODUCE FOR HOUSEHOLD CONSUMPTION? | 7 <br> MEASUREMENT <br> UNITS: <br> 1 Kg <br> 2 Gram <br> 3 Liter <br> 4 Milliliter <br> 5 Item (unit) | 8 <br> AMOUNT SPENT ON PURCHASED PRODUCTS: (MONETARY SPENDING) | 9 <br> APPROXIMATE VALUE OF PRODUCTS OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind, etc.): <br> (NON MONETARY EXPENDITURE) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5 FATS AND OILS |  |  |  |  |  |  |  |
| 501 | SOYBEAN OIL | 30 days |  | \| _ I| _l|I_|I_I.| |  | __\||_1|_1.| _ || | \|||_||_|.| |||| |
| 502 | OTHER VEGETABLE OILS | 30 days |  | L_CII_IL_IL_\|.| |  | \|__|I_II_ $\mid$ \| |  |
| 503 | FAT, LARD | 30 days |  | I__\|I_II_II__|.| |  | \|| _||_1.|_|| | II__\|I__|.|_|| |
| 5 \| | OTHER 1: | 7 days |  | \|__ل||_ل|| |  | IL_U_\|.| |  |
| 5 I__\|| | OTHER 2: | 7 days |  | \|__||_I|__||__|| |  | \||__||_1.| | _\||_||_-|.|_||_| |
| 6 SUGARS, SEASONING AND CONDIMENTS |  |  |  |  |  |  |  |
| 601 | REFINED SUGAR | 30 days |  | \|__|I_I|_II_|.| |_| |  | \|||_||_|||_|| | \|I__||_1.|_||I |
| 602 | CRYSTAL SUGAR | 30 days |  | لl__\| |  |  |  |
| 603 | POWDERED CHOCOLATE | 30 days |  |  |  |  |  |
| 606 | SALT | 30 days |  | I_II__I\|_I|_1.| |  | _\|| _|| _|.| | \||__||_1.|_||| |
| 605 | GARLIC | 30 days |  | \|__||_||_||_|.| |  | \|| __||_|||_|| | \||__||__|.|_|| |
| 606 | TOMATO PASTE | 30 days |  |  |  |  |  |
| 607 | MAYONNAISE | 30 days |  | \| _ I|_I| _ || _| $1 . \mid$ |  | \|| _||__|.|_||| | \||__||__|.|_|| |
| 6 \|_|| | OTHER 1: | 30 days |  | \|__||_I|_||I_|.| |  | \||_1|_1.|_|| | \||__||_1.|_|| |
| $61.1 \mid$ | OTHER 2: | 30 days |  | \|__||_||_||_||| |  | \|| _||_||.|_|| $\mid$ | \||__||_||.|_||_| |
| 6 \|__|| | OTHER 3: | 30 days |  |  |  | _\||_||__|.|_|| | _\||_I|_-|.|_|| |
| 6 \| $\\|$ | OTHER 4: | 30 days |  | \|_I|__||_|| $\|1$. |  | \|| _||_|.|_|| | \||__||_|||_|| |
| 6 \|_II | OTHER 5: | 30 days |  | \|__II_II_II_|.| |  | \||_||__|.|_|| | \||_||.|_||_| |

Part I - Citizenship Income $\quad$ Evaluation of the Impact of the Bolsa Família Program - Phase 1
Evaluation of the Impact of the Bolsa Família Program

| 2 <br> PRODUCT CODE: (the code for the OTHER products will be determined after data collection has been completed) | $\begin{gathered} 3 \\ \text { PRODUCT: } \end{gathered}$ | 4 PERIOD OF REFERENCE | 5 <br> DID HE/SHE BUY, <br> OBTAIN OR PRODUCE <br> THIS PRODUCT <br> DURING THE <br> REFERENCE PERIOD? <br> 1 Yes <br> 2 No-Go to the following item | 6 <br> HOW MUCH DID HE/SHE BUY, OBTAIN OR PRODUCE FOR HOUSEHOLD CONSUMPTION? | 7 <br> MEASUREMENT <br> UNITS: <br> 1 Kg <br> 2 Gram <br> 3 Liter <br> 4 Milliliter <br> 5 Item (unit) | 8 <br> AMOUNT SPENT <br> ON PURCHASED <br> PRODUCTS: <br> (MONETARY <br> SPENDING) | 9 <br> APPROXIMATE VALUE OF PRODUCTS OBTAINED BY OTHER MEANS (own production, exchange, donation, payment in kind, etc.): (NON MONETARY EXPENDITURE) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 7 NON ALCOHOLIC BEVERAGES |  |  |  |  |  |  |  |
| 701 | COFFEE | 30 days |  | \|_I|_||_1|_|.| - || |  | \|_I|__|| _ |.| _|| | \||_1|_1.| - || |
| 702 | SOFT DRINK (SODA) | 7 days |  | I\|__|.|_|| |  | \||__||_1.|_|| | \||__||__|.|_|| |
| 703 | FRUIT JUICE | 7 days |  | II_II__\|I_|.|_|| |  | __\|I__|I_I.|__|I | \||_1|__|.|_|| |
| 7 \|__|| | OTHER 1: | 7 days |  | L_\||_||__||_|.|_|| |  | \|_||__||__|.| _|| | \||_1|_1.| - || |
| 7 \| - || | OTHER 2: | 7 days |  | \|_||_||_||_|||_|| |  |  | \||__||_1.|_|| |
| 7 \| | OTHER 3: | 7 days |  | L_\||_||_-||_|| |  | _\||_||__|.|_|| | \||__||__|.|_|| |
| 8 ALCOHOLIC BEVERAGES |  |  |  |  |  |  |  |
| 801 | BEER | 7 days |  | I_II_II_II_\|.|_|| |  | \|_|| _ || _|.||_|| | \|| _1|__|.|_||| |
| 802 | WINE | 7 days |  | \|_||_||_||_|||_|| |  | \||__||_|||_|| | \|| _||__|.|_|| |
| 801 | CACHAÇA (SUGAR CANE BRANDY) | 7 days |  | I_\||_||_||_|.|_|| |  | \||__||_1.|_|| | \||_1|__| | $1 \mid$ |
| 8\| 11 | Other 1: | 7 days |  | \|| _II_I|_|||_|| |  | \||I_||_1.|_|| | \||__||__|.|_|| |
| 8 \| | Other 2: | 7 days |  | \||_||_|.|_|| |  | \|| _|| $\|1$.$\| \|\|$ | \|| _||__|.|_|| |
| $81.1 \mid$ | Other 3: | 7 days |  | \|| |.| | - || |  | \||_||_-|.|_|| | \||_1|__|.|_|| |
| 9 OTHERS |  |  |  |  |  |  |  |
| 901 | SWEETS, SWEETMEATS, CHEWING GUM ETC. | 7 days |  | I__\|I_||_||_1.|_|| |  | \| _ || _|||_|.| _ || | \||I_||_1.| _|| |
| 902 | CHOCOLATE SWEETS AND BARS | 7 days |  | \|_||_||_||_||| || |  | \||__||__|.|_|| | \|| _||_1.| $1 \mid$ \|| |
| 91 - 11 | Other 1: | 7 days |  | \|_||_||_||_|||_|| |  | L_\||__||_1.| ||| | \||_-||_|||_|| |
| 9 \|__II_1 | Other 2: | 7 days |  | L_I\|_I|__||_1.|_|| |  | \|__||_||__|.|_||_| | _-\||_-||_l||_||| $\mid$ |
| $91 \quad \\| \quad$ - | Other 3: | 7 days |  | \|__||_||_||_||I_|| |  | \|_||_-||_|||_||_| | \||_||_||.|_|| |

Evaluation of the Impact of the Bolsa Família Program
SECTION 10 - INVENTORY OF DURABLE ASSETS
PART A - Items present in the household belonging to the residents or rented by them
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION

| 2 <br> ITEM CODE | $\begin{array}{\|l} 3 \\ \text { ITEM } \end{array}$ | 4 <br> DO YOU OR ANY <br> MEMBER OF <br> THE <br> HOUSEHOLD <br> OWN OR RENT <br> A (item)? <br> 1 Yes <br> 2 No - Close off the line | 5 <br> HOW MANY (Item) ARE THERE THAT YOU OR A MEMBER OF THE HOUSEHOLD OWN OR RENT? | 6 <br> HOW LONG HAS THE (Item) BEEN PURCHASED OR RENTED OR OTHERWISE ACQUIRED <br> 1 Less than one year <br> 2 From 1 to less than 2 years 3 Two years or more - Close off the line | 7 <br> IN WHAT WAY WAS <br> THE [item] <br> ACQUIRED OR <br> OBTAINED? <br> 1 Cash purchase - Go <br> to 11 <br> 2 Credit - Installments <br> 3 Rented - Go to 10 <br> 4 Donated - Close off <br> the line <br> 5 Exchange - Close off the line <br> 6 Payment in kind - <br> Close off the line | 8 <br> HAS ANY <br> PAYMENT BEEN MADE IN THE LAST 30 DAYS (For goods purchased in the preceding 24 month period)? <br> 1 Yes <br> 2 No - Close off the line | 9 <br> HOW MANY INSTALLMENTS ARE THERE STILL TO BE PAID? <br> (If 0 installments close off the line) | 10 <br> WHAT IS THE <br> AMOUNT OF THE <br> NEXT INSTALLMENT <br> OR RENTAL AMOUNT <br> DUE? <br> (Close off the line) | 11 <br> WHAT WAS THE PURCHASE PRICE OF THE GOODS (goods purchased at sight in the preceding 24 month period): |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 01 | GAS OR ELECTRIC STOVE |  | \|_I| |  |  |  | II |  | \||__||__||_|.| |
| 02 | FREEZER |  | II |  |  |  | 11 | \|_||I_||_||_|||_|| | L_I\|_I|_I| | $1 . \mid$ |
| 03 | REFRIGERATOR |  | -II |  |  |  | 11 | \||__||_||_||I_|| | II_II__\|I_|.1 |
| 04 | MICROWAVE OVEN |  | 11 |  |  |  | 11 |  | II__\|I_-|I__| 1 |
| 05 | BEATER |  | 11 |  |  |  | 1 | \||__||_||_||| |_|| | \||__||_||I_|.| |
| 06 | BLENDER |  | 11 |  |  |  | 11 | II__\|I_I|_||I_|| | II_II__\|I_1.| |
| 07 | ELECTRIC TOASTER |  | 11 |  |  |  | 11 |  | \||__||_1|_1.| |
| 08 | WAX POLISHER |  | \||1 |  |  |  | II |  | \||__||_||_1.| |
| 09 | ELECTRIC IRON |  | -II__\| |  |  |  | 11 | II__IL_II_\||I_|| | II__II_II_I.\| |
| 10 | WASHING MACHINE |  | \||I_| |  |  |  | II |  | \||__||_1|_1.| |
| 11 | CLOTHES WASHING SINK |  | 11 |  |  |  | 11 | \||__||_||_||| ||| | \||__||_||I_|.| |
| 12 | COLOR TV SET |  | 11 |  |  |  | 1 | \|I__|I_II_|||_|| | \|| __II_||_1.| |
| 13 | BLACK AND WHITE TV SET |  | 11 |  |  |  | 11 | \||__||_I|_|||_|| | \||I_||_1|_1.| |
| 14 | TAPE RECORDER/PLAYER |  | \|| |  |  |  | 11 | \||_||__||_|||_|| | \||I_||_||_1.| |
| 15 | TABLE RADIO |  | II |  |  |  | II | \|_I|__||_||_|||_|| | \| _||_|| || ||.|.| |
| 16 | PORTABLE RADIO |  | \|_II__| |  |  |  | \|_II__| | \|_I|__||_||I_|.|_||__| | \|__||_||_||__|.|_|| |

Evaluation of the Impact of the Bolsa Família Program
SECTION 10 - INVENTORY OF DURABLE ASSETS
PART A - Items present in the household belonging to the residents or rented by them
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION FOR THIS SECTION

| $\begin{array}{\|l\|} \hline 2 \\ \text { ITEM } \\ \text { CODE } \end{array}$ | $\begin{array}{\|l\|} \hline 3 \\ \text { ITEM } \end{array}$ | 4 <br> DO YOU OR <br> ANY MEMBER <br> OF THE <br> HOUSEHOLD <br> OWN OR <br> RENT A <br> (item)? <br> 1 Yes <br> 2 No - Close <br> off the line | 5 HOW MANY (Item) ARE THERE THAT YOU OR A MEMBER OF THE HOUSEHOLD OWN OR RENT? | 6 <br> HOW LONG HAS THE (Item) BEEN <br> PURCHASED OR RENTED OR OTHERWISE ACQUIRED <br> 1 Less than one year <br> 2 From 1 to less than 2 years 3 Two years or more - Close off the line | 7 <br> IN WHAT WAY WAS THE [item] ACQUIRED OR OBTAINED? <br> 1 Cash purchase Go to 11 2 Credit Installments 3 Rented-Go to 10 <br> 4 Donated - Close off the line 5 Exchange - Close off the line 6 Payment in kind Close off the line | 8 <br> HAS ANY PAYMENT BEEN MADE IN THE LAST 30 DAYS (For goods purchased in the preceding 24 month period)? <br> 1 Yes <br> 2 No - Close off the line | 9 <br> HOW MANY INSTALLMENTS ARE THERE STILL TO BE PAID? <br> (If $\mathbf{0}$ installments close off the line) | 10 WHAT IS THE AMOUNT OF THE NEXT INSTALLMENT OR RENTAL AMOUNT DUE? <br> (Close off the line) | 11 <br> WHAT WAS THE <br> PURCHASE PRICE OF THE GOODS <br> (goods purchased at sight in the preceding 24 month period): |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 17 | VIDEOCASSETTE |  | _II__\| |  |  |  | _II__\| | II_II__\|I_ | $\mid$ \| | II__II_II__\|.|_|| |
| 18 | CD PLAYER |  | 11 |  |  |  | 11 |  |  |
| 19 | DVD PLAYER |  | 11 |  |  |  | -11 | \||__||_I|_|||||| | \||__||_||_|||||| |
| 20 | ELECTRIC FAN |  | \|l_| |  |  |  | 11 | \||__||_||_|||_|| | \||_I|_||__||I_|| |
| 21 | ELECTRIC SEWING MACHINE |  | II__\| |  |  |  | \|__|I__| |  | \| _||__||_||__|.|_||_| |
| 22 | PEDAL SEWING MACHINE |  | 11 |  |  |  | II | II_II__II_\|.|_|| | \||_||I_||_||I_|| |
| 23 | HAIR DRYER |  | 11 |  |  |  | 11 | \||__||_I|_|| | | || | \||__||_||_|||_|| |
| 24 | WATER FILTER (PORCELAIN) |  | II |  |  |  | II | \||__||_||_|| | | || | \|| _||_||__|.|_|| |
| 25 | SHOWER HEATER |  | II |  |  |  | II | \||__||__||_|| | \||__||_||_|.|_|| |
| 26 | COMPUTER (PC) |  | 11 |  |  |  | 11 | \||__||_||_| $1 . \mid$ \|| | \||__||_||__| 1 |_|| |
| 27 | PARABOLIC ANTENNA |  | 11 |  |  |  | II | \|| _||_|.|_|| | \||__||_||_|||] || |
| 28 | AUTOMOBILE |  | -II_\| |  |  |  | \|__|I_| | \|_II__|I_I|_|||_|| | \||_ ||_||_|||_|| |
| 29 | BICYCLE |  | II_- |  |  |  | -II_1 | \|_II_II_II_I.|_|| |  |
| 30 | MOTORCYCLE |  | -\|I_-| |  |  |  | \|_I|__| | \|_||_||_||_|||_|| | \||__||_||__| $\mid$ \|_|| $\mid$ |
| 31 | TRACTOR/TRUCK |  | II_\| |  |  |  | \|_II_| | \||__||_||_|.|_|| |  |

PART B - Animals and agricultural implements
(Animals and agricultural implements belonging to household residents even
If they are not present in the vicinity of the household)

| 1 |
| :--- | :--- | :--- | :--- |
| ITEM |
| CODE |


| 5 HOW MUCH CONFIDENCE DO HAVE IN THE SOCIAL CONTROL COUNCILS/COMMITTEES? $\square$ <br> 1 Total confidence <br> 2 A lot of confidence <br> 3 Neither much nor little <br> 4 Little confidence <br> 5 No confidence <br> 9 Doesn't know what it is |
| :---: |
| 6 HOW MUCH CONFIDENCE DO HAVE IN THE STATE GOVERNMENT? $\qquad$ <br> 1 Total confidence <br> 2 A lot of confidence <br> 3 Neither much nor little <br> 4 Little confidence <br> 5 No confidence |
| 7 HOW MUCH CONFIDENCE DO HAVE IN THE FEDERAL GOVERNMENT? $\qquad$ <br> 1 Total confidence <br> 2 A lot of confidence <br> 3 Neither much nor little <br> 4 Little confidence <br> 5 No confidence |
| 8 IN THE LAST 12 MONTHS HAVE YOU PARTICIPATED IN ANY COMMUNITY ACTIVITY WHERE PEOPLE GOT TOGETHER TO WORK FOR THE BENEFIT OF THE COMMUNITY? $\qquad$ <br> 1 Yes <br> 2 No - Go to question 10 |
| 9 DID YOU PARTICIPATE IN A COMMUNITY ACTIVITY LAST MONTH? $\qquad$ <br> 1 Yes <br> 2 No |
| 10 DID YOU PARTICIPATE IN THE "REFERENDUM ON DISARMAMENT" ON OCTOBER 232005 ? $\begin{aligned} & 1 \text { Yes } \\ & 2 \text { No }\end{aligned}$ |

Evaluation of the Impact of the Bolsa Família Program
SECTION 11 - EVALUATION OF LIVING CONDITIONS
PART A


| 16 HOW MANY DAYS A WEEK DO MEMBERS OF THIS HOUSEHOLD OVER 10 YEARS OLD HAVE |
| :--- | :--- |
| 3 MEALSFOR THE DAY OR MORE? |
| NB: For members of the household up to 10 years old |
| 1 Seven days |
| 2 Five or six days |
| 3 Three or four days |
| 4 Two days or less |
| NB: QUESTIONS 17 AND 18 SHOULD ONLY BE ANSWERED IF THE PERSON |
| INVOLVED IS ILLITERATE AND AGED 15 OR OVER OR IS PARTICIPATING IN |
| A LITERACY TRAINING COURSE FOR YOUTHS AND ADULTS - AJA |
| 17 WHAT IS MORE IMPORTANT TO YOU? |
| 1To be able to read |
| 2 To be able to write |
| 3 To be able to read and write |
| 18 IF YOU WISHED TO LEARN TO READ AND WRITE WHAT DO YOU THINK WOULD BE THE MAIN |
| REASON? (ONLY ONE OPTION TO BE MARKED) |
| I BECAUSE IT IS IMPORTANT FOR... |
| 01 My religion |
| 02 Work |
| 03 Earnings |
| 04 Education |
| 05 Social/personal status |
| 06 Progress in life |
| 07 The family |
| 08 Self- satisfaction |
| 09 Obtaining prestige/respect |
| 10 Autonomy |
| 11 Security |
| 12 Compence |
| BECAUSE |
| 13 It is the duty/obligation of every citizen |
| 14 It is a right |
| 15 It is a pleasure |
| 20 I don't want to undergo literacy training |

Evaluation of the Impact of the Bolsa Família Program
SECTION 11 - EVALUATION OF LIVING CONDITIONS
PART A

| 11 WHAT DO YOU THINK OF THE FINANCIAL SITUATION OF YOUR HOUSEHOLD COMPARED TO 12 MONTHS AGO? $\square$ <br> 1 Much better <br> 2 Better <br> 3 It's the same <br> 4 Worse <br> 5 Much worse |
| :---: |
| 12 IN THIS HOUSEHOLD, DO YOU USE CREDIT OR ANY KIND OF PAYMENT IN INSTALLMENTS TO BUY FOOD? <br>  |
| 13 IN THIS HOUSEHOLD, DO YOU USE CREDITO OR ANY KIND OF PAYMENT IN INSTALLMENTS TO BUY MEDICINES? <br>  |
| 14 IN THIS HOUSEHOLD, DO YOU USE CREDIT OR ANY KIND OF PAYMENT IN INSTALLMENTS TO BUY CLOTHING? $\qquad$ <br> 1 Yes <br> 2 No |
| For questions 15 and 16, the following should be considered as meals: breakfast coffee, or a mid-afternoon or evening snack, provided they have the following composition: (a) a non alcoholic beverage together with a fruit, bread, biscuit, cake or some kind of vegetable tuber, OR a fruit together with bread, biscuit , cake or some kind of vegetable tuber. OR (c) soup, broth, porridge etc. |
| 15 HOW MANY DAYS A WEEK DO MEMBERS OF THIS HOUSEHOLD 10 YEARS OLD OR UNDER HAVE 3 MEALS A DAY OR MORE? $\qquad$ <br> NB: For members of the household up to 10 years old <br> 1 Seven days <br> 2 Five or six days <br> 3 Three or four days <br> 4 Two days or less |

Part I-Citizenship Income $\quad$ Evaluation of the Impact of the Bolsa Família Program - Phase 1
Evaluation of the Impact of the Bolsa Família Program
SECTION 11 - EVALUATION OF LIVING CONDITIONS
PART B - For the wife or partner of the person responsible for the household, or the woman responsible for the household with a resident husband or partner
1 LIST NUMBER OF THE PERSON SUPPLYING INFORMATION:

| I AM GOING TO ASK YOU SOME QUESTIONS ABOUT HOW YOUR FAMILY MAKES CERTAIN DECISIONS: <br> IN THIS HOUSEHOLD, WHO USUALLY MAKES THE DECISIONS ABOUT... <br> (Mark all applicable options) | INTERVIEWEE | PARTNER | BOTH | OTHER | DON'T KNOW | NO CHILDREN |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 SPENDING FOR THE FOOD CONSUMED IN THIS HOUSEHOLD? | 01 | 02 | 03 | 04 | 05 |  |
| 2 SPENDING ON CLOTHES FOR YOURSELF? | 01 | 02 | 03 | 04 | 05 |  |
| 3 SPENDING ON CLOTHES FOR YOUR HUSBAND/WIFE/PARTNER? | 01 | 02 | 03 | 04 | 05 |  |
| 4 SPENDING ON CLOTHES FOR THE CHILDREN? | 01 | 02 | 03 | 04 | 05 | 06 |
| 5 WHEN THE CHILDREN SHOULD STOP GOING TO SCHOOL? | 01 | 02 | 03 | 04 | 05 | 06 |
| 6 SPENDING ON HEALTH SERVICES AND MEDICINES FOR THE CHILDREN? | 01 | 02 | 03 | 04 | 05 | 06 |
| 7 SPENDING ON DURABLE GOODS (stove, fridge, TV, car, etc.) FOR THE HOUSEHOLD? | 01 | 02 | 03 | 04 | 05 |  |
| 8 ON WHETHER YOU SHOULD GO OUT TO WORK OR NOT? | 01 | 02 | 03 | 04 | 05 |  |
| 9 WHETHER YOUR PARTNER/HUSBAND/WIFE SHOULD GO OUT TO WORK OR NOT? | 01 | 02 | 03 | 04 | 05 |  |
| 10 WHETHER TO USE A METHOD TO AVOID HAVING CHILDREN? | 01 | 02 | 03 | 04 | 05 |  |

Evaluation of the Impact of the Bolsa Família Program
SECTION 11 - EVALUATION OF LIVING CONDITIONS
PART C: Allocation of time

| NB: ONLY FOR THE WOMAN RESPONSIBLE FOR THE HOUSEHOLD OR THE WIFE/PARTNER OF THE MAN RESPONSIBLE <br> 1 LIST NUMBER OF THE PERSON THAT SUPPLIED THE INFORMATION: $\qquad$ |  |  | 1 LIST NUMBER OF THE PERSON THAT SUPPLIED THE INFORMATION: $\qquad$ 2 LIST NUMBER OF THE CHILD SELECTED: $\qquad$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Woman | On (day of the week) | Last Sunday | Child Selected | On (day of the week) | Last Sunday |
| How many hours did you spend (ACTIVITY)? | \|_||_|:|_||_| | \|_||_|:|_||_1 | How many hours did (NAME) spend (ACTIVITY)? | \|_-||_|:|_||_| | \|_||_| | | |||_| |
| 2. Looking after the house and family | \|_||_|:|_||_| | L_\||_|:|_|| | 3. In school |  | \|_||_|:| | ||_| |
| 3. Looking after the children | \|_||_|:|_||_| | L_\|L_I: L_||_| | 4. Studying out of school | \| _|I_|: | ||_| | \|_||_1:|_||_1 |
| 4. Studying (in and out of school) | \|_||_|:|_||_| | L_\||_|:| | - | - | | 5. Domestic chores | \|_||_|:|_||_| | \|_||_1:|_|| |
| 5. Going from one place to another (outside home) | L_\||_| : | _||_| | L_\||_|:| | 6. Looking after other household members (younger brothers or sisters, sick or elderly people) |  |  |
| 6. On leisure, entertainment, rest/siesta | \|_||_|:| | ||_| | L_\||_|:| | || | 7. On leisure, entertainment, rest/siesta | \|_||_|:| $\mid$-\||_| | \|_||_| | | |||| |
| 7. On a remunerated activity at home | \|_||_|:|_||_| | L_\||_1: | _||_| | 8. On a remunerated activity at home | \| _||_|:|_||_| | L_\||_| $:$ \|_||_1 |
| 8. On a remunerated activity away from home | \|_||_|:| | - ||_| | 1_\||_1:| | - ||_| | 9. On a remunerated activity away from home | L_\| 1 -|:|_||_| | \|_||_1:|_||_1 |
| 9. Sleeping | \|_||_|:|_||_| | \|_||_|: | - ||_| | 10. Sleeping | \|_||_|:|_||_| | \|_||_|:|_|| $\mid$ |



Evaluation of the Impact of the Bolsa Família Program

## SECTION 12 - BENEFITS

PART A - Bolsa Familia
1 HAVE YOU OR ANY OTHER HOUSEHOLD RESIDENT EVER PUT YOUR NAME DOWN OR BEEN REGISTERED FOR ANY FEDERAL GOVERNMENT PROGRAM?

1 Yes
$\quad 2 \mathrm{No}-\mathrm{Go}$ to Part B
2 IN WHICH MONTH AND YEAR DID YOU OR YOUR FAMILY PUT YOUR NAMES DOWN OR WERE REGISTERED IN A FEDERAL GOVERNMENT PROGRAM?
|__||__||__||__|||__| (Register 88/8888 for "Don't know") 3 WAS THAT REGISTRATION
1 Yes 2 No-

4 HOW OR THROUGH WHOM
5 WHERE WAS THE REGISTRATION MADE AND WHO FILLED IN THE FORM?
2 Municipal employee in the family home
center
4 A member of the family in the family home
4 A member of the family in the family home
5 A non family person not employed by the municipality (relative living
elsewhere, friend, neighbor, community leader)
$\square$ No
$2 \square$ Voter registration card
$3 \square$ Taxpayer registration Card
$4 \square$ ID card
$5 \square$ Work contract Registration Book
$6 \square$ Proof of residence
$6 \square$ Proof of resident
$7 \square$ Other document
Evaluation of the Impact of the Bolsa Família Program
SECTION 12 -BENEFITS
PART B - For each household resident - Register benefits being received or once received
1 HAS ANY RESIDENT MEMBER OF THIS HOUSEHOLD EVER RECEIVED OR IS HE/SHE PRESENTLY RECEIVING ANY OF THE FOLLOWING BENEFITS (LIST)? 2 No - Close off the interview

Evaluation of the Impact of the Bolsa Família Program
SECTION 12 - BENEFITS- QUESTIONNAIRE BY BENEFIT PER HOUSEHOLD RESIDENT
PART C - Information on each household resident and each benefit received

| \|__|__|__| | SECTOR |
| :---: | :---: |
| -_ \| __|__|_-_ | | SELECTION STRATUM AND QUESTIONNAIRE NUMBER |


Evaluation of the Impact of the Bolsa Família Program
SECTION 12 - BENEFITS- QUESTIONNAIRE BY BENEFIT PER HOUSEHOLD RESIDENT PART C - Information on each household resident and each benefit received

| \|__|__|_| | SECTOR |
| :---: | :---: |
| - \| | _ | _ I_ - _ | | SELECTION STRATUM AND QUESTIONNAIRE NUMBER |



[^10]| \|__|__| | SECTOR |
| :---: | :---: |
| \| | ___|__|_ | | SELECTION STRATUM AND QUESTIONNAIRE NUMBER |



PART C - Information on each household resident and each benefit received

# The PBF and the Confrontation of Gender Bias: the challenge of promoting a new order in the domestic environment and the access of women to the public space 

Executive Institutions: Nucleus for Studies and Research on Women of the University of Brasilia (NEPeM/UnB) and Gender, Citizenship and Development Actions (Agende).

Team Members: Mireya Suárez and Marlene Teixeira (coordinators), Marlene Libardoni, Rosa Helena Stein, Ana Julieta Cleaver, Sandra Teixeira, Simone Garcia, Paula Foltran, Priscila Maia e Wanderson Chaves.

Period of Execution: November/2005 to November/2006.

## 1. Objectives

To investigate the effects of the Bolsa Familia Program on the social conditions of the beneficiary women especially in regard to gender inequalities in the domestic and public spaces with a focus on the following aspects:

- domestic arrangements in regard to the distribution of material and symbolic resources within the home;
- participation of the women in decision making within the family environment;
- participation of the women in the spheres of political representation and in the public sphere (rights councils, teaching establishments, educative, associative, community and religious entities);
- schooling levels of women, men, children and adolescents;
- access and frequency of presence of the women in the different health services especially those dealing with sexual and reproductive rights;
- access and type of insertion of the women in the complementary social programs, especially those concerning professional capacity building and generating employment and income.

The evaluation also set out to examine the way in which the Bolsa Família Program has been performing based on the specific realities being experienced by the beneficiaries in the family environment and public space. This last objective seeks to construct suggestions for perfecting the program.

## 2. Methodology

Based on the supposition that the efficaciousness of public policies depends as much on their adequate formulation as on the ability of local management to intervene in the local political culture, the methodological
design included carrying out fieldwork in different municipalities for the purpose of observing different local management situations.

The greater part of the basic information in this respect is made up of data collected in ten municipalities during the months from March to June in 2006. Four of them are state capitals (Belo Horizonte, São Luís, Belém and Aracaju), two are part of medium sized cities (Candeias-Bahia and Floriano-Piauí), four are predominantly rural areas located on the coast (Passo de Camaragibe-Alagoas) or in the interior (Ecoporanga-Espírito Santo, Chapada do Norte-Minas Gerais and Riachão-Maranhão).

The choice of municipalities was based on the following criteria:

- Differentiated Human Development Indices (HDIs);
- High percentage of non whites in the population;
- High coverage of the Bolsa Família Program;
- Differentiated urban-rural population ratios.

The information base also included an analysis of the socio-economic indicators of the ten municipalities segregated by sex and color/race, whenever possible. Micro data from the Demographic Census 2000 (IBGE) was used to carry it out.

The said municipalities do not correspond to a representative sample of any particular total group but rather they form a notably heterogeneous set because of imponderable differentiating factors (like geographical locations, historical trajectory and socio-cultural patterns) and measurable ones like urbanization, education, work, income and housing.

The methodology used to enable comparisons to be made in all the municipalities was the collecting of discursive data and quantitative data on:

- Profile of the beneficiaries and the governmental agents involved in the management;
- Length of time and manner of involvement in the program of the beneficiaries and the governmental agents;
- The functioning of the program;
- Local conception, evaluation and appropriation of the program;
- Social participation of the beneficiaries and their ways of using time and space.

The main instrument used in the survey was a semi-structured questionnaire with 52 questions among which were 15 specifically for beneficiaries, 13 specifically for government employees, and 24 applicable to both categories. This questionnaire was used with 145 beneficiaries and 58 managers, municipal secretaries and other civil servants involved in managing the program.

In addition to the use of the questionnaire, 27 focal group sessions were held in which 331 beneficiaries participated with the aim of provoking, by means of verbal and visual stimulation, reflection and discussion on the part of the beneficiaries in regard to themselves, their social insertion and their opinions and expectations in relation to the Bolsa Família Program. In running the focal group sessions, every effort was made to establish the most egalitarian conversation possible based on the gender identity of those conducting the discussion (women) and the women beneficiaries present and guaranteeing to all the participants, an opportunity to speak including those conducting the sessions and furthermore, trying to interfere as little as possible in the direction taken by the discussions ensuing from the stimulation.

Open interview were also held with 11 local community leaders and 14 members of civil society organizations for the purpose of obtaining information on the way the Bolsa Familia Program is viewed and appropriated at the local level and what importance it has compared with other projects and programs being carried out in the municipality.

Observance of the families' residences and residential neighborhoods was of fundamental importance when interpreting their statements and those of the government agents and also for identifying, among the many possible factors often not readily apparent, those that may hinder the program's objectives or increase their potential.

Based on the data on benefit amount, age, skin color/race, marital status, work situation, registered in the database of the Unified Registry System of Federal Government Social Programs, twelve profiles of beneficiary families were established for the purpose of enabling the survey personnel to contact and interview beneficiaries with different profiles. However this strategy proved not to be feasible in all the municipalities because the addresses were frequently incomplete, the houses were not numbered or the numbers did not form a sequence and because the families change their place of abode frequently due to their poverty. Some of the beneficiaries that were on the profiles lists were located but many others were only found by chance or through information given by people contacted in visits to the neighborhoods.

Faced with the huge volume and varied nature of the available data the coordinators of the interim reports all used the same structure for them which guaranteed the possibility of comparing municipalities but at the same time preserving the singularities of each one of the situations under scrutiny.

In addition to operational questions like transforming the tape recordings into digital files and typing out the questionnaires, the data analysis involved:

- Elaborating codes for the activities carried out by the beneficiaries and encoding the 1,270 activities they engaged in.
- Compiling a database in SPSS, containing all the quantified information obtained from the questionnaires, including the answers to questions on activities, 27 questions in all.
- Listening to and interpreting the tape-recorded statements taken when the questionnaire was being applied, in the open-ended interviews and at the focal group sessions. Part of that material was examined in the form of a set of texts that represents the discourse of the social class of the women beneficiaries and its importance lies in the revelation of the women's living experiences as well as their intention to get their interests on the agenda and put forward proposals. The other part which is made up of the discourse of government agents was examined not so much as being statements representing a specific category of people but rather as statements to be confronted with those of the beneficiaries.


## Questionnaire for Beneficiaries

## Identification of the Interviewee

1. Position in the sphere of the Bolsa Familia Program
( ) Beneficiary
( ) Program Manager or Coordinator
( ) Municipal Secretary (specify)
( ) President of the Council
) Other (specify)
2. Sex
( ) Female
( ) Male
3. Age
( ) Under 20 years old
( ) 21-39
( ) 40-60
( ) 61 or over
4. Color/race (self-declared).
( ) White
) Black
) Brown
() Oriental
( ) Indigenous
) Other
5. Schooling
( ) None
) year 1
) year 2
) year 3
) year 4
) year 5
) year 6
) year 7
) year 8
) Senior High School Incomplete
) Senior High Complete
( ) Higher Education incomplete
( ) Higher Education complete
6. How long have you lived in this city? (time of residence)
( ) Always. Whole life.
) Less than 1 year
) From 1 to 3 years
) From 4 to 5 years
) From 5 to 10 years
) Over 10 years

## Questionnaire I

## Characteristics of the Domestic Group

7. Who lives in this house and who helps to meet the expenses?

| Identification | Relationship with <br> the beneficiary | Sex | Age | Contributes to <br> meeting expenses <br> (specify) |
| :--- | :--- | :--- | :--- | :--- |
| $1 . \quad$ (Beneficiary) |  |  |  |  |
| 2. |  |  |  |  |
| 3. |  |  |  |  |
| 4. |  |  |  |  |
| 5. |  |  |  |  |
| 6. |  |  |  |  |
| 7. |  |  |  |  |
| 8. |  |  |  |  |

8. Apart from the people living in the house, are there any other friends or relations that you can usually depend on? If the answer is yes, in what way do they help?
9. Which of the people that live in your house has an income from working or from social benefits?

| Identification | Origin of the income |  |
| :--- | :--- | :--- |
|  | Work <br> (specify activity) | Benefits <br> (specify which one) |
| 1. | (Beneficiary) |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |
| 6. |  |  |
| 7. |  |  |
| 8. |  |  |

## Length of time and type of connection with the Program

10. How did you get into the Program? (Open ended question).
11. How long have you been receiving the benefit?
( ) Less than 01 year.
( ) From 01 to 02 years.
( ) From 02 to 03 years.
( ) From 03 to 04 years.
( ) Over 04 years.

## Questionnaire I

12. How did your connection with the Program begin?

( ) School grant<br>) State Family Allowance<br>) Municipal Family Allowance<br>( ) Federal Family Allowance<br>) Food Grant<br>) Cooking Gas allowance<br>) Food Card<br>( ) Municipal Basic Food Basket<br>( ) Others

## The Performance of the Bolsa Família Program

13. How has the government been registering poor people? (The interviewee can make mention of programs existing prior to the Bolsa Família).
14. How is the list of benefited persons publicized? (Who does it, how it is done).
15. How does the Program communicate with the population? (Informs and receives information on the Program's performance).
16. How does the Government know if the children are going to school and that the women are going to the Health Centers for care for themselves and for the children? (Strategies to ensure compliance with stipulated Bolsa conditions)
17. Are the families that have been excluded from the Program for not complying with the stipulated conditions simply disconnected or do they receive some kind of attention from the municipal authorities?
18. In what way do the beneficiaries and members of your family participate in the way the Program is run (management)?
19. Has the Municipal Government established a way for the beneficiaries or other citizens to denounce any eventual irregularities in the Program?
20. In your opinion, what are the changes that have come about in people's lives and in the daily round of the city since the implantation of the Program?
21. What are the changes that have taken place in the lives of the women since the Program was implemented? (Closed question. Read the options out loud)
( ) Increase in female income.
( ) Reduction of domestic violence.
( ) Increased access to credit for women.
( ) Greater chance of acquiring goods.
( ) Better access to health services specifically for women.
( ) Better access to family planning programs.
( ) Reduction in adolescent pregnancy rates.
( ) Reduction in maternal mortality rates.
( ) Women have gone back to school.
( ) There have been no changes.
( ) Don't know, no answer.
( ) Others:

## Questionnaire I

## Evaluation of the Bolsa Família Program

22. How would you evaluate the health services offered by the municipal authority?
( $)$ Excellent
( ) Good
( $)$ Bad
( $)$ Terrible
$(\quad)$ Don't know/no answer.
23. How would you evaluate the municipal schools?
( $)$ Excellent
( $)$ Good
( $)$ Terrible
( $)$ Don't know/no answer.
24. The Bolsa Familia Program is intended to improve the living conditions of the poorest families and also improve the quality of health and education services in the municipality. In your opinion, is that really happening in this municipality?
25. Some people consider that the Bolsa Familia has improved the lives of those poor women who are receiving the benefit. Other people think that is not so. What do you think about it?
26. The women should be the ones responsible for actually receiving the Program benefit (Closed question. Read the options out loud)
( ) Because they are more responsible than the men.
( ) Because they are more in need of it than the men.
( ) Because they administer it better than the men.
( ) Because they take more care.
( ) For all the previous reasons.
( ) They should not be the ones responsible.
( ) No answer.
( ) Other reasons
27. Some people say that men and women are different and they are also not equals. What is your opinion about that?
28. They say that the Councils that are responsible for the Program in the Municipalities of Brazil could be a lot better. Considering your experience in this municipality, what could be done to improve the Council?

## Social Participation

29. What do you know about the Program Council?
( ) Don't know/no answer.
( ) Knows the name of the President of the Council.
( ) Knows a member of the council personally.
( ) Has spoken to a member of the Council.
( ) Knows where the Council meets.
( ) Has been present at Council meetings.
( ) Others
30. Do you presently participate in any Council?
( ) No.
( ) Education Council
( ) Health Council

## Questionnaire I

( ) Social Assistance Council
( ) Council of the Bolsa Família Program
( ) Other (Which?)
31. Do you presently participate in any association or group?
( ) Religious Group
( ) Neighborhood or Resident's Association
( ) Parent Teacher Association
( ) Political Parties
( ) Cooperatives and Unions
( ) Other (Which?)
( ) Does not participate. GO TO THE FOLLOWING QUESTION
32. In the case of participation: What do you do in the group?
33. What is the name of the: (It is essential to make a preparatory statement before asking this question).
President of the Republic
Governor of the State
Mayor of the city
Political party of the Mayor
34. Has health care for your family changed in any way since you started receiving the benefit? (Do not forget to relate this question to the answers already given to the question on municipal services).
( ) Improved.
( ) Worsened
( ) Stayed the same.
( ) Don't know/no answer.
35. Has the children's school changed in any way since you started receiving the benefit? (Do not forget to relate this question to the answers already given to the question on municipal services).
( ) Improved.
( ) Worsened .
( ) Stayed the same.
( ) Don't know/no answer.
36. In order to receive the benefit your children have to attend school. Have you found it difficult to comply with this requirement?
37. In order to receive the benefit you and your children have to take treatment at the Health Center. Have you found it difficult to comply with this requirement?
38. Could it be that women who have received the Program benefit are in a better position to influence decisions affecting family life?
39. Could it be that women who have received the Program benefit have come to be more respected by other members of their families?
40. In your opinion, what is the best thing about the Bolsa Familia Program?
41. How could the Program be improved?

## Questionnaire I

## Use Made of Time and Space

42. Could you please tell me what you did yesterday from the time you got up till the time you went to bed?

| Time | Activity | Place | With whom <br> (alone/accompanied) <br> (identification) |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

43. Could you please tell me what you usually do on:

| Activities Domestic | Work | Socializing/ <br> Leisure | Political | Religious |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Monday |  |  |  |  |  |
| Tuesday |  |  |  |  |  |
| Wednesday |  |  |  |  |  |
| Thursday |  |  |  |  |  |
| Friday |  |  |  |  |  |
| Saturday |  |  |  |  |  |
| Sunday |  |  |  |  |  |

44. For each activity mentioned in the previous item it would be interesting to know the details of:

| Activity | Place | Distance/Time | Role/Responsibility | With whom |
| :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

## Questionnaire II

## Questionnaire for Managers and other Political Figures

Identification of the Interviewee

1. Position in the sphere of the Bolsa Família Program
( ) Beneficiary
( ) Program Manager or Coordinator
) Municipal Secretary (specify)
) President of the Council
) Other (specify)
2. Sex:
( ) Female
( ) Male
3. Age
( ) Under 20 years old
( ) 21-39 years old
( ) 40-60 years old
( ) 61 or over
4. Color/race (self-declared).
( ) White
) Black
( ) Brown
( ) Oriental
( ) Indigenous
) Other $\qquad$
5. Schooling
( ) None
( ) year 1
( ) year 2
( ) year 3
) year 4
) year 5
) year 6
) year 7
) year 8
) Senior High School Incomplete
) Senior High Complete
) Higher Education incomplete
) Higher Education complete
6. How long have you lived in this city? (time of residence)
( ) Always. Whole life.
) Less than 1 year
( ) From 1 to 3 years
( ) From 4 to 5 years
) From 5 to 10 years
) Over 10 years
7. How did you join the Program? (open question).
8. Are you presently participating in any kind of Council?
( ) No.
( ) Health Council
) Social Assistance Council
) Council of the Bolsa Família Program
) Other. Specify:

## Questionnaire II

## Performance of the Bolsa Família

9. How has the government been registering poor people? (The interviewee can make mention of Programs existing prior to the Bolsa Família).
10. How is the list of benefited persons publicized? (Who does it, how it is done).
11. How does the Program communicate with the population? (Informs and receives information on the Program's performance).
12. How does the Government know if the children are going to school and that the women are going to the Health Centers for care for themselves and for the children? (Strategies to ensure compliance with stipulated Bolsa conditions)
13. Are the families that have been excluded from the Program for not complying with the stipulated conditions simply disconnected or do they receive some kind of attention from the municipal authorities?
14. In what way do the beneficiaries and members of your family participate in the way the Program is run (management)?
15. Has the Municipal Government established a way for the beneficiaries or other citizens to denounce any eventual irregularities in the Program?
16. In your opinion, what are the changes that have come about in people's lives and in the daily round of the city since the implantation of the Program?
17. What are the changes that have taken place in the lives of the women since the Program was implemented? (open question. immediate coding).
( ) Increase in female income.
( ) Reduction of domestic violence.
( ) Increased access to credit for women.
( ) Greater chance of acquiring goods.
( ) Better access to health services specifically for women.
( ) Better access to family planning programs.
( ) Reduction in adolescent pregnancy rates.
( ) Reduction in maternal mortality rates.
( ) Women have gone back to school.
( ) There have been no changes.
( ) Don't know, no answer.
( ) Others:

## Evaluation of the Bolsa Família Program

18. How would you evaluate the health services offered by the municipal authority?
( ) Excellent
( ) Good
( ) Bad
( ) Terrible
( ) Don't know/no answer.

## Questionnaire II

19. How would you evaluate the municipal schools? (Do not forget do relate this answer to prior answer about the municipality's services)
```
( ) Excellent
( ) Good
( ) Bad
( ) Terrible
( ) Don't know/no answer.
```

20. The Bolsa Familia Program is intended to improve the living conditions of the poorest families and also improve the quality of health and education services in the municipality. In your opinion, is that really happening in this municipality?
21. Some people consider that the Bolsa Familia has improved the lives of those poor women who are receiving the benefit. Other people think that is not so. What do you think about it?
22. The women should be the ones responsible for actually receiving the Program benefit (Closed question. Read the options out loud)
( ) because they are more responsible than the men.
( ) because they are more in need of it than the men.
( ) because they administer it better than the men.
( ) because they take more care.
( ) for all the previous reasons.
( ) They should not be the ones responsible.
( ) No answer.
( ) Other reasons
23. Some people say that men and women are different and they are also not equals. What is your opinion about that?
24. They say that the Councils that are responsible for the Program in the Municipalities of Brazil could be a lot better. Considering your experience in (NAME OF THE CITY), what could be done to improve the Council?

## Specifically for Local Government

## Time and Mode of Engagement in the Program

25. How long have you held this position/job?
( ) Less than 01 year.
( ) From 01 to 02 years.
( ) From 02 to 03 years.
( ) From 03 to 04 years.
( ) Over 04 years.
26. What is your institutional employment status?
( ) Public admission exams
( ) Contracted
( ) On loan
( ) Other
27. What kind of accompaniment do the beneficiaries receive in addition to that existing in the schools and the health centers? (TRY TO FIND OUT: the nature of it, objective, form of access to the programs, age group considered, municipal or state) (open question. immediate coding).

## Questionnaire II

```
( ) None
) Community Library
( ) Adult Literacy Training
( ) 2` Tempo - Longer Day
( ) Community vegetable garden
( ) Weekend in the school
( ) Community Health Care Agent Program
( ) Family Health Care Program
( ) Integral Health Care for Women Program
( ) Integral Health Care for Adolescents Program
( ) Hypertension Group
( ) Programs for Fighting Sexually Transmittable Diseases/AIDS
( ) Old Peoples Health Program
( ) Assisted Liberty
( ) Women's Group
( ) Productive Inclusion
( ) PETI - Child Labor Eradication Program
( ) Basic Protection
( ) Special Protection
( ) National Program for Family Agriculture
( ) Agente Jovem Program (Youth Agent Program)
( ) Other (specify)
```

28. How do you deal with those women that should be receiving the benefit but that could not be included in the Program?
29. Were the needs and interests of the women taken into account when deciding to which secretariat the Program should be allocated?
30. In almost all cities the Program has been placed in the Social Assistance or Social Promotion secretariats. Why has that happened?
31. Is there any service that relays information/clarifications on the Program to the population?
32. What has been the reaction on the part of the population, especially the women when you have circulated information on the Program?
33. What kind of training have the staff that work in the Program received? (Open question, immediate coding.)
( ) They received no training.
( ) Training in public management.
( ) Training for the Bolsa Família program or earlier program.
( ) Training on issues of adopting a gender perspective in public administration
( ) Other
34. How do the beneficiaries participate in updating the Single Registry?
35. Do you think that the implantation of the Program has brought about any changes in the municipal administration? (Open question, immediate coding.)
( ) It has brought about no changes.
( ) Yes. there have been changes (described below).
36. What have been the greatest challenges faced up to since the Program began in this municipality? (the greatest difficulties and the easiest aspects)

Executive Institutions: Applied Social Research, Information and Public Policies Nucleus of the Fluminense Federal University (DataUFF/UFF) through the Euclides da Cunha Foundation (FEC/UFF), Federal University of Bahia (UFBA).

Team Members: Victor Hugo de Carvalho Gouvêa (general coordinator); Salete Da Dalt (joint coordinator); Marco Aurélio Oliveira de Alcântara (fieldwork coordinator).

Period undertaken: February to April 2006.

## 1. Objectives

To evaluate the results of the Bolsa Família Program in improving the living conditions of the beneficiaries based on their own perceptions and especially in regard to Food and Nutrition Security, dealing with the following aspects:

- profile of the beneficiaries based on the following variables: sex, age, income level, schooling, address, type of occupation, eating habits etc;
- effects of the program on their lives particularly in relation to aspects of feeding the family;
- perceptions of how the program is functioning;
- awareness of the institutional links of the Bolsa Família.


## 2. Methodology

Representative sample survey of households benefited by the Bolsa Família Program, with a national outreach. 4,000 interviews were conducted considering two categories of beneficiaries distinguished by the length of time they had been receiving the benefits. In the first group, "the experimental group", 3,000 beneficiaries who had been receiving benefits for at least 12 months were interviewed. In the second group, "the comparison group", 1,000 beneficiaries who had been receiving benefits for three months or less were interviewed. In both cases the household member responsible for actually receiving the benefit was the person interviewed.

The data was collected by means of a closed question questionnaire.
The numbers of interviews carried out were distributed equally among the regions. The maximum margin of error per region varied from $\pm 3.5 \%$ to $4 \%$. In the case of national estimates the margin of error was determined as $\pm 1.96 \%$ with a reliability of $95 \%$.

## 3. The sample

The representativity of the sample varied among the groups that were formed with the experimental group sample being representative of each of the Brazilian regions and the comparison group representative of Brazil only.

The sampling plan included 53 municipalities of which 27 were the capitals of the states and the federal district. The selection of the other 26 municipalities was done using as the basic variable, the number of Benefits conceded less than three months ago whereby all those municipalities with less than 25 benefits awarded were eliminated (Table 1).

Furthermore, the municipalities were classified by regions into three strata: small, medium and large. Municipalities with a number of benefits conceded lower than the average, were classified as small. Those with a number of benefits conceded between the average and percentile 90 , as medium. Those that were among the top $10 \%$ were classified as large. After the division into strata the municipalities were further selected within each stratum using Probability Proportional to Size technique (PPS) seeking to maintain a balance among the three established strata

The random selection was done without replacement that is to say, once selected, a state did not enter the selecting process again. This procedure guaranteed that a municipality would be selected for each state.

To select the households the register of Bolsa Familia Program beneficiaries supplied by the Ministry of Social Development and the Fight against Hunger was used. Based on the list, the neighborhoods were selected - with preference given to those where there were families that met all the criteria for both groups (experimental and comparison) - subsequently the households were selected.

Whenever it proved impossible to locate an address that had been selected, or when the house was empty or the family had moved, or the person legally responsible for receiving the benefit had not been found after three attempts, then the household was substituted by another. In such cases the criterion used for substituting was to look for another eligible interviewee in the same neighborhood and preferably, in the same street.

Table 1 - Municipalities sampled according to geographical location, number of benefits granted in the three months preceding data collection, and numbers of beneficiaries in the experimental group and the comparison group

| Region | State | Municipality | Benefits conceded less than 3 months | Numbers in the Experimental Groups | Numbers in Comparison Groups |
| :---: | :---: | :---: | :---: | :---: | :---: |
| North | AP | Macapá | 50 | 20 | 7 |
|  | AC | Senador Guiomard | 84 | 20 | 7 |
|  | AP | Santana | 120 | 23 | 8 |
|  | PA | Belém | 125 | 23 | 8 |
|  | RR | Mucajaí | 404 | 23 | 8 |
|  | RO | Ariquemes | 476 | 27 | 9 |
|  | TO | Formoso de Araguaia | 442 | 28 | 9 |
|  | TO | Palmas | 432 | 28 | 9 |
|  | RO | Boa Vista | 857 | 34 | 11 |
|  | AM | Itacoatiara | 1009 | 36 | 12 |
|  | RR | Porto Velho | 1736 | 46 | 15 |
|  | PA | Bragança | 2138 | 48 | 17 |
|  | AC | Rio Bramco | 3908 | 72 | 25 |
|  | AM | Manaus | 11743 | 172 | 55 |

Table 1 Continued

| Region | State | Municipality | Benefits conceded less than 3 months | Numbers in the Experimental Groups | Numbers in Comparison Groups |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Northeast | PI | Olho d'Água | 47 | 20 | 7 |
|  | SE | Japaratuba | 136 | 20 | 7 |
|  | BA | Itamaraju | 140 | 20 | 7 |
|  | MA | São João dos Patos | 297 | 21 | 7 |
|  | PB | João Pessoa | 332 | 21 | 7 |
|  | AL | Rio Largo | 372 | 22 | 7 |
|  | RN | Macaíba | 458 | 22 | 7 |
|  | PB | Itabaiana | 880 | 24 | 8 |
|  | AL | Maceió | 1014 | 25 | 8 |
|  | PE | Recife | 1520 | 28 | 9 |
|  | CE | Fortaleza | 1968 | 31 | 10 |
|  | PI | Teresina | 2709 | 35 | 12 |
|  | CE | Maracanaú | 2749 | 35 | 12 |
|  | BA | Salvador | 2809 | 36 | 12 |
|  | PE | Caruaru | 4859 | 47 | 16 |
|  | SE | Aracaju | 4980 | 49 | 16 |
|  | RN | Natal | 5894 | 54 | 18 |
|  | MA | São Luís | 13353 | 90 | 30 |
| Center-West | MS | Pedro Gomes | 38 | 20 | 7 |
|  | GO | Montevidiu | 106 | 36 | 12 |
|  | MT | Tangará da Serra | 516 | 46 | 15 |
|  | DF | Brasília | 1350 | 65 | 22 |
|  | GO | Goânia | 1481 | 68 | 23 |
|  | MT | Cuiabá | 3330 | 111 | 37 |
|  | MS | Campo Grande | 9453 | 254 | 84 |
| Southeast | ES | Águia Branca | 56 | 20 | 7 |
|  | RJ | Itaguaí | 126 | 33 | 11 |
|  | SP | Ituverava | 208 | 35 | 12 |
|  | MG | Juiz de Fora | 1884 | 50 | 17 |
|  | ES | Vitória |  | 70 | 23 |
|  | MG | Belo Horizonte | 2778 | 89 | 30 |
|  | SP | São Paulo | 3463 | 103 | 34 |
|  | RJ | Rio de Janeiro | 9631 | 200 | 66 |
| South | SC | Florianópolis | 57 | 20 | 7 |
|  | RS | São Sepé | 349 | 43 | 14 |
|  | PR | Guarapuava | 496 | 48 | 16 |
|  | SC | Joinville | 1081 | 71 | 24 |
|  | RS | Porto Alegre | 4328 | 178 | 59 |
|  | PR | Curitiba | 7174 | 240 | 80 |

Source: Applied Social Research, Information and Public Policies Nucleus of the Fluminense Federal University.

## Questionnaire

Data UFF- Applied Social Research, Information and Public Policies Nucleus of the Fluminense Federal University Evaluation Survey - Bolsa Família Program- March/2006

```
NIS |__|____|_|____|___|___|__|_|
```


## BLOCK 1 - SOCIO-ECONOMIC

## LEGALLY RESPONSIBLE PERSON

1. Sex 1 Male 2 Female
2. How old are you? years old
3. You are: 1 single 2 married (or living together) 3 separated 4 widowed 99 Don't know
4. Up to which school year did you study?

1 Illiterate, no schooling 2 Incomplete primary
3 Complete primary $\quad 4$ Complete secondary
5 Senior high compl. 6 Higher educ. incomplete
7 Compl higher educ. 99 Don't know/No answer
5. Your present professional situation is:

1 Salaried employee 2 Self-employed
3 Qualified professional 4 Employer
5 Student 6 Unemployed
7 Retired 8 Housewife
9 Civil servant $\quad 10$ Maid
11 Other 99 Don't know /No answer
6. What is your color or race? (read out the options)

| 1 White | 2 Black | 3 Brown |
| :--- | :--- | :--- |
| 4 Oriental | 5 Indigenous | 99 Don't know/No answer |

7. Your house is: $\mathbf{1}$ your own 2 rented $\mathbf{3}$ loaned $\mathbf{4}$ other
8. Who is the head of your household? (spontaneously) 1 The interviewee (go to 13) 2 His/her partner

## PERSON RESPONSIBLE FOR THE HOUSEHOLD

## 9. Sex: 1 Male 2 Female 88 Not applicable

10. How old is the person responsible for the household? years old
11. Up to which school year did the person responsible for the household study?
1 Illiterate, no schooling 2 Incomplete primary
3 Complete primary or 4 Complete Secondary or
Secondary incomplete Senior high incomplete
5 Senior high complete 6 Higher Educ. incomplete
7 Complete Higher educ. 99 Don't know/No answer 88 N/A
12. His or Her present professional situation is:

1 Salaried employee 2 Self-employed
3 Qualified professional 4 Employer
5 Student
7 Retired
9 Civil servant
6 Unemployed
8 Housewife
10 Maid
11 Other 99 Don't know/ No answer 88 Not applicable
13. How many people live in your house including yourself?
|_|

| 13.1 How many? | DK/NA | 13.2 How many go to <br> school? |
| :--- | :--- | :--- |
| 1 \|__ children 0-6 years <br> old | 99 | 1 __\| 88 N/A 99 DK/NA |
| 2 \|__| children $7-15$ <br> years old | 99 | 2 __\| 88 N/A 99 DK/NA |
| 3 \|_| people 16-60 <br> years old | 99 |  |

14 How many meals a day do the children in your house usually have? [ ] children
88 N/A 99 DK/NA 14.1 What meals do they usually have? (locate the above answer)

1 Breakfast 2 Mid-morning snack 3 Lunch 4 Mid-afternoon snack 5 evening meal 6 snack at night 88 N/A 99 DK/NA
15. How many meals a day do young people and adults in your house usually have?
[ ] youths and adults 88 N/A 99 DK/NA
15.1 What meals do they usually have? (locate the above answer)

1 Breakfast 2 Mid-morning snack 3 Lunch 4 Mid-afternoon snack 5 evening meal 6 snack at night 88 N/A 99 DK/NA
16. If any children are going to school how many meals are they offered by the school? [ ] 88 N/A 99 DK/NA
17. Do you think the amount of food consumed by your family is enough to satisfy:

| Age | Yes | No | N/A | DK/NA |
| :--- | :---: | :---: | :---: | :---: |
| the children | $\mathbf{1}$ | $\mathbf{2}$ | 88 | 99 |
| the youths and adults | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{8 8}$ | 99 |

18. At any time in the last 3 months did the food run out in your home before you had money to buy more?

$$
1 \text { Yes } 2 \text { No } \quad 99 \text { DK/NA }
$$

19. Before you started to receive the Bolsa Família benefit did the food use to run out before you had money to buy more?

$$
1 \text { Yes } 2 \text { No } \quad 99 \text { DK/NA }
$$

20. During the last 3 months did any person in your home not eat or eat less because there was not enough food to go round?
$\qquad$
1 Yes 2 No 99 DK/NA
21. Before you started to receive the Bolsa Família benefit did any person in your home not eat or eat less because there was not enough food to go round?

$$
1 \text { Yes } \quad 2 \text { No } \quad 99 \text { DK/NA }
$$

22. After the family started receiving the money of the Bolsa Familia would you say that the food situation at home:
1 Improved a lot 2 Improved 3 Stayed the same way
4 Got worse 5 Worsened a lot 99 DK/NA
23. Considering the quantity of food consumed by your family, would you say that:
1 Often it is not enough for everyone to eat well
2 Sometimes it is not enough for everyone to eat well
3 There is always enough for everyone to eat well 99 DK/NA

## Questionnaire

Now I am going to read the names of some kinds of food and I would like you to tell me which of them are eaten by your family and how many times a week they are consumed. For example: does your family eat beans 4 days a week or more, 1 to 3 days a week, less than one day a week, or never or less than once a month?

| Mention each food item | 4 times a week or more | 1 to 3 times a week | less than once a week | Never or less than once a month | DK/NA |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 24. Beans | 4 | 3 | 2 | 1 | 99 |
| 25. Rice or Rice flour | 4 | 3 | 2 | 1 | 99 |
| 26. Cassava meal (manioc) | 4 | 3 | 2 | 1 | 99 |
| 27. Meat (beef, mutton, goat) | 4 | 3 | 2 | 1 | 99 |
| 28. Chicken | 4 | 3 | 2 | 1 | 99 |
| 29. Fish | 4 | 3 | 2 | 1 | 99 |
| 30. Eggs | 4 | 3 | 2 | 1 | 99 |
| 31. Greens and vegetables | 4 | 3 | 2 | 1 | 99 |
| 32. Corn (cuscus cornmeal) | 4 | 3 | 2 | 1 | 99 |
| 33. Macaroni, bread, biscuits | 4 | 3 | 2 | 1 | 99 |
| 34. Sweet cassava, eddoes, yams | 4 | 3 | 2 | 1 | 99 |
| 35. Potatoes (English, sweet) | 4 | 3 | 2 | 1 | 99 |
| 36. Coffee | 4 | 3 | 2 | 1 | 99 |
| 37. Milk | 4 | 3 | 2 | 1 | 99 |
| 38. Yoghurt and cheese | 4 | 3 | 2 | 1 | 99 |
| 39. Margarine and soya oil | 4 | 3 | 2 | 1 | 99 |
| 40. Butter | 4 | 3 | 2 | 1 | 99 |
| 41. Sugar (sweets and sweetmeats) | 4 | 3 | 2 | 1 | 99 |
| 42. Fruits (or fruit juices) | 4 | 3 | 2 | 1 | 99 |
| 43. Canned food | 4 | 3 | 2 | 1 | 99 |
| 44. Sausages, mortadela | 4 | 3 | 2 | 1 | 99 |
| 45. Soft drinks | 4 | 3 | 2 | 1 | 99 |
| 46. Beer, spirits, alcoholic drinks | 4 | 3 | 2 | 1 | 99 |
| 47. Fried food (chips, salty snacks) | 4 | 3 | 2 | 1 | 99 |

48. Since you started receiving the Bolsa Família Benefit has there been an increase in the variety of food your family consumes? 1 Yes 2 No 99 DK/NA
49. In regard to the quality of food your family consumes would you say it was:
1 Very good (go to 51) 2 Good 3 Regular 4 Bad 5 Terrible 99 DK/NA
50. In your opinion what types of food are needed for the quality of your family's diet to improve? (up to 3 mentions) 1st $\qquad$ ; 2nd $\qquad$ ; 3rd $\qquad$
51. Since you started receiving the Bolsa Família Benefit the amount of food consumed by your family has: 1 Increased 2 Remained the same 3 Diminished 99 DK/NA
52. Since you started receiving the Bolsa Família Benefit has your family started to consume any food item more frequently than before?
1 Yes 2 No (go to 53) 99 DK/NA
52.1 If 'Yes" which food item? (up to 3 mentions) 1st $\qquad$ 2nd $\qquad$ ; 3rd $\qquad$ 88 N/A
53. How many weeks of the month does the food purchased normally last for? 1 week 2 weeks 3 weeks 4 weeks (whole month)
54. How many weeks in the month did food use to last for before you received the Bolsa Familia benefit? 1 week 2 weeks 3 weeks 4 weeks (whole month)
55. Who usually buys the food for your household?

1 The interviewee 2 His or her partner $\mathbf{3}$ His or her mother or father 4 Other 99 DK/NA
56. Who usually prepares/cooks the food that is consumed by the family?

1 The interviewee 2 His or her partner 3 His or her mother or father 4 Other 99 DK/NA

## Questionnaire

57. How much did your family spend on each of the following items last month:

|  | $\mathbf{R} \$$ | N/A | DK/NA |
| :--- | :---: | :---: | :---: |
| Food and household items |  | 88 | 99 |
| Electricity bill |  | 88 | 99 |
| Water bill |  | 88 | 99 |
| Telephone bill (fix /mobile) |  | 88 | 99 |
| Cooking gas |  | 88 | 99 |
| Transport (bus/train/metro) |  | 88 | 99 |
| Medicines |  | 88 | 99 |
| Rent/condominium/mortgage |  | 88 | 99 |

58. What was the total income of all the people living in your house last month? (yours plus all other sources: salaries, overtime, rent, odd jobs, pensions, including the Bolsa Família and any other social benefits)

## R\$

$\qquad$ 99 DK/NA
59. What is the monthly amount you receive from the Bolsa Família? $\mathbf{R} \$$ $\qquad$ 99 DK/NA

Your family's total income allows you to get by until the end of the month with:
1 Great difficulty
2 Some difficulty
3 No difficulty (go to 61) 99 DK/NA
60. In your opinion, how much would the family income need to be to get through the month without any difficulty? (only for those who answered 1 or 2 in the previous question)

## R\$

$\qquad$ 99 DK/NA
61. I would like to remind you that people can spend the Bolsa Familia money as they see fit. With that in mind, I would like to know how you usually spend the money you receive from the program? (SPONTANEOUS) (register up to 3 items in order of priority)

| 1 Food | 1st item [ ] any other? |
| :---: | :---: |
| 2 Clothing and shoes | 2nd item [ ] any other? |
| 3 Medicines | 3rd item [ ] |
| 4 Rent |  |
| 5 Cleaning and person (toilet soap, toilet p | e material ergents) |
| 6 Transport (bus tickets | ample) |
| 7 School material (not | books, pen, pencil) |
| 8 Leisure activities | r/electricity/gas |
| 10 Other |  |

62. In regard to the last Bolsa Familia payment only, what was the money spent on?

99 DK/NA
63. Who is responsible for spending the Bolsa Familia money?

1The person being interviewed 2 His/her partner 3 His/her mother/father 4 Other 88 N/A 99 DK/NA
64. In the last 12 months have you bought anything on credit?

1Yes 2 No 99 DK/NA
65. In the last 12 months has your family bought any furniture or domestic appliance?

1 Yes. If so, what?
2 No 88 N/A 99 DK/NA
66. After joining the Bolsa Familia Program has your credit with local shopkeepers improved?

1 Yes 2 No 3 Don't buy on credit 99 DK/NA
67. In the last three months has your family defaulted on any credit payment because you had no money on the day it fell due?

1 Yes 2 No 99 DK/NA

## BLOCK 4 - EVALUATION OF EXPECTATIONS

67. In general terms how would you evaluate the Bolsa Familia Program? Do you think it is excellent, good, regular, bad, or terrible?

1 Excellent 2 Good 3 Regular
4 Bad 5 Terrible 99 DK/NA
68. On a scale from zero to ten where zero is the lowest mark and ten the highest, what mark would you give the Bolsa Familia Program? $\qquad$
69. In your opinion, who is responsible for the Bolsa Familia Program? (accept up to 3 answers)
1 Federal government 2 State government
3 Municipal government 4 Other Who? $\qquad$
99 DK/NA
70. In when did you start to receive the Bolsa Familia?
(month/year) $\qquad$ 1 $\qquad$ 99 DK/NA
71. Are you confident that your family will continue to receive the Bolsa Familia over the next

|  | Yes | No | N/A | DK/NA |
| :--- | :---: | :---: | :---: | :---: |
| 6 months | 1 | 2 | 88 | 99 |
| $71.1-12$ months | 1 | 2 | 88 | 99 |
| $71.2-24$ months | 1 | 2 | 88 | 99 |

72. I am going to read out some phrases regarding the Bolsa Familia and I would like you to say which one you agree with most.
1 The Bolsa Familia is the guaranteed right of those who need it.
2 The Bolsa Familia is a form of help for those who need it.
99 DK/DA
73. In your home there is:

1 A frequently used gas stove
2 A gas stove used occasionally
3 No gas stove 99 DK/DA
74. Since you began to receive the Bolsa Familia the way you and your family are treated in the place where you live has:
1 Changed for the better 2 Not changed at all
3 Changed for the worse 99 DK/DA

## Questionnaire

## CRITERION BRAZIL

|  | Not owned | How many owned? |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 2 | 3 | 4 or + |
| Color TV | 0 | 2 | 3 | 4 | 5 |
| Videocassette / DVD | 0 | 2 | 2 | 2 | 2 |
| Radio | 0 | 1 | 2 | 3 | 4 |
| Bathroom | 0 | 2 | 3 | 4 | 4 |
| Automobile | 0 | 2 | 4 | 5 | 5 |
| Monthly maid | 0 | 2 | 4 | 4 | 4 |
| Vacuum cleaner | 0 | 1 | 1 | 1 | 1 |
| Washing Machine | 0 | 1 | 1 | 1 | 1 |
| Refrigerator | 0 | 2 | 2 | 2 | 2 |
| Freezer (independent or part of duplex fridge) | 0 | 1 | 1 | 1 | 1 |

## What is the schooling level of the person responsible for the household?

| Illiterate-Primary school incomplete | 0 |
| :--- | :--- |
| Primary complete/ Junior high incomplete | 1 |
| Junior high complete/ Senior high incomplete | 2 |
| Senior high complete/ Graduation incomplete | 3 |
| Graduation complete | 4 |
| Postgraduate/ Masters/ Doctors | 5 |
|  |  |
|  |  |


| TOTAL |  |  |
| :---: | :---: | :--- |
| POINTS |  |  |
| Class | Points |  |
| 1-A1 | $30-34$ |  |
| 2-A2 | $25-29$ |  |
| $3-B 1$ | $21-24$ |  |
| $4-B 2$ | $17-20$ |  |
| $5-C$ | $11-16$ |  |
| $6-D$ | $10-6$ |  |
| $7-E$ | $0-5$ |  |

Researcher: $\qquad$ Supervisor: $\qquad$

Thank you for your attention.

# Household Survey of Bolsa Família Program Beneficiaries 

Executive Institution: Pólis Pesquisa.

Team Members: Eugênio Eduardo Cunha Gomes (general coordinator), Elisete de Assis Rebello Leite Ribeiro (senior consultant), Bertha Maakaroun (special consultant), Marli Gomes dos Santos e Cláudia Valente (fieldwork coordinators).

Period of Execution: July to November 2005.

## 1. Objectives

- to investigate the perceptions of Bolsa Família beneficiaries of their own living conditions after having become recipients of the benefit;
- to measure the degree of awareness of the beneficiaries in regard to the Bolsa Família Program and the conditions it stipulates.


## 2. Methodology

Household survey using probability sampling technique representative of the of Bolsa Familia beneficiaries conducted on a national scale and taking in 2,317 household units. The data collecting instrument was a questionnaire with both open and closed answer questions and was directed at the person directly responsible for receiving the benefit in each family from whom socio-demographic information on all household residents was also collected.

In each of the regions South, Southeast, Center-West and North, 400 interviews were conducted in such a way as to guarantee estimates for the parameters being studied with margins of error not more than plus or minus $5 \%$. In the Northeast, which is the region where roughly $50 \%$ of the program beneficiaries are concentrated, 717 complete interviews were obtained with a maximum margin of error of approximately 3.8 percentage points.

For an analysis of the data for the country as a whole, the data was weighted by regions with the weighting attributed being proportional to the numbers of program beneficiaries. The estimated margin of error for the national figures is plus or minus 2.1 percentage points, and reliability is $95 \%$.

Table 1 - Sample distribution by regions and estimated margins of error within the strata

| Region | Interviews | $\%$ | Maximum estimated error/stratum |
| :--- | :---: | :---: | :---: |
| South | 400 | 17.26 | $+/-5 \mathrm{p} . \mathrm{p}$. |
| Southeast | 400 | 17.26 | $+/-5 \mathrm{p} . \mathrm{p}$. |
| Center-West | 400 | 17.26 | $+/-5 \mathrm{p} . \mathrm{p}$. |
| North | 400 | 17.26 | $+/-5 \mathrm{p} . \mathrm{p}$. |
| Northeast | 717 | 30.95 | $+/-3.8 \mathrm{p} . \mathrm{p}$. |
| Total | $\mathbf{2 , 3 1 7}$ | $\mathbf{1 0 0}$ |  |

Source: MDS/Pólis Pesquisa.

## 3. The sample

The sample was probabilistic and stratified according to the size of the municipalities with the program beneficiaries being the determining factor for stratification. Selecting the families to be interviewed was done in several stages. First a random selection was made of 86 municipalities which were then stratified by region and by size using a size classification of 1 to 6 based on the number of beneficiary families in the municipality.

Within each municipality, the families were selected on a random basis from the list of beneficiary families supplied by the Ministry of Social Development and the Fight against Hunger. The sampling planning provided for lists of substitutes for those cases where the family originally selected was not found at the indicated address or when the person legally responsible for receiving the benefit: a) refused to be interviewed; b) was not found after two visits to the home at different times of day.

Table 2 - Classification of municipalities according to the numbers of beneficiaries and the percentage of the population they represent

| Size categories of municipalities | Dimensions of Categories | \% of the population |
| :---: | :--- | :---: |
| Size 1 | Up to 1,000 beneficiaries | 20.9 |
| Size 2 | From 1,001 to 3,000 | 32.2 |
| Size 3 | From 3,000 to 8,000 | 23.1 |
| Size 4 | From 8,000 to 16,000 | 8.0 |
| Size 5 | From 16,000 to 40,000 | 7.2 |
| Size 6 | Over 40,000 | 8.5 |

Source: MDS/Pólis Pesquisa.
Within the country's five macro-regions the samples were stratified according to the size category of the municipality as defined above. Table 3 shows the numbers of municipalities according to size category and the names of the individual municipalities.

Table 3 - Municipalities by size category

| Size <br> category | Number of <br> municipalities | Municipalities |
| :---: | :---: | :--- |
| 1 | 18 | Acorizal (MT), Jaraguari (MS), Jussari (BA), Granjeiro (CE), Lago do Junco (MA), Sal- <br> gadinho (PE), Bom Jesus (RN), Dom Expedito Lopez (PI), Presidente Figueiredo (AM), <br> Inhangapi (PA), Capitão Andradas (MG), Carmo do Cajuru (MG), Vassouras (RJ), Anhu- <br> mas (SP), Cravinhos (SP), Corbélia (PR), São Martinho (RS), Luiz Alves (SC) |
| 2 | 21 | Pocone (MT), Inhumas (GO), Itumbiara (GO), Corumbá (MS), Quebrângulo (AL), Ca- <br> maçari (BA), Guaiuba (CE), Alcântara (MA), Bonito (PE), Jardim das Piranhas (RN), In- <br> huma (PI), Iranduba (AM), Bujaru (PA), Guajará Mirim (RO), Cataguases (MG), Mariana <br> (MG), Barra Mansa (RJ), Jacareí (SP), Rio Branco do Sul (PR), Lageado (RS), Blumenau <br> (SC) |
| 3 | 18 | Várzea Grande (MT), Candeias (BA), Barbalha (CE), Palmeira dos Índios (AL), Picos <br> (PI), Bacabal (MA), Araripina (PE), Parnamirim (RN), Parintins (AM), Altamira (PA), <br> Cacoal (RO), Divinópolis (MG), Petrópolis (RJ), Presidente Prudente (SP), Ribeirão Preto <br> (SP), Cascavel (PR), Santa Maria (RS), Florianópolis (SC) |
| 4 | 11 | Anápolis (GO), Campo Grande (MS),Cuiabá (MT), Itabuna (BA), Vitória do Santo Antão <br> (PE), Porto Velho (RO), Boa Vista (RR), Governador Valadares (MG), Belfort Roxo (RJ), <br> Campinas (SP), Londrina (PR) |
| 5 | 10 | Goiânia (GO), Brasilia (DF), Maceió (AL), Caruaru (PE), Belém (PA), Duque de Caxias <br> (RJ), Guarulhos (SP), Natal (RN), Porto Alegre (RS), Curitiba (PR) |
| 6 | 8 | Salvador (BA), Fortaleza (CE), São Luís (MA), Recife (PE), Manaus (AM), Belo Hori- <br> zonte (MG), Rio de Janeiro (RJ), São Paulo (SP) |

Source: MDS/Pólis Pesquisa.

The regional data was weighted according to the ratio between the number of Bolsa Família beneficiaries and the population at large, for each region.

Table 4 - Sample weighting to expand the results

| Region | Population of beneficiaries |  | Sample |  | Weighting for analysis <br> purposes |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | $\%$ | Absolute numbers | $\%$ | Absolute numbers |  |
| North | 8.0 | 562,111 | 17.26 | 400 | 1.6142 |
| Northeast | 50 | $3,511,874$ | 30.97 | 718 | 0.2675 |
| Center-West | 4.6 | 325,393 | 17.26 | 400 | 0.6200 |
| South | 10.7 | 754,095 | 17.26 | 400 | 1.55 |
| Southeast | 26.7 | $1,876,192$ | 17.26 | 400 |  |
| Total | $\mathbf{1 0 0}$ | $\mathbf{7 , 0 2 9 , 6 6 5}$ | $\mathbf{1 0 0}$ | $\mathbf{2 , 3 1 8}$ |  |

[^11]
## Questionnaire I

Good morning/good afternoon/good evening. My name is....... I work for Pólis Pesquisa, and we are doing a survey all over the country on wha the families think about the social benefits they receive. Would it be possible speak to ... (NAME OF THE PERSON LEGALLY RESPONSIBLE)? Pólis will treat all your opinions as being strictly confidential.

$\begin{array}{llllll}\text { 1.Size } 1 & \text { 2. Size } 2 & \text { 3. Size } 3 & \text { 4. Size } 4 & \text { 5. Size } 5 & \text { 6. Size } 6\end{array}$
10. (NOTE) This interview is with
10. |___|
1.Family from original list (GO TO QUESTION 12)
2. Substitute family
11. (IF SUBSTITUTE)
A. Number of substitutions because of change of address 11 A.
B. Number of substitutions because legally responsible person not found after 2 return visits. 11B.
C. Number of substitutions because of refusals
12. (NOTE THE NUMBER OF PEOPLE IN THE HOUSEHOLD. IF MORE THAN ONE HOUSEHOLD IN BUILDING AND THEREFORE MORE THAN ONE FAMILY, NOTE THE DATA OF SELECTED FAMILY. USE HOUSEHOLD CONCEPT ONLY WHEN MORE THAN ONE FAMILY IDENTIFIED IN THE HOUSE:
FROM THE PHYSICAL POINT OF VIEW: separation is considered to exist when a dwelling place is limited by walls, fences, curtains, covered by a roof and allows a person or group of people to isolate themselves from others in order to sleep, or prepare and eat food. Independence means there is direct access to the dwelling place without passing through the dwellings other people.
FROM THE ECONOMIC POINT OF VIEW: separation and independence are understood to mean the autonomous constitution of a family nucleus in economic terms and of living together. It should be verified whether the person or family lives and eats separate from others. It should be verified whether their financial situation is independent or not.
Parameters adopted for the concept household (and for family unit): TWO OF THE FOLLOWING PARAMETERS EXISTING IN THE INDEPENDENT COLUMN PLUS THE (SOCIAL) INCOME PARAMETER SIGNIFY ANOTHER HOUSEHOLD EXISTS.)

|  | SHARED | INDEPENDENT |
| :--- | :--- | :--- |
| PHYSICAL ACCESS TO |  |  |
| THE HOUSE |  |  |
| KITCHEN |  |  |
| INSIDE SPACES OF <br> HOUSE |  |  |
| INCOME |  |  |

12. Number of people in the household
13. |||

SOCIOECONOMIC - WRITE DOWN ON THE ATTACHED FORM EVERYONE LIVING IN THE HOUSEHOLD (Q. 13 A Q.28) 13. (Write down on the attached form the names of everyone living in the household. LETTER A, ALWAYS THE LEGALLY RESPONSIBLE PERSON)

[^12]15. Marital status

| 1. Married/living together | 2. Separated/divorced |
| :--- | :--- |
| 3. Single | 4. Widowed |


| 16. Sex | 2. Female (not pregnant, not breast feeding) |
| :--- | :--- |
| 1. Male 4. Female (breast feeding) <br> 3. Female (pregnant)  |  |

## 18.Are you studying?

1. Yes, in private school 2. Yes, public school
2. No, only formerly
3. No, never went to school

## 19. (ONLY FOR THOSE STUDYING)

How many times did you go to school last week? 8. Not applicable
20. The last or present schooling leve
00. Never studied (over 7 years old)
70. Never studied (six years old or under)
71. Crèche
72. Infant school ( 4 to 6 years old)
73. Special literacy training courses

1. Up to year one
2. Up to year two
3. Up to year three
4. Up to year four
5. Up to year five
6. Up to year six
7. Up to year seven
8. Up to year eight
9. Up to year one senior high
10. Up to year two senior high
11. Up to year three senior high
12. Higher education incomplete
13. Complete higher education
14. Post graduation
15. Occupational situation
16. Employer
17. Salary earner with signed labor card
18. Salary earner with labor card unsigned
19. Autonomous worker paying social security
20. Autonomous worker not paying social security
21. Retired/pensioner
22. Rural laborer
23. Rural employer
24. Unemployed
25. Non worker
26. In the last three months have you been examined by any professional member of the public health services? (ANY PROFESSIONAL: NURSE, NUTRITIONIST, DOCTOR, PHYSIOTHERAPIST. NOT DENTIST)
27. No
. Yes, at home
28. Yes, at the health service installation
29. Have you sought for employment in the last 30 days?
30. Yes 2. No
31. Do you have a Birth/Marriage Certificate?
32. Yes 2. No
33. Do you have an Identity Card?
34. Yes 2. No
35. Do you have a Individual Taxpayer Registry (CPF)?
36. Yes 2. No
37. Do you have a Voters ID Card?
38. Yes 2. No
39. (STIMULATED, ATTACHMENT 1) Color
40. White
41. Black
42. Oriental
43. Brown
44. Indigenous

$$
\text { Questionnaire number } \mid
$$

| 13. Name | 14. Relationship to the reference person | 15. <br> Marital <br> Status | 16. Sex | 17. Age (WRITE IT) | 18. Are you studying? | 19. <br> How <br> many <br> days <br> did you <br> go to <br> school <br> last <br> week? | 20. Schooling: highest school year studied | 21. Occupational situation | 22. In the last three months have you been examined by any professional member of the public health services? | 23. <br> Have <br> you <br> sought <br> for em- <br> ploy- <br> ment in <br> the last <br> 30 <br> days? | 24. Do you have a birth certificate? | 25. Do you have an Identity Card? | 26. Do you have an Individual Taxpayer Registry (CPF)? | 27. Do <br> you <br> have a <br> Voters <br> ID <br> Card? | $\begin{aligned} & 28 . \\ & \text { Color } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A ! _ 1 | A I__\| | A \| | A ___II__\| | A 1 | A ! _ I | A \|__II__| | A \|__II__| | A ! _ I | A I__I | A ! __I | A L__I | A \\|___ | A ! __I | A ! __I |
| B | B I__I | B I__\| | B \| _ 1 | B I__II__\| | B $\quad$ __I | B \__I | B L__IL__\| | B L__IL_I | B I__I | B I__I | B I__I | B ! _ 1 | B I__\| | B I__I | B I__I |
| C | C I__\| | C L__I | C I__I | C L__II__\| | C I__I | C I__I | C L__II__I | C I__II_I | C I__I | C I__I | C I__I | C I__I | C L__I | C I__I | C I__I |
| D | D I__\| | D L__I | D I__I | D L__II__\| | D I__I | D I__I | D I__II__\| | D I__II__\| | D I__I | D I__I | D I__I | D I__I | D I__I | D L__I | D I__I |
| E | E I__I | E I__I | E I__I | E L__IL__I | E I__I | E I__I | E L__II__I | E L__II__I | E L__I | E I__I | E L__I | E L__I | E I__I | E L__I | E L__I |
| F | F I__I | F I__I | FI__I | F I__II__I | F L__I | FI__l | F I__II__I | F L__II__I | F I___l | F L__I | F I__l | F I__I | F L__I | F L__I | F I__l |
| G | G \|__| | G \| __| | G I__I | G \|__II__| | G I__I | G \|___l | G \|__II__| | G I__II__\| | G I___l | G \| __I | G I___l | G I__I | G \| __I | G \| ___ | G I___l |
| H | H I__I | H I___ | H | H I__II_I | H | H I__I | H L__II__I | H I__II__I | H | H l__l | H I__l | H I__I | H | H I__I | H |
| 1 | 1 \|__| | 1 ا__\| | 1 I__\| | I \|__||__| | $11+1$ | 1 I__\| | I \|__|l__| | I \|__||__| | 1 ! _ 1 | 1 I__\| | 1 I__\| | I I___\| | 11___l | 1 L__1 | 1 1__1 |
| J | J \|__| | J \|__| | J L__I | J L__II__I | J L__I | J I__I | J \|__II__I | J l__II__\| | J L__I | J L__I | J L__I | J L__I | J | J I__l | J L__I |
| K | K I__I | K I__I | K I__I | K I__II__I | K I__I | K I__I | K I__II__I | K I__II__I | K I__I | K I__I | K I__I | K I__I | K I__I | K I__I | K I__I |
| L | L \\| _ 1 | L \\| __| | L I _ 1 | L L__II__\| | L I __I | L I__I | L I__II__\| | L I__II__\| | L \\|__| | L I _ 1 | L I__I | L \\| _ 1 | L I__I | L I _ I | L I _ I |
| M | M $1+\ldots$ | M \| __| | M \| __| | $\mathrm{M} \mid \ldots \ldots \mathrm{II}$ | M I__I | M I__I | M\|__II___| | $\mathrm{M} \mid$ __II__I | M I__I | M I__I | M $\square_{\square}$ | M | M I__I | M | M I__I |
| N | N \|___| | N I__I | N I___l | N \|__II__| | N I__I | N I__I | N I__II__I | N I__II__I | N I___\| | N I___l | N I__I | N \\|___l | N I__I | N I___l | N I__I |
| 0 | O - 1 | O I__I | O | O \|__|l_ | O L__1 | O I__I | O I__\|l__| | O I__II__\| | O L__1 | O L__ | O I__I | O L__I | O L__ | O! _ 1 | O I__ |

[^13]Questionnaire number
29. (SPONTANEOUS) What is the name of the social program that hands over a cash benefit to your family every month? (DO NOT READ OUT ANSWERS. USE CODE ONLY AND REGISTER OTHER)

1. Bolsa Família Program $\quad$ 2. Bolsa Alimentação (Food Grant Program) 3. Bolsa Escola (School Grant Program) 4. Gas voucher Other $\qquad$ (REGISTER) $\qquad$
2. In your opinion, is the Bolsa Família Program part of the Zero Hunger campaign?
3. Yes 2. No 9.DK/NA
4. |
5. Is the body responsible for transferring the cash benefit to your family every month, the municipal authority, the state government or the federal government? (IF THE ANSWER IS "LULA" OR "LULA GOVERNMENT" REGISTER AS "FEDERAL GOVERNMENT". MARK ALL MENTIONS IN ORDER OF PRIORITY)

| 1. City hall | 2. State government | 31 A |
| :--- | :--- | :--- |
| 3. Federal government | 9. DK/NA | 31 B |
|  |  | 31 C |

32. (STIMULATED, ATTACHMENT 2) Could you show me the card you usually use to withdraw the benefit? (IF IT IS NOT ON HAND, SHOW THE ATTACHMENT) Looking at these cards which one does your family use to receive the benefit? (SHOW Bolsa Família, Bolsa Escola, Citizen Card, Bolsa Alimentação CARDS)
33. Bolsa Familia
34. Bolsa Escola
. Citizen card
35. Bolsa Alimentação
36. Card not used. Receives with Form
9.DK/NA
37. Your family is benefited by the Bolsa Familia Program. What is the monthly amount that you receive from the Bolsa Familia Program? 999.99 Don't know
38. |__||__||__|.|__||
39. (SPONTANEOUS) What is the name of the government ministry responsible for the Bolsa Familia Program?
40. (ATTACHMENT 3) In general terms how would you evaluate the Bolsa Familia Program? In your opinion is it excellent, good, regular, bad or terrible?
41. Excellent
42. Good
43. Regular
44. |___|
45. Bad
46. Terrible
47. (ATTACHMENT 4) On a scale of 0 to 10 with zero being the worst mark and 10 the best, what mark world you give the Bolsa Familia Program?

48. (ATTACHMENT 5) In regard to the importance of the Bolsa Familia to you and your family, would you say that the program was very important, important, of little importance, or of no importance?
49. Very important
50. Important
51. Of little importance $\quad$ 4. Of no importance
52. (ATTACHMENT 6) Since you started participating in this Program has the life of your family improved a lot, improved, stayed the same, or got worse?
53. Improved a lot
54. Improved
55. Stayed the same
56. Got worse
57. Got a lot worse
58. 
59. (ATTACHMENT7) In your opinion is the amount your family receives from the Bolsa Familia Program quite high, high, reasonable, low or very low?

| 1. Quite high | 2. High | 39. $\mid$ |
| :--- | :--- | :--- |
| 3. Reasonable | 4. Low |  |
| 5. Very low | 9. DK/NA |  |

40.In regard to the future, do you think that five years from now the life of your family will be better than now, the same, or worse?
$\begin{array}{ll}\text { 1. Better 2. The same } & \text { 3. Worse }\end{array}$
40.
41. (SPONTANEOUS) In regard to the future, do you think that five years from now your family will still need to receive this benefit? (DO NOT READ OUT OPTIONS - USE CODE ONLY)

1. Yes
2. Hope not
3. No
4. DK/NA

## REGISTRATION

42. (SPONTANEOUS. DO NOT READ OUT CODED OPTIONS) How did you get to know about the registration for becoming Bolsa Familia Program beneficiary?
43. Announcements on the radio, TV, in newspaper, or magazine or pamphlets
44. Loudspeaker van announcements

45. Through some politician or candidate
46. Through the children's school
47. Through the health center
48. Through the church
49. Through a charity institution or NGO
50. Through the residents Association
51. Through a municipal employee
52. Other
53. (SPONTANEOUS. DO NOT READ OUT CODED OPTIONS). When you enrolled in the Bolsa Familia Program, where did enrolment take place?
54. At home (GO TO QUESTION 44) 43. |___||
55. In a school
56. In a health center
57. In a church
58. At the residents association
59. In some municipal body including a mobile administrative post
60. At city hall
61. In the office of a politician or candidate
62. Other

43A. Is the place where the enrolment took place in your own residential neighborhood?

1. Yes
2. No
3. $N / A$
43A |___|
4. Did any politician or candidate offer to help to get you or your family registered?
5. Yes
6. No
7. |
8. Has you or your family's registration been updated at any time?
9. Yes
10. No
11. DK/NA
12. ___
46) (SPONTANEOUS. DO NOT READ OUT CODED OPTIONS) Whom would you turn to for help if you had any kind of problem with receiving the program money such as blocking, suspension or canceling of the benefit?
1. City hall/ municipal-regional bodies, secretariats $46 .\left.\right|_{\quad} \quad \mid$
2. Federal Savings Bank
3. The place where benefit is received
4. Ministry of Social Development
5. Council or Committee controlling the benefit
6. Other
(he bene
7. Cannot say
(REGISTER)

## CHANGE IN FAMILY STRUCTURE

47. After you registered in the program did your family experience any of the following situations?
48. Yes 2. No

47A. A working member of the family that was contributing to income became unemployed
47B. An unemployed or non working member of the family obtained employment
47C.Another child was born in the household
47D. A household member died
47E. A household resident moved out
47F. A new person moved in
47G. One of the children at home changed schools
47 H . One of the younger children reached school age


## Questionnaire II


48. (ATTACHMENT 8) In your opinion is it very difficult, difficult, easy or very easy to use the program benefit card?

1. Very easy 2. Easy
2. Difficult 4. Very difficult
3. |
4. Who actually withdraws the benefit money using the card?
5. You (the legally responsible person) $\qquad$
$\qquad$
6. Husband/wife/partner
7. Son/daughter
8. Another family member
9. Another person not living in the household
10. Other
11. Who is responsible for spending the benefit money?
12. You (the legally responsible person)
13. |__|
14. Husband/wife/partner
15. Son/daughter
16. Another family member
17. Another person not living in the household
18. Other
19. Do you find it very difficult, difficult, easy or very easy to get to the place where you receive the program money?
20. Very difficult
21. Difficult
22. Very easy
52.(ATTACHMENT 9) In your opinion has the way you have been treated in the place where you receive the benefit been very good, good, regular, bad or very bad?
. Very good
23. Good
24. Regular
25. |___|
26. Very bad
27. Bad
28. Where do you and your family receive the benefit?
29. Bank
30. Lottery shop (GO TO QUESTION 55)
31. Other (GO TO QUESTION 55)
32. When you go to the bank do you withdraw the benefit money with a magnetic card or using a form?
33. Card
34. Form
35. Not applicable
36. |
37. When you go to receive the money is the service usually fast or does t take time?
38. Fast 2. Takes time
39. |
40. DK/NA (GO TO QUESTION 57)
41. How long does it usually take you to manage to withdraw the program money?
(REGISTER MINUTES)
42. Not applicable
43. DK/NA

44. Do you always know each month from which day on you can withdraw the benefit money?
45. Always know the day $\quad$ 3. Never know the day 57.
46. Sometimes don't know the day 9.DK/NA
47. (ATTACHMENT 10. READ OUT LOUD WITH THE INTERVIEWEE)

I would like to remind you that people can spend the program money as they see fit. With that in mind I am going to read a list of things that families usually spend on. I would like to know which of them you usually spend program money on. (REGISER IN ORDER OF PRIORITY, 3 MENTIONS) Anything else? (REGISTER) Anything else?

## 1. Food

2. Clothing/footwear
3. Medicines
$\qquad$
4. Rent
5. Cleaning and personal hygiene material (toilet soap, toilet paper, detergents etc.)
6. Transport (bus tickets for example)
7. School material (notebooks, books, pen, pencil)
8. Leisure activities
9. Water/electricity/gas
10. Other
11. How much did your family spend on food and household goods last month?
 000.00 Nothing
$\qquad$
12. How much did your family spend on medicines last month?
 000.00 Nothing
13. How much did your family spend on the electricity bill last month? (IF SHARED METER REGISTER AMOUNT)
 000.00 Nothing

## THE PROGRAM AND FOOD

62. (STIMULATED. ATTACHMENT 11 READ OUT LOUD WITH THE INTERVIEWEE) Considering the amount of food consumed by your family would you say that:
63. Often there is not enough for everyone to eat well
64. $\mid$
65. Sometimes there is not enough for everyone to eat well
66. There is always enough for everyone to eat well
67. DK/NA
68. (ATTACHMENT 12) In regard to the quality of food consumed by your family would you say that the quality is very good, good, regular, bad or very bad?
69. Very good
70. Good
71. Regular
72. |__ |
73. Bad 5. Very bad
74. Still thinking in terms of family meals..
75. Every day of the week 2. Almost every day
76. A few days a week 4. Not one day

64A. How many times a week do all the adults in the household have three meals a day or more?
64B. How many times a week do all the children have three meals or more per day?

64B
___1
65. Since your family has started receiving money from the Bolsa Familia, would you say that your family's food has improved a lot improved, stayed the same or got worse?
1 Improved a lot 2 Improved 65. |__|
3 Stayed the same 4 Got worse 5 Got a lot worse

## THE PROGRAM AND OPPORTUNITIES

66. In the last year have you or any member of your family participated in a training or capacity building course for employment?
67. No
68. 
69. In the last year has any illiterate youth or adult in the family started to study?
70. No, because everyone can read and write 67. $\mid$
71. No, but there are family members that are illiterate

3 Yes, at least one person
68. In the last year has any member of your family had access to a micro-credit program (loans for starting a small business)?
2. No
68. |
69. Does any member of your family belong to a work cooperative?

1. Yes 2 No $\qquad$
2. Have you or any member of your family ever been embarrassed or suffered from prejudice because of receiving the Bolsa Familia? 1 Yes $\qquad$
$\qquad$
2 No (GO TO QUESTION 72)
3. (IF 'YES' in QUESTION 70) Where did it happen?
4. In school $\qquad$
$\qquad$
5. In the health services
6. In the place where benefit is received
7. In the workplace
8. In the community where we live
9. In the City hall or a municipal body
10. In some other place
11. Not applicable

Questionnaire number $\qquad$
II
___II_
72. Do you know people who need the Bolsa Familia benefit but that are not receiving it?

1. Yes
2. No
3. |__|
4. Do know people who are receiving the Bolsa Familia, benefit that do not really need it?
5. Yes
6. No
7. |__ |
8. Do you know whom to approach to inform about people who are receiving the cash benefit from the program but that do not need it?
9. Yes
10. 
11. Yes, but wouldn't go there
12. No (GO TO QUESTION 76)
13. In those cases which are the bodies that ought to be informed? (INSIST ON NAMING THEM EVEN IF THE ANSWER WAS 'wouldn't go there')
14. Municipal authority/body or secretariat
15. |
16. Caixa Econômica Federal (Federal Savings Bank)
17. Ministry of Social Development (0 800)
18. Committee or Council Controlling the benefit
19. Other 88.Not applicable 99. DK/NA

## PROGRAM AND CONDITIONALITIES

76. (SPONTANEOUS - DO NOT READ OUT CODED OPTIONS) The Bolsa Familia Program makes certain provisos for the families that receive the cash benefit. What are the obligations and responsibilities of families that receive the benefit? (MARK ALL THOSE MENTIONED)
77. Keep the children going to school
78. Keep the children's vaccination programs up to date 76 B
79. Antenatal check-ups for pregnant women
80. Others $\qquad$ (REGISTER)
76 C
81. (ATTACHMENT 13) According to program rules the families must keep the children in school with at least $85 \%$ attendance in classes. The rules also establish that children must be duly vaccinated and pregnant women undergo antenatal check-ups. Would you say that you totally agree, partly agree, partly disagree or totally disagree with such rules?
82. Totally agree
83. Partly agree
84. Totally disagree
85. DK/NA
86. (SPONTANEOUS) What does the government take into account when selecting families to receive the Bolsa Família benefit? (DO NOT READ OUT CODED OPTIONS. REGISTER FIRST MENTION)
1 Per capita income below 100 Reals $\qquad$
$\qquad$
87. Children in the family
88. Being poor
89. Low income
90. Earning very little
91. Political influence/indication
92. No criterion whatever
93. Others
(REGISTER)
94. DK/NA
95. Is the school that your children attend very near, near, far or very far to or from your home?
96. Very near
97. Near
98. |__|
99. Far
100. Very far
101. In the place where you live is it very easy, easy, difficult or very difficult to get a place for a child in a public school?

| 1. Very easy | 2. Easy | $80 . \mid$ |
| :--- | :--- | :--- |
| 3. Difficult | 4. Very difficult |  |
| 9. DK/NA |  |  |

81. Is the nearest health center to your home very near, near, far or very far?
82. Very near
83. Near
84. Fa
85. Very far
86. 
87. DK/NA
88. In the place where you live is it very easy, easy, difficult or very difficult to receive attention in the public health services

| 1 Very easy | 2 Easy |  | 82. |
| :--- | :--- | :--- | :--- |
| 3 Difficult | 4 Very difficult | 9.DK/NA |  |

83. In the district or neighborhood where you live is there a unit or agent of the Family Health Care Program (PSF)?
84. Yes
85. No
86. Don't know
87. $\qquad$
88. Is your family usually treated by the Family Health Care Program?
89. Yes, frequently
90. 
91. Yes, occasionally
92. Yes, but seldom
93. No, we have never been treated by the PSF
94. Has any woman in the house become pregnant in the last year?
95. Yes
96. |
97. No (GO TO QUESTION 87)
98. (ONLY IF 'YES' IN PREVIOUS QUESTION) How many antenatal consultations has the pregnant woman had?
99. Not one 2. From 1 to 3 consultations
100. $\qquad$
101. From 4 to 5 consultations 4.6 or more consultations
102. Not applicable 9. DK/NA

PERCEPTIONS OF THE COUNTRY AND THE GOVERNMENT
87. (ATTACHMENT 14) In your opinion are the initiatives of the Lula government in social programs to fight against hunger and poverty, excellent, good, regular, bad or terrible?

1. Excellent
2. Good
3. Regular
4. |__|
5. Bad
6. Terrible 9. DK/NA

- 

88. In your opinion is the Lula government's commitment to social programs to fight against hunger and poverty greater, the same or less than that of previous governments?
$\begin{array}{lll}\text { 1. Greater commitment } & \text { 2. The same commitment } & 88 . \mid \\ \text { 3. Less commitment } & \text { 9. DK/NA }\end{array}$
89. In your opinion have the programs of the Lula government managed to reduce hunger, hunger is the same as ever or has hunger increased in our society?
$\begin{array}{ll}\text { 1. Managed to reduce hunger } & 89 . \\ \text { 2. Hunger is still the same }\end{array}$
90. Hunger has increased

## DATA ON THE DWELLING

90. The house where you live is..
91. Your own fully paid for
92. 
93. Your own still being paid for
94. Loaned
95. Invaded/squatter
96. Other
97. Rented (GO TO QUESTION 92)
98. Do you have any documentary proof of proprietorship like public registration of the property or title to the land? (IF THE ANSWER IS "SALE/PURCHASE RECEIPT" REGISTER AS 'NO")
99. Yes
100. No
101. |__|
102. (ASK ONLY IF HOME IS RENTED OR MORTGAGED) How much do you pay in rent or for the mortgage per month? (REGISTER) 888.88 Not applicable
999.99 DK/NA $\qquad$
103. How many bedrooms are there in the dwelling? (REGISTER)

0 . None $\qquad$

94 How many toilets are there here? (REGISTER)
0 . None $\qquad$
95. Where is the sewage disposed of?

1. Public sewage system 2. Simple pit $\qquad$
3.Septic tank 4. Open air trench
2. Straight into the river/lake/sea 6. Other

Questionnaire number $\qquad$
96. What is the destination of the domestic waste?

1. Collected (directly or indirectly)
2. $\qquad$
3. Burnt
4. Buried
5. Thrown out on vacant land, in river or lake
6. Other
7. How does your house obtain water?
8. From the public mains
9. $\qquad$
10. Well/spring
11. Water tanker truck
12. Other
13. No supply
14. Do have electricity supply in the home?
1.Yes, with own meter
15. $\qquad$
2.Community/shared meter
16. No meter
17. Other
18. There is no electricity at home
19. Does your home have a fixed telephone?
20. Yes
21. $\qquad$
22. Does anyone in your home have a mobile phone?
23. Yes
24. No
25. 

$\qquad$
101. What was the total income of all the people living in the household including yours and all other sources: salaries, overtime, money from rent, casual work, pensions, retirement pay, Bolsa Familia amounts etc? (DO NOT INCLUDE INCOME OF DOMESTIC SERVANT)
101.
___|
_I. 1
___|

Thank you very much for this interview. (COLLECTOR, THIS QUESTIONNAIRE WILL BE CHECKED)

## Part II - Social Assistance

- Study for the Elaboration of the Sentinela Program Index of Municipal Eligibility
- Qualitative Study of the Sentinela Program
- Evaluation of the Implementation of the Continuous Cash Benefit - BPC and the Results for Beneficiaries
- Study of Continuous Cash Benefit: demand, coverage and relations with the Brazilian Social Security System
- Special Social Assistance Supplement to the Survey of Basic Municipal Information
- Survey of Private Non-Profit Social Assistance Entities


# Study for the Elaboration of the Sentinela Program ${ }^{1}$ Index of Municipal Eligibility 


#### Abstract

Executive Institutions: Political Science Department of the Federal University of Minas Gerais (DCP/UFMG), through the Research and Development Foundation (FUNDEP) and the Nucleus for Studies and Research on Women of the Federal University of Minas Gerais (NEPeM/UFMG).


Team Members: Marlise Matos (coordinator), Fátima Anastasia, Magna Inácio, Daniela Leandro Rezende, Mauro Lúcio Jerônymo.

Period undertaken: December/2004 to September/2005.

## 1. Objectives

- to identify, typify and establish hierarchies of Brazilian municipalities in regard to situations of risk and vulnerability to the practice of sexual abuse and exploitation of children and adolescents by means of the Index of Municipal Eligibility for the Sentinela Program (IEMS);
- to direct the resources of the Sentinela Program to those populations most exposed to such practices;
- to evaluate the degree of focus of the Program according to IEMS values;
- to provide criteria for eventual adjustments in the direction of the program and for intensifying inspection in order to achieve its goals.


## 2. Methodology

The Sentinela Program Index of Municipal Eligibility (IEMS) was used to classify the situations of a large number of Brazilian municipalities, including those that have already implanted the program, in regard to situations of risk and vulnerability to the practice of commercial, sexual exploitation and abuse of children and adolescents (ESCCA). The IEMS is an instrument for focusing the demands for the program, pointing to those municipalities most eligible to be the object of this form of social policy, thereby optimizing management and implementation efforts and resources.

The IEMS is an index based on multiple considerations made up of Factors, Criteria, Indicators and Variables. Each factor is a partial index calculated on the basis of a series of complementary criteria. A value in points was attributed to each criterion based on one or more indicators, which in turn was multiplied by the statistical weight attributed to each criterion used within the respective factor. Each indicator was made up of one or more variables. The sub-factor produced was then weighted by the statistical weight attributed to the factor in the IEMS. The sum of the partial factors was the final value for the IEMS obtained for each municipality.

[^14]The index was calculated for each municipality which was duly attributed a number of points according to its specificities in regard to the factors reviewed. As can be seen in Table 1, the 3 IEMS component factors Risk, Vulnerability and Infrastructure - are subdivided into criteria which in turn are decomposed into a set of specific indicators. These indicators were weighted in accordance with a perception whereby there exists a scale of relevance in regard to the influence and interference each one of them exercises in the complex phenomenon of the ESCCA in Brazil.

The results obtained for the IEMS are based on a total obtained by adding together different and sometimes mutually exclusive sets of indicators that classify the Brazilian municipalities by attributing to them values varying from 0 to 1 . It is worthwhile mentioning that the IEMS sets out to measure the eligibility of the Brazilian municipalities for the ESCCA and that the criteria that are involved in the Risk Factor calculations are those most relevant for regulating the program. Therefore, those municipalities that were attributed zero points in regard to Risk Factor, were excluded from the classification.

The indicators that were included to determine each component factor of the index, were constructed on the basis of secondary information and supplied by a set of research and survey institutions involved in producing social, economic, demographic and government information and statistics.

Table 1 - Criteria and factors determining eligibility of Brazilian municipalities for the Sentinela Program

| Criterion | Abbreviation | Factor | Factor Weight |
| :---: | :---: | :---: | :---: |
| Capital cities of states and the Federal District | R1 | Risk <br> Factor | 06 |
| Large metropolitan regions and the Integrated Development Region of the Federal District and Surroundings (RIDE) | R2 |  |  |
| Tourism poles | R3 |  |  |
| River ports, seaports and riverside regions | R4 |  |  |
| Highway intersections and municipalities besides highways notorious for ESSCA | R5 |  |  |
| Traditional mining areas and areas of prospector mining | R6 |  |  |
| International border regions | R7 |  |  |
| Regions of indigenous reserves | R8 |  |  |
| Demand registered by the council of guardians | R9 |  |  |
|  |  |  |  |
| Municipal Vulnerability | VM | Vulnerability Factor | 03 |
| Family Vulnerability | VD |  |  |
| Youth and Childhood Protection - having council of guardians implanted and functioning | I 1 | Infrastructure <br> Factor | 01 |
| Having access in their municipality to social assistance, education, health and housing | I 2 |  |  |

Source: Study for the Elaboration of the Sentila Program Index of Municipal Eligibility, DCP/NEPeM, UFMG and SAGI/ MDS, 2005.

Risk and social vulnerability are the factors that were attributed greatest weight in the Index ( 6 and 3 respectively) and Infrastructure was given a weighting of 1 . It was also decided to include some variables concerning: (a) the vulnerability of the municipality itself: perceived as being the proportion of the population living with a monthly income of less than R\$75.00 using data for the year 2000; and (b) the vulnerability of the family/social unit: established by compiling data indicative of the situation in regard to vulnerability of children living in the municipality and the vulnerable conditions of the households. This set of indicators highlighted the economic fragility of the municipality and attributed the necessary importance to family-economic vulnerability which is most certainly one of the major elements predisposing families to the phenomenon of sexual exploitation of children and adolescents in Brazil.

A primary synthesis and explanation of the internal weighting made in regard to the various criteria within their respective Risk and Vulnerability Factors can be seen in the following two tables:

Table 2 - The component variables of the Risk Factor

| Criterion | Weight | Relative Weight |
| :--- | :---: | :---: |
| State capitals and Federal District | 1 | 0.053 |
| Large metropolitan regions | 1 | 0.053 |
| Tourism poles | 3 | 0.158 |
| River ports, seaports and riverside regions | 3 | 0.158 |
| Large highway intersections | 3 | 0.158 |
| Prospector mining zones | 3 | 0.158 |
| International border regions | 2 | 0.105 |
| Regions of indigenous reserves | 1 | 0.053 |
| Demand registered in the council of guardians | 2 | 0.105 |
|  | $\mathbf{1 9}$ | $\mathbf{1 . 0 0}$ |

Source: Study for the Elaboration of the Sentila Program Index of Municipal Eligibility, DCP/NEPeM, UFMG and SAGI/ MDS, 2005.

Table 3 - The component variables of the Social Vulnerability Factor

| Criterion | Weight | Weighting Factor |
| :--- | :---: | :---: |
| Municipal Vulnerability | 5 | 0.5 |
| Family Vulnerability | 5 | 0.5 |
|  | $\mathbf{1 0}$ | $\mathbf{1 0 0 0}$ |

Source: Study for the Elaboration of the Sentila Program Index of Municipal Eligibility, DCP/NEPeM, UFMG and SAGI/ MDS, 2005.

The infrastructure factor concerns the structural conditions of the municipality in regard to handling the problem, namely: childhood and adolescence protection and the situation of the networks supposed to meet the needs of children and adolescents. This last factor was given a lower weighting in calculating the IEMS even though it is just as relevant as the others in terms of municipal eligibility, considering that not only the successful implantation of the program but above all, its continuity, permanence and effectiveness will depend on the municipal administrators, and the structural, budget and technical conditions of their municipalities and respective social care networks.

Table 4 - The component variables of the Infrastructure Factor

| Criterion | Abbreviation | Weight | Weighting Factor |
| :--- | :--- | :---: | :---: |
| Childhood and <br> Adolescence Protection | Existence of council of guardians and councils for the <br> protection of children and adolescents | 4 | 0.333 |
| Situation of the <br> Social care network <br> for children and <br> adolescents <br> (Intersector Potencial) | Social assistance | Health | 2 |
|  | Education and professional qualification | 2 | 0.167 |
|  | Housing | 2 | 0.167 |
|  | Social assistance | 2 | 0.167 |

Source: Study for the Elaboration of the Sentila Program Index of Municipal Eligibility, DCP/NEPeM, UFMG and SAGI/ MDS, 2005.
 <br> \title{
Qualitative Study <br> \title{
Qualitative Study of the Sentinela Program ${ }^{1}$
}

Executive Institution: Political Science Department of the Federal University of Minas Gerais (DCP/UFMG), through the Research Development Foundation (FUNDEP), and the Nucleus for Studies and Research on Women of the University of Minas Gerais (NEPeM/UFMG).

Team Members: Marlise Matos (coordinator), Fátima Anastasia, Magna Inácio, Daniela Leandro Rezende and Mauro Lúcio Jerônymo.

Period undertaken: January/2006 to September/2006.

## 1. Objectives

- to evaluate the implementation (implantation and management) of the Sentinela Program and its effects on/results for the beneficiaries;
- to propose minimum quality standards for the Sentinela Program;


## 2. Methodology

This evaluation was organized on three axes. The first axis, that of implementation, is aimed at analyzing the following processes for which specific indicators were constructed: (i) the municipal socio-economic context; (ii) infrastructure for the service in the municipality; (iii) the degree of integration of the actions (iv) social control; and (v) institutional transversality.

The second dimension concerns the results and on the quality of life and social inclusion of the beneficiaries.

The third dimension refers to the proposal for Minimum Quality Standards (PMQ) for the Sentinela Program that would represent a reference model for state and municipal program managers offering orientations on management and service supply and other aspects of the program.

It was therefore, a qualitative evaluation in which 301 semi-structured interviews were carried out with state and municipal administrators, heads of municipal social assistance secretariats, municipal Sentinela Program coordinators, presidents and/or representatives of councils of guardians, municipal children's and adolescents' rights councils and the municipal social assistance councils; other representatives of the Systems for Guaranteeing Human Rights, representatives of NGOs doing work related to children and adolescents in the respective municipalities; the entire technical team and some other staff members of the Center and/or Services involved, namely: the program coordinator, the social assistants, psychologists, representatives of the health sector, teachers and/or social educators, attendants/receptionists, drivers, and other staff (when necessary), representatives of the families and/or those responsible for the children and adolescents benefited by the program.

[^15]In addition to the face-to-face interviews, a considerable volume of information was acquired from secondary sources and was subsequently organized and analyzed, as for example: Implantation Plans/Projects with their diagnoses of areas of vulnerability and social risk; the descriptions of the CRAS functioning in the municipality and the presentation of human resources policies in place in the municipality; the Municipal Social Assistance Plan; the Yearly Plan for Social Assistance Actions; a plan for the monitoring and evaluation of social protection activities; the Municipal Budget Law - LOA; and the Annual Management Report. Publicity material from campaigns and material for awareness raising among the general public were also collected as well as other material.

Data collecting and subsequent analysis were based on a triangulation method that involved:(1) comparative studies (each municipality corresponding to a case); (2) face-to-face semi-structured validating interviews; (3) documental analysis (whether the data was gathered in the municipality or whether it was information on the program supplied by the MDS; (4) direct observation in the field.

## 3. The sample

14 municipalities were selected representing $4.5 \%$ of the 314 municipalities where the Sentinela Program had been implanted in 2005. The selection of municipalities was aimed at forming three types of cluster constructed on the basis of the following criteria:

- the year the Sentinela Program was implanted in the municipality;
- the degree of eligibility of the municipality for the Sentinela Program (high, medium, low);
- the population size of the municipality.

It was decided that to make up the sample, municipalities should be chosen where implantation took place in 2001 (initial stage) or 2003 (intermediate stage) so as to establish conditions for analyzing two different stages of development of the program thereby verifying the occurrence of any differences in program results that could be attributed to those stages.

The second step was to define a body of municipalities that had been implanted in 2001 and 2003 that occupied different positions in the Sentinela Program Index of Eligibility of Municipalities ${ }^{2}$. To choose that group a higher number was selected from among the municipalities with a high eligibility rating ( 8 municipalities, more than half the sample). Following that 2 municipalities with an average eligibility were selected and 2 with low eligibility. With the abovementioned criteria in mind, it was decided that in six municipalities the Sentinela Program should have been implanted in 2001 and in the other six, in 2003.

With the twelve municipalities defined, the next step was to establish a section taking into account size categories of municipalities' populations so that the sample should embrace different types of municipalities: metropolises, and large, medium and small-sized municipalities.

The next step was to identify, for inter-temporal comparison purposes, municipalities that were "twins" in regard to all the characteristics mentioned here except that of the year of program implantation. It was further decided that once the criteria for establishing a parallel between the "matched" municipalities had been met, those chosen would be on the basis of their higher eligibility indices within their respective size categories (large, medium and small). Establishing parallels between municipalities in the sample avoids introducing bias in the evaluation stemming from the heterogeneity observed among the municipalities.

Taking into account all those criteria the choice of municipalities where the program had been implanted in 2001 was made and then the "matching" strategy was used to define the "twin" municipalities where the program had been implanted in 2003.

[^16]The procedures used to establish parallels between the municipalities were as follows:
(1) the probability of the municipality's participating in the Sentinela Program were estimated based on the municipal IEMS, the population size category, and the geographical region in which it is located;
(2) that probability was then used to identify one municipality with the program implanted in 2001 and the other with the program implanted in 2003;
(3) based on this preliminary differentiation and using the probability to establish the matching of the municipalities, eight municipalities with high eligibility ratings were chosen, two with medium ratings and two with low eligibility, making up twelve municipalities in all;
(4) the selection then made inside those levels was based on the size of the municipal population. Among the group of highly eligible municipalities, two metropolises were selected, two large municipalities, two medium ones and two small ones. Among the group of medium eligibility two small municipalities were chosen and two large ones from among the group with low eligibility.

Considering that the nineteen municipalities that had risk zero ratings could not be classified among the eligibility ratings (IEMS) a different criterion was used to choose the two municipalities from that specific group to make up the sample. The criterion used was the number of social programs there were in the municipality.

Table 1 - Final list of the selection of the municipalities of the sample

| Degree of Eligibility | Implantation in 2001 | Implantation in 2003 | Degree of Eligibility | Population size <br> category |
| :--- | :---: | :---: | :---: | :---: |
|  | Manaus (AM) | Fortaleza (CE) | 10 | Metropolis |
|  | Ilhéus (BA) | Maceió (AL) | 10 | Large |
|  | Corumbá (MS) | Formosa (GO) | 10 | Medium |
|  | São Gonçalo (CE) | Guajará-mirim (RO) | 10 | Small |
| Medium | Nova Andradinha (MS) | Porto Nacional (TO) | 6 | Small |
| Low | Guaruáá (SP) | Petrópolis (RJ) | 4 | Large |
|  |  |  |  |  |
| Degree of Eligibility | Implantation in 2003 | Implantation in 2004 | Degree of Eligibility | Population size <br> category |
| ZERO RISK <br> SENTINEL <br> Municipalities | Santana (AP) |  | Medium |  |

Source: Quality Study of the Sentinela Program, DCP/NEPeM, UFMG and SAGI/MDS, 2005.

The sample of families/beneficiaries to be interviewed was non random and followed the indications made by coordinating bodies and municipal Sentinela Program managers. 42 semi-structured interviews were carried out with families being served by the program and information was collected in the institutions involved in confronting abuse and sexual exploitation of children in the municipal sphere. No interviews were held with families that had sought out the Reference Centers but had not been attended to whether because of the excessive demand or any other reason.

## BASIC SEQUENCE - Interview with MANAGERS (Heads of Secretariats and Sentinel Program Coordinators)

## Module I: Implementation (Implantation and management)

If you were asked to list in order the social problems faced by this municipality what would they be? And the Economic ones? And the political ones?

In your opinion, how big a problem is ESCCA (Sexual and Commercial Exploitation of Children and Adolescents) in this municipality? (If possible make a comparison with other municipalities)

What are the main factors causing ESCCA in the municipality?
How are these factors being combated?
In your opinion, how big a problem is sexual abuse in this municipality? (If possible make a comparison with other municipalities)

What are the main factors causing sexual abuse in this municipality?
How are these factors being combated?
What are the main areas of action of this Center/Service?

When was the Sentinel Program implanted in the municipality?
Who took the initiative of implanting the Program?
Do you know the reason why this municipality was chosen to participate in the Sentinel Program?
Could you please reconstitute the decision making process (the stages/moments and who effectively made the decisions) that led to the implantation of the Sentinel Program in this municipality..

Can you remember any difficulties or obstacles that had to be overcome? Which were the most important ones? How were they eventually overcome and by whom?

Once the implantation of the program had been decided on, who was mainly responsible (people and or bodies and entities) for implementing the Program?

Is there now, or has there been at any time, participation on the part of State authorities? If so which state bodies? What was the form of that participation?

And what about Federal bodies? Which ones? In what way?

Is there now, or has there been at any time, participation on the part of the Municipal Council and/or of any individual Councilor? In what way?

How were the municipal authority and its various secretariats involved in the process of implanting the Program in this municipality? And what form does their participation in running the program take? That is to say, what is the relationship between the implanted Center/Service and the Municipal Social Assistance Secretariat or equivalent body?

Could you please list all the public and private bodies and entities involved in running the Sentinel Program in this municipality? Give special attention to the performance of:

- Council of Guardians, Childhood and Adolescence Council and the Social Assistance Council;
- $\quad$ Committee for combating sexual violence against children and adolescents;
- Institution offering protection and shelter;
- Institution offering social education and strengthening family bonds,
- Nuclei offering psychological care, or for drug-use prevention, training and citizenship, community mobilization and qualification and others.
- Institutions part of the System for Guaranteeing Rights: Justice Branch, Public Prosecutor's office, Justice Secretariats, Citizenship Defense organizations, Public Security Secretariat and Police Forces.

Could you state the responsibilities of each one of those bodies and entities? And could you say in what way they work together in the Sentinel program.
Is there any participation on the part of civil society in the implementation of the Sentinel program in this municipality? How would you evaluate that participation?

Has the municipal council accompanied the implementation of the Program in any way? If so, in what way?
Has the Council of Guardians accompanied the implementation of the Program in any way? If so, in what way? (ONLY IF NO PREVIOUS COMMENT HAS BEEN MADE)

Has the Childhood and Adolescence Council accompanied the implementation of the Program in any way? If so, in what way? (ONLY IF NO PREVIOUS COMMENT HAS BEEN MADE)
Has the Social Assistance Council accompanied the implementation of the Program in any way? If so, in what way? (ONLY IF NO PREVIOUS COMMENT HAS BEEN MADE)

Is there a Committee for combating sexual violence against children and adolescents in the municipality? Does it accompany the implementation of the Program in any way? If so, in what way? (ONLY IF NO PREVIOUS COMMENT HAS BEEN MADE)

In your opinion, do the various bodies and entities that participate in managing the Program act in a cooperative way or a competitive way? Why is that?

And how are relations between the public institutions and the private ones that participate in the implementation of the Program? Any suggestions for improvement?
Which body undertakes the coordination and supervision of the services being offered by this unit? What are the procedures involved in coordination?
Can you describe the decision making process within the Sentinel program itself? Who decides? By what procedures?

Could you identify a key agent (individual or institution) whose withdrawal from the program would make its continuation unfeasible? If so, whom or which? Why is that?

Who decides and how, in regard to resources (human and material) that become available to the Program?
How is the selection of agents (people to be contracted by the program) carried out?
Is any kind of training given to these agents? By whom? What kind of training?
What infrastructure is available in the municipality for developing and running the Program?
Do you think it is adequate or inadequate? For what reason?
Which bodies and entities are responsible for infrastructure?
And for maintenance of it?
In your opinion, are there any bottlenecks (obstacles, difficulties) that hinder the development of the program in the municipality? What are they?

Could you identify their origins or causes?
Do you have any suggestions for overcoming them?

What working practices in this field do you consider to have been successful?

How would you evaluate the relations that exist among the Program's managers, agents and beneficiaries? Do you have any suggestions for improving them?

PATTERNS OF INTERACTION AMONG THE FEDERAL, STATE AND MUNICIPAL SPHERES OF GOVERNANCE

INDICATORS OF INTERSECTORALITY IN THE MUNICIPAL, STATE AND FEDERAL SPHERES.

## Module II: Effects/Results/Accompaniment

Could you tell us how the social situation in this municipality has been evolving in recent years?
In your opinion has there been any improvement/worsening of the situation of social indicators here in the municipality?

What are the factors that have contributed towards that, in your opinion?
In comparison with neighboring municipalities would you say that the position of in this municipality has remained the same, improved or worsened in regard to social issues? For what reason?

Could you tell us what has motivated families to seek for the services of the center (in order of occurrence)?
How many families, children/adolescents are handled by this Center/Service? Is the work approach directed at families or concentrated on the child/adolescent victim?

In your opinion, is the ESCCA problem in this municipality becoming more serious or is it doing the opposite, cooling off and receding? Why is that so?

In your opinion, what are the factors that produce the greatest impacts on the ESCCA problem in this municipality? Why is that?

Since the Sentinel Program has been implanted, in your appraisal, what were its impacts on the social problem of ESCCA? Do you have any data you could make available to us in regard to the matter?

In your opinion, is the problem of sexual abuse in this municipality becoming more serious or is it doing the opposite, cooling off and receding? Why is that so?

In your opinion, what are the factors that produce the greatest impacts on the problem of sexual abuse in this municipality? Why is that?

Since the Sentinel Program has been implanted in this municipality, in your view, what have been its impacts on the problem of sexual abuse? Do you have any data you could make available to us in regard to the matter?

Has the Sentinel Program produced any other effects that have a direct impact on the problem of ESCCA and sexual abuse? What are they? Why has that been so?

In this municipality Is there any form of monitoring or inspection of Sentinel program implementation? What form? Who carries it out? What are the indicators used for monitoring?

Do you have any other suggestion that might contribute towards the improvement of the Sentinel Program in this municipality? What is it?

In your opinion, is there any further strategy that could be added to the Program to improve the service supplied to beneficiaries?

## INTERVIEW WITH TECHNICAL STAFF (social assistant, psychologist, sociologist and lawyer) AND CENTER/SERVICE EMPLOYEE/ATTENDANT

## Module I - GENERAL

Ask the interviewee to speak freely about the insertion of the Sentinel Program in the municipality's projects, programs and actions.

In your opinion, what is the role of the Sentinel Program in this municipality? Is it clearly defined? Why?
In your opinion, what measures need to be taken to improve the integration of actions for combating sexual exploitation in this municipality?

Do you think that such measures are in fact being taken? Why is that so?
In your opinion, what measures need to be taken to improve the integration of actions for combating sexual abuse in this municipality?
Do you think that such measures are in fact being taken? Why is that so?
Also ask about any interaction among the various Councils: Guardians, Childhood and Adolescence, and Social Assistance; and about other public and private entities involved.

- Council of Guardians, Childhood and Adolescence Council and the Social Assistance Council;
- Committee for combating sexual violence against children and adolescents;
- Institution offering protection and shelter;
- Institution offering social education and strengthening family bonds,
- Nuclei offering psychological care, or for drug-use prevention, training and citizenship, community mobilization and qualification and others.
- Institutions part of the System for Guaranteeing Rights: Justice Branch, Public prosecutor's office, Justice Secretariats, Citizenship Defense organizations, Public Security Secretariat and Police Forces.


## Module II - FUNCTIONING OF THE SERVICE AND THE STRATEGY OF THE PROFESSIONAL CARE OFFER

What are the Program's main functions? How would you evaluate your own professional performance within the Program?

What do you consider to be the most positive aspects of your professional performance? What are the main advantages and good practices you can identify in your professional performance? Why is that so?
Have you ever had an opportunity of talking to any other team member about this? Why?
What are your main difficulties? What are the main problems that you have detected in your professional performance? Why is that?
Did you have the opportunity to talk about it with a member of the staff? Why?
In your opinion, what should have done to improve your professional performance? Why?
What do you think should be done to improve the physical and material working conditions that affect your performance and responsibilities in this Center/Service? Why is that?

What do you think should be done to improve the human working conditions that affect your performance and responsibilities in this Center/Service? Why is that?
Have you ever had the opportunity of undergoing a capacity building course or training specially directed at the clientele of this service unit/Center? What institutions usually provide this kind of support or carry out capacity building?
In your opinion, who is the professional staff member of this Center that has been most benefited by such courses? Why is that?

In your opinion, are procedures for handling clients clearly defined in this Center? Why is that?
Dou you have suggestions to improve them? Why?
Do you receive support from any other actor or institution, or discuss with them, development issues and the problems or facilities encountered in relation to working procedures that are being carried out?

Is there any interaction between the this Program/service and the Social Assistance Reference Center? (ONLY WHEN SUCH A CENTER EXISTS IN THE MUNICIPALITY)

In your opinion, are methods and techniques for meeting clients' needs clearly defined in this Center?
Do you usually discuss with the administrators of this institution or any other actor linked to the Sentinel Program, working methods, approach and care techniques, referral and work-related problems or successes?

Do you consider that it would be desirable to have specialized attention available for each specific kind of violence suffered by the child or adolescent? Why do you think so? How should it be?

Are the services in this Center focused on care for the families and/or the victims? Could you say whether that approach (to victims or to families) coincides with the directives and approach of the municipality's general policy on social assistance?

Outside the field of your professional performance, could you state what the main activities carried out by this Reference Center/Service are? Could you state the frequency with which they take place? Why is that?

Does this Reference Center offer emergency attention (is there a standby roster for weekends, holidays, vacations etc.)? Why?

Could you state whether the services carried out are concentrated more on cases of sexual abuse and sexual exploitation or if there are other types of service carried out by the Center? What other types?

If other types of service are provided why do you think this occurs?
In your opinion, should the Center really be attending to that other kind of demand? If the answer is yes, then why? If not then what should the procedure be?

Here in the Center do you usually work with active seeking to identify cases of sexual abuse and exploitation of children and adolescents (as for example preventive patrols, visits and denunciations etc.)? If not, why not?
(IF 'YES') What are the procedures used in active seeking? (Give details of any good practice on the part of the Center/Service or the municipality in that direction).

Do you think that the Sentinel Program should adopt two separate lines of action: 1) abuse and other forms of violence; 2) sexual exploitation? In your opinion, would separating the two lines of action contribute towards better results for the Program? Why?

In your opinion, and generally speaking, is there any interest on the part of the families in the activities carried out by the Reference Center/Service? If so, in which activities? Why is that so? If not, why not?
Could you tell me whether there are any cases that you refer to other services/bodies? In what situations? Why?

What measures should be taken, in your opinion, to facilitate the referral of beneficiaries to other services?
Could you say whether there are cases of evasion/desisting from the care being offered by the Program? If so, can you say what the motives would be? If not, could you say why not?

In the case of evasion/desisting on the part of the Program beneficiaries what steps are taken? Why?

## Module III - ACCOMPANIMENT

How is the work of accompanying/monitoring the results of internal services rendered by the Center/Service? What is their frequency?

In your opinion, are these procedures for internal monitoring satisfactory? Why?

## (IF THE INTERVIEWEE FAILS TO MENTION THE PSYCHO-SOCIAL BACKGROUND SHEET AND THE QUALI-QUANTITATIVE REPORT, ASK:)

Do you know what the psycho-social background sheet is? Can you say for what purpose and by whom they have been used?

What is your opinion about filling out the psycho-social background sheet? Do you usually help to fill it out? Why? Do you think it is necessary or useless? Why do you think so? Could you make any suggestions for improving it?

Do you know what the Quali-quantitative report is? Can you say for what purpose and by whom they have been used?

What is your opinion about filling out the Quali-quantitative report? Do you usually help to fill it out? Why? Do you think it is necessary or useless? Why do you think so? Could you make any suggestions for improving it?

Could you inform me on how the work of accompanying referrals made by this Center/Service is carried out? How often?

In your opinion, are those procedures for accompanying referrals satisfactory? Why? Would you make any suggestions for improving them?

Are there any other actions of monitoring/accompanying carried out by the Center which are also involved with the Sentinel Program? Which ones? Who carries them out? For what purposes?
Could you tell me what the criteria are for accompanying those activities that interact with the Sentinel Program?

Does the Center produce systematic reports on accompaniment and monitoring of Program beneficiaries? How often? Who is responsible for them? Why?

Do you hold meetings or have other strategies to accompany and supervise the cases attended to by the Program? How often? Who takes part in the meetings? Why?

Could you state specifically if there is any accompaniment of children/adolescents that are referred to shelters?

IF YES: By whom? How? How often? What do you think about that?
IF NOT: Why not? How should extreme cases be dealt with? How are they dealt with?
Could you state specifically if there is any legal accompaniment of the cases handled here?
IF YES: By whom? How? How often? What do you think about that?
IF NOT: Why not? How should cases where it is necessary be dealt with? How are they dealt with?

## Module IV - RESULTS

In your opinion, are there any bottlenecks (obstacles, difficulties) that hinder the development of the program in the municipality? What are they?

Could you identify their origins or causes?
Do you have any suggestions for overcoming them?
What working practices in this field do you consider to have been successful?
How would you evaluate the relations that exist among the Program's managers, agents and beneficiaries? Do you have any suggestions for improving them?

How do you evaluate the degree of success obtained by the Sentinel Program in your municipality? How completely has it achieved its objectives? Why? Do you have any suggestions that might improve the results? What are they?

## Sequence for interviewing mothers/families

## SEQUENCE FOR INTERVIEWING MOTHERS/Families

How were you directed here for attention? By whom? For what reason?
When you arrived here, who was the first person to receive you? And after that? (IF HE/SHE FAILS TO MENTION EVERYONE, ASK ABOUT THEM ONE BY ONE)
What is your opinion about the attention you received as soon as you arrived here? Why?
What is your opinion about the social assistance attention you received here? Why?
What is your opinion about the psychological support you have received here? Why? (ONLY IF THERE IS SUCH SERVICE)

What is your opinion about the legal attention you received here? Why? (ONLY IF THERE IS SUCH SERVICE)
In regard to the attention that was given to your son/daughter:
What is your opinion about the psychological support he/she has been receiving here? Why?
What is your opinion about the social support he/she has been receiving? Why?
What is your opinion about the legal support he/she has been receiving? Why? (ONLY IF THERE IS SUCH SERVICE)

How many times a month do you take part in the activities run by the Center/Service? Why?
Which activities do you take part in at the Reference Center? Why?
Apart from yourself, do other members of the family receive support from the Center? What support? Which family members? Why?
Have you or your children ever been referred to other working activities? How was that referral made? Did you manage to be attended to? Why?
Have you or your children ever been referred to health units/hospitals? How was that referral made? Did you manage to be attended to? Why?
Have you or your children ever been referred to a police station? How was that referral made? Did you manage to be attended to? Why?

Have you or your children ever been referred to a Social Assistance Reference Center of the Program for Integral Care for the Family? How was that referral made? Did you manage to be attended to? Why?
Have your children ever been referred to a school? Did that help in any way? What was the result in your view? Why?

Who accompanies the progress of your child in school?
Do you usually inform professional staff at the center about how the school life of your child who is being supported by this Center/service is progressing? Whom? Why? How often?
Do staff from the Center usually visit your home. Who does that? How often is it done?
Could you tell me what the reasons for such visits are? How many times a month do they take place What do you think about the visits?

Do you have any suggestions for improving the care you receive at the Center? What are they? Why?
In your opinion, have the activities carried out at the Center changed your life in any way? How? Why?
And the life of your child receiving care here? How? Why?
And the life of your family? How? Why?
In your opinion, has the behavior of your child altered since he/she began to receive attention here? Why?
Do you feel that you have learned to handle the situation your child lives in better since you started to participate in the activities of the Center/Service? How, or in what sense? Why?
In your opinion, has your life improved or worsened since you came into contact with the Center/Service? Why?

In your opinion, has your child's life improved or worsened since he/she came into contact with the Center/Service? Why?
Could you state what you think has been best for your life, that which helps you the most among the actions carried out by the Center? Why is that?
Do you have any suggestions about other actions that could be perfected in order to make care even better? Why?
Is there any other aspect of the attention you have received at the center/service that we have not discussed but that you feel are important to mention? What are they? Why?

# Continuous Cash Benefit Program BPC and its Results for Beneficiaries 

Executive Institution: Policy Evaluation Nucleus / School of Social Service of Fluminense Federal University (UFF) through Euclides da Cunha Foundation (FEC).

Coordinating Body: Lenaura de Vasconcelos Costa Lobato (coordinator), João Bosco Hora Góis, Mauricio Teixeira Leite de Vasconcellos, Mônica de Castro Maia Senna and Míriam Fátima Reis.

Period of Execution: September/2004 to May/2006.

## 1. Objectives

- to analyze the administrative processes of the BPC with a focus on concepts held of the benefit and the processes for obtaining and conceding it, with as much attention being given to bottlenecks and conflicts as to innovations;
- to analyze the direct and indirect effects of the benefit on the benefited population taking into account aspects ranging from the access of the latter to use of the benefit, to questions of satisfaction and prospects for the future.


## 2. Methodology

In order to achieve the research objectives, two inter-related priority spheres for the analysis were defined: the management sphere and the sphere of results.

The management sphere deals with the perceptions held of the benefit and of the process of implementing it including such aspects as: the stages and flow of the processes for requesting and conceding the benefit; characteristics of the decision making process; the relations among the different bodies involved in administering it and the different spheres of government; organizational capacity building and learning; transparency of decisions; the management staff's concepts of poverty, the poor in general and the beneficiaries; the mechanisms of relations with the beneficiaries; the level of involvement/commitment to the goals of the benefit program; and management strategies for incorporating new directives determined by the Unified Social Assistance System (SUAS).

To that end, management staff were interviewed from the main bodies responsible for the Program:

- The Ministry of Social Development and the Fight against Hunger (MDS) - Benefits Department of the National Secretariat for Social Assistance;
- The National Social Security Institute (INSS) - National Benefits Managing Body (DIRBEN), Executive Management Bodies and Agencies;
- Municipal social assistance secretariats and councils.

In each INSS agency the following staff members were selected to be interviewed: the person responsible for the agency, a person dealing directly with beneficiaries, and a doctor from the agency's medical board as well as the person responsible for the Executive Management body to which the agency is subordinated.

To accompany the actions and their specificities within the municipalities, interviews were held with members of the municipal social assistance councils and the Collegiate of Local Government Social Assistance Administrators (CONGEMAS). In the sphere of social control, representatives of the National Social Assistance Council (CNAS) and the National Council for Disability Rights (CONADE) were also included.

The sphere of results concerns the direct and indirect effects of the benefit on the beneficiaries including aspects such as: coverage; access to the benefit; use made of the benefit in daily expenditure; eligibility criteria and mechanisms; degree of perception of the benefit as being associated to a right; level of satisfaction; effects of the benefit on sociability and living conditions; self-esteem and expectations for the future and on the sense of security in regard to the benefit's continuity.

The beneficiaries interviewed were selected from among the elderly and disabled (classified as People with Physical Disabilities - PCDF and People with Mental Disabilities - PCDM) in the municipalities and in the INSS agencies where they were registered and with the proviso of their having received the benefit for at least three years and undergone obligatory review of their status at least once. That criterion was adopted not only because a reasonable period of receiving the benefit was necessary in order to be able to effectively evaluate its effects, but also in the case of the review, it meant greater facility in locating the beneficiaries. Beneficiaries who had had their benefit concession denied were also investigated for the purpose of identifying the possible effects of the denial and of not receiving the benefit. These last beneficiaries were selected from among those who had had their request denied for having a per capita family income of over $1 / 4$ of the official minimum salary amount (eligibility criterion for admission to the program) but under $1 / 2$ of the official minimum salary. These criteria in regard to income are justified because they distinguish the case of the greater part of denied benefit requests and the ceiling of $1 / 2$ a minimum salary is still a very low income level and those in this range requesting benefit face very precarious living conditions.

## 3. The sample

The Southeast Region was chosen as the field for research and analysis because of the higher absolute number of program beneficiaries to be found there, the more highly developed management structure, and the concentration of many of the problems and expectations in regard to the effects of the benefits on the beneficiaries.

Before undertaking the sampling process it was necessary to prepare a Registry with data made available by Dataprev showing the registrations of BPC beneficiaries receiving benefits for more than 3 years and that were active on June 20, 2005. The process for screening ${ }^{1}$ the registrations which initially were made up of 390,190 registrations of active beneficiaries and 112,026 registrations of beneficiaries who had their benefit requests denied because of income parameters and were associated with 440 Social Security Agencies in 342 municipalities, led to a list of 92,092 beneficiaries (19,662 PCDM, 22,291 PCDF and 50,139 elderly people) and 41,781 users associated to 398 Social Security Agencies in 341 municipalities.

Taking into account the registration described above and the pre-determined sample size of 100 Social Security Agencies in 60 municipalities, the sample design can be divided into two stages.

[^17]In the first stage, in order to select the 100 Social Security Agencies to be visited in the sixty municipalities, a stratified sample design was chosen wherein the strata were defined as follows:
(1) one stratum for each municipality firmly included in the sample whether because of having a proportional size greater than one, or for having one or more Social Security Agencies or whether it was chosen for some non-typical characteristic in regard to the number of beneficiaries;
(2) one stratum made up of all the remaining municipalities in the Southeast having only one Agency.

The result of that process was a set of 16 strata of which 15 consisted of a single municipality with one or more Social Security Agency (APS) and the 16th stratum being made up of 326 municipalities each with only one APS. The sample was then formed by all the 15 municipalities each of which on its own corresponded to a stratum, and in addition, 45 municipalities selected from the 16th stratum.

Bearing in mind that the result of this first stage of the sampling also requires the selection of certain number of informants for the survey process, it is worthwhile pointing out that: to select the heads of agencies and of the Executive Managing bodies to which their agencies were subordinated, all that was needed was to identify the corresponding APS in the sample, because those posts are occupied by a single person in each institution; to select doctors from the medical inspection boards and administrative technical staff, the technique used was to make a list of them for each APS and based on a pre-determined sequence, select one from each APS, to be interviewed; in the case of the members of municipal social assistance councils and secretariats, the person chiefly responsible for each one was selected or another person that he or she designated.

In the second stage of the sampling process with the 60 municipalities and 100 APSs already selected, those beneficiaries or persons who had their request for benefits denied and whose names appeared in the screened registration lists were selected with equal probability of selection within a given APS, using random selection for each category of informant. The result of this process was a list that was handed over to the field team for it to try and interview each type of beneficiary (PCDM, PCDF and elderly persons) and non receiving user, respecting the order of names on the list it received.

The planned sample size and the numbers of interviews effectively carried out can be seen in the table below.

Table 1 - Population size (register after screening) and predicted and actual sample sizes

| Informants |  | Sample size |  |  |
| :--- | :---: | :---: | :---: | :---: |
|  |  | Interviews effectively done |
|  |  |  | Absolute $\mathbf{N}^{0}$ | \% of those foreseen |
| Beneficiaries | 92,092 |  | 300 | 294 | 98.0 |
| Person with mental disability (PCDM) | 19,662 | 100 | 107 | 107.0 |
| Person with physical disability (PCDF) | 22,291 | 100 | 83 | 83.0 |
| Elderly people | 50,139 | 100 | 104 | 104.0 |
| Users denied care for income criteria | 41,781 | 100 | 97 | 97.0 |
| Heads of Agencies (APS) | 398 | 100 | 100 | 100.0 |
| Doctors of Medical Boards | n.d | 100 | 99 | 99.0 |
| Administrative technical staff | n.d | 100 | 94 | 94.0 |
| Administrators of Executive Managing <br> Bodies | 49 | 49 | 30 | 61.2 |
| Members municipal councils | 60 | 60 | 60 | 100.0 |
| Members of municipal secretariats | 60 | 60 | 60 | 100.0 |
| TOTAL | - | 1,169 | 1,128 | 96.5 |


|  | RESEARCH - EVALUATION OF THE CONTINUOUS <br> Universidade <br> Federal <br> Fluminense |
| :---: | :---: |

I. IDENTIFICATION OF THE QUESTIONNAIRE:
1.1. Type of questionnaire: | 1 |
1.2. Agency: |__________|
1.3. Stratum: |
1.4. Questionnaire number: $\qquad$
1.5. Benefit number: $\mid$ __|__|___|___|__|___|__||
1.6. State/DF and municipality: $\qquad$ | |__| _I _ _l
1.7. Date of interview: $\mid$
1.8. Code of interviewer: $\qquad$
II. IDENTIFICATION OF THE INTERVIEWEE:
2.1. Name of the interviewee:
2.2. Situation of the interviewee:

1 $\qquad$ The beneficiary in person
2 Tutor from birth (father or mother)


4Guardian

5Employee of an institution

6 $\qquad$ Others. Specify: $\qquad$
$\qquad$ RELATIVE $\qquad$ NON RELATIVE

### 2.3. Address:

2.4. Type of benefit requested:
$1 \square$ Elderly (go to 3.1 )
2 Physically disabled person
3 $\square$ Mentally disabled person
4 $\square$ Doesn't know

## (ONLY FOR DISABLED PERSONS)

2.5. Pathology: $\qquad$ I__ Doesn't know
2.6. Date of diagnosis: $\qquad$ $1 \quad 1$ 1 $\qquad$ Doesn't know
III. CARACTERISTICS OF THE DWELLING:

### 3.1. Situation of the dwelling:

$1 \quad$
2 Urban
$\square$ Rural

### 3.2. Living Situation:

1
Household
2 $\square$ Institution (go to 5.1)

### 3.3. Situation of occupation of dwelling:

| 1 | $\square$ Own property |
| :--- | :--- |
| 2 | $\square$ Rented |
| 3 | $\square$ Squatted |
| 4 | $\square$ Loaned |
| 5 | $\square$ Others |

### 3.4. Type of building:

1 $\qquad$ Brickwork
2Adobe
3 $\square$ Wood
4Others
IV. CHARACTERISTICS OF THE RESIDENTS (DO NOT FILL IN IF BENEFICIARY LIVES IN INSTITUTION):

| $\begin{gathered} 4.1 \\ \text { LST } \\ n^{\circ} \end{gathered}$ | $\begin{gathered} 4.2 \\ \text { NAME } \end{gathered}$ | $\stackrel{4.3}{\text { RELATION TO PERSON }}$ RESPONSIBLE FOR HOUSEHOLD | $\begin{aligned} & 4.4 \\ & \text { SEX } \end{aligned}$ | $\begin{gathered} 4.5 \\ \text { AGE } \end{gathered}$ | $\stackrel{4.6}{\text { WORK }}$ | $\begin{gathered} 4.7 \\ \text { INCOME } \end{gathered}$ | $\begin{array}{\|c} \hline 4.8 \\ \text { BENE- } \\ \text { FICI- } \\ \text { ARY } \end{array}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 |  | L__\|_1 |  | L__L___\| |  | L__\|__|__|_||I_|_| |  |
| 2 |  | L__\|_1 |  | L__\|__| |  | L__\|__|__|_||l__| |  |
| 3 |  | L_I_I |  | L____ |  | L__\|_|__|_||l_| |  |
| 4 |  | L___\| |  | L_____\| |  | L__\|__|__|_||l__| |  |
| 5 |  | L__\|_1 |  | L__L__\| |  |  |  |
| 6 |  | L__\| |  | L__L__\| |  |  |  |
| 7 |  | L___\| |  | L_____\| |  |  |  |
| 8 |  | L___\| |  | L_____\| |  |  |  |
| 9 |  | L_I_\| |  | L___L_I |  |  |  |
| 10 |  | L_\|_1 |  | L__ C |  |  |  |
| 11 |  | L___\| |  | L__L__\| |  |  |  |
| 12 |  | L__\| |  | L____-1 |  |  |  |
|  |  | $\begin{aligned} & 1 \text { Responsible } \\ & 2 \text { Spouse } \\ & 3 \text { Sondaughter } \\ & \text { stepson/step } \\ & \text { daughter } \\ & 4 \text { Father/mother } \\ & 5 \text { Grandchild/ great } \\ & \text { grandchild } \\ & 6 \text { Granddparent/ great } \\ & \text { granddparent } \\ & 7 \text { Father/mother -in-law } \\ & 8 \text { Son/daughter -in-law } \\ & 9 \text { Brothersisite -in-law } \\ & 10 \text { Uncle/aunt } \\ & 11 \text { Brother/sister } \\ & 12 \end{aligned}$ | $\begin{array}{ll}1 & \text { Male } \\ 2 & \text { Female }\end{array}$ |  | 1 Yes <br> 2 Retired 3 No, but has income or benefit 4 No |  | $\begin{aligned} & 1 \mathrm{Yes} \\ & 2 \mathrm{No} \end{aligned}$ |

## V. CHARACTERISTICS OF THE BENEFICIARY:

5.1. Name of the beneficiary:
5.2. Age of beneficiary: $\qquad$ years old

## 5.3: Sex of beneficiary:

$1 \square$ Male
2 $\qquad$ Female

## Questionnaire for beneficiaries

### 5.4. Race/Color:

| 1 | White |
| :---: | :---: |
| 2 | Black |
| 3 | Brown |
| 4 | Oriental |
| 5 | Indigenou |

### 5.5. Marital Status:

1Married

2Single
3Separated, divorced or legally separated

4 $\square$ Widowed

### 5.6. Schooling:

1No schooling or less than 1 year

2 $\qquad$ 1 to 3 years

3 $\qquad$ 4 to 7 years
4 $\qquad$ 8 to 10 years

5 $\square$ 11 years or more
6 $\square$ Not defined

### 5.7. Do you or did you do any kind of work?

1 $\qquad$ No, never (go to 5.9)

2 $\qquad$ Working presently
3 $\qquad$ Worked previously but not any more

### 5.8. What type of occupation do you or did you have?

1Self-employed at home
2Self-employed in the street
3 $\qquad$ Employed

4 Employer
5Domestic servant
6Coop member

7Work in production for own consumption
8Unremunerated work helping other family member

9 $\qquad$ Not known
5.9. How long has the beneficiary been receiving the BPC?

| 1 | Less than 1 year |
| :---: | :---: |
| 2 | 1 to 2 years |
| 3 | 3 to 5 years |
| 4 | 6 to 8 years |
| 5 | Over 8 years |
| 6 | Doesn't know/ Can't remember |

## Questionnaire for beneficiaries

5.10. Does the beneficiary have an appointee with power of attorney, a guardian or a tutor?
1 $\square$ Yes
1 $\square$ Attorney
2 $\qquad$ Guardian
3Tutor
2No (go to 5.12)

### 5.11. Who is it?

1Relative

2Neighbor
3 $\qquad$ Representative of the Community Association
4 $\qquad$ Representative of the Institution

5 $\qquad$ Other. Specify: $\qquad$
5.12. Does the beneficiary receive other benefits?

1 $\qquad$ Yes

2 $\square$ No (go to 5.14)

### 5.13. Which benefits?

5.14. Has the beneficiary ever been a contributor to the INSS?
$1 \quad \square$ Yes
$2 \square$ No (go to 6.1)
$3 \square$ Doesn't know (go to 6.1)
5.15. For how long did the beneficiary contribute?
$1 \square$ Less than 1 year
2from 1 to 5 years

3from 5 to 10 years
4 $\square$ from 10 to 20 years
5More than 20 years

6Doesn't know/ Can't remember

## VI. ACCESS TO THE BENEFIT:

6.1. How did the beneficiary (or the person who first solicited the benefit in his/her name) get to know about the BPC? (MARK ALL ALTERNATIVES MENTIONED)

1Family members

2 N
Neighbors
3 Friends

4


An INSS agency
5 Other public service bodies. Specify: $\qquad$
6 $\qquad$ Lawyer/attorney

7 $\qquad$ Councilor/deputy

## Questionnaire for beneficiaries

8 $\qquad$ Newspapers and mass media

9 $\qquad$ Community Associations

10 $\qquad$ Rights Council

11 Policies Council
12 Others. Specify: $\qquad$
13 $\qquad$ Doesn't know/ Can't remember
6.2. Who helped the beneficiary (or the person who made the first requisition for a benefit in his or her name) to fill in the form to initiate the process requesting the benefit? (MARK ALL ALTERNATIVES MENTIONED)
1 $\qquad$ Family members

2 $\qquad$ Neighbors
3 $\qquad$ Lawyer/attorney
4 $\qquad$ Tutor

5 $\qquad$ Friends

6 $\qquad$ Employee of the INSS agency
7 $\qquad$ Employee of other public service. Specify: $\qquad$
8 $\qquad$ Councilor/deputy

9 $\qquad$ Community Associations
10 $\qquad$ Rights Council

11 Policies Council
12 Others. Specify:
13 Nobody helped

14 $\qquad$ Doesn't know/ Can't remember
6.3. Who helped the beneficiary (or the person who made the first requisition for a benefit in his or her name) to accompany the progress of the process? (MARK ALL ALTERNATIVES MENTIONED)

1Family members
2Neighbors

3 $\qquad$ Lawyer/attorney
4 $\qquad$ Tutor
5Friends
6 $\qquad$ Employee of the INSS agency

7 $\qquad$ Employee of other public service. Specify: $\qquad$
8 $\qquad$ Councilor/deputy
9Community associations
10 $\qquad$ Rights council
11 Policies council
12 $\qquad$ Others. Specify: $\qquad$
13 $\qquad$ Nobody helped
14 $\qquad$ Doesn't know/ Can't remember

## Questionnaire for beneficiaries

6.4. Was there any problem for the beneficiary to start receiving the BPC?


### 6.5. What problem?

6.6. Who helped the beneficiary (or the person who represented him/her) to solve the problem? (MARK ALL ALTERNATIVES MENTIONED)

| 1 | $\square$ Family members |
| :--- | :--- |
| 2 | $\square$ Neighbors |
| 3 | $\square$ Lawyer/attorney |
| 4 | $\square$ Tutor |
| 5 | $\square$ Friends |
| 6 | $\square$ Employee of the INSS agency |
| 7 | $\square$ Employee of other public service. Specify: |
| 8 | $\square$ Councilor/deputy |
| 9 | $\square$ Community associations |
| $10 \square$ Rights council |  |
| $11 \square$ Policies council |  |
| $12 \square$ Others. Specify: |  |
| $13 \square$ Nobody helped |  |
| $14 \square$ Doesn't know/ Can't remember |  |

6.7. What difficulties did the beneficiary (or his/her representative) have in handing in the formal request for the BPC?
$\square \mathrm{He} /$ she did not have sufficient information
$2 \square \mathrm{He} /$ she did not know how to fill in the form
$3 \square$ He/she did not know how to get to the agency
4 $\qquad$ He/she did not know how to request the benefit
$5 \square$ Long delay in scheduling the medical board examination
6Other. Specify: $\qquad$
7 $\qquad$ There were no difficulties

8 $\qquad$ Doesn't know/ Can't remember
6.8. How long did it take from the time the request for the BPC was handed in to the moment when a positive answer was received?

| 1 | Less than 1 month |
| :---: | :---: |
| 2 | 1 to 2 months |
| 3 | 2 to 3 months |
| 4 | 4 to 6 months |

56 months to 1 year

6More than 1 year

7Doesn't know

## Questionnaire for beneficiaries

6.9. In your opinion the attention you received from the INSS agency was:

1 Excellent

2Good

3 $\qquad$ Regular

4 $\qquad$ Bad

5 $\square$ No answer/ Can't say
6.10. The beneficiary (or his/her representative) considers that the BPC is (MARK ONE OPTION ONLY):

1 $\qquad$ A right of the elderly and the disabled

2 $\qquad$ Help from the public authorities
3 $\qquad$ Other. Specify: $\qquad$
VII. USE MADE OF THE RESOURCE:

### 7.1. Who actually receives the BPC? (MARK ONE OPTION ONLY)

1 $\square$ The beneficiary himself/herself

2 $\qquad$ Natural tutor (father or mother)

3Appointee with power of attorney

4 $\square$ Guardian
5 $\qquad$ Institution

6 $\qquad$ Other. Specify:

### 7.2. Who decides how the BPC will be spent? (MARK ONE OPTION ONLY)

1The beneficiary himself/herself
2 $\square$ Natural tutor (father or mother)

3 $\qquad$ Appointee with power of attorney

4 $\qquad$ Guardian

5 $\qquad$ Institution

6Other. Specify: $\qquad$

### 7.3. The amount received through the BPC is partly used for: (MARK UP TO 3 OPTIONS)

1 $\qquad$ Health expenses

2Food

3 $\qquad$ Living space
4 $\qquad$ Transport

5Clothing

6Others. Specify: $\qquad$

## Questionnaire for beneficiaries

### 7.4. In your opinion the BPC is spent:

1 $\square$ In an adequate manner

2In an inadequate manner

3 $\square$ Doesn't know how the beneficiary spends it

### 7.5. Since receiving the BPC, what changes have come about in the life of the beneficiary? (MARK ALL ALTERNATIVES MENTIONED)

1Quality of life and self-esteem have improved
2Acquired goods
3 $\qquad$ Living conditions have improved
4Made access to health services and others possible
5 $\qquad$ Made it possible to purchase medicines

6Made the upkeep of other members of the family nucleus and relatives possible

7Made it possible to take part in social activities
8 $\qquad$ Made it possible to take part in associations and community councils

9 $\qquad$ Others. Specify: $\qquad$

## VIII. SOCIAL CONTROL:

### 8.1. Has the beneficiary (or his/her representative) ever had a complaint to make in regard to the BPC?

$\square$ $\square$ Yes
2No (go to 8.6)

### 8.2. What kind of complaint? (MARK ALL ALTERNATIVES MENTIONED)



Payment delayed
2 $\qquad$ Payment suspended

3Wrong payment amount

4Bad service

5Other. Specify: $\qquad$

### 8.3. Whom did the beneficiary (or his/her representative) go to make the complaint? (MARK ALL ALTERNATIVES MENTIONED)

$\qquad$ INSS management

2Special Federal Welfare Court
3 $\qquad$ INSS appeals board

4 $\qquad$ Local politician

5 $\qquad$ Community association

6 $\qquad$ Council of rights/policy

7 $\qquad$ Municipal Social Assistance Secretariat (or equivalent)
8INSS ombudsman

## Questionnaire for beneficiaries

9Others. Specify: $\qquad$
10 $\qquad$ Did not complain (go to 8.6)

11 Doesn't know/ Can't remember (go to 8.6)

### 8.4. In your view, were your complaints dealt with?

 Completely

2Partly
3Not dealt with

### 8.5. What is your appraisal of the service given when you made the complaint?

1Excellent

2 Good

3 $\qquad$ Regular

4 $\qquad$ Bad

5Doesn't know

### 8.6. Do you know about the Municipal Social Assistance Council?

1 Yes

2 $\qquad$ No (go to 8.8)
8.7. In your opinion the degree of participation of the Municipal Social Assistance Council in solving the problems with the BPC is:

1
 High

2 $\qquad$ Medium

3 $\qquad$ Low

4 $\qquad$ None at all

5Doesn't know
8.8. (ONLY FOR THE ELDERLY OR WHOEVER IS ANSWERING FOR THEM) Do you know about the Municipal Elderly People's Council?
$1 \square$ Yes
$2 \square \mathrm{No}$ (go to 9.1)
8.9. (ONLY FOR THE ELDERLY OR WHOEVER IS ANSWERING FOR THEM) In your opinion the degree of participation of the Municipal Elderly People's Council in solving the problems with the BPC is:

| 1 | $\square$ High |
| :--- | :--- |
| 2 | $\square$ Medium |
| 3 | $\square$ Low |
| 4 | $\square$ None at all |
| 5 | $\square$ Doesn't know |

8.10. (ONLY FOR DISABLED PERSONS OR WHOEVER IS ANSWERING FOR THEM) Do you know about the Municipal Disabled Persons Council?
 Yes

2 $\square$ No (go to 9.1)
8.11. (ONLY FOR DISABLED PERSONS OR WHOEVER IS ANSWERING FOR THEM) In your opinion the degree of participation of the Municipal Disabled Persons Council in solving the problems with the BPC is:
1 $\qquad$ High

2 $\qquad$ Medium
3 $\qquad$ Low

4 $\qquad$ None at all

5 $\qquad$ Doesn't know

## IX. SOCIAL CAPITAL: <br> (THE QUESTIONS IN THIS BLOCK SHOULD BE ANSWERED BY THE BENEFICIARY IN PERSON OR BY THE FATHER OR MOTHER WHEN THE PERSON IS A MINOR)

9.1. Do you participate or have you ever participated in any form of community association?


### 9.2. What kind? (MARK ALL ALTERNATIVES MENTIONED)

1Religious associations
2Residents associations
3 Social centers

4 $\qquad$ Elderly people's groups
5Disabled persons' groups

6Sports associations

7Others. Specify:
9.3. How long have you participated (or did you participate) in such associations?

1 $\square$ 6 months

2From 6 months to 1 year

3 $\square$ From 1 year to 2 years
4 $\qquad$ More than 2 years

5Doesn't know
9.4. How often do you (or did you) participate in those associations?

1Always
2 Almost always

3 $\qquad$ Sometimes

4 Seldom

5 $\qquad$ Never
6Doesn't know

## Questionnaire for beneficiaries

9.5. In your opinion, do those associations represent the interests of their members?

1Yes, entirely

2Yes, partly

3No

4Doesn't know
9.6. In your opinion, receiving the BPC has:

1Contributed towards a greater participation in the associations

2Not altered participation in the associations

3 $\qquad$ Made participation in the associations more difficult

4 $\square$ Doesn't know
9.7. Are you, or have you ever been affiliated to a trade union or a political party?

1 $\square$ Yes

2 $\square$ No (go to 9.11)
9.8. How long have you participated (or did you participate) in those trade unions or political parties?

1 $\square$ 6 months
2 $\qquad$ From 6 months to 1 year

3


From 1 year to 2 years
4 $\qquad$ More than 2 years
5
 Doesn't know

### 9.9. How often do you (or did you) participate in those trade unions or political parties?

1Always

2 Almost always

3 $\qquad$ Sometimes
4 $\qquad$ Seldom

5 $\qquad$ Never
6 $\qquad$ Doesn't know
9.10. In your opinion, do those trade unions or political parties represent the interests of their members?

1Yes, entirely
2 $\qquad$ Yes, partly
3 $\qquad$ No
4Doesn't know

### 9.11. In your opinion, receiving the BPC has:

1 $\qquad$ Contributed towards a greater participation in the associations
2 $\qquad$ Not altered participation in the associations

3 $\qquad$ Made participation in the associations more difficult
4 $\qquad$ Doesn't know

## Questionnaire for beneficiaries

9.12. In your opinion, has receiving the BPC changed the respect that people in general have for the beneficiary?
$\square$ Yes, nowadays people respect me more

2No, I feel that I receive the same kind of treatment that I received before

3 $\qquad$ No, I don't think people respect me

4 $\qquad$ No, most people don't know that I receive the benefit

5 $\qquad$ Doesn't know
9.13. Since receiving the BPC has it become easier to make day to day decisions?

1Yes

2 $\qquad$ No

3 $\qquad$ Doesn't know

## X. MANAGEMENT (MONITORING AND EVALUATION):

10.1. Do you know of any mechanism for evaluating and accompanying the BPC?
$1 \square$ Yes

2No (go to 11.1)
3 $\qquad$ Doesn't know (go to 11.1)
10.2. Do you participate in the process of evaluating the BPC?
$\square$ Yes

2 No

3 Doesn't know
10.3. Do receive any information in regard to the evaluation of the BPC?

1
2 $\qquad$ No
3 $\qquad$ Doesn't know

## XI. SOCIAL PROTECTION:

11.1. In the place where you live are there any community help associations?

1 Yes

2No (go to 11.8)
3 Doesn't know (go to 11.8)

### 11.2. What kind? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Religious groups

2 $\square$ Mothers groups
3 $\qquad$ Social centers

4 $\square$ Elderly people's groups
5 $\qquad$ Disabled persons' groups
6Residents associations

# Questionnaire for beneficiaries 

$7 \quad$ Other NGOs
$8 \quad \square$ Nuclei of political parties
$9 \square$ Others. Specify:
$10 \square$ Doesn't know

### 11.3. Do you attend or interact with any of those groups?

1 $\qquad$ Yes

2 $\square$ No (go to 11.8)

### 11.4. Which ones? (MARK ALL ALTERNATIVES MENTIONED)

1 Religious groups
2 $\qquad$ Mothers groups
3 $\qquad$ Social centers

4 $\qquad$ Elderly people's groups
5 $\square$ Disabled persons' groups
6 $\qquad$ Residents associations

7 $\qquad$ Other NGOs

8 $\qquad$ Nuclei of political parties

9 $\qquad$ Others. Specify: $\qquad$
11.5. Do you receive any kind of help from those associations?

1 $\square$ Yes

2 $\square$ No (go to 11.8)

### 11.6. What kind of help?

1Help in the form of money

2 $\qquad$ Help in the form of food

3Help in the form of psychological support

4Help in the form of spiritual support

5 $\qquad$ Participating in educational and recreational activities

6 $\qquad$ Others. Specify:

### 11.7. In your opinion that help is:

 Excellent

2 Good
3 $\qquad$ Regular

4 $\qquad$ Bad

5 Doesn't know
11.8. Which public services does the beneficiary make use of in the community?

1 $\qquad$ Schools
2 $\qquad$ Health units

3 $\qquad$ Social centers

4 $\qquad$ Crèches

## Questionnaire for beneficiaries

5 $\square$ Other assistance services. Specify: $\qquad$
6 $\square$ None (go to 11.11)
11.9. In your opinion the degree of difficulty encountered by the beneficiary in being attended to by those institutions is:

|  |  |  | High |  | Medium |  | Low | None | Don't know |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Schools | 1 |  | 2 |  | 3 |  |  | 5 |
| 2 | Health units | 1 |  | 2 |  | 3 |  | 4 | 5 |
| 3 | Social centers | 1 |  | 2 |  | 3 |  | 4 | 5 |
| 4 | Crèches | 1 |  | 2 |  | 3 |  |  | 5 |
| 5 | Other assistance services. | 1 |  | 2 |  | 3 |  | 4 | 5 |

11.10. What is your opinion of the quality of services provided?

11.11. In the public services in your community is there any form of support for:
1 The elderly
2 Disabled persons
$1 \quad \square$ Yes
$1 \square$ Yes
$2 \square$ No (go to 11.15)
$2 \square$ No (go to 11.15)
$3 \quad \square$ Don't know (go to 11.15)
$3 \square$ Don't know (go to 11.15)
11.12. The support available is for: (MARK ALL ALTERNATIVES MENTIONED)

1 Rehabilitation

2 Socio-legal orientation

3 Medical assistance

4
 Recreational activities
5Capacity building workshops directed at creating employment and income

6 $\qquad$ Others. Specify: $\qquad$
7 Doesn't know/ No answer

### 11.13. Does the beneficiary use or has he/she ever used such services?

 Yes

2 $\square$ No (go to 11.15)
11.14. In your opinion the service is:Excellent
2Good
3 $\qquad$ Regular
4 $\qquad$ Bad

## IN QUESTIONS 11.15 TO 11.22 THE SUPPORT IS FOR LOOKING AFTER THE BENEFICIARY

### 11.15. Can you depend on any kind of support from members of the family?

1 $\square$ Yes

2 $\square$ No (go to 11.20)

### 11.16. Which members of the family offer support? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Parents

2 $\qquad$ Brothers/sister

3 Grandparents

4 $\qquad$ Uncles/aunts

5Cousins

6 $\qquad$ Brothers/sisters-in-law

7 $\qquad$ Nephews/nieces
8 $\square$ Other family members. Specify:
11.17. What kind of support is given by members of your family? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ Financial

2 $\qquad$ Cleanliness and personal hygiene

3 $\qquad$ Household chores
4 $\qquad$ Locomotion for health treatment

5 $\qquad$ Solving problems in administering the daily round (e.g., going to the supermarket, to the bank, etc.)

6Company

7 $\qquad$ Others. Specify: $\qquad$
11.18. Since you started receiving the BPC have you noticed any changes in the support received?
$1 \square$ Yes

2 $\square$ No (go to 11.20)
3Doesn't know (go to 11.20)

### 11.19. What changes have been noticed?

1 $\square$ Family stopped offering support as they considered the BPC was sufficient to cover the beneficiary's needs.

2 $\qquad$ New family members appeared attracted by the increased income represented by the BPC

3Others. Specify: $\qquad$
11.20. Can you depend on any kind of support from the neighbors?


Yes
2No (go to 11.24)

## Questionnaire for beneficiaries

11.21. What kind of support has been received? (MARK ALL ALTERNATIVES MENTIONED)
$1 \square$ Financial
2Cleanliness and personal hygiene
3Household chores

4 $\qquad$ Locomotion for health treatment
5 $\square$ Solving problems in administering the daily round (e.g., going to the supermarket, to the bank, etc.)

6 $\qquad$ Company

7 $\qquad$ Others. Specify: $\qquad$
8 $\qquad$ Not applicable
11.22. Since you started receiving the BPC have you noticed any changes in the support received from neighbors?

1 $\square$ Yes
2 $\qquad$ No (go to 11.24)

3 $\qquad$ Doesn't know (go to 11.24)

### 11.23. What changes have been noticed?

1 $\square$ Neighbors Family stopped offering support as they considered the BPC was sufficient to cover the beneficiary's needs
2 $\square$ New persons joined in attracted by the increased income represented by the BPC

3 $\qquad$ Others. Specify:
11.24. How many meals a day do the people in your household usually have?

| 1 | Children from 0 to 2 years old | 1.1. $\square$ meals | 1.2. $\square$ Doesn't know |
| :--- | :--- | :--- | :--- |
| 2 | 1.3. $\square$ | $\square$ |  | Not applicable

11.25. Still thinking about the quantity of food consumed by your family would you say that:
1.Often it is not enough for everyone to eat well

2Sometimes it is not enough for everyone to eat well

3It is always enough for everyone to eat well
4 $\square$ Doesn't know/ No answer
11.26. In comparison with other people of the same age (as the beneficiary) would you say that your/his/her (beneficiary) health is:

2Good
3 Regular
4 $\qquad$ Bad

5Doesn't know

## Questionnaire for beneficiaries

11.27. Does the beneficiary depend on other people for day to day activities?

1
Totally depends on others
2Depends on others for most activities

3Depends on others for some activities
4 $\qquad$ Does not depend on others

5 $\square$ Doesn't know
11.28. What do you consider to be most difficult among the daily tasks in the beneficiary's daily life? (MARK UP TO 3 OPTIONS)

| 1 | Eating |
| :---: | :---: |
| 2 | Taking a shower |
| 3 | Using the toilet |
| 4 | Taking medicine |
| 5 | Moving around inside the house |
| 6 | Going out on the street |
| 7 | Shopping and making payments |
| 8 | Going to the doctor |
| 9 | Carrying out household chores |
| 10 | Being alone during the day |
| 11 | Sleeping alone at night |
| 12 | Living alone |
| 13 | Others. Specify: |
| 14 | Doesn't know |

### 11.29. What other problems does the beneficiary have to face in his/her daily routine?

11.30. In your opinion, what should be done to improve the living situation of BPC beneficiaries in your municipality?
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

```
Comments of the interviewee (Record here any observations made or complementary comments)
```

$\qquad$

|  | RESEARCH - EVALUATION OF THE CONTINUOUS |
| :--- | :--- |
| Universidade <br> Federal <br> Fluminense | ASSISTANCE BENEFIT - BPC |

I. IDENTIFICATION OF THE QUESTIONNAIRE:
1.1. Type of questionnaire: | 2 |
1.2. Agency: $\qquad$
1.3. Stratum: |___|
1.4. Questionnaire number: |___|

1.6. State/DF and municipality: $\qquad$ I
 I
1.7. Date of interview: $\qquad$ |// $\qquad$ |/ ____|_ I
1.8. Code of interviewer: $\qquad$ _
II. IDENTIFICATION OF THE INTERVIEWEE:
2.1. Name of the interviewee:
2.2. Situation of the interviewee:
$1 \square$ The user in person
2Tutor from birth (father or mother)

3Tutor $\qquad$ RELATIVE $\qquad$ NON RELATIVE

4Curator $\qquad$ RELATIVE $\qquad$ NON RELATIVE

5 $\square$ Employee of an institution

6Others. Specify: $\qquad$
$\qquad$ RELATIVE $\qquad$ NON RELATIVE

### 2.3. Address:

2.4. Type of benefit requested:

1 Elderly (go to 3.1)

2 $\qquad$ Physically disabled person
3Mentally disabled person

4 $\square$ Doesn't know
(ONLY FOR DISABLED PERSONS)
2.5. Pathology: $\qquad$ I Doesn't know
2.6. Date of diagnosis: $\qquad$ I Doesn't know
III. CARACTERISTICS OF THE DWELLING:
3.1. Situation of the dwelling:
$1 \square$ Urban
$2 \square$ Rural
3.2. Living situation:

1 $\qquad$ Household
2 Institution (Go to 5.1)
3.3. Situation of occupation of dwelling:

1Own property
2 $\square$ Rented

3 $\qquad$ Squatted

4Loaned

5 $\square$ Others
3.4. Type of building:

| 1 | $\square$ Brickwork |
| :--- | :--- |
| 2 | $\square$ Adobe |
| 3 | $\square$ Wood |
| 4 | $\square$ Others |

Questionnaire for users (people who have had the benefit denied)
IV. CHARACTERISTICS OF THE RESIDENTS (DO NOT FILL IN IF BENEFICIARY LIVES IN INSTITUTION):

| $4.1$ | $\begin{gathered} 4.2 \\ \text { NAME } \end{gathered}$ | 4.3 RELATION TO PERSON RESPONSIBLE FOR HOUSEHOLD | $\begin{aligned} & 4.4 \\ & \text { SEX } \end{aligned}$ | $\begin{gathered} 4.5 \\ \text { AGE } \end{gathered}$ | $\begin{gathered} 4.6 \\ \text { WORK } \end{gathered}$ | $\begin{gathered} 4.7 \\ \text { INCOME } \end{gathered}$ | $\begin{aligned} & 4.8 \\ & \text { BENE- } \\ & \text { FICI- } \\ & \text { ARY } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 |  | L__\| |  | L__\|__| |  |  |  |
| 2 |  | L__\| |  | L__\|__|__| |  | L__\|__|____|.|__| |  |
| 3 |  | L__\| |  | \|__|__|__| |  |  |  |
| 4 |  | L__\|_| |  | L__\|__|__| |  | \|__|__|__| $\mid$ \|__| |  |
| 5 |  | L__\| |  | L__\|__| |  |  |  |
| 6 |  | L__\| |  | L__\|__| |  |  |  |
| 7 |  | \| 1 |  | \|__|__|__| |  | L__\|__|__|__| $\mid$ ___ $\mid$ |  |
| 8 |  | \|__|_| |  | \|___|__|__| |  | \|__|___|___|.|__| |  |
| 9 |  | \|__|__| |  | \|______|__| |  | \|__|___|__|__| $\mid$ ___ $\mid$ |  |
| 10 |  | L_I_I |  | L___\| |  |  |  |
| 11 |  | L__\| |  | +_____\| |  |  |  |
| 12 |  | \|__|_| |  | L__\|__|_| |  |  |  |
|  |  | ```1 Responsible 2 Spouse 3 Son/daughter stepson/step daughter 4 Father/mother 5 Grandchild/ great grandchild 6 Grandparent/ great grandparent 7 Father/mother -in-law 8 Son/daughter -in-law 9 Brother/sister -in-law 10 Uncle/aunt 11 Brother/sister 12 Other relative 13 Domestic servant 14 Pensioner 15 Other person``` | 1 Male <br> 2 Female |  | 1 Yes <br> 2 Retired <br> 3 No, but has income or benefit 4 No |  | $\begin{aligned} & 1 \mathrm{Yes} \\ & 2 \mathrm{No} \end{aligned}$ |

## V. CHARACTERISTICS OF THE USER:

5.1. Name of the user:
5.2. Age of the user: $\qquad$ years old
5.3: Sex of the user:
1
2
Male
$\square$ Female

### 5.4. Race/Color:

| 1 | White |
| :---: | :---: |
| 2 | Black |
| 3 | Brown |
| 4 | Oriental |
| 5 | Indigenous |

### 5.5. Marital Status:

1Married

2Single
3Separated, divorced or legally separated

4 $\square$ Widowed

### 5.6. Schooling:

$\square$ No schooling or less than 1 year

21 to 3 years

3 $\qquad$ 4 a 7 years
4 $\qquad$ 8 to 10 years

5 $\qquad$ 11 years or more
6 $\square$ Not defined

### 5.7. Do you or did you do any kind of work?

1No, never (go to 5.9)
2 $\qquad$ Working presently

3 $\qquad$ Worked previously but not any more

### 5.8. What type of occupation do you or did you have?

1Self-employed at home

2 $\qquad$ Self-employed in the street
3 $\qquad$ Employed

4 Employer
5Domestic servant
6Coop member

7Work in production for own consumption
8Unremunerated work helping other family member

9 $\square$ Not known

### 5.9 Does the user have an appointed attorney, guardian or tutor?

1 $\square$ Yes
2No (go to 5.11)

Questionnaire for users (people who have had the benefit denied)

### 5.10. Who is it?

 Relative

2Neighbor
3Representative of the community association

4 $\qquad$ Representative of the institution

5 $\qquad$ Other. Specify: $\qquad$

### 5.11. Does the user receive other benefits?



Yes No (go to 5.13)

### 5.12. Which benefits?

5.13. Has the user ever been a contributor to the INSS?
$1 \quad \square$ Yes
$2 \square$ No (go to 6.1)
$3 \square$ Doesn't know (go to 6.1)

### 5.14. For how long did the user contribute?

| 1 | $\square$ Less than 1 year |
| :--- | :--- |
| 2 | $\square$ From 1 to 5 years |
| 3 | $\square$ From 5 to 10 years |
| 4 | $\square$ From 10 to 20 years |
| 5 | $\square$ Over 20 years |
| 6 | $\square$ Doesn't know/ Can't remember |

## VI. ACCESS TO THE BENEFIT:

6.1. How did the user (or the person who first solicited the benefit in his/her name) get to know about the BPC?

6.2. Who helped the user (or his/her representative) to fill in the form to initiate the process requesting the benefit?

1 Family members
$2 \square$ Neighbors
3Lawyer/attorney

4 $\qquad$
$5 \square$ Friends
6 $\qquad$ Employee of the INSS agency

7 $\qquad$ Employee of other public service. Specify: $\qquad$
8 $\qquad$ Councilor/deputy
9Community associations

10 $\square$ Rights council
11 Policies council
12 Others. Specify: $\qquad$
13 Nobody helped

14 Doesn't know/ Can't remember

### 6.3 Who helped the user (or his/her representative) to accompany the process?

Family members$2 \square$ Neighbors
3Lawyer/attorney
4 Tutor

5 $\qquad$ Friends
6 $\qquad$ Employee of the INSS agency

7 Employee of other public service. Specify: $\qquad$
8 $\qquad$ Councilor/deputy

9 Community associations
10 $\qquad$ Rights council

11 Policies council
12 Others. Specify: $\qquad$
13 $\qquad$ Nobody helped

14 Doesn't know/ Can't remember

### 6.4. What difficulties did the user (or his/her representative) have in handing in the formal request for the BPC?

1He /she did not have sufficient information
2He/she did not know how to fill in the form
3 $\mathrm{He} /$ she did not know how to get to the agency

4$\mathrm{He} /$ she did not know if he /she was entitled to the benefit

5 $\qquad$ There were no difficulties

6 $\square$ Other. Specify:
6.5. How long did it take from the time the request for the BPC was handed in to the moment when a negative answer was received?

1 $\square$ Less than 1 month

2 1 to 2 months

3 $\qquad$ 2 to 3 months

4 4 to 6 months

5 $\square$ 6 months to 1 year

6 $\qquad$ More than 1 year

7 $\square$ Doesn't know
6.6. How does the user (or his/her representative) evaluate the service given by the INSS?

1 $\qquad$ Excellent

2 $\qquad$ Good

3 $\qquad$ Regular

4 $\qquad$ Bad

5 $\square$ No answer/ Can't say
6.7. In your opinion, did the quality of the service provided by the INSS influence the result of your request?
$1 \square$ Yes
2 $\qquad$ No

3 $\qquad$ Doesn't know
6.8. The user (or his/her representative) feels that the BPC is (MARK ONE OPTION ONLY):

1 $\square$ A right of the elderly and the disabled

2 $\square$ Help from the public authorities

3 $\square$ Other. Specify: $\qquad$
6.9. Why was the benefit denied? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Not old enough

2 $\qquad$ Income above the level established by law

3 $\qquad$ Not approved by the medical Inspection Board

4 $\qquad$ Documents incomplete

5 $\qquad$ Others. Specify:
6 $\qquad$ Doesn't know

## VII. SOCIAL CONTROL:

7.1. Did the user (or his/her representative) appeal against the decision on granting the BPC?

1 $\square$ Yes

2 $\square$ No (go to 7.5)
$\square$ to 7.5)
7.2. Whom did he/she appeal to? (MARK ALL ALTERNATIVES MENTIONED)
$1 \square$ INSS management
2Special Federal Welfare Court

3 $\qquad$ INSS appeals board
4 $\qquad$ Local politician

5Community association

6 $\qquad$ Council of rights/policy

7Municipal Social Assistance Secretariat (or equivalent)
8INSS ombudsman

9 $\qquad$ Others. Specify:

10 $\square$ Did not complain

### 7.3. In your opinion, the service provided by those bodies was:

7.4. What degree of confidence does the user (or his/her representative) feel in those bodies and entities?
$\square$ A high a degree

2 $\qquad$ A medium degree

3


A low degree
4 $\qquad$ No confidence

5 $\square$ Doesn't know

### 7.5. Do you know about the Municipal Social Assistance Council?

1 $\square$ Yes
2 $\qquad$ No (go to 7.7)
7.6. In your opinion the degree of participation of the Municipal Social Assistance Council in solving the problems with the BPC is:
$1 \quad \square$ High
$2 \square$ Medium
$3 \square$ Low
$4 \square$ None at all
$5 \square$ Doesn't know

## 7.7. (ONLY FOR THE ELDERLY OR WHOEVER IS ANSWERING FOR THEM) Do you know about the Municipal Elderly People's Council?

1Yes
2 No (go to 8.1)
7.8. (ONLY FOR THE ELDERLY OR WHOEVER IS ANSWERING FOR THEM) In your opinion the degree of participation of the Municipal Elderly People's Council in solving the problems with the BPC is:
1 $\qquad$ High

2 $\qquad$ Medium

3 $\qquad$ Low

4 $\qquad$ None at all

5 $\qquad$ Doesn't know
7.9. (ONLY FOR DISABLED PERSONS OR WHOEVER IS ANSWERING FOR THEM) Do you know about the Municipal Disabled Persons Council?
1 Yes

2 $\qquad$ No (go to 8.1)
7.10. (ONLY FOR DISABLED PERSONS OR WHOEVER IS ANSWERING FOR THEM) In your opinion the degree of participation of the Municipal Disabled Persons Council in solving the problems with the BPC is:
1 $\qquad$ High
2 $\qquad$ Medium
3 $\qquad$ Low

4 $\square$ None at all

5 $\qquad$ Doesn't know

## VIII. SOCIAL CAPITAL:

(THE QUESTIONS IN THIS BLOCK SHOULD BE ANSWERED BY THE BENEFICIARY IN PERSON OR BY THE FATHER OR MOTHER WHEN THE PERSON IS A MINOR)
8.1. Do you participate or have you ever participated in any form of community association?

1 $\square$ Yes

2 $\square$ No (go to 8.6)

### 8.2. What kind? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Religious associations
2Residents associations

3 $\qquad$ Social centers

4Elderly people's groups

5 Disabled people groups

6 $\qquad$ Sports associations

7 $\qquad$ Others. Specify: $\qquad$
8.3. How long have you participated (or did you participate) in such associations?

| 1 | $\square 6$ months |
| :--- | :--- |
| 2 | $\square$ From 6 months to 1 year |
| 3 | $\square$ From 1 year to 2 years |
| 4 | $\square$ More than 2 years |
| 5 | $\square$ Doesn't know |

8.4. How often do you (or did you) participate in those associations?

1Always

2 Almost always

3 $\qquad$ Sometimes

4 $\qquad$ Seldom

5 $\qquad$ Never
6Doesn't know
8.5. In your opinion, do those associations represent the interests of their members?

1Yes, entirely
2Yes, partly

3No
4Doesn't know

### 8.6. In your opinion, receiving the BPC has:

1Contributed towards a greater participation in the associations

2Not altered participation in the associations
3Made participation in the associations more difficult

4 $\square$ Doesn't know
8.7. Are you, or have you ever been affiliated to a trade union or a political party?

1 $\square$ Yes
2 $\square$ No (go to 8.11)

### 8.8. How long have you participated (or did you participate) in those trade unions or political parties?

16 months

2From 6 months to 1 year

3 $\qquad$ From 1 year to 2 years

4 $\qquad$ More than 2 years

5 $\qquad$ Doesn't know
8.9. How often do you (or did you) participate in those trade unions or political parties?

| 1 | $\square$ Always |
| :--- | :--- |
| 2 | $\square$ Almost always |
| 3 | $\square$ Sometimes |
| 4 | $\square$ Seldom |
| 5 | $\square$ Never |
| 6 | $\square$ Doesn't know |

8.10. In your opinion, do those trade unions or political parties represent the interests of their members?

1 Yes, entirely
2 $\qquad$ Yes, partly

3
 No

4 $\qquad$ Doesn't know

### 8.11. In your opinion, receiving the BPC has:

1 $\qquad$ Would contribute towards a greater participation in the associations

2 $\qquad$ Would not alter participation in the associations

3 $\qquad$ Would make participation in the associations more difficult
4 $\qquad$ Doesn't know
8.12. In your opinion, would receiving the BPC change the respect that people in general have for the user?

1Yes, nowadays people would respect me more

2 $\qquad$ No, I feel that I would receive the same kind of treatment that I received before

3 $\qquad$ No, I don't think people would respect me

4 $\square$ Doesn't know
8.13. In your view would receiving the BPC make it easier to make day to day decisions?
$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

## IX. SOCIAL PROTECTION:

9.1. In the place where you live are there any community help associations?

1
 Yes
2 $\qquad$ No (go to 9.8)

3 $\square$ Doesn't know (go to 9.8)

### 9.2. What kind? (MARK ALL ALTERNATIVES MENTIONED)

1Religious groups
2 $\qquad$ Mothers groups
3 $\qquad$ Social centers

4 $\qquad$ Elderly people's groups
5 $\qquad$ Disabled people groups

6 $\qquad$ Residents associations

7 $\qquad$ Other NGOs

8 $\qquad$ Nuclei of political parties

9 $\qquad$ Others. Specify:
10 $\qquad$ Doesn't know

### 9.3. Do you attend or interact with any of those groups?

Yes2 $\qquad$ No (go to 9.8)

### 9.4. Which ones? (MARK ALL ALTERNATIVES MENTIONED)



Religious groups
2 $\qquad$ Mothers groups
3 $\qquad$ Social centers

4 Elderly people's groups
5 $\qquad$ Disabled people groups

6 $\qquad$ Residents associations

7 Other NGOs

8 $\qquad$ Nuclei of political parties
9 $\qquad$ Others. Specify: $\qquad$
9.5. Do you receive any kind of help from those associations?
$1 \square$ Yes
2 $\square$ No (go to 9.8)

### 9.6. What kind of help?

1Help in the form of money
2 $\qquad$ Help in the form of food
3Help in the form of psychological support

4Help in the form of spiritual support
5 $\qquad$ Participating in educational and recreational activities

6 $\qquad$ Others. Specify: $\qquad$

### 9.7. In your opinion that help is:

1 Excellent

2 $\qquad$ Good
3 $\qquad$ Regular

4 $\qquad$ Bad
5 $\square$ Doesn't know

### 9.8. Which public services does the user make use of in the community?

Schools2 $\qquad$ Health units
3 $\qquad$ Social centers

4 $\square$ Crèches

5Other assistance services. Specify: $\qquad$
6 $\qquad$ None (go to 9.11)
9.9. In your opinion the degree of difficulty encountered in being attended to by those institutions is:

9.10. What is your opinion of the quality of services provided?

9.11. In the public services in your community is there any form of support for:
1 The elderly
2 Disabled persons

$3 \square$ Don't know (go to 9.15)
$3 \square$ Don't know (go to 9.15)

### 9.12. The support available is for: (MARK ALL ALTERNATIVES MENTIONED)

 Rehabilitation
$2 \square$ Socio-legal orientation
3Medical assistance

4 $\qquad$ Recreational activities

5Capacity building workshops directed at creating employment and income

6Others. Specify: $\qquad$

### 9.13. Do you use or have you ever used such services?

1 $\square$ Yes
2 $\square$ No (go to 9.15)
9.14. In your opinion the service is:

1 $\qquad$ Excellent
2 $\qquad$ Good
3 $\qquad$ Regular
9.15. Can you depend on any kind of support from members of the family?

1 $\square$ Yes

2 $\square$ No (go to 9.18)
9.16. Which members of the family offer support? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Parents

2 $\qquad$ Brothers/sister

3Grandparents
4 $\qquad$ Uncles/aunts

5Cousins

6Brothers/sisters-in-law

7 $\qquad$ Nephews/nieces
8Other family members. Specify: $\qquad$
9.17. What kind of support is given by family members? (MARK ALL ALTERNATIVES MENTIONED)

1 Financial

2 $\qquad$ Cleanliness and personal hygiene

3 Household chores

4Locomotion for health treatment
5 $\qquad$ Solving problems in administering the daily round (e.g., going to the supermarket, to the bank, etc.)

6 $\qquad$ Company
7Others. Specify:
9.18. Can you depend on any kind of support from the neighbors?

1 $\square$ Yes
2 $\square$ No (go to 9.20)
9.19. What kind of support has been received? (MARK ALL ALTERNATIVES MENTIONED)

1Financial

2Cleanliness and personal hygiene
3 Household chores

4Locomotion for health treatment

5 $\qquad$ Solving problems in administering the daily round (e.g., going to the supermarket, to the bank, etc.)

6Company
7 Others. Specify: $\qquad$
9.20. Do you feel that in receiving the BPC, the user stops needing that support?
$1 \square$ Yes
$2 \square$ No
$3 \square$ Don't know
9.21. How many meals a day do the people in your household usually have?

| 1 | Children from 0 to 2 years old | 1.1. | meals | 1.2. | Doesn't know | 1.3. | Not applicable |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | Children from 3 to 11 years old | 1.1. | meals | 1.2. | Doesn't know | 1.3. | Not applicable |
| 3 | People over 11 years old | 1.1. | meals | 1.2. | Doesn't know |  |  |

9.22. Still thinking about the quantity of food consumed by your family would you say that:
1.Often it is not enough for everyone to eat well

2Sometimes it is not enough for everyone to eat well

3 $\qquad$ It is always enough for everyone to eat well

4 $\qquad$ Doesn't know/ No answer

### 9.23. In comparison with other people of the same age (as the user) would you say that your/his/her health is:

$1 \square$ Excellent
$2 \square$ Good
$3 \square$ Regular
$4 \square$ Bad
$5 \square$ Doesn't know
9.24. Does the user depend on other people for day to day activities?

1 Totally depends on other

2 $\qquad$ Depends on others for most activities

3Depends on others for some activities

4 $\qquad$ Does not depend on others
5Doesn't know
9.25. What do you consider to be most difficult among the daily tasks in the user's daily life? (MARK UP TO 3 OPTIONS)
$\qquad$ Eating
2 $\qquad$ Taking a shower
3 $\qquad$ Using the toilet

4 $\qquad$ Taking medicine

5 $\qquad$ Moving around inside the house

6 $\qquad$ Going out on the street
7 $\qquad$ Shopping and making payments
8 $\qquad$ Going to the doctor

9 $\qquad$ Carrying out household chores
10 $\qquad$ Being alone during the day
11 $\qquad$ Sleeping alone at night

12 Living alone
13 $\qquad$ Others. Specify:

14 $\qquad$ Doesn't know
9.26. What other problems does the user have to face in his/her daily routine?
9.27. In your opinion, what should be done to improve the living situation of elderly people and disabled persons in your municipality?

Questionnaire for users (people who have had the benefit denied)

Comments of the interviewee (Record here any observations made or complementary comments)
$\qquad$
$\qquad$
$\qquad$
$\qquad$
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$\qquad$
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$\qquad$

## Comments of the interviewer

$\qquad$
$\qquad$
$\qquad$
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$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

|  | RESEARCH - EVALUATION OF THE CONTINUOUS |
| :--- | :--- |
| Universidade <br> Federal <br> Fluminense | ASSISTANCE BENEFIT - BPC |

I. IDENTIFICATION OF THE QUESTIONNAIRE:
1.1. Questionnaire type: | 3 |
1.2. Agency: $\qquad$ II__II ___|
1.3. Stratum: $\qquad$
1.4. Questionnaire number: $\qquad$ _l
1.5. Benefit number: $\qquad$ |___|| $\qquad$ ||__II $\qquad$ II II I
1.6. State/DF and municipality: | $\qquad$ 11 $\qquad$ I
1.7. Date of interview: $\mid$
1.8. Interviewer's code: $\qquad$
II. IDENTIFICATION OF THE INTERVIEWEE:
2.1. Name: $\qquad$
2.2. Age: $\qquad$ years old
2.3. Sex:


Female
2Male

### 2.4. Race/ color:

$1 \square$ White
$2 \square$ Black
$3 \square$ Brown
$4 \square$ Oriental
$5 \square$ Indigenous
2.5. Employment Situation in the Social Welfare institution (mark one alternative only):

1Permanent employee
2 $\qquad$ Regular work contract - CLT (Consolidation of Labor Laws)
3Appointee
4Temporary work contract

5 $\square$ Service provider
6 $\square$ Others. Specify:

### 2.6. Schooling level:

1 $\square$ No qualification, less than one year of schooling
2 $\qquad$ Basic education incomplete

3 $\qquad$ Complete basic (compulsory) education
4 $\qquad$ Senior high school incomplete

5Complete senior high school education

6 $\qquad$ Incomplete higher education
7Complete higher education. Specify: $\qquad$

### 2.7. Post held in the INSS:

### 2.8. How long have you worked in the INSS?

1 $\square$ Less than 6 months

2 $\qquad$ Between 6 months and 1 year

3 $\square$ From over a year to 2 years
4 $\qquad$ From over 2 years to 5 years

5 $\square$ Over 5 years

### 2.9. How long have you worked in the BPC-LOAS?

1 $\square$ Less than 6 months

2 $\qquad$ Between 6 months and 1 year

3From over a year to 2 years
4 $\qquad$ From over 2 years to 5 years

5Over 5 years

## III. ACCESS TO THE BENEFIT:

3.1. The maximum period allowed between the requisitioning of the benefit and the concession of the BPCLOAS is $\mathbf{4 5}$ days. This period is generally complied with:

## Questionnaire for the individual responsible for the INSS agency

3.2. In your opinion, having the granting of the benefit approved is generally:

1. Very easy
2. Easy
3. Difficult
4. Very difficult
5. Doesn't know

1 $\square$ For the BPC-LOAS for the elderly

2 $\square$ For the BPC-LOAS for the disabled people
3.3. In what way does your agency participate in the process of requisitioning the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
$1 \square$ Helps in filling out the forms
2Gives guidance to help solve problems regarding the documents necessary for registering the requisition

3 Gives guidance to those who wish to lodge an appeal in cases of denial of the benefit

4 $\qquad$ Does not participate in the process of structuring the requisition (go to 3.5)

### 3.4. In your assessment, that participation is generally:

 Entirely sufficient

2Partly sufficient
3 Not sufficient

4 $\square$ Doesn't know

### 3.5. Indicate to what extent you agree with the following statements: (MARK ALL THE ALTERNATIVES)

## 1. Entirely agree <br> 2. Partly agree <br> 3. Don't agree <br> 4. Doesn't know

1 $\square$ The per capita family income criterion of $1 / 4$ of a minimum salary to have the right to benefit is low.
2 The information available to the benefit user on the BPC-LOAS is insufficient.

3 $\qquad$ The location of the INSS agencies makes access difficult for potential BPC-LOAS beneficiaries.
4 The existence of intermediaries makes relations between users and the INSS difficult.
$5 \square$ There is a lot of bureaucracy in the administration of the BPC-LOAS process.
6 $\qquad$ The public Social Assistance bodies do not give much support to service users when requesting the benefit.

7
 The technical staff of INSS agencies are insufficiently trained for operationalizing the BPC-LOAS benefit.

8 $\qquad$ The technical staff of INSS agencies are reluctant to attend to BPC-LOAS users because they are not regularly Insured under the Social Security scheme.
$9 \square$ The criteria used by the medical examination boards to determine incapacity for independent life or for work for regular work are not clear.
10 $\square$ The inspection board does not take into account the users social condition when making medical evaluation.

## IV. INTERGOVERNMENTAL AND INTERSECTORAL RELATIONS:

### 4.1. In your opinion the degree of INSS acceptance of operationalizing the BPC-LOAS is:

2 $\qquad$ Medium
3 $\qquad$ Low

4 $\square$ Non existent

## Questionnaire for the individual responsible for the INSS agency

4.2. Does your agency carry out any kind of joint work with secretariats responsible for Social Assistance related to the BPC-LOAS?
$1 \square$ Yes. What?
$2 \square$ No (go to 4.4)
$3 \square$ Doesn't know (go to 4.4)
4.3. In your opinion this work has generally been: (MARK ONE ALTERNATIVE ONLY)


Constant and cooperative
2 Constant and conflictive

3 Occasional and cooperative

4 $\qquad$ Occasional and conflictive

5 $\qquad$ Doesn't know
4.4. In your opinion, should the INSS develop joint work in regard to the BPC-LOAS with the secretariats responsible for social assistance in the municipalities?
$1 \quad \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
4.5. In your opinion what are the difficulties that prevent greater integration between the INSS and the secretariat responsible for social assistance in your municipality? (MARK ALL ALTERNATIVES MENTIONED)
1 $\qquad$ Concentration of decision making on BPC-LOAS in the INSS
2Lack of interest on the part of the INSS

3 $\qquad$ Lack of interest of the social assistance body
4 $\qquad$ Differences between the INSS objectives and those of the Social Assistance body in regard to BPC-LOAS

5Resistance on the part of INSS professional staff
6 $\qquad$ Resistance on the part of the social assistance body's professional staff

7 $\qquad$ Others. Specify: $\qquad$
8 $\qquad$ There are no difficulties
4.6. In your opinion, what should be the role of the social assistance secretariat of your municipality in executing the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
1 $\qquad$ Orientate the user population in regard to the benefit and how to request it

2 $\square$ Refer possible beneficiaries to the INSS
3 $\qquad$ Participate in the analysis/evaluation of the processes requesting the granting of the benefit

4 $\qquad$ Carry out studies on the conditions of vulnerability of those requesting the benefit
5 $\qquad$ Accompany the administration of the processes for conceding the BPC-LOAS benefit

6 $\qquad$ Coordinate the process of revision for the BPC-LOAS
7Offer various social actions and services directed at BPC-LOAS beneficiaries
8Other. Specify:

9It should not interfere in the execution of the BPC-LOAS

10 $\square$ Doesn't know
4.7. In your assessment, this role is being performed:

| 1 | $\square$ Completely |
| :--- | :--- |
| 2 | $\square$ Partly |
| 3 | $\square$ Not being performed |
| 4 | $\square$ Doesn't know |

4.8. In your opinion, is operationalizing the BPC-LOAS a problem for your agency?
$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

### 4.9. Do you agree that the INSS should continue to be responsible for operationalizing the BPC-LOAS?

$1 \quad \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
4.10. Do you know of any initiatives in the form of supplying social services to BPC-LOAS beneficiaries in the municipality(ies) covered by your management unit?

| 1 | Elderly | $1 \square$ yes | $2 \square$ no (go to 5.1 ) |
| :--- | :--- | :--- | :--- |
| 2 | Disabled person | $1 \square \square$ doesn't know (go to 5.1 ) |  |
|  | $1 \square$ yes | $2 \square$ no (go to 5.1 ) | $3 \square$ doesn't know (go to 5.1) |

### 4.11. In your assessment, those initiatives are:

1 $\qquad$ Entirely sufficient

2Partly sufficient
3 Insufficient

4Incipient

5Doesn't know

## V. WORK PROCESS:

5.1. Does your agency face problems in handling the BPC-LOAS?
1 $\square$ Yes
2 $\qquad$ No (go to 5.3)
3Doesn't know (go to 5.3)

### 5.2. What are the main difficulties being faced? (MARK ALL ALTERNATIVES MENTIONED)

$\square$ It is more difficult to deal with BPC-LOAS users than with other types of insured persons

2Lack of training for employees in operationalizing the BPC-LOAS
3Lack of material resources

4 Lack of staff

5 $\qquad$ Unwillingness of staff to work with the BPC-LOAS

6Difficulties in relations with the medical inspection board

7Other difficulties. Specify: $\qquad$
5.3. How would you classify the resources available for your activities in regard to the BPC-LOAS?

1 Entirely satisfactory
2Partly satisfactory

3Not satisfactory

4Doesn't know
5.4. What degree of satisfaction do you feel when dealing with the BPC-LOAS?

1A high degree

2 $\qquad$ A reasonable degree
3 $\qquad$ A low degree

4 $\qquad$ None at all

5 $\qquad$ Doesn't know
5.5. Did you undergo any capacity-building activities for working with BPC-LOAS?

1Yes
2No (go to 6.1)
5.6. In your assessment such capacity-building was:

1 $\qquad$ Entirely satisfactory

2 Partly satisfactory

3 $\qquad$ Not satisfactory

4 $\qquad$ Doesn't know

## VI. MANAGEMENT:

6.1. Does your agency make use of information on BPC-LOAS when planning its actions?Always

2Almost always
3Sometimes

4 Seldom

5Never

6 $\square$ Doesn't know
6.2. Is there any mechanism in your management unit for evaluating/accompanying the BPC-LOAS?
$\square$ Yes

2No (go to 6.5)
3Doesn't know (go to 6.5)

### 6.3. In your assessment that mechanism is:

1Entirely satisfactory
2 $\qquad$ Partly satisfactory
3Not satisfactory
4 $\qquad$ Doesn't know

## Questionnaire for the individual responsible for the INSS agency

6.4. Do you participate in any way in such evaluating/accompanying?

6.5. In your opinion, are the responsibilities of each INSS sector in the process of administering the BPC-LOAS quite clear?

6.6. What mechanisms are there in the Social Welfare structure for dealing with complaints and/or denunciations made by the populace in regard to the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
1 $\square$ Social Welfare appeal board

2 $\qquad$ INSS or Social Welfare ombudsman
3 $\qquad$ Others. Specify:

4 $\qquad$ There are no formal mechanisms

5Doesn't know
6.7. In your assessment, does the Social Welfare organization take all the necessary measures to find solutions for complaints and/or denunciations in regard to the BPC-LOAS?
$\square$ Yes
$\square$ No (go to 6.9)
$3 \square$ Doesn't know (go to 6.9)
6.8. In your assessment the measures taken are:
 Entirely satisfactory
2Partly satisfactory
3 $\qquad$ Not satisfactory

4 $\qquad$ Doesn't know
6.9. Which are the commonest complaints/reasons for dissatisfaction in regard to the BPC-LOAS among users of the benefit? Do you agree with those complaints?

1. Entirely agree
2. Partly agree
3. Don't agree
4. Doesn't know

1Delays in receiving the benefitDelayed payments
3 Low amount of the benefit

4Distance form the service user's residence to the INSS agencies
5 $\square$

Lack of adequate guidance
6 $\qquad$ Excessive bureaucracy
7Lack of humanity in the manner of attending to users
8 Long queues

9Delays in scheduling medical board examinations
10 $\qquad$ Results of the medical board examinations

11Others. Specify: $\qquad$

## Questionnaire for the individual responsible for the INSS agency

## VII. SOCIAL CONTROL:

7.1. Are you aware of the existence of any social control body for the BPC-LOAS in your municipality?
$\square$ Yes

2No (go to 7.4)

3 $\qquad$ Doesn't know (go to 7.4)
7.2. How would you evaluate the degree of interference of that body in the implementation of the BPC-LOAS?

1A high degree

2A reasonable degree

3 $\qquad$ A low degree

4 $\qquad$ None at all
5Doesn't know

### 7.3. Is there any participation of civil society in that body?

1 $\square$ Yes

2 $\qquad$ No

3 $\square$ Doesn't know
7.4. In your view, the role of the special federal welfare court in the implementation of the BPC-LOAS can be considered:
1Entirely satisfactory

2Partly satisfactory
3 Not satisfactory

4 $\qquad$ Doesn't know

## VIII. PERCEPTION OF THE BPC:

8.1. Do you feel that the amount granted by the BPC-LOAS enables people to lead a decent life?

1Yes (go to 8.3)

2 $\square$ No
3Doesn't know (go to 8.3)

### 8.2. Why not? (MARK ALL ALTERNATIVES MENTIONED)

 The amount of the benefit is too low

2 $\qquad$ The amount is enough but the elderly and disabled have other needs that are not met by the public authorities

3 $\qquad$ Irrespective of the benefit amount, the elderly and disabled have other needs that are not met by the public authorities

4 $\square$ Others. Specify: $\qquad$

## Questionnaire for the individual responsible for the INSS agency

8.3. In your opinion the requests for the BPC-LOAS stem from: (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ Effective financial necessity
2Backgrounds of personal dependence on State aid

3 $\qquad$ A lack of will on the part of the requester to guarantee his/her upkeep by himself/herself

4 $\qquad$ A tendency among families not to take on responsibility for their dependent elderly or disabled members

5 $\qquad$ Others. Specify: $\qquad$
6 $\qquad$ Doesn't know
8.4. Do you perceive any difference between BPC-LOAS beneficiaries and those regular socially insured persons attended to by the INSS?
1 $\square$ Yes
2 $\qquad$ No (go to 8.6)
3 $\qquad$ Doesn't know (go to 8.6)

### 8.5. In case of a "Yes", what are the main differences? (MARK ALL ALTERNATIVES MENTIONED)

$\square$ The BPC-LOAS beneficiary is poorer than the regular INSS socially insured client.
2 $\qquad$ The BPC-LOAS beneficiary has greater difficulty in understanding how the benefit works than the regular INSS insured client.

3 $\qquad$ The BPC-LOAS beneficiary does not contribute to the Social Welfare scheme and therefore should not be handled by the INSS.
4 $\square$ The BPC-LOAS beneficiary is more critical/quizzical than the regular Social Welfare client.

5
 The BPC-LOAS beneficiary feels that he/she is being done a favor by the Social Welfare scheme while the regular Social Welfare client feels that he or she is exercising a right.
8.6. Do you agree with the ban on remunerated activities for any elderly person receiving the BPC-LOAS?
$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

### 8.7. Do you feel that only disabled people effectively unable to work should receive the BPC-LOAS?

 Yes

2 No
$3 \square$ Doesn't know

### 8.8. How important do you consider the BPC-LOAS to be?

1 $\square$ Very important
2 $\qquad$ Important

3 Of little importance
4 $\qquad$ Not necessary

Questionnaire for the individual responsible for the INSS agency
8.9. In your opinion, what should be done to improve the living conditions of BPC-LOAS beneficiaries in your municipality?
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

# Questionnaire for the individual responsible for the INSS agency 

Comments of the interviewee
(Record here any complementary comments or remarks)
$\qquad$

|  | RESEARCH - EVALUATION OF THE CONTINUOUS |
| :--- | :---: |
| Universidade <br> Federal <br> Fluminense | ASSISTANCE BENEFIT - BPC |

## I. IDENTIFICATION OF THE QUESTIONNAIRE:

1.1. Questionnaire type: | 8 |
1.2. Questionnaire number: $\qquad$
1.3. State/DF and municipality: | $\qquad$ 11 $\qquad$ I_ _ __I
1.4. Date of interview: | $\qquad$ |/I $\qquad$ / I___|__|__|
1.5. Interviewer's code: $\qquad$ _l
1.6. UNDER THE EXECUTIVE MANAGEMENT OF $\qquad$
II. IDENTIFICATION OF THE INTERVIEWEE:
2.1. Name: $\qquad$
2.2. Age: $\qquad$ years old
2.3. Sex:

1Female

2Male

### 2.4. Race/ color:

| 1 | White |
| :---: | :---: |
| 2 | Black |
| 3 | Brown |
| 4 | Oriental |
| 5 | Indigenou |

2.5. Employment situation in the Social Welfare institution: (MARK ONE ALTERNATIVE ONLY)

1 $\qquad$ Permanent employee
2Regular work contract - CLT (Consolidation of Labor Laws)
3 Appointee

4Temporary work contract
5Service provider

6Others. Specify: $\qquad$

## Questionnaire for executive managers of the INSS

### 2.6. Schooling level:

1 $\square$ No qualification, less than one year of schooling
2 $\qquad$ Basic education incomplete

3 $\qquad$ Complete basic (compulsory) education
4 $\qquad$ Senior high school incomplete

5
 Complete senior high school education

6 $\qquad$ Incomplete higher education
7Complete higher education. Specify: $\qquad$

### 2.7. Post held in the INSS:

### 2.8. How long have you worked in the INSS?

$\square$ Less than 6 months

2Between 6 months and 1 year

3From over a year to 2 years
4From over 2 years to 5 years

5Over 5 years

## III. ACCESS TO THE BENEFIT:

3.1. The maximum period allowed between the requisitioning of the benefit and the concession of the BPCLOAS is $\mathbf{4 5}$ days. This period is generally complied with:

1. Always
2. Almost always
3. Sometimes
4. Seldom
5. Never
6. Doesn't know

1For the BPC-LOAS for the elderly

2 $\qquad$ For the BPC-LOAS for the disabled people
3.2. In your opinion, having the granting of the benefit approved is generally:

1. Very easy
2. Easy
3. Difficult
4. Very difficult
5. Doesn't know

1For the BPC-LOAS for the elderly

2 $\square$ For the BPC-LOAS for the disabled persons

## Questionnaire for executive managers of the INSS

### 3.3. Indicate to what extent you agree with the following statements: (MARK ALL THE ALTERNATIVES)

1. Entirely agree
2. Partly agree
3. Don't agree
4. Doesn't know

1The per capita family income criterion of $1 / 4$ of a minimum salary to have the right to benefit is low.
2 The information available to the benefit user on the BPC-LOAS is insufficient.

3 $\qquad$ The location of the INSS agencies makes access difficult for potential BPC-LOAS beneficiaries.
4 $\qquad$ The existence of intermediaries makes relations between users and the INSS difficult.

5 $\qquad$ There is a lot of bureaucracy in the administration of the BPC-LOAS process.
6 $\qquad$ The public social assistance bodies do not give much support to service users when requesting the benefit.

7 $\qquad$ The technical staff of INSS agencies are insufficiently trained for operationalizing the BPC-LOAS benefit.

8 $\square$ The technical staff of INSS agencies are reluctant to attend to BPC-LOAS users because they are not regularly Insured under the social security scheme.
9 $\square$ The criteria used by the medical examination boards to determine incapacity for independent life or for work for regular work are not clear.
10 $\square$ The inspection board does not take into account the users social condition when making medical evaluation.

## IV. INTERGOVERNMENTAL AND INTERSECTORAL RELATIONS:

### 4.1. In your opinion the degree of INSS acceptance of operationalizing the BPC-LOAS is:

 High
2Medium
3Low

4 $\qquad$ Non existent

5 $\qquad$ Doesn't know
4.2. Does your management unit carry out any kind of joint work with secretariats responsible for Social Assistance related to the BPC-LOAS?

1 $\qquad$ Yes. What? $\qquad$
2No (go to 4.4)
3 $\qquad$ Doesn't know (go to 4.4)

### 4.3. In your opinion this work has generally been: (MARK ONE ALTERNATIVE ONLY)

1 $\qquad$ Constant and cooperative
2 $\qquad$ Constant and conflictive
3Occasional and cooperative

4 $\qquad$ Occasional and conflictive

5 $\qquad$ Doesn't know

## Questionnaire for executive managers of the INSS

4.4. In your opinion, should the INSS develop joint work in regard to the BPC-LOAS with the secretariats responsible for social assistance in the municipalities?
$1 \quad \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
4.5. In your opinion what are the difficulties that prevent greater integration between the INSS and the secretariat responsible for social assistance in your municipality? (MARK ALL ALTERNATIVES MENTIONED)
1Concentration of decision making on BPC-LOAS in the INSS

2 $\qquad$ Lack of interest on the part of the INSS
3 $\qquad$ Lack of interest of the social assistance body

4 $\qquad$ Differences between the INSS objectives and those of the social assistance body in regard to BPC-LOAS
5 $\qquad$ Resistance on the part of INSS professional staff

6 $\qquad$ Resistance on the part of the social assistance body's professional staff
7 Others. Specify: $\qquad$
8There are no difficulties
4.6. In your opinion, what should be the role of the social assistance secretariat of your municipality in executing the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
1 $\square$ Orientate the user population in regard to the benefit and how to request it

2 $\square$ Refer possible beneficiaries to the INSS
3Participate in the analysis/evaluation of the processes requesting the granting of the benefit

4Carry out studies on the conditions of vulnerability of those requesting the benefit
5 Accompany the administration of the processes for conceding the BPC-LOAS benefit

6 Coordinate the process of revision for the BPC-LOAS
$7 \square$ Offer various social actions and services directed at BPC-LOAS beneficiaries
8Other. Specify:It should not interfere in the execution of the BPC-LOAS

10 $\square$ Doesn't know

### 4.7. In your assessment, this role is being performed:

1 $\qquad$ Completely
2 $\qquad$ Partly

3 $\qquad$ Not being performed
4 $\qquad$ Doesn't know

### 4.8. In your opinion, is operationalizing the BPC-LOAS a problem for your management unit?



## Questionnaire for executive managers of the INSS

4.9. Do you agree that the INSS should continue to be responsible for operationalizing the BPC-LOAS?

| 1 | $\square$ Yes |
| :--- | :--- |
| 2 | $\square$ No |
| 3 | $\square$ Doesn't know |

4.10. Do you know of any initiatives in the form of supplying social services to BPC-LOAS beneficiaries in the municipality(ies) covered by your management unit?
1 Elderly
1
$\square$ yes 2 $\qquad$ no (go to 5.1)
3doesn't know (go to 5.1)
2 Disabled person yes 2 $\qquad$ no (go to 5.1)
3doesn't know (go to 5.1)

### 4.11. In your assessment, those initiatives are:

1Entirely sufficient
2 $\qquad$ Partly sufficient

3Insufficient

4 $\qquad$ Incipient

5Doesn't know

## V. WORK PROCESS:

5.1. Does your management unit face problems in handling the BPC-LOAS?

1 $\qquad$ Yes

2 $\qquad$ No (go to 5.3)

3 $\square$ Doesn't know (go to 5.3)

### 5.2. What are the main difficulties being faced? (MARK ALL ALTERNATIVES MENTIONED)

1It is more difficult to deal with BPC-LOAS users than with other types of insured persons

2 $\square$ Lack of training for employees in operationalizing the BPC-LOAS

3 $\qquad$ Lack of material resources

4Lack of staff
5Unwillingness of staff to work with the BPC-LOAS

6 $\qquad$ Difficulties associated to the evaluations of the medical inspection board
7 $\qquad$ Difficulties in coordinating the various sectors in regard to BPC-LOAS procedures

8Other difficulties. Specify:

### 5.3. How would you classify the resources available for the actions of your management unit in regard to the

 BPC-LOAS?1Entirely satisfactory

2 $\qquad$ Partly satisfactory

3 $\qquad$ Not satisfactory

4 $\qquad$ Doesn't know
5.4. What degree of satisfaction do you feel when dealing with the BPC-LOAS?

1 A high degree

2 $\qquad$ A reasonable degree

3 $\qquad$ A low degree

4 $\qquad$ None at all

5Doesn't know
5.5. Does your management unit undertake capacity-building activities for employees for working with BPC-

LOAS?
1 Yes

2No (go to 6.1)
5.6. In your assessment such capacity-building is generally: Entirely satisfactory

2 $\qquad$ Partly satisfactory

3 $\qquad$ Not satisfactory

4 $\qquad$ Doesn't know

## VI. MANAGEMENT:

6.1. Does your management unit make use of information on BPC-LOAS when planning its actions?

1 $\square$ Always
2Almost always

3Sometimes
4Seldom

5Never

6 $\square$ Doesn't know

### 6.2. That information is used for: (MARK ALL ALTERNATIVES MENTIONED)

$\square$ Evaluation
2Monitoring

3 $\square$ Cost control
4Auditing

5 $\qquad$ Capacity building activities for staff
6Others. Specify:

### 6.3. Is there any mechanism in your management unit for evaluating/accompanying the BPC-LOAS?

 Yes
2 $\qquad$ No (go to 6.5)
3 $\square$ Doesn't know (go to 6.5)
6.4. Indicate your evaluation of the use made in your management unit of the mechanism(s) for accompanying the BPC-LOAS:

1. Entirely satisfactory 2. Partly satisfactory 4. Not satisfactory 5. No mechanisms used in regard to BPCLOAS 6. Doesn't know

1 $\square$ Evaluation
2 $\qquad$ Monitoring

3 Cost control
4 $\qquad$ Auditing

5 $\qquad$ Capacity building activities for staff

6Others. Specify: $\qquad$
6.5. In your opinion, are the responsibilities of each INSS sector in the process of administering the BPC-LOAS quite clear?
$1 \square$ Yes
2 $\qquad$ No

3Doesn't know
6.6. What mechanisms are there in the Social Welfare structure for dealing with complaints and/or denunciations made by the populace in regard to the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
1 $\square$ Social Welfare appeal board

2 $\qquad$ INSS or Social Welfare ombudsman
3Others. Specify: $\qquad$
4 $\qquad$ There are no formal mechanisms

5Doesn't know
6.7. In your assessment, does the Social Welfare organization take all the necessary measures to find solutions for complaints and/or denunciations in regard to the BPC-LOAS?
1 $\square$ Yes

2No (go to 6.9)
3Doesn't know (go to 6.9)
6.8. In your assessment the measures taken are:

1 $\qquad$ Entirely satisfactory
2 $\qquad$ Partly satisfactory

3 $\qquad$ Not satisfactory
4Doesn't know

## Questionnaire for executive managers of the INSS

6.9. Which are the commonest complaints/reasons for dissatisfaction in regard to the BPC-LOAS among users of the benefit? Do you agree with those complaints?

1. Entirely agree
2. Partly agree
3. Don't agree
4. Doesn't knowDelays in receiving the benefit
2Delayed payments

3
 Low amount of the benefit
4 $\qquad$ Distance form the service user's residence to the INSS agencies

5
 Lack of adequate guidance

6 $\qquad$ Excessive bureaucracy
7Lack of humanity in the manner of attending to users

8 $\qquad$ Long queues
9 $\qquad$ Delays in scheduling medical board examinations

10 $\qquad$ Results of the medical board examinations
11 $\qquad$ Others. Specify: $\qquad$

## VII. SOCIAL CONTROL:

7.1. Are you aware of the existence of any social control body for the BPC-LOAS in the municipalities covered by your management unit?
$1 \square$ Yes
2 $\qquad$ No (go to 7.4)

3 $\square$ Doesn't know (go to 7.4)

What body in which municipality(ies)?
7.2. Generally speaking, how would you evaluate the degree of interference of those bodies in the implementation of the BPC-LOAS?
1 A high degree

2 A reasonable degree
3 $\qquad$ A low degree

4 $\qquad$ None at all

5 $\qquad$ Doesn't know

### 7.3. Generally speaking, is there any participation of civil society in that body?

$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
7.4. In your view, the role of the special federal welfare court in the implementation of the BPC-LOAS can be considered:
$\square$ Entirely satisfactory

2 $\qquad$ Partly satisfactory
3 $\qquad$ Not satisfactory

4 $\qquad$ Doesn't know

## VIII. PERCEPTION OF THE BPC:

8.1. Do you feel that the amount granted by the BPC-LOAS enables people to lead a decent life?

1 $\qquad$ Yes (go to 8.3)

2 $\qquad$ No

3 $\qquad$ Doesn't know (go to 8.3)

### 8.2. Why not? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ The amount of the benefit is too low
2The amount is enough but the elderly and disabled have other needs that are not met by the public authorities

3 $\square$ Irrespective of the benefit amount, the elderly and disabled have other needs that are not met by the public authorities
4 $\square$ Others. Specify:
8.3. In your opinion the requests for the BPC-LOAS stem from: (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Effective financial necessity
2Backgrounds of personal dependence on State aid

3 $\qquad$ A lack of will on the part of the requester to guarantee his/her upkeep by himself/herself
4 $\qquad$ A tendency among families not to take on responsibility for their dependent elderly or disabled members

5 $\qquad$ Others. Specify:
6 $\square$ Doesn't know
8.4. Do you perceive any difference between BPC-LOAS beneficiaries and those regular socially insured persons attended to by the INSS?
1Yes
2 $\qquad$ No (go to 8.6)
3 $\qquad$ Doesn't know (go to 8.6)

### 8.5. In case of a "Yes", what are the main differences? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ The BPC-LOAS beneficiary is poorer than the regular INSS socially insured client.

2The BPC-LOAS beneficiary has greater difficulty in understanding how the benefit works than the regular INSS insured client.

3 $\square$ The BPC-LOAS beneficiary does not contribute to the Social Welfare scheme and therefore should not be handled by the INSS.
4 $\square$ The BPC-LOAS beneficiary is more critical/quizzical than the regular social assistance client.

5 $\square$ The BPC-LOAS beneficiary feels that he/she is being done a favor by the Social Welfare scheme while the regular Social Welfare client feels that he or she is exercising a right.
8.6. Do you agree with the ban on remunerated activities for any elderly person receiving the BPC-LOAS?
$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

### 8.7. Do you feel that only disabled people effectively unable to work should receive the BPC-LOAS?

$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

### 8.8. How important do you consider the BPC-LOAS to be?



Very important
2Important

3 $\qquad$ Of little importance
4Not necessary
8.9. In your opinion, what should be done to improve the living conditions of BPC-LOAS beneficiaries in the municipality(ies) covered by your management unit?
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

Questionnaire for executive managers of the INSS

Comments of the interviewee
(Record here any complementary comments or remarks)
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

Comments of the interviewer
$\qquad$
$\qquad$
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|  | RESEARCH - EVALUATION OF THE CONTINUOUS |
| :--- | :--- |
| Universidade <br> Federal <br> Fluminense | ASSISTANCE BENEFIT - BPC |

I. IDENTIFICATION OF THE QUESTIONNAIRE:
1.1. Type of questionnaire: | 4 |
1.2. Agency: |_________|
1.3. Stratum: I__I
1.4. Questionnaire number: $\qquad$
1.5. Benefit number: $\mid$ __|__|___|___|__|___|__||
1.6. State/DF and municipality: | $\qquad$ |___|__|_| _l
1.7. Date of the interview: | $\qquad$


1.8. Interviewer's code: $\qquad$ ___|
II. IDENTIFICATION OF THE INTERVIEWEE:
2.1. Name: $\qquad$
2.2. Age: $\qquad$ years old
2.3. Sex:
 Female

2Male
2.4. Race/ color:

1White

2Black
3Brown
4Oriental
5Indigenous

### 2.5. Employment situation in the social insurance institution: (MARK ONE ALTERNATIVE ONLY)

1 $\qquad$ Permanent employee

2Regular work contract - CLT (Consolidation of Labor Laws)
3Appointee

4Temporary work contract
5 $\qquad$ Service provider

6Others. Specify: $\qquad$

## Questionnaire for administrative staff members of the INSS agency

### 2.6. Schooling level:

$\square$ No qualification, less than one year of schooling
2 $\qquad$ Basic education incomplete

3Complete basic (compulsory) education
4 $\qquad$ Senior high school incomplete

5Complete senior high school education

6 $\qquad$ Incomplete higher education
7Complete higher education. Specify: $\qquad$

### 2.7. How long have you worked in the INSS?

1Less than 6 months

2Between 6 months and 1 year

3From over a year to 2 years
4 $\qquad$ From over 2 years to 5 years

5 $\square$ Over 5 years

### 2.8. How long have you worked with the BPC-LOAS?

1Less than 6 months

2 $\qquad$ Between 6 months and 1 year
3From over a year to 2 years

4 $\qquad$ From over 2 years to 5 years
5Over 5 years

## III. ACCESS TO THE BENEFIT:

3.1. The maximum period allowed between the requisitioning of the benefit and the concession of the BPCLOAS is $\mathbf{4 5}$ days. This period is generally complied with:

1. Always
2. Almost always
3. Sometimes
4. Seldom
5. Never
6. Doesn't know

1 $\square$ For the BPC-LOAS for the elderly
2For the BPC-LOAS for the disabled persons
3.2. In your opinion, having the granting of the benefit approved is generally:

1. Very easy
2. Easy
3. Difficult
4. Very difficult
5. Doesn't know

1 $\square$ For the BPC-LOAS for the elderly
2For the BPC-LOAS for the disabled persons

## Questionnaire for administrative staff members of the INSS agency

3.3. In what way does your agency participate in the process of requisitioning the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
1Helps in filling out the forms
2
3 $\qquad$ Gives guidance to those who wish to lodge an appeal in cases of denial of the benefit

4Does not participate in the process of structuring the requisition (go to 3.5)
3.4. In your assessment, that participation is generally:

1 $\square$ Entirely sufficient
2 $\qquad$ Partly sufficient

3 $\qquad$ Not sufficient
4 $\qquad$ Doesn't know
3.5. Indicate to what extent you agree with the following statements: (MARK ALL THE ALTERNATIVES)

1. Entirely agree
2. Partly agree
3. Don't agree

## 4. Doesn't know

$1 \square$ The per capita family income criterion of $1 / 4$ of a minimum salary to have the right to benefit is low.
2 $\square$ The information available to the benefit user on the BPC-LOAS is insufficient.

3 $\qquad$ The location of the INSS agencies makes access difficult for potential BPC-LOAS beneficiaries.
$4 \square$ The existence of intermediaries makes relations between users and the INSS difficult.
5 $\qquad$ There is a lot of bureaucracy in the administration of the BPC-LOAS process.
$6 \square$ The public social assistance bodies do not give much support to service users when requesting the benefit.
7 $\qquad$ The technical staff of INSS agencies are insufficiently trained for operationalizing the BPC-LOAS benefit.
8 $\qquad$ The technical staff of INSS agencies are reluctant to attend to BPC-LOAS users because they are not regularly Insured under the social security scheme.
9 The criteria used by the medical examination boards to determine incapacity for independent life or work for regular work are not clear.
10 $\square$ The inspection board does not take into account the users social condition when making medical evaluation.

## IV. INTERGOVERNMENTAL AND INTERSECTORAL RELATIONS:

### 4.1. In your opinion the degree of INSS acceptance of operationalizing the BPC-LOAS is:



## Questionnaire for administrative staff members of the INSS agency

4.2. Does your agency carry out any kind of joint work with secretariats responsible for social assistance related to the BPC-LOAS?

$\qquad$
2 $\qquad$ No (go to 4.4)

3 $\square$ Doesn't know (go to 4.4)
4.3. In your opinion this work has generally been: (MARK ONE ALTERNATIVE ONLY)

1 $\qquad$ Constant and cooperative

2Constant and conflictive

3 $\qquad$ Occasional and cooperative
4 $\qquad$ Occasional and conflictive
5 $\qquad$ Doesn't know
4.4. In your opinion, what should be the role of the social assistance secretariat of your municipality in executing the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
1Orientate the user population in regard to the benefit and how to request it
2Refer possible beneficiaries to the INSS

3 $\qquad$ Participate in the analysis/evaluation of the processes requesting the granting of the benefit
4Carry out studies on the conditions of vulnerability of those requesting the benefit

5Accompany the administration of the processes for conceding the BPC-LOAS benefit
6Coordinate the process of revision for the BPC-LOAS
7Offer various social actions and services directed at BPC-LOAS beneficiaries

8Other. Specify:
9 $\qquad$ It should not interfere in the execution of the BPC-LOAS

10 $\qquad$ Doesn't know
4.5. In your assessment, this role is being performed:

1Completely
2Partly
3 $\qquad$ Not being performed
4 $\square$ Doesn't know
4.6. In your opinion, is operationalizing the BPC-LOAS a problem for your agency?
$\square$ Yes
2No
3 $\qquad$ Doesn't know

## Questionnaire for administrative staff members of the INSS agency

4.7. Do you agree that the INSS should continue to be responsible for operationalizing the BPC-LOAS?
$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
4.8. Do you know of any initiatives in the form of supplying social services to BPC-LOAS beneficiaries in your municipality?
1 Elderly
$\begin{array}{lll}1 & \square \text { yes } & 2 \\ 1 & \square \text { no (go to } 5.1 \text { ) } \\ 1 & \square \text { yes } & 2\end{array}$
3
$\square$ doesn't know (go to 5.1 )
5.1)
2 Disabled person $\square$ 3 $\square$ doesn't know (go to 5 . 5.1)
4.9. In your assessment, those initiatives are:

1Entirely sufficient

2 $\qquad$ Partly sufficient

3Insufficient

4 $\qquad$ Incipient

5Doesn't know

## V. WORK PROCESS:

### 5.1. Does your agency face problems in handling the BPC-LOAS?

$\square$ Yes

2 $\square$ No (go to 5.3)
3Doesn't know (go to 5.3)

### 5.2. What are the main difficulties being faced? (MARK ALL ALTERNATIVES MENTIONED)

1It is more difficult to deal with BPC-LOAS users than with other types of insured persons

2 Lack of training for employees in operationalizing the BPC-LOAS

3Lack of material resources

4Lack of staff

5 Unwillingness of staff to work with the BPC-LOAS
6 $\qquad$ Difficulties in relations with the medical inspection board

7Other difficulties. Specify:
5.3. How would you classify the resources available for your activities in regard to the BPC-LOAS?


Entirely satisfactory
2Partly satisfactory

3 $\qquad$ Not satisfactory
4Doesn't know
5.4. What degree of satisfaction do you feel when dealing with the BPC-LOAS?
1A high degree
2 $\qquad$ A reasonable degree
3 $\qquad$ A low degree
4 $\square$ None at all

## Questionnaire for administrative staff members of the INSS agency

5.5. Did you undergo any capacity-building activities for working with BPC-LOAS?
1 $\square$ Yes
2No (go to 6.1)
5.6. In your assessment such capacity-building was:

1Entirely satisfactory

2Partly satisfactory
3Not satisfactory

4 $\square$ Doesn't know

## VI. MANAGEMENT:

6.1. Does your agency make use of information on BPC-LOAS when planning its actions?Always

2 $\square$ Almost always
3 $\qquad$ Sometimes

4 $\qquad$ Seldom

5 $\qquad$ Never
6Doesn't know
6.2. Is there any mechanism in your management unit for evaluating/accompanying the BPC-LOAS?
1 $\square$ Yes
2No (go to 6.5)
3 $\square$ Doesn't know (go to 6.5)

### 6.3. In your opinion, the mechanism is:

1 $\qquad$ Entirely satisfactory

2Partly satisfactory
3 $\qquad$ Not satisfactory

4 $\square$ Doesn't know
6.4. Do you participate in any way in such evaluating/accompanying?
 Yes
2 $\square$ No
6.5. In your opinion, are the responsibilities of each INSS sector in the process of administering the BPC-LOAS quite clear?
$1 \quad \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

## Questionnaire for administrative staff members of the INSS agency

6.6. What mechanisms are there in the Social Welfare structure for dealing with complaints and/or denunciations made by the populace in regard to the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Social welfare appeal board

2 $\qquad$ INSS or Social Welfare ombudsman

3 $\qquad$ Others. Specify: $\qquad$
4 $\qquad$ There are no formal mechanisms

5 $\qquad$ Doesn't know
6.7. In your assessment, does the Social Welfare organization take all the necessary measures to find solutions for complaints and/or denunciations in regard to the BPC-LOAS?

1 $\square$ Yes
2 $\qquad$ No (go to 6.9)

3Doesn't know (go to 6.9)
6.8. In your assessment the measures taken are:Entirely satisfactory

2
 Partly satisfactory
3Not satisfactory

4 $\square$ Doesn't know
6.9. Which are the commonest complaints/reasons for dissatisfaction in regard to the BPC-LOAS among users of the benefit? Do you agree with those complaints?

1. Entirely agree
2. Partly agree
3. Don't agree
4. Doesn't know
1 $\square$ Delays in receiving the benefit
2Delayed payments
3 $\square$ L
4 $\qquad$ Distance form the service user's residence to the INSS agencies
5 Lack of adequate guidance
6Excessive bureaucracy
7Lack of humanity in the manner of attending to users
8Long queues
9 $\qquad$ Delays in scheduling medical board examinations
10 $\qquad$ Results of the medical board examinations
11 $\qquad$ Others. Specify: $\qquad$

## Questionnaire for administrative staff members of the INSS agency

## VII. SOCIAL CONTROL:

7.1. Are you aware of the existence of any social control body for the BPC-LOAS in your municipality?
$\square$ Yes
2No (go to 7.4)

3 $\qquad$ Doesn't know (go to 7.4)
7.2. Generally speaking, how would you evaluate the degree of interference of that body in the implementation of the BPC-LOAS?

1 $\square$ A high degree
2 $\qquad$ A reasonable degree

3 $\qquad$ A low degree

4 $\qquad$ None at all

5Doesn't know

### 7.3. Generally speaking, is there any participation of civil society in that body?

$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
7.4. In your view, the role of the special federal welfare court in the implementation of the BPC-LOAS can be considered:

1Entirely satisfactory
2 $\qquad$ Partly satisfactory

3 $\qquad$ Not satisfactory

4Doesn't know

## VIII. PERCEPTION OF THE BPC:

8.1. Do you feel that the amount granted by the BPC-LOAS enables people to lead a decent life?

1 $\qquad$ Yes (go to 8.3)

2 $\qquad$ No

3 $\qquad$ Doesn't know (go to 8.3)

### 8.2. Why not? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ The amount of the benefit is too low
2 $\square$ The amount is enough but the elderly and disabled have other needs that are not met by the public authorities

3 $\square$ Irrespective of the benefit amount, the elderly and disabled have other needs that are not met by the public authorities
4Others. Specify: $\qquad$

## Questionnaire for administrative staff members of the INSS agency

8.3. In your opinion the requests for the BPC-LOAS stem from: (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ Effective financial necessity

2Backgrounds of personal dependence on State aid

3 $\qquad$ A lack of will on the part of the requester to guarantee his/her upkeep by himself/herself

4 $\qquad$ A tendency among families not to take on responsibility for their dependent elderly or disabled members
5 $\qquad$ Others. Specify: $\qquad$
6 $\qquad$ Doesn't know
8.4. Do you perceive any difference between BPC-LOAS beneficiaries and those regular socially insured persons attended to by the INSS?
$1 \square$ Yes
2 $\qquad$ No (go to 8.6)

3 $\qquad$ Doesn't know (go to 8.6)

### 8.5. In case of a "Yes", what are the main differences? (MARK ALL ALTERNATIVES MENTIONED)

$\square$ The BPC-LOAS beneficiary is poorer than the regular INSS socially insured client.
2 $\qquad$ The BPC-LOAS beneficiary has greater difficulty in understanding how the benefit works than the regular INSS insured client.

3 $\qquad$ The BPC-LOAS beneficiary does not contribute to the Social Welfare scheme and therefore should not be handled by the INSS.
4 $\square$ The BPC-LOAS beneficiary is more critical/quizzical than the regular Social Welfare client.

5
 The BPC-LOAS beneficiary feels that he/she is being done a favor by the Social Welfare scheme while the regular Social Welfare client feels that he or she is exercising a right.
8.6. Do you agree with the ban on remunerated activities for any elderly person receiving the BPC-LOAS?
$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

### 8.7. Do you feel that only disabled people effectively unable to work should receive the BPC-LOAS?

 Yes

2 No
$3 \square$ Doesn't know

### 8.8. How important do you consider the BPC-LOAS to be?

1 $\square$ Very important
2 $\qquad$ Important
3 Of little importance
4 $\qquad$ Not necessary

## Questionnaire for administrative staff members of the INSS agency

8.9. In your opinion, what should be done to improve the living conditions of BPC-LOAS beneficiaries in your municipality?

# Questionnaire for administrative staff members of the INSS agency 

Comments of the interviewee
(Record here any complementary comments or remarks)
$\qquad$

|  | RESEARCH - EVALUATION OF THE CONTINUOUS |
| :---: | :---: |
| Universidade <br> Federal <br> Fluminense | ASSISTANCE BENEFIT - BPC |

I. IDENTIFICATION OF THE QUESTIONNAIRE:
1.1. Type of questionnaire: | 5 |

1.3. Stratum: $\qquad$
1.4. Questionnaire number: $\qquad$
1.5. Number of the benefit: $\qquad$ _ _ |__| _1
1.6. State/DF and municipality: $\qquad$ | _ ا___|__|__|
1.7. Date of the interview: $\qquad$ |/___| |/| _I_ _l
1.8. Interviewer's code: $\qquad$ I
II. IDENTIFICATION OF THE INTERVIEWEE:
2.1. Name: $\qquad$
2.2. Age: $\qquad$ years old
2.3. Sex:

1 $\qquad$ Female

2 $\square$ Male
2.4. Race/ color:
$1 \square$ White
$2 \square$ Black
$3 \square$ Brown
$4 \square$ Oriental
$5 \square$ Indigenous
2.5. Employment situation in the social insurance institution: (MARK ONE ALTERNATIVE ONLY)

1 $\qquad$ Permanent employee

2 $\qquad$ Regular work contract - CLT (Consolidation of Labor Laws)

3Appointee
4Temporary work contract
5Service provider

6Others. Specify: $\qquad$

### 2.6. How long has it been since you qualified:

$\square$ Less than 2 years
2 2 to 5 years
3 6 to 10 years
4 $\qquad$ Over 10 years

### 2.7. Post held in the agency:

### 2.8. How long have you worked for the INSS?

1 $\square$ Less than 6 months
2 Between 6 months and 1 year

3 $\qquad$ More than 1 year up to 2 years
4More than 2 years up to 5 years

5 $\square$ Over 5 years

### 2.9. How long have you been working with BPC-LOAS?

1
 Less than 6 months

2Between 6 months and 1 year
3 $\qquad$ More than 1 year up to 2 years

4 $\qquad$ More than 2 years up to 5 years
5Over 5 years

## III. ACESS TO THE BENEFIT:

3.1. Indicate to what extent you agree with the following statements: (mark all the alternatives)

1. Entirely agree
2. Partly agree
3. Don't agree

## 4. Doesn't know

1The per capita family income criterion of $1 / 4$ of a minimum salary to have the right to benefit is low.

2 $\square$ The information available to the benefit user on the BPC-LOAS is insufficient.
3The location of the INSS agencies makes access difficult for potential BPC-LOAS beneficiaries.

4The existence of intermediaries makes relations between users and the INSS difficult.
5 $\qquad$ There is a lot of bureaucracy in the administration of the BPC-LOAS process.

6 $\qquad$ The public social assistance bodies do not give much support to service users when requesting the benefit.
7 $\qquad$ The technical staff of INSS agencies are insufficiently trained for operationalizing the BPC-LOAS benefit.

8 $\qquad$ The technical staff of INSS agencies are reluctant to attend to BPC-LOAS users because they are not regularly Insured under the social security scheme.
9 $\square$ The criteria used by the medical examination boards to determine incapacity for independent life or for work for regular work are not clear.
10 $\qquad$ The inspection board does not take into account the users social condition when making medical evaluation.
3.2. How would you evaluate the criteria used for granting the BPC-LOAS to disabled persons?

1 $\square$ Very rigid
2Rigid

3Flexible

4Others. Specify:

5 $\square$ Doesn't know

## IV. INTERGOVERNMENTAL AND INTERSECTORAL RELATIONS:

4.1. In your opinion the degree of INSS acceptance of operationalizing the BPC-LOAS is:

1 $\qquad$ High

2 $\qquad$ Medium

3 $\qquad$ Low
4 $\qquad$ Non existent

5 $\square$ Doesn't know
4.2. Does your agency carry out any kind of joint work with secretariats responsible for social assistance related to the BPC-LOAS?

1 $\square$ Yes.

2 $\square$ No (go to 4.4)

3 $\qquad$ Doesn't know (go to 4.4)
4.3. In your opinion this work has generally been: (MARK ONE ALTERNATIVE ONLY)

1 $\qquad$ Constant and cooperative

2 $\qquad$ Constant and conflictive
3Occasional and cooperative

4 $\qquad$ Occasional and conflictive
5Doesn't know
4.4. In your opinion, should the INSS develop joint work in regard to the BPC-LOAS with the secretariats responsible for social assistance in the municipalities?
$1 \quad \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
4.5. In your opinion what are the difficulties that prevent greater integration between the INSS and the secretariat responsible for social assistance in your municipality? (MARK ALL ALTERNATIVES MENTIONED)
1 $\qquad$ Concentration of decision making on BPC-LOAS in the INSS

2 Lack of interest on the part of the INSS

3 $\qquad$ Lack of interest of the social assistance body
4Differences between the INSS objectives and those of the social assistance body in regard to BPC-LOAS

5 $\qquad$ Resistance on the part of INSS professional staff
6 $\qquad$ Resistance on the part of the social assistance body's professional staff

7 $\qquad$ Others. Specify: $\qquad$
8There are no difficulties
4.6. In your opinion, what should be the role of the social assistance secretariat of your municipality in executing the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
$\square$
$\square$ Orientate the user population in regard to the benefit and how to request it
2 Refer possible beneficiaries to the INSS

3 $\square$ Participate in the analysis/evaluation of the processes requesting the granting of the benefit
4 $\qquad$ Carry out studies on the conditions of vulnerability of those requesting the benefit
5Accompany the administration of the processes for conceding the BPC-LOAS benefit
6 $\qquad$ Coordinate the process of revision for the BPC-LOAS

7 $\qquad$ Offer various social actions and services directed at BPC-LOAS beneficiaries
8Other. Specify: $\qquad$
9It should not interfere in the execution of the BPC-LOAS

10 $\qquad$ Doesn't know

### 4.7. In your assessment, this role is being performed?

1Completely
2 Partly
3Not being performed

4 $\qquad$ Doesn't know

### 4.8. In your view is operationalizing the BPC-LOAS a problem for your agency?

1 $\qquad$ Yes
2 $\qquad$ No

3 $\qquad$ Doesn't know
4.9. Do you agree that the INSS should continue to be responsible for operationalizing the BPC-LOAS?
$1 \quad \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
4.10. Do you know of any initiatives in the form of supplying social services to BPC-LOAS beneficiaries in the municipality(ies) covered by your management unit?
1 Elderly
$1 \square$ yes 2 $\qquad$ no (go to 5.1)
2 Disabled person $\square$ yes 2 $\qquad$ no (go to 5.1)
3 doesn't know (go to 5.1)
3 doesn't know (go to 5.1)
4.11. In your assessment, those initiatives are:

1 $\qquad$ Entirely sufficient

2 $\qquad$ Partly sufficient

3 $\qquad$ Insufficient

4 $\qquad$ Incipient

5 $\square$ Doesn't know

## V. WORK PROCESS:

5.1. Does your management unit face problems in handling the BPC-LOAS?
$1 \quad \square$ Yes
$2 \square$ No (go to 5.3 )
$3 \square$ Doesn't know (go to 5.3 )

### 5.2. What are the main difficulties being faced? (MARK ALL ALTERNATIVES MENTIONED)

1It is more difficult to deal with BPC-LOAS users than with other types of insured persons

2Lack of training for employees in operationalizing the BPC-LOAS

3Lack of training for the inspection board doctors
4Lack of material resources

5 $\qquad$ Lack of staff

6Lack of inspection board doctors

7
 Unwillingness of employees to work with the BPC-LOAS
8 $\qquad$ Reluctance of Inspection board doctors to work with the BPC-LOAS
9 $\qquad$ Difficulties in relations with other sectors of the agency

10Difficulties in defining disability
11Lack of uniformity in the medical-inspection procedures for evaluating the degree of disability
12 D Difficulties in applying the acrostic to all the medical-inspection evaluations
13Conflict with service users who have had benefit denied because of inspection-board decision
14 $\qquad$ Other difficulties. Specify:

### 5.3. How would you classify the resources available for performing your work in regard to the BPC-LOAS?

1 $\qquad$ Entirely satisfactory

2 $\qquad$ Partly satisfactory
3 $\qquad$ Not satisfactory

4 $\qquad$ Doesn't know
5.4. To what degree are satisfied to be working with the BPC-LOAS?
1High
2Medium
3Low
4 $\qquad$ Not satisfied
5 Doesn't know
5.5. Have you undergone any kind of capacity-building in order to work with the BPC-LOAS?

1Yes

2 $\square$ No (go to 6.1)
5.6. In your opinion such capacity-building was:

1Entirely satisfactory
2Partly satisfactory

3Not satisfactory

4Doesn't know
5.7. Do you take part in any group or entity that discusses problems related to the BPC-LOAS?

1 $\square$ Yes

2 $\square$ No (go to 6.1)

### 5.8. What group or entity?

## VI. MANAGEMENT:

6.1. Does your agency make use of information on BPC-LOAS when planning its actions?
$\square$ Always
2 $\qquad$ Almost always
3Sometimes
4 $\qquad$ Seldom Never

6Doesn't know
6.2. Is there any mechanism in your agency for evaluating/accompanying the medical inspection activities in regard to the BPC-LOAS?
1 $\square$
2Yes No (go to 6.5)
3 $\qquad$ Doesn't know (go to 6.5)
6.3. You feel that this mechanism is:

| 1 | $\square$ |
| :--- | :--- |
| 2 | Entirely satisfactory |
| 2 | $\square$ |
| 3 | Partly satisfactory |
| 4 | $\square$ Dot satisfactory |
| 4 | Doesn't know |

6.4. Do you participate in any way in such evaluation/accompaniment?

1 $\square$ Yes

2 $\square$ No
6.5. In your opinion, are the responsibilities of each sector of the INSS quite clear in regard to the management process of the BPC-LOAS?

1
$\square$ Yes

2 $\square$ No

3 $\qquad$ Doesn't know
6.6. What mechanisms are there in the Social Welfare structure for dealing with complaints and/or denunciations made by the populace in regard to the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Social Welfare appeal board

2INSS or Social Welfare ombudsman
3 $\qquad$ Others. Specify: There are no formal mechanisms
5 $\qquad$ Doesn't know
6.7. In your assessment, does the Social Welfare organization take all the necessary measures to find solutions for complaints and/or denunciations in regard to the BPC-LOAS?
1 $\square$ Yes

2 $\square$ No (go to 6.9)

3 $\square$ Doesn't know (go to
6.8. In your assessment the measures taken are:

1Entirely satisfactory

2 $\qquad$ Partly satisfactory
3Not satisfactory

4Doesn't know
6.9. Which are the commonest complaints/reasons for dissatisfaction in regard to the BPC-LOAS among users of the benefit? Do you agree with those complaints?

1. Entirely agree
2. Partly agree
3. Don't agree
4. Doesn't know

1Delays in receiving the benefit

2Delayed payments

3 $\qquad$ Low amount of the benefit
4 $\qquad$ Distance form the service user's residence to the INSS agencies

5
 Lack of adequate guidance

6 $\qquad$ Excessive bureaucracy
7Lack of humanity in the manner of attending to users

8Long queues
9 $\qquad$ Delays in scheduling medical board examinations

10 $\qquad$ Results of the medical board examinations
11 $\qquad$ Others. Specify: $\qquad$

## VII. SOCIAL CONTROL:

7.1. In your municipality is there any body where the BPC-LOAS is discussed?

1 $\qquad$ Yes
2No (go to 7.4)

3 $\square$ Doesn't know (go to 7.4)
7.2. How would you evaluate the degree of interference of that body in the implementation of the BPC-LOAS?

1A high degree
2A reasonable degree
3 A low degree

4None at all
5Doesn't know
7.3. Is there any participation of civil society in that body?
$\square$ Yes
2 No
3 Doesn't know
7.4. In your view, the role of the Special Federal Welfare Court in the implementation of the BPC-LOAS can be considered:
1 $\qquad$ Entirely satisfactory
2 $\qquad$ Partly satisfactory
3Not satisfactory

4Doesn't know

## VIII. PERCEPTION OF THE BPC-LOAS:

8.1. Do you feel that the amount granted by the BPC-LOAS enables people to lead a decent life?

1 $\square$ Yes (go to 8.3)
2 $\qquad$ No

3 Doesn't know (go to 8.3)

### 8.2. Why not? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ The amount of the benefit is too low
2The amount is enough but the elderly and disabled have other needs that are not met by the public authorities

3 $\qquad$ Irrespective of the benefit amount, the elderly and disabled have other needs that are not met by the public authorities

4 $\square$ Others. Specify: $\qquad$
8.3. In your opinion the requests for the BPC-LOAS stem from: (MARK ALL ALTERNATIVES MENTIONED)

1Effective financial necessity

2Backgrounds of personal dependence on State aid
3 $\qquad$ A lack of will on the part of the requester to guarantee his/her upkeep by himself/herself

4 $\qquad$ A tendency among families not to take on responsibility for their dependent elderly or disabled members
5 $\qquad$ Others. Specify: $\qquad$
8.4. Do you perceive any difference between BPC-LOAS beneficiaries and those regular socially insured persons attended to by the INSS?
1 $\square$ Yes

2 $\square$ No (go to 8.6)

3 $\qquad$ Doesn't know (go to 8.6)
8.5. In case of a "Yes", what are the main differences? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ The BPC-LOAS beneficiary is poorer than the regular INSS socially insured client.
2 $\square$ The BPC-LOAS beneficiary has greater difficulty in understanding how the benefit works than the regular INSS insured client.
$3 \square$ The BPC-LOAS beneficiary does not contribute to the Social Welfare scheme and therefore should not be handled by the INSS.
4 $\qquad$ The BPC-LOAS beneficiary is more critical/quizzical than the regular Social Welfare client.

5 $\qquad$ The BPC-LOAS beneficiary feels that he/she is being done a favor by the Social Welfare scheme while the regular Social Welfare client feels that he or she is exercising a right.

### 8.6. Do you agree with the ban on remunerated activities for any elderly person receiving the BPC-LOAS?

$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
8.7. Do you feel that only disabled persons effectively unable to work should receive the BPC-LOAS?
$1 \square \mathrm{Ye}$
2No

3Doesn't know
8.8. How important do you consider the BPC-LOAS to be?Very important
2Important

3 $\qquad$ Of little importance
4 $\square$ Not necessary
8.9. In your opinion, what should be done to improve the living conditions of BPC-LOAS beneficiaries in the municipality(ies) covered by your management unit?
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

# Questionnaire for INSS medical inspection board doctors 

Comments of the interviewee (Record here any complementary comments or remarks)
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

Comments of the interviewer
$\qquad$
$\qquad$
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$\qquad$
$\qquad$

| Universidade <br> Federal <br> Fluminense | RESEARCH - EVALUATION OF THE CONTINUOUS <br> ASSISTANCE BENEFIT - BPC |
| :---: | :---: |

I. IDENTIFICATION OF THE QUESTIONNAIRE:
1.1. Questionnaire type: | 6 |
1.2. State/DF and municipality: $\qquad$ 1

1.3. Date of interview: $\mid$
1.4. Interviewer's code: $\qquad$ _I
1.5. Name of the council:

## II. IDENTIFICATION OF THE INTERVIEWEE:

2.1. Name of councilor: $\qquad$
2.2. Age: $\qquad$ years old
2.3. Sex:

1


Female
2Male

### 2.4. Race/ color:

White2Black

3 $\qquad$ Brown

4Oriental

5 $\qquad$ Indigenous

### 2.5. Schooling level:

1No qualification, less than one year of schooling
2 Basic education incomplete
3Complete basic (compulsory) education
4
Senior high school incomplete
5Complete senior high school education
6Incomplete higher education
7
Complete higher education. Specify:
$\qquad$

## Questionnaire for municipal councils

2.6. Occupation: $\qquad$

### 2.7. Representation on the council: (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ Governmental

2
 Civil society

3Assistance institution

4 $\qquad$ Professional
5 $\qquad$ Other. Specify: $\qquad$
2.8. How long have you participated in the council?

1Less than 6 months

2Between 6 months and 1 year

3From over a year to 2 years
4 $\qquad$ From over 2 years to 5 years

5 $\square$ Over 5 years

### 2.9. Name of the association/institution that you represent:

### 2.10. How long have you belonged to that association/institution?

1 Less than 6 months

2Between 6 months and 1 year
3 $\qquad$ From over a year to 2 years

4 $\qquad$ From over 2 years to 5 years
5 $\qquad$ Over 5 years

## III. ACCESS TO THE BENEFIT:

3.1. In what way does your Council participate in the process of helping users to request the BPC-LOAS from the INSS? (MARK ALL ALTERNATIVES MENTIONED)
1Helps in filling out the forms

2Gives guidance to help solve problems regarding the documents necessary for registering the requisition

3 $\qquad$ Gives guidance to those who wish to lodge an appeal in cases of denial of the benefit
4Does not participate in the process of structuring the requisition (go to 3.3)
3.2. In your assessment, that participation is generally:

1 $\square$ Entirely sufficient
2Partly sufficient

3 $\qquad$ Not sufficient

4Doesn't know

## Questionnaire for municipal councils

3.3. Does your council usually accompany the processes requesting the BPC-LOAS handed in to the INSS by service users from your municipality?


### 3.4. In your assessment, that accompaniment is generally:

1Entirely sufficient
$2 \square$ Partly sufficient
3 $\qquad$ Not sufficient

4
 Doesn't know
3.5. In your opinion, having the granting of the benefit approved is generally:

1. Very easy
2. Easy
3. Difficult
4. Very difficult
5. Doesn't know
 For the BPC-LOAS for the elderly

2 $\square$ For the BPC-LOAS for the disabled persons
3.6. Indicate to what extent you agree with the following statements: (MARK ALL THE ALTERNATIVES)

1. Entirely agree
2. Partly agree
3. Don't agree
4. Doesn't know

1 $\square$ The per capita family income criterion of $1 / 4$ of a minimum salary to have the right to benefit is low.

2 $\qquad$ The information available to the benefit user on the BPC-LOAS is insufficient.

3 $\qquad$ The location of the INSS agencies makes access difficult for potential BPC-LOAS beneficiaries.

4 $\qquad$ The existence of intermediaries makes relations between users and the INSS difficult.

5There is a lot of bureaucracy in the administration of the BPC-LOAS process.

6 $\qquad$ The public Social Assistance bodies do not give much support to service users when requesting the benefit.
7The technical staff of INSS agencies are insufficiently trained for operationalizing the BPC-LOAS benefit.

8 $\qquad$ The technical staff of INSS agencies are reluctant to attend to BPC-LOAS users because they are not regularly Insured under the social security scheme.
9 $\square$ The criteria used by the medical examination boards to determine incapacity for independent life or for work for regular work are not clear.
$10 \square$The inspection board does not take into account the users social condition when making medical evaluation.

## IV. INTERGOVERNMENTAL AND INTERSECTORAL RELATIONS:

### 4.1. In your opinion the degree of INSS acceptance of operationalizing the BPC-LOAS is:

$\square$ High

2Medium

3 Low
4Non existent

5 $\square$ Doesn't know

## Questionnaire for municipal councils

4.2. Does your council carry out any kind of joint work with the INSS or the Municipal Secretariat for Social Assistance in regard to the BPC-LOAS?

1 $\square$ Yes, with both bodies. What kind? $\qquad$
2 $\qquad$ Yes, but only with the INSS. What kind? Yes, but only with the municipal secretariat. What kind? $\qquad$
4 $\qquad$ No (go to 4.5)

5Doesn't know (go to 4.5)

### 4.3. What does that work consist of?

### 4.4. In your opinion this work has generally been: (MARK ONE ALTERNATIVE ONLY)

1 $\qquad$ Constant and cooperative

2Constant and conflictive

3 $\qquad$ Occasional and cooperative
4 $\qquad$ Occasional and conflictive

5 $\square$ Doesn't know
4.5. In your municipality is any joint work done by the INSS and the secretariat responsible for social assistance in regard to the BPC-LOAS?
$\square$ $\square$ Yes

2 $\qquad$ No (go to 4.7)

3 $\qquad$ Doesn't know (go to 4.7)

### 4.6. In your opinion this work has generally been: (MARK ONE ALTERNATIVE ONLY)

1 $\square$ Constant and cooperative

2 $\qquad$ Constant and conflictive

3Occasional and cooperative

4 $\qquad$ Occasional and conflictive
5Doesn't know
4.7. In your opinion what are the difficulties that prevent greater integration between the INSS and the secretariat responsible for social assistance as regards BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
1Concentration of decision making on BPC-LOAS in the INSS
2Lack of interest on the part of the INSS
3 $\qquad$ Lack of interest of the social assistance body
4 $\qquad$ Differences between the INSS objectives and those of the social assistance body in regard to BPC-LOAS

5 $\qquad$ Resistance on the part of INSS professional staff

6 $\qquad$ Resistance on the part of the social assistance body's professional staff

7 $\qquad$ Others. Specify: $\qquad$
8 $\qquad$ There are no difficulties

## Questionnaire for municipal councils

4.8. In your opinion, what should be the role of the social assistance secretariat in executing the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
$\square$ Orientate the user population in regard to the benefit and how to request it

2 $\square$ Refer possible beneficiaries to the INSS
3 $\qquad$ Participate in the analysis/evaluation of the processes requesting the granting of the benefit
4 $\qquad$ Carry out studies on the conditions of vulnerability of those requesting the benefit

5 $\qquad$ Accompany the administration of the processes for conceding the BPC-LOAS benefit
6 $\qquad$ Coordinate the process of revision for the BPC-LOAS

7Offer various social actions and services directed at BPC-LOAS beneficiaries
8 $\qquad$ Other. Specify: $\qquad$
9 $\qquad$ It should not interfere in the execution of the BPC-LOAS

10 $\qquad$ Doesn't know
4.9. In your assessment, this role is being performed:

1Completely
2Partly

3 $\qquad$ Not being performed
4 $\qquad$ Doesn't know
4.10. Do you agree that the INSS should continue to be responsible for operationalizing the BPC-LOAS?
$1 \quad \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
4.11. Do you know of any initiatives in the form of supplying social services to BPC-LOAS beneficiaries in your municipality?
1 Elderly
$\square$ yes 2 $\qquad$ no (go to 5.1 )

3doesn't know (go to 5.1)

2 Disabled person $\square$ 2 $\qquad$ no (go to 5.1)

3 doesn't know (go to 5.1)
4.12. In your assessment, those initiatives are generally:

1
 Entirely sufficient
2 $\qquad$ Partly sufficient

3 $\qquad$ Insufficient

4 $\qquad$ Incipient
5Doesn't know

## Questionnaire for municipal councils

## V. SOCIAL CONTROL:

5.1. Register the number of persons in each of the sectors that makes up your council at present:
2 $\qquad$
3
4 $\qquad$
5
6 $\qquad$

Representatives of the government
Representatives of civil society Representatives of assistance institutions Representatives of professionals Representatives of the service users Other sectors. Specify: $\qquad$
5.2. Does your council have representatives of:

| 1 | Elderly people? | 1 | $\square$ yes | 2 | $\square$ no | 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 2 | Disabled persons? | 1 | $\square$ yes | 2 | $\square$ | no | doesn't know

### 5.3. How often does your council hold meetings?

| 1 | $\square$ Weekly |  |
| :--- | :--- | :--- |
| 2 | $\square$ Monthly |  |
| 3 | $\square$ Every two months |  |
| 4 | $\square$ Quarterly |  |
| 5 | $\square$ | Half-yearly |
| 6 | $\square$ | Annually |
| 7 | $\square$ Never meets |  |
| 8 | $\square$ Doesn't know |  |

5.4. How often does your council usually discuss problems related to the BPC?

1Always

2 $\qquad$ Sometimes

3 Almost never
4 $\qquad$ Never
5 $\qquad$ Doesn't know

### 5.5. In what way does your council participate in the BPC-LOAS in the municipality? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ It participates in the implantation of the BPC-LOAS.

2 $\qquad$ It participates in the granting of the BPC-LOAS.

3 $\qquad$ It participates in the revision of the BPC-LOAS.

4 $\qquad$ It participates in the inspection/supervision of the bodies responsible for the BPC-LOAS.
5It participates in the monitoring of respect for the rights of the BPC-LOAS beneficiaries.

6 $\qquad$ It participates in providing beneficiaries with support for gaining access to assistance
7It participates in providing support for beneficiaries in receiving the BPC-LOAS.
8 $\qquad$ It accompanies s legal processes in the courts'.
9It deliberates on resources of the Municipal Assistance Fund for the revision of the BPC-LOAS.

## Questionnaire for municipal councils

10 Discusses benefit concession criteria.
$11 \square$ It does not participate (go to 5.7).
12 $\qquad$ Doesn't know (go to 5.7).
5.6. In your opinion, to what degree is your council involved with the BPC-LOAS?

1 $\qquad$ A high degree
2A reasonable degree

3 $\qquad$ A low degree
4 $\qquad$ None at all

5 $\square$ Doesn't know
5.7. In your opinion, what could stimulate the participation of your council in the problems regarding the BPCLOAS? (MARK ALL ALTERNATIVES MENTIONED)
$\square$
$\square$ Having representatives of the elderly and disabled persons among the councilors

2If the municipal authority were to stimulate discussion

3The councilors attending Council meetings more assiduously
4The councilors being better informed on the BPC-LOAS
$5 \square$ Greater participation of service users in the Council
6The Council's dealing more with users problems

7 $\qquad$ Others. Specify:
8Doesn't know
5.8. Does your Council have any mechanisms for receiving complaints or denunciations from the population in regard to the BPC-LOAS? (MARK ONE OPTION ONLY)Yes, but only formal mechanisms

2Yes, both formal and informal mechanisms
3 $\qquad$ Yes, but only informal mechanisms
4 $\qquad$ No

5Doesn't know
5.9. In your assessment, does the council take all the necessary measures to find solutions for complaints and/or denunciations in regard to the BPC-LOAS?


2No (go to 5.11)

3 $\square$ Doesn't know (go to 5.11)

### 5.10. In your assessment the measures taken are:

Entirely satisfactory2Partly satisfactory
3 $\qquad$ Not satisfactory

4 $\square$ Doesn't know

## Questionnaire for municipal councils

5.11. In your assessment, does the Social Welfare organization take all the necessary measures to find solutions for complaints and/or denunciations in regard to the BPC-LOAS?
$\square$ Yes

2 $\square$ No (go to 5.13)

3 $\qquad$ Doesn't know (go to 5.13)
5.12. In your assessment the measures taken are:

1


Entirely satisfactory
2 $\qquad$ Partly satisfactory
3 $\qquad$ Not satisfactory

4Doesn't know
5.13. In your assessment, does the secretariat responsible for social assistance in the municipality take all the necessary measures to find solutions for user's complaints and/or denunciations in regard to the BPC-LOAS?
1Yes

2 $\qquad$ No (go to 5.15)
3 $\qquad$ Doesn't know (go to 5.15)
5.14. In your assessment the measures taken are:

1 $\qquad$ Entirely satisfactory
2 $\qquad$ Partly satisfactory

3 $\qquad$ Not satisfactory
4 $\qquad$ Doesn't know
5.15. Which are the commonest complaints/reasons for dissatisfaction in regard to the BPC-LOAS among users of the benefit? Do you agree with those complaints?

1. Entirely agree
2. Partly agree
3. Don't agree

## 4. Doesn't know

1Delays in receiving the benefit
2Delayed payments
3Low amount of the benefit
4 $\square$ Distance form the service user's residence to the INSS agencies

5 $\qquad$ Lack of adequate guidance
6 $\qquad$ Excessive bureaucracy

7 $\qquad$ Lack of humanity in the manner of attending to users

8Long queues

9Delays in scheduling medical board examinations
10 $\qquad$ Results of the medical board examinations
11 $\square$ Others. Specify:
5.16. Does your municipality have any association/organization representing elderly people?

1Yes.

2No (go to 5.18)
3 $\qquad$ Doesn't know (go to 5.18)

## Questionnaire for municipal councils

5.17. In your opinion what is the degree of interference of that body in the implementation of the BPC-LOAS?

1 $\qquad$ A high degree

2 $\qquad$ A reasonable degree

3 A low degree

4 $\qquad$ None at all

5 $\qquad$ Doesn't know
5.18. Does your municipality have any association/organization representing disabled persons?

1 $\qquad$ Yes. $\qquad$
2 $\qquad$ No (go to 5.20)
3 $\qquad$ Doesn't know (go to 5.20)
5.19. In your opinion what is the degree of interference of that body in the implementation of the BPC-LOAS?
$\qquad$ A high degree

2 A reasonable degree

3 A low degree

4 None at all
$5 \square$ Doesn't know
5.20. Do you know of any other non governmental body or entity in your municipality in which problems related to the BPC-LOAS are discussed or duly referred?
1 $\square$ Yes
2 $\qquad$ No (go to 6.1)

3 $\square$ Doesn't know (go to 6.1)

### 5.21. Which bodies or entities?

5.22. In your opinion what is the degree of interference of that body in the implementation of the BPC-LOAS?
 A high degree

2 $\qquad$ A reasonable degree
3 $\qquad$ A low degree
4 $\qquad$ None at all

5 $\qquad$ Doesn't know
5.23. Does civil society participate in that body?

1 $\square$ Yes. $\qquad$
2 $\square$ No

3 $\qquad$ Doesn't know

## Questionnaire for municipal councils

## VI. PERCEPTION OF THE BPC

6.1. Do you feel that the amount granted by the BPC-LOAS enables people to lead a decent life?

1 $\square$ Yes (go to 6.3)

2 $\qquad$ No

3 $\square$ Doesn't know (go to 6.3)

### 6.2. Why not? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ The amount of the benefit is too low
2The amount is enough but the elderly and disabled have other needs that are not met by the public authorities

3 $\square$ Irrespective of the benefit amount, the elderly and disabled have other needs that are not met by the public authorities
4Others. Specify:
6.3. In your opinion the requests for the BPC-LOAS stem from: (MARK ALL ALTERNATIVES MENTIONED)

1
 Effective financial necessity
2 $\qquad$ Backgrounds of personal dependence on State aid
3 $\qquad$ A lack of will on the part of the requester to guarantee his/her upkeep by himself/herself

4A tendency among families not to take on responsibility for their dependent elderly or disabled members
5Others. Specify:

6 $\qquad$ Doesn't know
6.4. Do you perceive any difference between BPC-LOAS beneficiaries and those regular socially insured persons attended to by the INSS?


2No (go to 6.6)

3 $\square$ Doesn't know (go to 6.6)

### 6.5. In case of a "Yes", what are the main differences? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ The BPC-LOAS beneficiary is poorer than the regular INSS socially insured client.
2 $\square$ The BPC-LOAS beneficiary has greater difficulty in understanding how the benefit works than the regular INSS insured client.

3 $\square$ The BPC-LOAS beneficiary does not contribute to the Social Welfare scheme and therefore should not be handled by the INSS.
4 $\square$ The BPC-LOAS beneficiary is more critical/quizzical than the regular Social Welfare client.
5 $\qquad$ The BPC-LOAS beneficiary feels that he/she is being done a favor by the Social Welfare scheme while the regular Social Welfare client feels that he or she is exercising a right.

### 6.6. Do you agree with the ban on remunerated activities for any elderly person receiving the BPC-LOAS?

$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

## Questionnaire for municipal councils

6.7. Do you feel that only disabled persons effectively unable to work should receive the BPC-LOAS?
$1 \square$ Yes
2No

3Doesn't know
68. How important do you consider the BPC-LOAS to be?

1Very important
2Important

3 $\qquad$ Of little importance
4 $\square$ Not necessary
6.9. In your opinion, what should be done to improve the living conditions of BPC-LOAS beneficiaries in your municipality?
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

## Questionnaire for municipal councils

Comments of the interviewee
（Record here any complementary comments or remarks）
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$\qquad$

Comments of the interviewer
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|  | RESEARCH - EVALUATION OF THE CONTINUOUS |
| :--- | :--- |
| Universidade <br> Federal <br> Fluminense | ASSISTANCE BENEFIT - BPC |

I. IDENTIFICATION OF THE QUESTIONNAIRE:
1.1. Questionnaire type: | 7 |
1.2. State/DF and municipality: $\qquad$ | $\qquad$
1.3. Date of interview: $\qquad$ |/| $\qquad$ |/I $\qquad$ ___I _|__|
1.4. Interviewer's code: $\qquad$
1.5. UNDER THE EXECUTIVE MANAGEMENT OF $\qquad$
II. IDENTIFICATION OF THE INTERVIEWEE:
2.1. Name: $\qquad$
2.2. Age: $\qquad$ years old
2.3. Sex:

1
Female
2
 Male
2.4. Race/ color:

| 1 | White |
| :---: | :---: |
| 2 | Black |
| 3 | Brown |
| 4 | Oriental |
| 5 | Indigenou |

2.5. Employment Situation in the Social Insurance institution (MARK ONE ALTERNATIVE ONLY):

1Permanent employee

2Regular work contract - CLT (Consolidation of Labor Laws)

3 Appointee

4 $\qquad$ Temporary work contract
5 $\qquad$ Service provider

6Others. Specify:

## Questionnaire for municipal secretariats

### 2.6. Schooling level:

$\square$ No qualification, less than one year of schooling
2Basic education incomplete

3Complete basic (compulsory) education
4 $\qquad$ Senior high school incomplete
$5 \square$ Complete senior high School education
6 $\qquad$ Incomplete higher education
7Complete higher education. Specify: $\qquad$

### 2.7. Occupation:

$\qquad$
2.8. Post held in the municipal administration: $\qquad$
2.9. How long have you held this post?

1 $\square$ Less than 6 months

2Between 6 months and 1 year
3From over a year to 2 years

4 $\qquad$ From over 2 years to 5 years
5 $\qquad$ Over 5 years

## III. ACCESS TO THE BENEFIT:

3.1. In what way does your secretariat participate in the process of helping users to requisition the BPC-LOAS from the INSS? (MARK ALL ALTERNATIVES MENTIONED)
1Helps in filling out the forms

2 $\square$ Gives guidance to help solve problems regarding the documents necessary for registering the requisition

3 $\qquad$ Gives guidance to those who wish to lodge an appeal in cases of denial of the benefit

4 $\qquad$ Does not participate in the process of structuring the requisition (go to 3.3)
3.2. In your assessment, that participation is generally:

1Entirely sufficient
2Partly sufficient

3 $\qquad$ Not sufficient

4 $\qquad$ Doesn't know
3.3. Does your secretariat usually accompany the processes requesting the BPC-LOAS handed in to the INSS by service users from your municipality?

1 $\square$ Yes

2 $\qquad$ No (go to 3.5)
3 $\square$ Doesn't know (go to 3.5)

## Questionnaire for municipal secretariats

3.4. In your assessment, that accompaniment is generally:

| 1 | $\square$ Entirely sufficient |
| :--- | :--- |
| 2 | $\square$ Partly sufficient |
| 3 | $\square$ Not sufficient |
| 4 | $\square$ Doesn't know |

3.5. Does your secretariat participate in the BPC-LOAS revision process in the municipality?

| 1 | $\square$ Yes |
| :--- | :--- |
| 2 | $\square$ No (go to 3.7) |
| 3 | $\square$ Doesn't know (go to 3.7) |

### 3.6. In your assessment, that participation is generally:

1 $\square$ Entirely sufficient

2Partly sufficient

3 $\qquad$ Not sufficient
4 $\qquad$ Doesn't know

### 3.7. In your opinion, having the granting of the benefit approved is generally:

1. Very easy
2. Easy
3. Difficult
4. Very difficult
5. Doesn't know

1 $\qquad$ For the BPC-LOAS for the elderly

2 $\qquad$ For the BPC-LOAS for the disabled persons

### 3.8. Indicate to what extent you agree with the following statements: (MARK ALL THE ALTERNATIVES)

1. Entirely agree
2. Partly agree
3. Don't agree

## 4. Doesn't know

1 The per capita family income criterion of $1 / 4$ of a minimum salary to have the right to benefit is low.

2The information available to the benefit user on the BPC-LOAS is insufficient.

3 $\qquad$ The location of the INSS agencies makes access difficult for potential BPC-LOAS beneficiaries.

4 $\qquad$ The existence of intermediaries makes relations between users and the INSS difficult.

5 $\qquad$ There is a lot of bureaucracy in the administration of the BPC-LOAS process.
6 $\qquad$ The public Social Assistance bodies do not give much support to service users when requesting the benefit.
7 $\qquad$ The technical staff of INSS agencies are insufficiently trained for operationalizing the BPC-LOAS benefit.
8 $\qquad$ The technical staff of INSS agencies are reluctant to attend to BPC-LOAS users because they are not regularly Insured under the Social Security scheme.
9 $\qquad$ The criteria used by the medical examination boards to determine incapacity for independent life or for work for regular work are not clear.
10 $\qquad$ The inspection board does not take into account the users social condition when making medical evaluation.

## Questionnaire for municipal secretariats

## IV. INTERGOVERNMENTAL AND INTERSECTORAL RELATIONS:

### 4.1. In your opinion the degree of INSS acceptance of operationalizing the BPC-LOAS is:

1 $\square$ High
2 $\qquad$ Medium

3 $\qquad$ Low

4 $\qquad$ Non existent
5 $\qquad$ Doesn't know
4.2. Does your secretariat carry out any kind of joint work with the INSS related to the BPC-LOAS?

1 $\qquad$ Yes.

2 $\square$ No (go to 4.4)
3Doesn't know (go to 4.4)

### 4.3. In your opinion this work has generally been: (MARK ONE ALTERNATIVE ONLY)

1 $\qquad$ Constant and cooperative
2 $\qquad$ Constant and conflictive

3 $\qquad$ Occasional and cooperative
4 $\qquad$ Occasional and conflictive

5 $\square$ Doesn't know
4.4. In your opinion should your secretariat and the INSS work together in regard to the BPC-LOAS?
$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

### 4.5. In your opinion what are the difficulties that prevent greater integration between your secretariat and the INSS? (MARK ALL ALTERNATIVES MENTIONED)

1 $\qquad$ Concentration of decision making on BPC-LOAS in the INSS

2 $\qquad$ Lack of interest on the part of the INSS
3 $\qquad$ Lack of interest of the social assistance body

4 $\qquad$ Differences between the INSS objectives and those of the social assistance body in regard to BPC-LOAS
5 $\qquad$ Resistance on the part of INSS professional staff

6 $\qquad$ Resistance on the part of the social assistance body's professional staff

7 $\qquad$ Others. Specify:There are no difficulties
4.6 In your opinion, what should be the role of your secretariat in executing the BPC-LOAS? (MARK ALL ALTERNATIVES MENTIONED)
1Orientate the user population in regard to the benefit and how to request it

2 $\square$ Refer possible beneficiaries to the INSS

3 $\qquad$ Participate in the analysis/evaluation of the processes requesting the granting of the benefit

4 $\qquad$ Carry out studies on the conditions of vulnerability of those requesting the benefit
5 $\qquad$ Accompany the administration of the processes for conceding the BPC-LOAS benefit
6 $\qquad$ Coordinate the process of revision for the BPC-LOAS

7Offer various social actions and services directed at BPC-LOAS beneficiaries
8 $\qquad$ Other. Specify: $\qquad$
9 $\qquad$ It should not interfere in the execution of the BPC-LOAS

10 $\qquad$ Doesn't know

### 4.7. In your assessment, this role is being performed:

 Completely Partly Not being performed Doesn't know

### 4.8. Do you agree that the INSS should continue to be responsible for operationalizing the BPC-LOAS?

$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
4.9. Do you know of any initiatives in the form of supplying social services to BPC-LOAS beneficiaries in the municipality(ies) covered by your management unit?

4.10. In your assessment, those initiatives are:

1 $\qquad$ Entirely sufficient

2Partly sufficient
3 $\qquad$ Insufficient

4Incipient

5 $\qquad$ Doesn't know

## V. WORK PROCESS:

### 5.1. Does your secretariat problems in handling the BPC-LOAS?

$\square$ Yes

2 $\square$ No (go to 5.3)
3 $\qquad$ Doesn't know (go to 5.3)

## Questionnaire for municipal secretariats

5.2. What are the main difficulties being faced? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ It is more difficult to deal with BPC-LOAS users than with other types of insured persons

2Lack of training for employees in operationalizing the BPC-LOAS

3Lack of material resources

4Lack of staff

5Unwillingness of staff to work with the BPC-LOAS

6 $\qquad$ Difficulties associated to the evaluations of the medical inspection board

7Difficulties in coordinating the various sectors in regard to BPC-LOAS procedures

8 $\qquad$ Other difficulties. Specify:
5.3. How would you classify the resources available for your activities in regard to the BPC-LOAS?

1 $\square$ Entirely satisfactory

2 $\square$ Partly satisfactory
3 $\qquad$ Not satisfactory

4 $\qquad$ Doesn't know
5.4. What degree of satisfaction do you feel when dealing with the BPC-LOAS?

1 $\qquad$ A high degree
2 $\qquad$ A reasonable degree

3 $\qquad$ A low degree
4 $\qquad$ None at all

5 $\qquad$ Doesn't know
5.5. Does your secretariat capacity-building activities for staff or contracted employees for working with BPCLOAS?
$1 \square$ Yes

2 $\qquad$ No (go to 6.1)
5.6. In your assessment such capacity-building is generally:

1 $\qquad$ Entirely satisfactory

2 $\qquad$ Partly satisfactory

3 $\qquad$ Not satisfactory
4Doesn't know

## VI. MANAGEMENT:

6.1. Does your secretariat make use of information on BPC-LOAS when planning its actions?
1 Always
2 $\qquad$ Almost always
3Sometimes
4 $\square$ Seldom
5 $\square$ Never
$6 \square$ Doesn't know
6.2. Is there any mechanism in your secretariat for evaluating/accompanying the BPC-LOAS?
1 Yes
2 $\square$ No (go to 6.5)
3Doesn't know (go to 6.5)

### 6.3. What mechanism(s)?

6.4. In your assessment such mechanisms are:
$\square$ Entirely satisfactory

2Partly satisfactory

3Not satisfactory
4Doesn't know
6.5. Does your secretariat have any formal mechanism for referring complaints and/or denunciations made by the populace in regard to the BPC-LOAS?
1 $\square$ Yes
2 $\qquad$ No (go to 6.8)

3 $\square$ Doesn't know (go to 6.8)
6.6. What mechanism(s)?
6.7. In your assessment such mechanisms are:Entirely satisfactory

2 $\square$ Partly satisfactory

3 Not satisfactory

4Doesn't know
6.8. In your assessment, does the Social Welfare organization take all the necessary measures to find solutions for complaints and/or denunciations in regard to the BPC-LOAS?
1Yes
2 $\qquad$ No (go to 6.10)
3 $\qquad$ Doesn't know (go to 6.10)

## Questionnaire for municipal secretariats

6.9. In your assessment the measures taken are:
$1 \square$ Entirely satisfactory
2Partly satisfactory

3Not satisfactory

4Doesn't know
6.10. Which are the commonest complaints/reasons for dissatisfaction in regard to the BPC-LOAS among users of the benefit? Do you agree with those complaints?

1. Entirely agree
2. Partly agree
3. Don't agree

## 4. Doesn't know

Delays in receiving the benefit2Delayed payments
3


Low amount of the benefit
4 Distance form the service user's residence to the INSS agencies

5Lack of adequate guidance
6Excessive bureaucracy

7Lack of humanity in the manner of attending to users
8 $\qquad$ Long queues

9Delays in scheduling medical board examinations
10 $\qquad$ Results of the medical board examinations
11 $\qquad$ Others. Specify:

## VII. SOCIAL CONTROL:

7.1. In your municipality is there any body dedicated to discussing the BPC-LOAS?

1 $\square$ Yes
2 $\qquad$ No (go to 7.4)

3 $\square$ Doesn't know (go to 7.4)

### 7.2. How would you evaluate the degree of interference of that body in the implementation of the BPC-LOAS?

1 $\qquad$ A high degree
2 $\qquad$ A reasonable degree
3 $\qquad$ A low degree

4 $\qquad$ None at all

5 $\qquad$ Doesn't know

### 7.3. Does civil society participate in that body?

$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know
7.4. In your opinion what is the degree of involvement of the Municipal Social Assistance Council in the implementation of the BPC-LOAS?
1 $\qquad$ A high degree

2 A reasonable degree
3 $\qquad$ A low degree
4 $\qquad$ None at all

5 $\square$ Doesn't know

### 7.5. Does your municipality have any association/organization representing elderly people?

1 $\square$ Yes. $\qquad$
2 $\qquad$ No (go to 7.7)

3 $\qquad$ Doesn't know (go to 7.7)
7.6. In your opinion what is the degree of interference of that body in the implementation of the BPC-LOAS?

1 $\qquad$ A high degree
2 $\qquad$ A reasonable degree

3 A low degree
4None at all

5 $\square$ Doesn't know
7.7. Does your municipality have any association/organization representing disabled persons?

1Yes. $\qquad$
2No (go to 7.9)
3Doesn't know (go to 7.9)
7.8. In your opinion what is the degree of interference of that body in the implementation of the BPC-LOAS?


A high degree
2 $\qquad$ A reasonable degree
3 $\qquad$ A low degree

4 $\qquad$ None at all

5 $\qquad$ Doesn't know

## Questionnaire for municipal secretariats

## VIII. PERCEPTION OF THE BPC:

8.1. Do you feel that the amount granted by the BPC-LOAS enables people to lead a decent life?

1 $\square$ Yes (go to 8.3)
2 $\qquad$ No

3 Doesn't know (go to 8.3)

### 8.2. Why not? (MARK ALL ALTERNATIVES MENTIONED)

1 $\square$ The amount of the benefit is too low
2The amount is enough but the elderly and disabled have other needs that are not met by the public authorities

3 $\qquad$ Irrespective of the benefit amount, the elderly and disabled have other needs that are not met by the public authorities

4 $\square$ Others. Specify:
8.3. In your opinion the requests for the BPC-LOAS stem from: (MARK ALL ALTERNATIVES MENTIONED)

1Effective financial necessity

2Backgrounds of personal dependence on State aid
3A lack of will on the part of the requested to guarantee his/her upkeep by himself/herself

4 $\qquad$ A tendency among families not to take on responsibility for their dependent elderly or disabled members
5Others. Specify:

6 $\square$ Doesn't know
8.4. Do you perceive any difference between BPC-LOAS beneficiaries and those regular socially insured persons attended to by the INSS?
1 $\square$ Yes

2 $\square$ No (go to 8.6)

3 $\qquad$ Doesn't know (go to 8.6)

### 8.5. In case of a "Yes", what are the main differences? (MARK ALL ALTERNATIVES MENTIONED)

1The BPC-LOAS beneficiary is poorer than the regular INSS socially insured client.

2 $\qquad$ The BPC-LOAS beneficiary has greater difficulty in understanding how the benefit works than the regular INSS insured client.

3 $\qquad$ The BPC-LOAS beneficiary does not contribute to the Social Welfare scheme and therefore should not be handled by the INSS.

4 $\square$ The BPC-LOAS beneficiary is more critical/quizzical than the regular Social Welfare client.
5 $\qquad$ The BPC-LOAS beneficiary feels that he/she is being done a favor by the Social Welfare scheme while the regular Social Welfare client feels that he or she is exercising a right.

### 8.6. Do you agree with the ban on remunerated activities for any elderly person receiving the BPC-LOAS?

$1 \square$ Yes
$2 \square$ No
$3 \square$ Doesn't know

## Questionnaire for municipal secretariats

8.7. Do you feel that only disabled persons effectively unable to work should receive the BPC-LOAS?
$1 \square \mathrm{Ye}$
2No

3Doesn't know
8.8. How important do you consider the BPC-LOAS to be?

1Very important
2Important

3 $\qquad$ Of little importance
4 $\square$ Not necessary
8.9. In your opinion, what should be done to improve the living conditions of BPC-LOAS beneficiaries in your municipality?
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

## Questionnaire for municipal secretariats

Comments of the interviewee
(Record here any complementary comments or remarks)
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Comments of the interviewer
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[^18]Team Members: Diana Oya Sawyer and José Alberto Magno de Carvalho (coordinators), Aloísio Joaquim de Freitas, Bernardo Lanza Queiroz, Cíntia Simões Agostinho and Geovane da Conceição Máximo.

Period Undertaken: October/2004 to October/2006.

## 1. Objectives

- to construct and forecast target population estimates for the Continuous Cash Benefit (BPC);
- to analyze the coverage and expansion of the program;
- to simulate possible impacts on budgeting of the alterations in the criterion of family per capita income for granting the benefit;
- to evaluate aspects of the relations of the program and the Brazilian security system.


## 2. Methodology

The work was based on an analysis of data from the Demographic Census-2000 of the National Household Sample Survey (PNADs), from the administrative bases of the Continuous Cash Benefit (BPC) and from the National Social Security Institute (INSS), with variations in the techniques applied to meet the requirements of each of the objectives.

Constructing the estimates of target public numbers for the program used the Method of Population Dynamics Components for Population Forecasting and it was done by State and Federal District for the years 2005 and 2010 using interpolation for the in-between years.

The forecasting of the program's potential target public involved population estimating by age group, by sex and by State/DF making use of program eligibility factors. In order to apply the program eligibility factors the following procedures were carried out:

- identification of eligible families according to the degree of kinship of their members with the head of the household;
- calculation of the per capita family income using the sum of the income variable for all persons of 10 years old or more;
- identification of elderly persons using the criterion of 65 years old or over;
- identification of each person with a disability, incapable of leading an independent life or working, using as a basis the questions to be found in the Demographic Census (Table 1. Highlighted categories);
- annual estimates of the number of persons eligible for the BPC calculated by State/DF for each year from 2004 to 2010 for the elderly and 1999 to 2010 for the disabled;

Coverage analysis was carried out separately for the elderly in the years 2004 and 2005 and for the disabled for the years 1999 to 2005

The variation in the eligibility criterion of a per capita family income of less than $\mathbf{1 / 4}$ of the official minimum salary amount was analyzed because of the expansion in the target public of the program and consequent impacts on budgeting and the following variation were considered:

- per capita family income below $1 / 2$ a minimum salary;
- per capita family income below $3 / 4$ of a minimum salary;
- per capita family income below 1 minimum salary;
- per capita family income below or equal to 1 minimum salary.

In the analysis of the relations of the BPC with the Brazilian Social Security System, significant dimensions and variables were selected to make up interactive models capable of assessing the possible relation, as for example:

- estimates of numbers of INSS contributors in the private sector, by age group and with per capita household income below $1 / 4$ of a minimum salary;
- invalid mortality tables analyzed by the period of duration of the disability;
- indexes of participation in the labor market -hazard rate estimates;
- average length of retirement with a weighted average for life expectancy corresponding to each retirement age;
- Philips curve as adapted by Ball \& Moffit (2000) ${ }^{1}$, for analyzing the main determinants of real salaries;
- interactive model proposed by Turra \& Queiroz (2005) ${ }^{2}$ for estimating the potential effect of the BPC on the Social Security System.

Table 1 - Variables and categories for the identification of disabled persons based on the Demographic Census (2000) and adapted for the present study.

| Variables | Categories |  |
| :--- | :---: | :---: |
|  | Used for identification | Not used for identification |
| Permanent mental problems | Yes | No |
| Able to hear | Not able | Great permanent difficulty <br> Certain permanent difficulty <br> No permanent difficulty <br> Not known |
|  | Not able | Great permanent difficulty <br> Certain permanent difficulty <br> No permanent difficulty <br> Not known |
|  | Not able | Great permanent difficulty <br> Certain permanent difficulty <br> No permanent difficulty <br> Not known |

[^19]
# R Special Social Assistance Supplement to the Survey of Basic Municipal Information 

Executive Institution: Brazilian Institute of Geography and Statistics (IBGE).

Team members: Surveys Board / Population and Social Indicators Coordinating Body (IBGE/DPE/COPIS).

Period of Survey: December 2005 to December 2006.

## 1. Objectives

To delineate the structure of municipal social assistance public services producing data and information in regard to the following aspects:

- organizational structure of the body administering social assistance;
- legislation and instruments governing the administration of social assistance in the municipal sphere;
- structure and functioning of the municipalsocial assistance councils;
- human and financial resources available to the body administrating social assistance;
- types of services provided by the municipal social assistance network;
- size of the municipal social assistance network and its capacity to provide services.


## 2. Methodology

The Survey of Basic Municipal Information (Munic) is a detailed survey of information concerning the structure and functioning of Brazilian municipal administrations. This research is regularly undertaken by the IBGE and had its first edition published in 1999 with successive editions in 2001, 2002, 2004 and 2005. From the third edition on (2002), the survey has taken into the field a specific thematic questionnaire which is used to produce the Special Municipal Supplement in addition to the regular basic questionnaire that is the mainstay and that has historically produced the series of research data.

In 2005, on the initiative of the Ministry of Social Development and the Fight against Hunger, the special Supplement was directed at the theme of municipal public social assistance services. The survey which was both descriptive and in the nature of a census, took in the whole universe of 5,564 Brazilian municipalities that existed in the year 2005. The main informant is the Municipal Authority through its several specific bodies; however, the legislative and justice branches also answer blocks of questions concerning their activities. In the case of the Social Assistance Supplement, the main informant in each municipality is the body which is responsible for administering social assistance in the municipality (secretariat, department, coordinating body, advisory board or similar entity).

The survey questionnaire was developed jointly with the Secretariat for Evaluation and Information Management (SAGI/MDS), the National Secretariat for Social Assistance (SNAS) and the Brazilian Institute
of Geography and Statistics (IBGE). The entire field operation, including the training for the researchers, was conducted by the IBGE as was the digital registration of the data, tabulation, and data analysis.

The survey results were disseminated by means of the Special Social Assistance Supplement - Munic 2005, published by the IBGE. The publication comes with a CD-Rom containing the micro-data of the survey.

## Questionnaire

## IBGE <br> SURVEY OF BASIC MUNICIPAL <br> INFORMATION - 2005 <br> SOCIAL ASSISTANCE <br> SUPPLEMENT

| BLOCK 01 | PERSON COLLECTING DATA |  |
| :--- | :--- | :---: |
| 1- Name of the person responsible for data collecting: |  |  |
| 2- SIAPE: | 3- Date data collecting begun: |  |


| BLOCK 02 |  | IDENTIFICATION OF THE MUNICIPALITY |  |
| :--- | :--- | :--- | :---: |
| 1-State/DF: | ${ }^{2}$-Municipality: | 3 - Name of the municipality: |  |

## FILLING IN THE QUESTIONNAIRE

1 - The concepts or expressions used in the questions which require an explanation can be found within the questionnaire itself. Additional clarification can be obtained from the IBGE technical staff member responsible for data gathering.

2 - The questionnaire should be filled out using a ball point pen with blue or black ink and in capital letters
3 - Abbreviations may only be used when there are not enough spaces in the form to accommodate the expression in full
4 - The boxes for registering numbers must be filled in as follows:

- From right to left.

Example: |__|||__||__||_ 8 |_ 2 |

- Using a 0 (zero) when the amount solicited in the respective question is null and void Example: |___||__||__||__|_O_|

5 - In some questions there are commands that determine their sequence. These are presented in the form of instructions beside the boxes to be filled in and indicate what should be the sequence used to fill them in.


| BLOCK 04 | REGISTRATION INFORMATION |  |
| :---: | :---: | :---: |
| A) Managing Body |  |  |
| 1 - Managing Body: |  |  |
| 2 - Address: |  |  |
| 3 - Number: | 4 - Complementary Info: | 5 - Neighborhood: |




| MUNICIPAL COUNCILS |  |
| :---: | :---: |
| 1 - Does the municipality have a Municipal Social Assistance Council? <br> 1 $\square$ Yes <br> 2 No (go to item 8) | 2 - Is the Municipal Social Assistance Council a deliberative council? <br> Deliberative means that which effectively has the power to decide on the implantation of policies and the administration of resources related to its field of activity. <br> 1 $\square$ Yes <br> 2 $\square$ No |
| 3 Is there parity in the Municipal Social Assistance Council? Parity means it is made up of representatives of the municipal government and of the civil society in equal proportions. <br> 1 $\square$ Yes (go to item 5) <br> 2 No | 1 What is the proportion of representation of the civil society on the council? Civil Society consists of those entities and associations that are not part of the government <br> 1 $\square$ Less than 50\% <br> 2 More than 50\% <br> 3 $\square$ No representation (go to item7) |

## Questionnaire



| BLOCK 07 | FINANCIAL RESOURCES |
| :---: | :---: |
| 1 - Does the municipality have a Municipal Social Assistance Fund? <br> 1 $\square$ Yes <br> 2 $\square$ No (go to item 5) | 2 - Is the Municipal Social Assistance Fund a budget unit? <br> Budget unit means that segment of the administration for which the budget designates specific allocations for it to carry out its work programs and over which it exercises power <br> No (go to item 5) <br> to dispose of <br> 1 $\square$ Yes (go to item 4) <br> 2 $\square$ No |
| 3 - In what form is the Municipal Social Assistance Fund administered? <br> 1 $\square$ Program 2 $\square$ Action <br> $3 \square$ $\square$ Other <br> 3.1 Specify: $\qquad$ |  |
| 4 - The expenditure authorizing entity for the Municipal Social Assistance Fund is: <br> Expenditure authorizing is any authority whose acts resulting issue of commitment, authorization of payment or expenditure of resourses by wich respond. $1 \text { [ }$ $\square$ Mayor <br> 2 $\square$ Head of specific secretariat or tech. staff member <br> $3 \square$ $\square$ Head of other secretariat or tech. staff member <br> $4 \square$ $\square$ Other |  |
| 5 - Is there any legal definition for \% of municipal budget to be allocated to social assistance? <br> 1 Yes <br> 2 No | 6- Register the amounts requested in the following items for the year 2005: $6.2 \text { Tonetal amount foreseen for municipal budget }$ |


| BLOCK 08 | INFRASTRUCTURE OF ADMINISTRATING BODY |  |
| :---: | :---: | :---: |
| 1 - Does the administrating body <br> 1 $\square$ Own telephone line ins <br> 3 $\square$ Own telephone line + | have: <br> 2 Extension line only <br> 4 $\square$ Neither | 2 - How many working computers does the administrating body have? <br> 1 None (go to item 5) <br> 2 From 1 to 3 <br> 3 $\square$ From 4 to 10 <br> 4 $\square$ More than 10 |
| 3 - Does the administrating bo <br> 1 $\square$ Yes | have computers with Internet access? $2 \square \mathrm{No}$ | 4- What kind of connection is used for Internet access? <br> 1 $\square$ Dialed <br> 2 Broad Band |
| 5- Does the administrating body have an Internet page? <br> 1 Yes <br> 2 $\square$ No (go to item 7) | 6- Address of the Internet page: <br> http://www. $\qquad$ |  |
| 7- Does the administrating body have an e-mail? <br> 1 Yes <br> 2 $\square$ No (go to item 9) | 8-E-mail/ electronic address: |  |

## Questionnaire

| BLOCK 08 | INFRASTRUCTURE OF ADMINISTRATING BODY (conclusion) |
| :---: | :---: |
| 9- Does the municipality use computerized systems to manage its social assistance policies (not including SUAS web): <br> 1 Yes <br> 2 $\square$ No (go to Block 9) | 10 - The system used allows for: (MULTIPLE ANSWERS ALLOWED) |


| BLOCK 09 | SOCIAL ASSISTANCE HUMAN RESOURCES |  |  |
| :--- | :--- | :--- | :--- |
| 1 - Schooling level of the head of the body administering social assistance |  |  |  |
| $1 \square$ Basic education (years 1 to 8 ) incomplete | $2 \square$ Basic education complete | $3 \square$ Senior high incomplete | $4 \square$ senior high complete |
| $5 \square$ Higher education incomplete | $6 \square$ Higher education complete | $7 \square$ Postgraduate studies |  |

2 - Register the number of people working with Social Assistance according to the following categories:
Attention: The requested information for column refer to the complete schooling level, except in the column of basic education that could be completed or not.
Statutory staff - Governed by specific Legislation - (RJU)
CLT staff - Governed by the Consolidated Labor Laws - CLT
Simple Appointees - those having no permanent bond other than the appointment
Non-permanent staff - staff loaned by other bodies, service providers, volunteers, among others
Post-graduation is taken to be both latu sensu (specializations, perfecting graduation) and strictu sensu (masters, doctors and post-doctors)

|  | 1 Basic Education | 2 Senior High Education | 3 Higher education | 4 Post graduation | 5 Total ( $1+2+3+4$ ) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 Statutory staff | 2.1.1 \| _ | _ | _ | _ | | 2.1.2 \| _ | _ | _ | _ | | 2.1.3 \| _ | _ | _ | _ | | 2.1.4 \| _ | _ | _ | _ | | 2.1.5 \| _ | _ | _ | _ | |
| 2 CLT staff | 2.2.1 \| _ | _ | _ | _ | | 2.2.2 \| _ | _ | _ | _ | | 2.2.3 \| _ | _ | _ | _ | | 2.2 .4 \| _ | _ | _ | | 2.2 .5 \ _ \| _ | _ | _ | |
| 3 Simple Appointees | 2.3.1 1 _ \| _ | _ | | 2.3.2 \| _ | _ | _ | _ | | 2.3 .3 L _ \| _ | _ | _ | | 2.3.4 L_ \| _ | _ | _ | | 2.3 .5 L_ \| _ | _ | _ | |
| 4 Trainees | 2.4 .1 \| $\quad$ \| $\quad$ \| | 2.4.2 \| _ | _ | _ | _ | | 2.4 .3 \| _ | _ | _ | _ | | 2.4 .4 L_ \| _ | _ | _ | | 2.4 .5 L__\|_L_| 1 |
| 5 Temporary staff | 2.5 .1 \| _ | _ | _ | _ | | 2.5 .2 \| _ | _ | _ | | 2.5 .3 \| _ | _ | _ | | 2.5.4 \| _ | _ | _ | $\mid$ | 2.5 .5 L__\| $\mid$ _ $\mid$ \| $\mid$ |
| 3 - Register the number of postgraduates and graduates working in social assistance in the categories below: |  |  |  |  |  |
| 3.1 $\qquad$ Social assistant | 3.2 $\qquad$ \| Occupa therapis | $3.3 \mid$ $\qquad$ \| Psyc | gist 3.4 | \| Doctor | \|__|_| Nurse |
| 3.6 \|__|_| Educator | 3.7 \|__|__| Sociolog | 3.8 \|__|_|_| Lawy | 3.91 | \| Journalist 3.1 | \|__|_|_| Nutritionist |


| BLOCK 10 | AGREEMENTS AND PARTNERSHIPS |  |  |
| :---: | :---: | :---: | :---: |
| All agreements and partnerships executed by the municipality or in which it participates should be considered |  |  |  |
| 1 - Is there any sp <br> 1 $\square$ Yes $\rightarrow$ | icipal legislation that addresses agre <br> 1.1 Year of law $\square$ \|__| _ |_| <br> 1.2 Number of Law $\qquad$ \|__|__| |_| __I | ments and partnerships in the field of Socia $2 \square \mathrm{No}$ | Assistance? |
| 2 - Does the m agreement or par of social assistanc The municipal a or program and | athority execute the terms of any at are directed at actions in the field <br> perates the service, action, project $r$ is a mere participant. <br> 2 $\square$ No (go to item 5) | 3 - The agreement and/or partners ALLOWED) <br> 3.1 $\square$ Public bodies/entities <br> 3.3 $\square$ Non governmental organizational <br> 3.5 $\square$ Others | was sign <br> 3.2 $\square$ Pri <br> 3.4 $\square$ Int |
| 4 - The operation of such agreements and or partnerships provides for: (MULTIPLE ANSWERS ALLOWED) |  |  |  |
| 4.1 Financial support 4.2 $\square$ Technical cooperation |  |  |  |
| 4.3 $\square$ Production of services, actions, projects and/or programs |  | 4.4 Concession of installations/eq | ment |
| 4.5 $\square$ Supplying food |  | 4.6 Supplying educational or educ | ve material |
| 4.7 $\square$ Allocating staff |  | $4.8 \square$ Others |  |


| BLOCK 10 | AGREEMENTS AND PARTNERSHIPS |  |  | (conclusion) |
| :---: | :---: | :---: | :---: | :---: |
| 5 - Does the municipal authority participate the terms of any agreement or partnership that are directed at actions in the field of social assistance? <br> The partner operates the service, action, project or program and the municipal authority is a mere participant. |  | 6 - The agreement and/or partnership was signed with (MULTIPLE ANSWERS ALLOWED) <br> 6.1 Public bodies/entities <br> 6.2 Private enterprise <br> 6. 3 $\square$ Non governmental organization <br> 6. 4 $\square$ International institution/organization <br> 6.5 $\square$ Others |  |  |
| 7 - The operation of such agreements and or partnerships provides for: (MULTIPLE ANSWERS ALLOWED) |  |  |  |  |
| $7.1 \square$ Financial support |  |  | 7.2 Technical cooperation |  |
| 7.3 Production of services, actions, projects and/or programs |  |  | 7.4 $\square$ concession of installations/equipment |  |
| $7.5 \square$ Supplying food |  |  | 7.6 Supplying educational or educative material |  |
| 7.7 $\square$ Allocating staff |  |  | $7.8 \square$ others |  |





| BLOCK 12 | PUBLIC MUNICIPAL SOCIAL ASSISTANCE ENTITIES | (conclusion) |
| :---: | :---: | :---: |
| 1 2.1.1 Entity <br> 2.1.2 Address |  | 2.1.3 Care Category |
| 2 2.2.1 Entity <br> 2.2.2 Address |  | 2.2.3 Care Category |
| 3 2.3.1 Entity <br> 2.3.2 Address |  | 2.3.3 Care Category |
| 4 2.4.1 Entity <br> 2.4.2 Address |  | 2.4.3 Care Category |
| 5 2.5.1 Entity <br> 2.5.2 Address |  | 2.5.3 Care Category |
| 6 2.6.1 Entity <br> 2.6.2 Address |  | 2.6.3 Care Category |
| 7 2.7.1 Entity <br> 2.7.2 Address |  | 2.7.3 Care Category |
| 8 2.8.1 Entity <br> 2.8.2 Address |  | 2.8.3 Care Category |
| 9 2.9.1 Entity <br> 2.9.2 Address |  | 2.9.3 Care Category |
| 10 2.10.1 Entity <br> 2.10.2 Address |  | 2.10.3 Care Category |


| BLOCK 12 | PUBLIC MUNICIPAL SOCIAL ASSISTANCE ENTITIES | (conclusion) |
| :---: | :---: | :---: |
| 11 2.11.1 Entity <br> 2.11.2 Address |  | 2.11.3 Care Category |
| 12 2.12.1 Entity <br> 2.12.2 Address |  | 2.12.3 Care Category |
| 13 2.13.1 Entity <br> 2.13.2 Address |  | 2.13.3 Care Category |
| 14 2.14.1 Entity <br> 2.14.2 Address |  | 2.14.3 Care Category |
| 15 2.15.1 Entity <br> 2.15.2 Address |  | 2.15.3 Care Category |
| 2.16.1 Entity <br> 2.16.2 Address |  | 2.16.3 Care Category |
| $\begin{array}{\|cc\|} \hline 17 & \text { 2.17.1 Entity } \\ & \\ & \text { 2.17.2 Address } \end{array}$ |  | 2.17.3 Care Category |
| 18 2.18.1 Entity <br> 2.18.2 Address |  | 2.18.3 Care Category |
| 19 2.19.1 Entity <br> 2.19.2 Address |  | 2.19.3 Care Category |
| $20 \quad$ 2.20.1 Entity <br> 2.20.2 Address |  | 2.20.3 Care Category |



Executive Institution: Brazilian Institute of Geography and Statistics (IBGE).

Team in charge: Research Department / Technical Management of Demographic Censuses (IBGE/DPE/GTD).

Period Undertaken: August 2006 to July 2007.

## 1. Objectives

To produce information on the structure and functioning of private non-profit organizations that carry out social assistance work with special attention to the following aspects:

- human resources being made use of taking into account, quantity, professional qualification, and the type of links with the organization
- sources of financing and the volume of financial resources
- types of assistance services offered and being provided and the volume of services being made use of
- infrastructure available for offering services and supporting activities


## 2. Methodology

The first methodological step towards carrying out the Survey of Private Non-Profit Social Assistance Entities (PEAS) was to make a register that would reflect as closely as possible, the universe of entities to be researched into.

To that end the Brazilian Institute of Geography and Statistics (IBGE) undertook the cross referencing and revision of the data in two previous registers. The first and more important one stems from the survey "Private Non-Profit Foundations and Associations in Brazil- FASFIL 2002"; a study based on secondary data collected by the IBGE with the participation of the Institute for Applied Economics Research (IPEA) and the Brazilian Association of Non Governmental Organizations (ABONG). The FAFIL register was put together using data from the 2002 Central Company Register (CEMPRE), which covers the entire set of institutions registered in the Corporate Tax Payer Registry (CNPJ). In regard to the concept of Non-profit Institutions to be used, the definition adopted was the one set out in the Handbook on Non-profit Institutions in the System of National Accounts, jointly elaborated by the Statistics Division of the United Nations Organization and the John Hopkins University.

The second Register made use of was supplied by the National Secretariat for Social Assistance of the Ministry of Social Development and the Fight against Hunger (SNAS/MDS) and embraces the set of private social assistance organizations that receive resources passed on to them by the MDS.

The Register that was set up to carry out the PEAS incorporated entities (local units) classified in Group 5 (Social Assistance) of the FASFIL and to a lesser extent a set of entities that are only registered in the MDS Register. The cross-referencing and revision of these two pre-existing registers resulted in a new one with approximately 33 thousand entries which represents the sphere of the entities that were surveyed in the PEAS. Bearing in mind that the Single Registry System had never been submitted to verification in the field, it was estimated on the basis of a pre-test that the number of entities that could effectively be characterized as social assistance entities in activity (that is to say, eligible for survey purposes) would be roughly half of the total number registered. This estimate was confirmed during the process of collecting the data.

The survey which was in the nature of a census - directed at the universe delineated by the register - and the data collecting was done by means of a structured questionnaire used in a live interview. The entire field operation including the training for the researchers, was conducted by the IBGE as was the digital registration of the data, critical analysis and tabulation.




| 4 | HARACTERIZATION OF MODE OF FUNCTIONING AND SERVICE METHODOLOGY |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4.01 - Does the entity offer accommodation? <br> 1 \| $\qquad$ \| Yes <br> 2 $\qquad$ \| No |  | 4.02 - How many days a week does it function?$\square$ \| Days a week |  |  | 4.03 - During what hour <br> 1 $\qquad$ Full-time | rs does the entity <br> $2 \mid$ $\qquad$ \| Part-time | $3 \mid$ _ 2 $\qquad$ 24 hours a day |
|  | months did <br> of the year | entity | 4.05-How are the service users referred to the entity? <br> 1 $\qquad$ Spontaneous demand (pass to item 4.07) $\qquad$ \| Demand through referral $\square$ \| Spontaneous demand and referral |  |  |  |  |
|  |  |  |  |  |  |  |  |
| 4.07 - The user is admitted by a process of: <br> 1 \| $\qquad$ \| Screening done by the entity <br> $2 \mid$ $\qquad$ Diagnostic appraisal <br> $3 \mid$ $\qquad$ Other form |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| 4.10-What are the instruments used to register the number of attendances/services provided? (allow up to 3 alternatives) <br> 11 $\qquad$ \| Individual registration <br> 4 $\qquad$ \| Individual record sheet <br> 01 $\square$ \| No instrument used (go to item 4.12) $\square$ \| Family registration <br> 51 $\square$ \| Family record sheet <br> 31 $\square$ \| General Register <br> 61 $\square$ \| Others |  |  |  |  |  |  |  |
|  | nts used to in the entity | 4.12 - <br> the total <br> the ye <br> 1 \| <br> I_ <br> 2 | e average monthly cap of uses made of entity <br> month <br> ses/year | city and ervices for | 4.13-Services are provided: <br> 1 \| $\qquad$ \| Sporadically <br> $2 \mid$ $\qquad$ \| Continually <br> $3 \mid$ $\qquad$ \| Both | 4.14 - In 2004 planning and perfecting $p$ $1\|\ldots\| Y e s$ $2\|\ldots\| \text { No }$ | did the entity carry out valuation with a view to ormance of its activities? <br> to item 4.12) |
| 4.15-In 2004, how often did the entity carry out systematic accompaniment of planning? <br> 1 $\qquad$ \| Monthly <br> $2 \mid$ $\qquad$ \| Two-monthly <br> $3 \mid$ $\qquad$ \| Quarterly <br> 4 $\qquad$ \| Half-yearly <br> 5 $\qquad$ \| Other <br> 0 $\qquad$ \| Not done |  |  |  | The entity <br> 4.16 - Per <br> 4.17 - Eff <br> 4.18 - Co | has adopted indicators ormance of entity ctiveness of actions ts/finances | related to: <br> $1 \mid$ $\qquad$ Yes <br> 1 \| $\qquad$ \| Yes <br> 11 $\qquad$ \| Yes | $\begin{aligned} & 2\|\quad\| \text { No } \\ & 2\left\|\_\right\| N o \\ & 2\|\quad\| \text { No } \end{aligned}$ |


| 5 | CHARACTERIZATION OF THE TARGET PUBLIC AND THE MAIN ACTIVITY |  |
| :---: | :---: | :---: |
| 5.01 - What is the age group of the target public being served by the entity? (up to 3 alternatives allowed) <br> 1 \| $\qquad$ \| from 0 to 6 years old <br> 4 $\qquad$ \| from 25 to 59 years old <br> $2 \mid$ $\qquad$ \| from 7 to 14 years old <br> 51 $\qquad$ \| 60 years old or over <br> $3 \mid$ $\qquad$ \| from 15 to 24 years old |  |  |
|  |  |  |
| 5.03 - Care and attention are offered: <br> $1 \mid$ $\qquad$ \| Individually <br> 2 $\qquad$ \| In groups <br> $3 \mid$ $\qquad$ Both |  |  |
| 5.04 - What kind of an entity is it? $\qquad$ \| Casa Lar (Home house) <br> $2 \mid$ $\qquad$ \| Republic <br> $3 \mid$ $\qquad$ \| Provisional dwelling <br> 4 $\qquad$ Shelter family <br> 5 I $\qquad$ Shelter house <br> 6 $\qquad$ Shelter <br> $7 \mid$ $\qquad$ Asylum <br> 81 $\qquad$ Hostel <br> 9 \| $\qquad$ Care center for young offenders <br> 10\| $\qquad$ \| Living together center <br> 11\| $\qquad$ Center for generating work <br> 12 $\qquad$ Day center <br> 13 $\qquad$ Multiple use center <br> $14 \mid$ $\qquad$ Care center for children <br> $15 \mid$ $\qquad$ \| Care center for disabled persons <br> 16 $\qquad$ Social standby or similar body <br> 17 $\qquad$ Youth center <br> 18 \| $\qquad$ Family care center <br> $19 \mid$ $\qquad$ Other |  |  |
|  |  |  |

## Questionnaire






## - Part III - Food and Nutrition Security

- Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region
- Comparative Study of Local Economic Agents of the Food Acquisition Program in the Regions Northeast and South
- Evaluation of the Food Acquisition Program - Milk
- Profile Survey of Low-Income Restaurant Users
- Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental Sustainability Index
- Evaluation of the Social Impact of the Selecting and Capacity Building Processes of the Cisterns Program of the MDS/P1MC-ASA
- Health and Nutrition Day for Children under Five Living in the Semi-arid Regions and in Agrarian Reform Settlements
- Food Insecurity Supplement of the National Household Sample Survey - PNAD 2004


# Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region 

Executive Institution: College of Agronomy Luiz de Queiroz of São Paulo University through Luiz de Queiroz Agrarian Studies Foundation (Fealq), São Paulo University (USP).

Team Members: Gerd Sparovek (coordinator), Ludwig A. E. Plata, Rodrigo Fernando Maule, Fábio Eduardo Maule, Filipe S. F. Klug, Israel L. F. Klug, Rafael Goldszmidt, Ricardo Lopes Fernandes, Roger A. de Camargo and Sérgio Paganini Martins.

Period Undertaken: May 2005 to March 2006.

## 1. Objectives

To compare the effectiveness of different operational models of the Food Acquisition Program in the Northeast Region with a focus on the following aspects:

- the implementation chain of the different operational models including the flow at all stages of their implementation (publicizing, registering farmers, selecting beneficiaries, acquisition of food production, delivery of products etc.);
- the beneficiary and non beneficiary farmers' perceptions of the program.


## 2. Methodology

A comparative study of the implementation processes of the four different operational models of the Food Acquisition Program in the Northeast Region ${ }^{1}$. The research mapped out the flows existing in each version of the program right from the moment when the program was announced, taking in the process for selecting farmers, through to the final handing over of products to the beneficiary institutions. The flows were compared and special attention given both to bottlenecks and to good practices that could be replicated. The study also investigated groups of farmers benefited by the program and groups of non beneficiary farmers in order to identify their perceptions of: the process of publicizing the program, registering farmers and the selection process, the relations with the bank involved (in the case of pre-purchasing) and relations with the National Company for Food Supply (Conab) and the municipal and state governments (depending on the version being analyzed) positive aspects, obstacles to participation, price levels and incentives that were created, as well as any suggestions for changes.

[^20]The study used both qualitative and quantitative investigating techniques. Interviews were held with: a) benefited family agriculture farmers; b) non benefited family agriculture farmers (control population); c) municipal program managers; d) state program managers; e) Conab administrators; f) beneficiary entities and g) farmers associations.

Three of these groups (beneficiary and non beneficiary farmers and farmers' associations) answered structured questionnaires and the results were used to construct a database. The rest of the interviews were transcribed electronically in a summarized form. The researchers also made qualitative records of the different contexts in which fieldwork was carried out.

## 1. The sample

In defining the sample, those states with the greatest degree of participation in the Food Acquisition Program were selected along with the municipalities where program operations were most intense. Based on those criteria six of the nine Federal Units (states and federal district) concerned were selected and 41 municipalities from a group of 316 in which the versions of the program Direct Purchases from Family Agriculture (CDAF), and Antecipated Purchases from Family Agriculture (CAAF) were being operated.

The municipalities selected had one aspect in common, the probability of locating farmers involved in the CDAF and CAAF operations was highest in them due to the intensity of transactions registered, even if the farmers' names and addresses were not readily available. In these same municipalities, during the attempts to locate beneficiaries, the occurrence of other versions of the program was registered, namely: Special Antecipated Purchases from Family Agriculture (CAEAF), and Local Direct Purchases from Family Agriculture (CDLAF).

The comparison group (farmers not benefited by the PAA but who were apt for inclusion in the program) was chosen whenever possible from within the community of the beneficiary group or in nearby communities (villages, settlements or neighborhoods).

A non probabilistic stratified sampling plan was designed to include benefited farmers and associations and a set of non benefited farmers. However, the statistical results obtained only describe the universe that was sampled for the fieldwork of collecting data and they cannot be used as a quantitative basis for characterizing the universal group of beneficiaries and other actors involved in the program as a whole.

Altogether 398 questionnaires were answered of which 250 were applied to beneficiary farmers, 25 to beneficiary associations, 62 to non beneficiary farmers, 38 to beneficiary entities, 17 to local management personal working with the CDLAF, 7 to Conab managers and 2 to MDS administrators at state level as can be seen in Table 1 below.

Table 1 - Distribution of numbers of interviewees by questionnaire type

| Family agriculture <br> beneficiaries by program type | Number | Family agriculture non beneficiaries, beneficiary <br> associations and entities and Program managers | Number |
| :--- | :---: | :--- | :---: |
| CDAF | 57 | Beneficiary associations | 25 |
| CDLAF | 31 | Non beneficiaries | 62 |
| CAAF | 115 | Beneficiary entities | 38 |
| CAEAF-DS | 21 | Local managers (municipal - CDLAF) | 14 |
| CAEAF-FE | 20 | Conab managers | 7 |
| More than 1 program modality | 6 | MDS state administrators | 2 |
| Total | $\mathbf{2 5 0}$ | Total | $\mathbf{1 4 8}$ |

Source: Fealq/USP.

Questionnaire
Interview with Beneficiary



| Information on origins |  |  |  |  |
| :--- | :--- | :--- | :--- | :---: |
| How long have you been in this municipality? | years | or since : |  |  |
| How long have you been a family farmer? | years | or since: |  |  |
| How long have you worked in rural areas? | years | or since: | () since youth: |  |

What was your previous main occupation in the rural
area?
( ) None
( ) Farm worker
( ) Daily hired hand
( ) Tenant
( ) Sharecropper
( ) Farmer proprietor
( ) Partner
( ) Other. What?

| Have you ever worked in the rural area? |
| :--- |
| ( ) No ( ) Yes* |
| *What was your occupation? |
| ( ) Commerce |
| ( ) Industry |
| ( ) Government body |
| ( ) Construction industry |
| ( ) Services |
| () Other. What? |

## Questionnaire - interview with beneficiary

| Type of abode |  |  |  |
| :---: | :---: | :---: | :---: |
| Your house is: |  |  |  |
| ( ) Own ( ) Rented ( ) Loaned ( ) Conceded ( ) Other |  |  |  |
| How many rooms does your house have? $\quad$ |  |  |  |
| How many bathrooms/toilets does your house have? $\quad$ |  |  | home come from? |
| Latrine ( ) Yes ( ) No |  |  | ( ) Public water mains <br> ( ) Well or spring |
| What is the constructed area of your house? [ $\mathrm{m}^{2}$ |  |  | ( ) Pre-cast section cistern |
| What material is your house made of? |  |  | ( ) Surface rainwater barriers |
| () Brickwork* <br> ( ) *complete <br> ( ) *half complete | () Wood <br> () Mud and lathes | () Adobe <br> () Canvas hut | ( ) Other. Which? |
|  |  |  | What is the destination of the sewage produced in your residence? |
|  |  |  | ( ) Public sewage mains |
| Does your residence have electricity? | ( ) Yes* | ( ) No | ( ) Latrine pit <br> ( ) Runs off in uncovered ditch |
| ( ) *Public electricity grid <br> ( ) *Generator <br> ( ) *Solar energy |  |  | ( ) Septic tank |
|  |  |  | ( ) Other. Which? |
|  |  |  |  |


| Do you presently participate in any kind of social movement or <br> organization? |
| :--- |
| $\left(\mathrm{)}\right.$ No $\quad()$ Yes* $^{*}$ |
| *Which one? |
| $\square$ Farmers and farm workers association |
| $\square$ Trade union movement of rural workers |
| $\square$ MST (Landless peoples movement) |
| $\square$ Pastoral / religious group |
| $\square$ Other. Which? |
| $\square$ Merely belong to union |

## Questionnaire - interview with beneficiary

Durable goods in the household

| In your home is there: |  |  | Were they acquired after you entered the PAA? |  |
| :---: | :---: | :---: | :---: | :---: |
| Fixed telephone? | Y | N | Y | N |
| Mobile telephone? | Y | N | Y | N |
| Television? | Y | N | Y | N |
| Microcomputer? | Y | N | Y | N |
| Access to the Internet? | Y | N | Y | N |
| Radio? | Y | N | Y | N |
| Refrigerator? | Y | N | Y | N |
| Parabolic antenna? | Y | N | Y | N |
| How many of the following items are there in your home? | ( Mark 0 for not one) |  | How many were acquir the P | you entered |
| Car | L] |  | L |  |
| Motorcycle | $\square$ |  | L |  |
| Truck | $\square$ |  | L |  |
| Tractor | $\square$ |  | L |  |
| Micro-tractor | $\square$ |  | L |  |
| Harrow | $\square$ |  | L |  |
| Plow | $\square$ |  | L |  |
| Slasher | $\square$ |  | L |  |
| Planter | $\square$ |  | L |  |
| Sprayer | $\square$ |  | L |  |
| Trailer | $\square$ |  | L |  |
| Others: | L |  | L |  |


|  | $\begin{aligned} & \text { Sex } \\ & \text { (M) (F) } \end{aligned}$ |  | Age | Relationship | Marital Status | Schooling |  |  |  | Are you studying now? |  | Do you work: |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Name |  |  | On the property? |  |  |  |  |  |  | Off the property? | Income R\$/month |
| 1 | M | F |  |  | Beneficiary | $\begin{array}{\|l\|} \hline S() M() \\ Y() \end{array}$ | () Ilit. ( ) Lit. <br> () Prof. () Tech. | () Year 4 <br> () Sup. | () Year 8 <br> ( ) P. lit. adult |  |  | () HghSc. <br> () EYA | Y | N | Y | N | ${ }^{*}$ | N |  |
| 2. | M | F |  |  | $\begin{array}{\|l\|} \hline S() M() \\ Y(~) \end{array}$ | () Illit. () Lit. <br> () Prof. () Tech. | () Year 4 <br> () Sup. | () Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | ${ }^{*}$ | N |  |
| 3 | M | F |  |  | $\begin{aligned} & \mathrm{S}(\mathrm{)} \mathrm{M}() \\ & \mathrm{Y}(\mathrm{I}) \end{aligned}$ | () Ilit. () Lit. <br> () Prof. () Tech. | () Year 4 <br> () Sup. | () Year 8 <br> () P. lit. adult | () HghSc. <br> ( ) EYA | Y | N | Y | N | ${ }^{*}$ | N |  |
| 4 | M | F |  |  | $\begin{array}{\|l\|} \hline S() M() \\ Y() \end{array}$ | () Illit. () Lit. <br> () Prof. () Tech. | () Year 4 <br> () Sup. | () Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | ${ }^{*}$ | N |  |
| 5 | M | F |  |  | $\begin{array}{\|l\|} \hline S() M() \\ Y() \end{array}$ | () Illit. () Lit. <br> () Prof. () Tech. | () Year 4 <br> () Sup. | () Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | ${ }^{*}$ | N |  |
| 6 | M | F |  |  | $\begin{array}{\|l\|} \hline S() M() \\ Y() \end{array}$ | () Illit. () Lit. <br> () Prof. () Tech. | () Year 4 <br> () Sup. | () Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | $Y^{*}$ | N |  |
| 7 | M | F |  |  | $\begin{array}{\|l\|} \hline S() M() \\ Y() \end{array}$ | () Illit. () Lit. <br> () Prof. () Tech. | () Year 4 () Sup. | () Year 8 <br> () P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | $Y^{*}$ | N |  |
| 8 | M | F |  |  | $\begin{array}{\|l\|} \hline S() M() \\ Y() \end{array}$ | () Illit. () Lit. <br> () Prof. () Tech. | () Year 4 () Sup. | () Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | ${ }^{*}$ | N |  |
| 9 | M | F |  |  | $\begin{array}{\|l\|} \hline S() M() \\ Y() \end{array}$ | () Illit. ( ) Lit. <br> () Prof. () Tech. | () Year 4 <br> () Sup. | () Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | ${ }^{*}$ | N |  |


| Do you receive any benefit form government or municipal authority? () Yes * ( ) No *What program and amount? |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Don't know | R\$ | Bolsa Familia (Family Grant) | R\$ | Bolsa Escola (School Grant) | R\$ |
| Cartão Alimentação (Food Card) | R\$ | Pension | R\$ | Gas voucher | R\$ |
| Pension | R\$ | BPC | R\$ | State program | R\$ |
| Bolsa Cidadã (Citizenship Grant) | R\$ | PETI | R \$ | Other | R \$ |

## Questionnaire - interview with beneficiary

## Access to the Pronaf and to the PAA

Have you ever received financing from
the Pronaf?
( ) Yes*

| In the year: | Participated in the: |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 2003 | $\square$ CDAF | $\square$ CAAF | $\square$ CAEAF- Forming stocks | $\square$ CAEAF- Simultaneous Donation | $\square$ CDLAF |
| 2004 | $\square$ CDAF | $\square$ CAAF | $\square$ CAEAF- Forming stocks | $\square$ CAEAF- Simultaneous Donation | $\square$ CDLAF |
| 2005 | $\square$ CDAF | $\square$ CAAF | $\square$ CAEAF- Forming stocks | $\square$ CAEAF- Simultaneous Donation | $\square$ CDLAF |

## Registration

| Registration was: | ( ) Individual | ( ) Collective * |
| :--- | :--- | :--- |

## Collective*

| ( ) Association | ( ) Existed prior to the PAA | ( ) Was organized for the PAA |
| :--- | :--- | :--- |
| $\left(\begin{array}{l}\text { ) Cooperative }\end{array}\right.$ | $($ ) Existed prior to the PAA | () Was organized for the PAA |
| () Informal group | $(\quad)$ Existed prior to the PAA | () Was organized for the PAA |


| Documentation for the PAA |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Documents | Was required |  | Already had |  | How difficult to obtain |  |  | Help in obtaining |  | Now has |  |
| CPF (Taxpayers Reg. ${ }^{\circ}$ ) | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| ID Card | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Bank account | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| DAPAA (camp dwellers) | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Participation proposal | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| DAP | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Other: | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |

## Questionnaire - interview with beneficiary



## Questionnaire - interview with beneficiary

| Characterizing the land |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| What is the area of your land? | [ $]$ ha |  |  |  |  |
| What is the area that you use for planting? | [ $]$ ha |  |  |  |  |
| Are you the owner of the land you produce on? | ( ) Yes ( ) No* |  |  |  |  |
| * In what situation do you exploit the land? | ( ) Tenant | ( ) Share cropper | ( ) Partner | ( ) Title of right to use (settlement) | ( ) Squatter |



| IF PARTICIPATED IN THE CAAF |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| In regard to the CPR signed |  |  |  |  |  | Planting |  |  |  |
| N | Year | Product | Amount of CPR | Date due | Production foreseen | CPR planting? |  | *What is the substitute? | Area planted in ha |
| 1 | (03) (04) |  |  |  |  | Y | N* |  |  |
| 2 | (03) (04) |  |  |  |  | Y | $\mathrm{N}^{*}$ |  |  |
| 3 | (03) (04) |  |  |  |  | Y | N* |  |  |
| 4 | (03) (04) |  |  |  |  | Y | $\mathrm{N}^{*}$ |  |  |
| 5 | (03) (04) |  |  |  |  | Y | $\mathrm{N}^{*}$ |  |  |

Part III - Food and Nutrition Security Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region

| Harvest and sales |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N | *Destination of the production |  |  |  |  |  |  |  |  |  |  |  |
| 1 | $\begin{aligned} & \text { PAA } \\ & \text { Org. } \end{aligned}$ | $\begin{aligned} & \text { \% R\$L } \\ & L \quad . \quad \mathrm{R} \$[ \end{aligned}$ | $\begin{aligned} & \_ \text {IUN } \\ & \text { IUN } \end{aligned}$ | Interm Com. | $\begin{aligned} & \mathrm{L} \\ & \mathrm{~L} \text { R R } \mathrm{R} \\ & \mathrm{~L} \\ & \mathrm{R} \$ \mathrm{~L} \end{aligned}$ | $\begin{aligned} & \text { IUN } \\ & \text { ןUN } \end{aligned}$ | Cons. <br> Subs. |  | $\begin{aligned} & \_ \text {_UN } \\ & \text { _UN } \end{aligned}$ | Storage <br> Exchange |  | $\begin{aligned} & \_ \text {_UN } \\ & \text { IUN } \end{aligned}$ |
| 2 | $\begin{aligned} & \text { PAA } \\ & \text { Org. } \end{aligned}$ |  | $\begin{gathered} ـ \quad ـ \quad \text { _UN } \\ \hline \text { UN } \end{gathered}$ | Interm Com. | $\begin{aligned} & L \quad \text { \% R\$L } \\ & L \quad . \quad \% ~ R \$ L \end{aligned}$ | $\begin{aligned} & \text { _IUN } \\ & \text { _/UN } \end{aligned}$ | Cons. Subs. | $\begin{aligned} & \text { \% RSL } \\ & \mathrm{L} \\ & \hline \quad \mathrm{R} \$ \mathrm{~L} \end{aligned}$ | $\begin{aligned} & \_ \text {_UN } \\ & \text { _/UN } \end{aligned}$ | Storage <br> Exchange | $\begin{aligned} & \mathrm{L} \\ & \mathrm{~L} \text { R } \mathrm{R} \mathrm{~L} \\ & \mathrm{R} \$ \mathrm{~L} \end{aligned}$ | $\begin{array}{r} ـ \quad \_ \text {IUN } \\ ـ \end{array}$ |
| 3 | $\begin{aligned} & \text { PAA } \\ & \text { Org. } \end{aligned}$ |  | $\begin{array}{r} ـ \quad \text { _IUN } \\ \hline \text { IUN } \end{array}$ | Interm Com. | $\begin{aligned} & \text { l } \% \mathrm{R} \$ \mathrm{~L} \\ & \mathrm{~L} \quad \mathrm{R} \$ \mathrm{R} \end{aligned}$ | $\begin{aligned} & \text { _UN } \\ & \text { _UN } \end{aligned}$ | Cons. Subs. | $\begin{aligned} & \mathrm{l} \% \mathrm{R} \$ \mathrm{~L} \\ & \mathrm{~L} \\ & \mathrm{R} \$ \mathrm{~L} \end{aligned}$ | $\begin{aligned} & \_ \text {_UN } \\ & \text { _UN } \end{aligned}$ | Storage <br> Exchange | $\begin{aligned} & \text { \% R\$L } \\ & L \quad . \quad \mathrm{R} \$ \mathrm{~L} \end{aligned}$ | $\begin{array}{r} ـ \quad \text { _UN } \\ \qquad \text { IUN } \end{array}$ |
| 4 | $\begin{aligned} & \text { PAA } \\ & \text { Org. } \end{aligned}$ |  | IUN | Interm Com. | $\begin{aligned} & \mathrm{L} \\ & \mathrm{~L} \text { \% R\$L } \\ & \mathrm{L} \\ & \hline \end{aligned}$ | IUN J/UN | Cons Subs. | $\begin{aligned} & \mathrm{l} \text { \% RSL } \\ & \mathrm{L} \text { R } \mathrm{R} L \end{aligned}$ | IUN IUN | Storage <br> Exchange |  | $\begin{array}{r} ـ \quad ـ \quad \text { IUN } \\ \hline \text { UN } \end{array}$ |
| 5 | $\begin{aligned} & \text { PAA } \\ & \text { Org. } \end{aligned}$ |  | $\begin{array}{r} ـ \quad \text { _UN } \\ \qquad \text { IUN } \end{array}$ | Interm Com. |  | $\begin{aligned} & \text { _IUN } \\ & \text { _/UN } \end{aligned}$ | Cons Subs. | $\begin{aligned} & \mathrm{l} \% \mathrm{R} \$ \mathrm{~L} \\ & \mathrm{~L} \\ & \mathrm{R} \$ \mathrm{~L} \end{aligned}$ | $\begin{aligned} & \_ \text {_UN } \\ & \text { _/UN } \end{aligned}$ | Storage <br> Exchange |  | $\begin{aligned} & ـ \quad \text { _UN } \\ & ـ \end{aligned}$ |


| Had payment <br> difficulties in <br> Banco do Brasil |  |
| :---: | :---: |
| Y | N |


| N | Had losses | *Reason | *Activated <br> Proagro |  | *Obtained response |  |  | *\% received | Received CPR charges |  | Paid CPR |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | ( ) N ( ) Part.* ( ) Tot.* | ( ) Rain ( ) Drought ( ) Pest ( ) Fire | Y | N | Y | N | DK | \% | Y | N | ( ) N* ( ) Tot. ( ) Partial R\$: |
| 2 | ( ) N ( ) Part.* ( ) Tot.* | ( ) Rain ( ) Drought ( ) Pest ( ) Fire | Y | N | Y | N | DK | \% | Y | N | ( ) N* ( ) Tot. ( ) Partial R\$: |
| 3 | ( ) N ( ) Part.* ( ) Tot.* | ( ) Rain ( ) Drought ( ) Pest ( ) Fire | Y | N | Y | N | DK | \% | Y | N | ( ) $N^{*}$ ( ) Tot. ( ) Partial R\$: |
| 4 | ( ) N ( ) Part.* ( ) Tot.* | ( ) Rain ( ) Drought ( ) Pest ( ) Fire | Y | N | Y | N | DK | \% | Y | N | ( ) $\mathrm{N}^{*}$ ( ) Tot. ( ) Partial R\$: |
| 5 | ( ) N ( ) Part.* ( ) Tot.* | ( ) Rain ( ) Drought ( ) Pest ( ) Fire | Y | N | Y | N | DK | \% | Y | N | ( ) N* ( ) Tot. ( ) Partial R\$: |

\[

\]

CPR production

| N | Yr. | Product quant./un | ha | Animals | Destination of the production |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | R\$ | /un |  | \% | R\$ | /un |  | R\$ | /un | \% | R\$ | /un |
| 1 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | / |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |
| 2 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |
| 3 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |
| 4 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | Commer. |  |  |  | Subs. |  |  | Exchange |  |  |
| 5 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | / |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |
| 6 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |
| 7 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |
| 8 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |
| 9 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |
| 10 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  |  | Subs. |  |  | Exchange |  |  |

Part III - Food and Nutrition Security Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region

| Price/production costs |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N | Did PAA price cover production costs? |  |  |  |  | N | Do other prices cover production costs? |  |  |  |  |
| 1 | Y | N | 6 | Y | N | 1 | Y | N | 6 | Y | N |
| 2 | Y | N | 7 | Y | N | 2 | Y | N | 7 | Y | N |
| 3 | Y | N | 8 | Y | N | 3 | Y | N | 8 | Y | N |
| 4 | Y | N | 9 | Y | N | 4 | Y | N | 9 | Y | N |
| 5 | Y | N | 10 | Y | N | 5 | Y | N | 10 | Y | N |


Packaging (only for products delivered to the PAA) - Presently = last delivery

| Product | Use |  | Own |  | Amount. R\$/unit. | Who donated/conceded (\%) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Conab | Munic. |  | Gov. | PAA | Other |
|  | Y | N |  |  | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |
|  | Y | N | Y | N | 1 |  |  |  |  |  |

Transport of product last month

| Own |  |  |  | Contracted |  | Municip. authority |  | Conceded State gov. |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Individual |  | Collective |  |  |  |  |  |  |  |
| \% | R\$ | \% | R\$ | \% | R\$ | \% | R\$ | \% | R\$ |
|  |  |  |  |  |  |  |  |  |  |

Part III - Food and Nutrition Security Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region


## Questionnaire - interview with non-beneficiary



1.1.

| Information on origins |  |  |  |
| :--- | :--- | :--- | :--- |
| How long have you been in this municipality? | years | or since : |  |
| How long have you been a family farmer? | years | or since: |  |
| How long have you worked in rural areas? | years | or since: | () since youth: |


| What was your previous main occupation in the rural |
| :--- |
| area? |
| ( ) None |
| ( ) Farm worker |
| ( ) Daily hired hand |
| ( ) Tenant |
| ( ) Sharecropper |
| ( ) Farmer proprietor |
| ( ) Partner |
| ( ) Other. What? |


| Have you ever worked in the rural area? |
| :--- |
| $\quad($ ) Yes* |
| *Wo What was your occupation? |
| ( ) Commerce |
| () Industry |
| () Government body |
| () Construction industry |
| () Services |
| () Other. What? |

## Questionnaire - interview with non-beneficiary

| Do you presently participate in any kind of social movement or <br> organization? |
| :--- |
| ( ) No $\quad(\quad)$ Yes* |
| *Which one? |
| $\square$ Farmers and farm workers association |
| $\square$ Trade union movement of rural workers |
| $\square$ MST (Landless peoples movement) |
| $\square$ Pastoral / religious group |
| $\square$ Other. Which? |
| $\square$ Merely belong to union |


| Documentation |  | Has |  | Difficulty obtaining |  | Help in obtaining |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Documents | Y | N | Great | Medium | Little | Y | N |
| CPF (Taxpayers Reg. $\mathrm{N}^{\circ}$ ) | Y | N | Great | Medium | Little | Y | N |
| ID card | Y | N | Great | Medium | Little | Y | N |
| Bank account | Y | N | Great | Medium | Little | Y | N |
| DAPAA (camp dwellers) | Y | N | Great | Medium | Little | Y | N |
| Participation proposal | Y | N | Great | Medium | Little | Y | N |
| DAP | Y | N | Great | Medium | Little | Y | N |
| Other: |  |  |  |  |  |  |  |



Durable goods in the household

| In your home is there: |  |  |
| :--- | :--- | :--- |
| Fixed telephone? | Yes | No |
| Mobile telephone? | Yes | No |
| Television? | Yes | No |
| Microcomputer? | Yes | No |
| Access to the Internet? | Yes | No |
| Radio? | Yes | No |
| Refrigerator? | Yes | No |
| Parabolic antenna? | Yes | No |


| How many of the following items are there in your home? <br> (Mark 0 for none) |  |
| :--- | :--- |
| Car |  |
| Motorcycle |  |
| Truck |  |
| Tractor | $[$ |
| Micro-tractor | $[$ |
| Harrow | $[$ |
| Plow | $[$ |
| Slasher | $[$ |
| Planter | $[$ |
| Sprayer |  |
| Trailer |  |
| Others: |  |

Part III - Food and Nutrition Security Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region

| Name | $\begin{aligned} & \text { Sex } \\ & \text { (M) (F) } \end{aligned}$ |  | Age | Relationship | Marital <br> Status | Schooling |  |  |  | Are you studying now? |  | Do you work: |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | On the property? |  |  |  |  |  |  | Off the property? | Income R\$/month |
| 1 | M | F |  |  | Beneficiary | $\begin{aligned} & S() M(\text { ) } \\ & Y() \end{aligned}$ | ( ) Illit. ( ) Lit. <br> ( ) Prof. ( ) Tech. | ( ) Year <br> () Sup. | ( ) Year 8 <br> ( ) P. lit. adult |  |  | () HghSc. <br> ( ) EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |
| 2. | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y(~) \end{aligned}$ | ( ) Illit. <br> ( ) Lit. <br> ( ) Prof. () Tech. | ( ) Year <br> ( ) Sup. | ( ) Year 8 <br> ( ) P. lit. adult | ( ) HghSc. <br> ( ) EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |
| 3 | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y(~) \end{aligned}$ | ( ) Illit. ( ) Lit. <br> ( ) Prof. ( ) Tech. | ( ) Year <br> () Sup. | ( ) Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |
| 4 | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y(~) \end{aligned}$ | ( ) Illit. <br> ( ) Lit. <br> ( ) Prof. ( ) Tech. | ( ) Year <br> () Sup. | () Year 8 <br> ( ) P. lit. adult | ( ) HghSc. <br> ( ) EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |
| 5 | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y(~) \end{aligned}$ | ( ) Illit. <br> ( ) Prof. <br> () Lit. <br> ( ) Tech. | ( ) Year <br> () Sup. | ( ) Year 8 <br> ( ) P. lit. adult | () HghSc. <br> ( ) EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |
| 6 | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y()^{2} \end{aligned}$ | ( ) Illit. <br> ( ) Prof. <br> () Lit. <br> ( ) Tech. | ( ) Year <br> () Sup. | ( ) Year 8 <br> ( ) P. lit. adult | () HghSc. <br> ( ) EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |
| 7 | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y(~) \end{aligned}$ | ( ) Illit. ( ) Lit. <br> ( ) Prof. ( ) Tech. | ( ) Year <br> () Sup. | ( ) Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |
| 8 | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y()^{2} \end{aligned}$ | ( ) Illit. ( ) Lit. <br> ( ) Prof. ( ) Tech. | ( ) Year <br> () Sup. | ( ) Year 8 <br> ( ) P. lit. adult | () HghSc. <br> ( ) EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |
| 9 | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y(~) \end{aligned}$ | ( ) Illit. <br> () Lit. <br> ( ) Prof. () Tech. | ( ) Year <br> () Sup. | ( ) Year 8 <br> ( ) P. lit. adult | () HghSc. <br> () EYA | Y | N | Y | N | $\mathrm{Y}^{*}$ | N |  |

What is the average monthly income from agricultural production on the
property?

[^21]| Do you receive any benefit form government or municipal authority? ( ) Yes * amount? |  |  |  | ( ) No *W | What program and |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Don't know | R\$ | Bolsa Fa <br> (Family | R \$ | Bolsa Escola (School Grant) | R \$ |
| Cartão Alimentação (Food Card) | R \$ | Pension | R\$ | Gas voucher | R \$ |
| Pension | R \$ | BPC | R\$ | State program | R \$ |
| Bolsa Cidadã (Citizenship Grant) | R \$ | PETI | R \$ | Other | R \$ |

## Reference amounts for the benefits received

## Bolsa Familia: R\$ 15 to $\mathrm{R} \$ 95$

Bolsa Escola: R\$ 15 to R\$ 45
Cartão Alimentação: R\$50
Gas voucher: R\$ 15 every two months
BPC: 1 minimum salary ( $\mathrm{R} \$ 300 /$ month)
Bolsa Cidadã (Ceará): R\$5 to R\$85
PETI: R\$25 for each rural child up to 2 children; $R \$ 40$ for each urban child up to 2 children

| Characterizing the land |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| What is the area of your land? | L | ] ha |  |  |  |
| What is the area that you use for planting? | L | 1 ha |  |  |  |
| Are you the owner of the land you produce on? | ( ) Yes | ( ) No* |  |  |  |
| * In what situation do you exploit the land? | ( ) Tenant | ( ) Sharecropper | ( ) Partner | ( ) Title of right to use (settlement) | ( ) Squatter |


| Access to the Pronaf and to the PAA |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Have you ever received financing from the Pronaf? | ( ) Yes* | ( ) No |  | What is your classification in the Pronaf? |  |  |  |
|  |  |  |  | ( ) A | ( ) B | ( ) C | ( ) D |
| Do you know about the Proagro? | ( ) Yes | ( ) No |  | Have the Pr | contracted | ( ) Yes | ( ) $\mathrm{No}^{*}$ |
| * Would you contract it? | ( ) Yes ( ) No | ( ) No |  |  |  |  |  |
| Why? |  |  |  |  |  |  |  |

CPR production




Interview ended at: $\qquad$ h m Evaluation of the Interview

| Interview |  |
| :--- | :--- |
|  | Very good |
|  | Good |
|  | Regular |
|  | Bad |
|  | Discard |




|  | Interviewee 2: |  |
| :--- | :--- | :--- |
| Name: |  |  |
| Time served in entity: |  |  |
| Post held in entity: $\ldots$ |  |  |
| Time occupying the post: | Tel.: $\quad$ |  |
| E-mail: $\quad$ |  |  |



## Entity

Name of Entity: $\qquad$
Locality:
Municipality: $\qquad$ State/DF: IBGE Code: $\square][$ [ $][$ ] $][$ ] $[$ ]

Location:
Name of the area
Type: ( ) Avenue ( ) Street ( ) Road ( ) Highway ( ) Farm ( ) Plot Neighborhood $\qquad$ Number $\qquad$ CEP: $\square][][][][--[][\square]$

Questionnaire - interview with beneficiary entity

Who supplies the food and/or where the food is purchased

| Who supplies the food and/or where the food is purchased |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Type of food | Main supplier |  | Purchasing frequency |  | Weekly spending R\$ |
| Meats and products of animal origin | ( ) Wholesaler <br> ( ) Supermarket | ( ) Butchers <br> ( ) Direct from producer | ( ) Daily <br> ( ) 2 or more times a week | ( ) Weekly <br> ( ) Fortnightly <br> ( ) Monthly or more |  |
| Cereals and canned food | ( ) Wholesaler <br> ( ) Supermarket | ( ) Retailer <br> ( ) Direct from producer | ( ) Daily <br> ( ) 2 or more times a week | ( ) Weekly <br> ( ) Fortnightly <br> ( ) Monthly or more |  |
| Fruits, greens and vegetables | ( ) Wholesaler <br> ( ) Supermarket <br> ( ) Market | ( ) Direct from producer <br> ( ) Retailer | ( ) Daily <br> ( ) 2 or more times a week | ( ) Weekly <br> ( ) Fortnightly <br> ( ) Monthly or more |  |
| Bread, cakes and sweetmeats | ( ) Wholesaler <br> ( ) Supermarket | ( ) Retailer <br> ( ) Bakery | ( ) Daily <br> ( ) 2 or more times a week | ( ) Weekly <br> ( ) Fortnightly <br> ( ) Monthly or more |  |


| What did you use to make meals in the last delivery? (do not include seasoning or products used in very small amounts) |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Food | Purchased |  | PAA |  | Quantity | Unit | Food | Purchased |  | PAA |  | Quantity | Unit |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |
|  | Y | N | Y | N |  |  |  | Y | N | Y | N |  |  |

Part III - Food and Nutrition Security Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region

| Products supplied by the PAA: | Fruits /pulps | Greens | Animal origin |
| :--- | :--- | :--- | :--- |
| Cereals |  |  |  |
|  |  |  |  |
|  |  |  |  |
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## Questionnaire - interview with beneficiary entity

| Kitchen (place where meals are prepared) |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Area | Industrial stove | Conventional stove | Oven | Blender | Others |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |


| Food storage |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| In Kitchen | Separate | Area $\mathrm{m}^{2}$ | Fridge | Freezer | Cold Storeroom $\mathrm{M}^{3}$ | Others |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

## PAA in the entity

| Have you ever heard of the Zero Hunger Program? | Yes | No | Doesn't know of it |
| :--- | :--- | :--- | :--- |
| Is the PAA connected to Zero Hunger Program? | Yes | No | Don't know |


| Publicizing of the program |  |
| :--- | :--- |
| How did you hear about the PAA for the first time? |  |
| $\square$ Local leader | $\square$ State government |
| $\square$ Municipal authority | $\square$ Federal government |$\square$ Media (TV, radio, newspaper, magazine)

How did your entity come to take part in this donation program?

| The entity approached or was approached by: |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $\square$ Conab | $\square$ State government | $\square$ City hall | $\square$ NGO (Agri.) | $\square$ Others: |


| How often do you receive food from the PAA: |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Daily | 2 X or +/wk. | Weekly | Fortnightly | Monthly/< | Satisfactory | Y | N | Increase | Y | N | Reduce | Y | N |

## Alterations to the menu produced by the donations:

| Alterations to the menu produced by the donations: |  |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :---: | :---: |
| Diversity | Increase | Y | N | Reduction | Y | N | No change | Y | N |
| Quantity | Increase | Y | N | Reduction | Y | N | No change | Y | N |
| Quality | Increase | Y | N | Reduction | Y | N | No change | Y | N |



## Questionnaire - interview with beneficiary entity

| Verification of PAA products |  |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Verify | Quantity | Y | N | Quality | Y | N | Ever rejected | Y | N |

## Relations between the family agriculture people and the entity and persons being served:

| AF delivers here | Y | N | City hall delivers | Y | N | The entity goes for the goods: |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Other: |  |  |  |  |  |  |


| Do the family agriculture people carry out any activities in the entity apart from delivery? | Y | N |
| :--- | :--- | :--- |
| What kind of activity: |  |  |


| What would the entity do if donations ceased? |  |  |  |
| :--- | :---: | :---: | :--- |
| Purchase from family <br> agriculture? | Y | N | Which products: |


| Stop <br> purchasing | $\square$ Clothes | $\square$ Medicines | $\square$ Cleaning <br> products | $\square$ Stop improving <br> installations | $\square$ Reduce <br> services | $\square$ Others |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |



Interview ended at: $\qquad$ HH $\qquad$ MM

Interviewee: ( ) VG, ( ) G, ( ) R, ( ) B; Interview: ( ) VG, ( ) G, ( ) R, ( ) B, ( ) Discard

## Semi-structured Questionnaire

Interview- Municipal Administrator
Municipality
/State/DF


Request management information available by program mode and by municipality:

Was information supplied: ( ) yes ( ) no
File:

Initial reason for PAA implantation
What motivated the municipality to adhere to the PAA?

What is the relationship between the PAA and other municipal programs directed at food and nutrition security?

| Budget resources? Total amount: $\mathbf{R}$ \$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Counterparts |  |  | Logistics |  |  |
| Packaging | R\$ | 1 | Collecting from farm /association | R\$ | 1 |
| Transport | R\$ | 1 | Delivery to entities | R\$ | 1 |
| Technical Assistance | R\$ | 1 | Delivery to municipal schools | R\$ | 1 |
| Conab/Emater partnership | R\$ | 1 | Delivery to crèches | R\$ | 1 |
| Nutritionist | R\$ | 1 | Delivery to hospitals | R\$ | 1 |
| Storage | R\$ | 1 | Others: |  |  |
| Others: |  |  |  |  |  |

## Semi-structured questionnaire - interview with municipal administrator

## Publicizing the program:

Institutional

## How was the program publicized in the institutional sphere?

| ( ) Meetings with entities | Others: |
| :--- | :--- |
| $(~)$ City hall bodies |  |

## General public

| How was the program made known to the general public? |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| ( ) Vehicle -mounted <br> loudspeakers ( ) Radios ( ) Meetings with <br> community leaders <br> () Newspapers ( ) OtVs ( ) Folders |

## Farmers

How was the program made known to the farmers?
( ) Meetings with leaders
( ) Visits to rural neighborhoods
( ) Visits to the settlements
( ) Others:

## Other actors

Was the program made known to other actors?
( ) Traders

> ( ) Municipal authorities
( ) Other:
Was special publicity material elaborated? (request samples)
( ) Folder
( ) On the radios
( ) TVs
( ) In newspapers
( ) Other:


## Semi-structured questionnaire - interview with municipal administrator

Territorial outreach

| What was the territorial distribution made? |
| :--- |
|  |
|  |



| Important areas that could be benefited |
| :--- |
| Included |
|  |
| Was any important area left out? Why? |

## Products:

Products acquired

| What were the main products acquired by the program version, in order of importance and classified as organic or not? |  |  |  |
| :---: | :---: | :---: | :---: |
| Direct Purchasing | Pre-purchasing | Special Pre-purchasing | Local Purchasing |
|  |  |  |  |
|  |  |  |  |
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## Semi-structured questionnaire - interview with municipal administrator

| What were the main reasons for acquiring those products? |
| :--- |
|  |



## Transport:

| How was product transportation carried out? |  |  |
| :--- | :--- | :--- |
| From producer to acquisition centers | From acquisition centers to <br> warehouse | From producer to other delivery points |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

## Storage:

| How was the storage of the products done? | From producer to other delivery points |  |
| :--- | :--- | :--- |
| From producer to acquisition centers | From acquisition centers to <br> warehouse |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

## Destination of the production:



## Semi-structured questionnaire - interview with municipal administrator

Destination of the products and criteria for defining destination

| Where were the products acquired by the program directed to and what criteria were used to decide on the destinations? |  |
| :--- | :--- |
| Criterion | Destination |
|  |  |
|  |  |
|  |  |

## Quality control:

| Mechanism | Y | N | Laboratory analysis | N |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Classification | N |  |  |  |  |
| Others: |  |  |  |  |  |
|  |  |  |  |  |  |


| Acceptability |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Term of acceptability | 1 | ) YES | $($ | ) NO |
| Others: |  |  |  |  |
| How is quantity control undertaken in the beneficiary entities? |  |  |  |  |
| Certificate of receipt | $($ | ) YES | ( | ) NO |

Social benefits:

| State the main transformations detected among the PAA participants: |
| :--- |
| Family agriculture |
|  |
| Middlemen |
|  |
|  |
| Local traders |
|  |
| Which entities received products? |

## Semi-structured questionnaire - interview with municipal administrator

## Difficulties:

| Operational: | Pre-purchasing | Special Pre-purchasing | Local purchasing |
| :---: | :---: | :---: | :---: |
| Direct Purchasing |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |


| Institutional: |
| :--- |
|  |


| Specify difficulties in relations: |
| :--- |
| City hall $\rightarrow$ Family agriculture |
|  |
|  |


| Outstanding examples: |
| :--- |
| Good ones |
|  |
| Bad ones |

State administrator's perceptions:

| Positive aspects |
| :--- |
|  |
| Negative aspects |


| PAA potential: |
| :--- |
|  |
|  |

Action of the State in the PAA:

| How was the work in regard to the opening up of new working possibilities and the quality of execution of your |
| :--- |
| responsibilities and functions? |
|  |
|  |
|  |

Satisfaction with the program:

| How satisfied in regard to the PAA is/are the: |
| :--- |
| Administration body: |
| Staff: |

## General Execution of the Program

## PAA versions:

| Which versions of the PAA implemented in the Municipality did the institution participate in? |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| CDAF | 2003 | 2004 | 2005 | CAAF | 2003 | 2004 | 2005 |
|  |  |  |  | CDLAF 2003 <br> In partnership with:  |  | 2004 | 2005 |
| CAEAF with simultaneous distribution | 2003 | 2004 | 2005 |  |  |  |  |
| Stockpiling | 2003 | 2004 | 2005 |  |  |  |  |

Registration of operations:

Description of the operational system adopted

| How is the registration of operations made for each program version (are they available)? |  |  |
| :--- | :--- | :--- |
| Was any registration made? | ( ) Yes | ( ) No |
| Is there any monitoring system? | () Yes | () No |


| If no registration, record other control mechanisms: |  |  |  |
| :--- | :--- | :--- | :--- |
| Direct Purchasing | Pre-purchasing | Special Pre-purchasing | Local purchasing |
| ( ) Paper/notes | ( ) Paper/notes | ( ) Paper/notes | ( ) Paper/notes |
| ( ) Accounting proceds. | ( ) Accounting proceds. | ( ) Accounting proceds. | ( ) Accounting proceds. |
| ( ) Electronic means | ( ) Electronic means | ( ) Electronic means | ( ) Electronic means |
| ( ) Other* | ( ) Other** | ( ) Other* | ( ) Other* |
| ${ }^{*}$ | $*$ | ${ }^{*}$ | ${ }^{*}$ |

## Information flow:

| To whom is the information on operations carried out forwarded? |  |  |  |
| :--- | :--- | :--- | :---: |
| To the Conab | $($ ) Local office | ( ) Head office |  |
| To the MDS | Authority: | Name: |  |
| Other: |  |  |  |

Information Format:

| In what format is information forwarded? |  |  |  |
| :--- | :--- | :--- | :--- |
| $($ ) List of each operation carried out per program version |  |  |  |
| () Management reports organized by | ( ) Producers | ( ) Products | ( ) Entities |
| () Others: |  |  |  |

Income and prices:
What criteria are used to define market prices for the respective program versions?

## Semi-structured questionnaire - interview with municipal administrator

| Price in relation to those being operated on the market |  |  |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Very good ( ) |  |  |  |  |  |  |  |  |
| Good ( ) |  |  |  |  |  |  | Bad ( ) | Very bad ( ) |
| Situation of prices in relation to the costs of production        <br> Cover costs Y N Equal costs Y N Below costs (losses) N |  |  |  |  |  |  |  |  |

Payment flow:


Social control:

| Social Control Council ( ) Meeting |
| :--- |
| Describe the social control mechanisms in place in the PAA: |
|  |
|  |
|  |
| Council responsible: |
| Name: |
|  |
| Members: |
|  |
| Manner of accounting: |
|  |
|  |


| What role does the Consad play in the organization of the PAA? |
| :--- |
|  |
|  |

## Beneficiaries:

| Focusing on the PAA target public: |
| :--- |
|  |
| Criteria used to select the PAA public: |
|  |


| Has it r regard |  |  | amily agric |  |  | that ar |  |  | and more exclud |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Capital | Y | N | Technology | Y | N | Property | Y | N | Nearness to markets | Y | N |


| What was the percentage of participation? |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agrarian reform: |  |  | \% | family agriculture:$\%$ |  |  |  | Associations |  | \% |  | Cooperatives: |  |  | \% |
| PERCENTAGE OF PRONAF BENEFICIARIES |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| A | B | C | D | A | B | C | D | A | B | C | D | A | B | C | D |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

## Semi-structured questionnaire - interview with municipal administrator

What was the profile of the public included in the program?
$\qquad$

| Document | Difficult | Very Difficult | Easy |
| :--- | :--- | :--- | :--- |
| ID card |  |  |  |
| CPF (Taxpayer Reg. N $)$ |  |  |  |
| Declaration of eligibility from the Pronaf - DAP |  |  |  |
| Declaration of eligibility - DAPAA for Agrarian Reform squatters in camps |  |  |  |
| Participation proposal |  |  |  |
| Adhering to the Proagro |  |  |  |
| Special participation proposal |  |  |  |
| Various kinds of negative certificates (INSS, FGTS, others) |  |  |  |
| Other |  |  |  |

## What was the profile of the public excluded from the program?

Organization of the participating farmers:

| Form of organization: |  |
| :--- | :--- |
| ( ) Individual | ( ) Formal specifically for this program |
| ( ) Formal with background of prior activities | ( ) Other: |


| Links to social movements? |  |
| :--- | :--- |
| ( ) Church | ( ) Linked to trade union movement |
| ( ) Groups linked to the MST (Landless) | ( ) Other: |

Obligatory documentation
Facility and difficulty faced by family agriculture farmers in obtaining the necessary documentation for each program version?


| Banking Information: |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Did the farmers have bank accounts before the PAA? |  | ) | YES | ( |  | NO |
| Has that situation changed? | ( |  | YES | ( |  | NO |

Suggestions for an itinerary:

| What should be visited in the municipality? |
| :--- |
|  |
| Location of the most important actors and groups within the municipality |
|  |

Interview ended at h. $\qquad$ m.


1. Initial reason for PAA implantation
1.1 What motivated the state to adhere to the PAA?
1.2 What is the relationship between the PAA and other state government programs?
1.3 What are the resources and counterpart amounts allocated by the state in order to develop the PAA?

## Semi-structured questionnaire - interview with state administrator

## 2. Overall execution of the program

### 2.1 Versions of the PAA

2.1.1 Which versions of the PAA have been implemented in the state in which institutions?

| ( ) Direct Purchasing ( ) 2003 ( ) 2004 ( ) 2005 | ( ) Pre-purchasing ( ) 2003 ( ) 2004 ( ) 2005 |
| :--- | :--- |
| ( ) Special Pre-purchasing with: | ( ) Local Purchasing ( ) 2003 ( ) 2004 ( ) 2005 |
| ( ) Simultaneous distribution ( ) 2003 ( ) 2004 ( ) 2005 | Partnerships with: |
| ( ) Stockpiling ( ) 2003 ( ) 2004 ( )2005 |  |

2.1.2 Request general information available by program version and municipality:

Was such information supplied? ( ) yes ( ) no. File: $\qquad$

### 2.2 Registration of operations:

Brief description of the operational method adopted:
2.2.1 How was the registration of operations made for each program version (are they available)?
Was the registration made?
( ) Yes
( ) No
Is there a monitoring system?
( ) Yes
( ) No
If not, record other mechanisms used

| Direct Purchasing | Pre-purchasing | Special Pre-purchasing | Local purchasing |
| :--- | :--- | :--- | :--- |
| ( ) Paper/notes | ( ) Paper/notes | ( ) Paper/notes | ( ) Paper/notes |
| ( ) Accounting pcdrs. | ( ) Accounting pcdrs. | ( ) Accounting pcdrs. | ( ) Accounting pcdrs. |
| ( ) Electronic means | ( ) Electronic means | ( ) Electronic means | ( ) Electronic means |
| ( ) Other* | ( ) Other* | ( ) Other** | ( ) Other* |
| $*$ | $*$ | ${ }^{*}$ |  |

2.2.2 Flow of information:

Where was the information on operations carried out forwarded to?
( ) To the Conab ( ) Local office
( ) Head office
( ) To the MDS
Body $\qquad$ Name $\qquad$
( ) Other

### 2.2.3 Format of the information:

In what format was information forwarded?
( ) List of each operations carried out per program version
( ) Management reports organized by: ( ) Municipality ( ) Group of municipalities () Products
( ) Other: $\qquad$
2.3 Publicizing the program:
2.3.1 Institutional

How was the program publicized in the institutional sphere?
( ) meetings with government bodies
( ) Municipal bodies
2.3.2 The general public

How was the program made known to the general public?

| ( ) Interviews | ( ) Radios | ( ) TVs |
| :--- | :--- | :--- |
| ( ) Newspapers | ( ) Other |  |

### 2.3.3 Farmers

How was the program made known to the farmers?
( ) Meetings with leaders
( ) Visits to rural neighborhoods
( ) Visits to the settlements ( ) Others
2.3.4 Other actors
Was the program made known to other actors?

| ( ) Traders | ( ) Municipal authorities |
| :--- | :--- |
| ( ) Other: |  |

2.3.5 Was special publicity material elaborated? (request samples)
3. Institutional Relations
3.1 How did the participation of the following actors take place and what were their roles

| 3.1 How did the participation of the following actors take place and what were their roles |  |  |
| :--- | :--- | :--- |
| 1. Conab | Participation | Role |
| 2. MDS |  |  |
| 3. Incra |  |  |
| 4. Government bodies |  |  |
| 5. City halls |  |  |
| 6. Banco do Brasil (Bank of Brazil) |  |  |
| 7. Social movements |  |  |
| 8. Other: |  |  |

3.2 Institutional arrangements

What is your evaluation?

What could be done to improve them?

## Semi-structured questionnaire - interview with state administrator

4. Territorial outreach (use maps for registration)
4.1 What was the territorial distribution made in the state for the execution of the program versions?
4.2 Why did the distribution of regions for activating the program have this configuration?
$\square$
4.3 Important areas of the State that could be benefited (mark them on the map)
$\square$
4.4 What would be the ideal territorial outreach of the PAA? (mark it on the map)

5. Beneficiaries
5.1 Focusing on the PAA target public
5.1.1 Criteria used to select the PAA public
$\square$
5.1.2 Has it reached those family agriculture producers that are less well-structured and more excluded from the market?
$\square$
5.1.3 What was the percentage of participation?

Agrarian reform beneficiaries:
Family agriculture:
Associations:
Cooperatives:
Those encompassed by the Pronaf: A $\qquad$
B
C
D
5.1.4 What was the profile of the public participating in the program?

Included:

Excluded:
5.2 Organization of participating farmers
5.2.1 Form of organization:

| ( ) Individual | ( ) Formal specifically for this program |
| :--- | :--- |
| ( ) Formal with background of prior activity | ( ) Others |

5.2.2 Links with social movement
( ) Church
( ) Group linked to MST

> ( ) Linked to trade union movement ) Other

## Semi-structured questionnaire - interview with state administrator

### 5.2.3 Obligatory documentation

Facility and difficulty faced by family agriculture farmers in obtaining the necessary documentation for each program version?

| Document | D | MD | E |
| :--- | :---: | :---: | :---: |
| ID Card |  |  |  |
| CPF (Taxpayer Reg. N ${ }^{\circ}$ ) |  |  |  |
| Declaration of eligibility from the Pronaf - DAP |  |  |  |
| Declaration of eligibility -DAPAA for agrarian reform squatters in camps |  |  |  |
| Participation proposal |  |  |  |
| Adhering to the Proagro |  |  |  |
| Special participation proposal |  |  |  |
| Various kinds of negative certificates (INSS, FGTS, others) |  |  |  |
| Other |  |  |  |

D = Difficult, MD = Moderately difficult, E = Easy
5.2.4 What kind of document does family agriculture issue when delivering product?

Invoice
Receipt
Other

Banking information
Did the farmers have bank accounts before PAA?
( ) Yes
( ) No

Has the situation changed?
( ) Yes
( ) No
6. Products
6.1 Products acquired

What were the main products acquired by the program versions in order of importance?

| Direct Purchasing | Pre-purchasing | Special Pre-purchasing | Local purchasing |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## Semi-structured questionnaire - interview with state administrator

6.2 What were the main reasons for acquiring those products?
$\square$
6.3 Which products have potential for the program but have not been included?
$\square$
6.4 Packaging supply

Yes ( ) No ( ) _ \% of acquisitions
Was packaging supplied by the family agriculture adequately adapted?

### 6.4.1 Transport

How was product transportation carried out?

| From producer to acquisition centers | From acquisition centers to warehouse | From producer to other delivery points |
| :--- | :--- | :--- |

### 6.4.2 Storage

How was storage of the products done?


### 6.5 Destination of the production

6.5.1 Prior to the program
$\square$
6.5.2 Destination of the products and criteria for defining destination

Where were the products acquired by the program directed to and what criteria were used to decide on the destinations?

| Product | Destination | Criterion |
| :---: | :---: | :---: |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

## Semi-structured questionnaire - interview with state administrator

## 7. Income and prices

7.1.1 What criteria are used to define market prices for the respective program versions?
7.1.2 Price in relation to those being operated on the market
$\square$
7.1.3 Price in relation to production costs
$\square$
7.1.4 What do the beneficiaries think about the prices being operated?
7.2 Payment flow

How has the payment flow been in regard to effectiveness, punctuality, amounts and forms of control in the following directions:

To the family agriculture producer
From the family agriculture producer
Payment defaulting

## Semi-structured questionnaire - interview with state administrator

8. Social control

Describe the social control mechanisms in place in the PAA
In the state

In the municipalities
9. Quality control
9.1 For grains

| Classification | ( ) Yes | ( ) No |
| :--- | :--- | :--- |
| Laboratory analysis | ( ) Yes | ( ) No |

Others:
9.2 For all other products
Term of acceptability ( ) Yes ( ) No

Others:
9.3 How is control exercised over quantities of goods received in the case of donation?

Certificate of receipt issued by entity ( ) Yes ( ) No
Other:
10. Social benefits
10.1 State the main transformations detected among the PAA participants:

Family agriculture

Middlemen

Local traders

Which entities received products?

## Semi-structured questionnaire - interview with state administrator

10.2 What is the opinion of the participants in regard to the PAA?

Family agriculture

## Middlemen

Local traders

Who received the production?
11. Difficulties
11.1 Operational difficulties

| Direct Purchasing | Pre-purchasing | Special Pre-purchasing | Local Purchasing |
| :--- | :--- | :--- | :--- |
|  |  |  |  |
|  |  |  |  |

11.2 Institutional difficulties

11.3 Specify difficulties in the following relations:

| Conab $\rightarrow$ State | Farmer $\rightarrow$ State |
| :--- | :--- |
| State $\rightarrow$ Farmer |  |

## 12. Outstanding examples

12.1 Good ones


## Semi-structured questionnaire - interview with state administrator

13. Perceptions of the state administrator
13.1 Positive aspects
$\square$
13.2 Negative aspects

### 13.3 PAA Potential

$\square$
14. Action of the State within the PAA
14.1 How was the work in regard to the opening up of new working possibilities and the quality of execution of your responsibilities and functions?
$\square$
14.2 Satisfaction with the program

| How satisfied in regard to the PAA are the? |  |
| :---: | :---: |
| Directors | Staff |

14.3 How do you intend to continue with the PAA? Will new resources be invested in the acquisition of products?
$\square$
15. Suggestions for the itinerary

What should be visited in the suggested itinerary (use the maps)

How representative is the itinerary of the state/DF

Important situations not included in the itinerary

Locations of groups of important actors along the itinerary

Interview ended at $\qquad$ h. $\qquad$ m.

## PAA Evaluation Questionnaire

Interview - Conab Administrator State/DF


```
Identification of the interviewee:
Name:
Post:
Time in Post:
Function and link with PAA:
E-mail:
Tel.:
```

```
Identification of the interviewee:
Name:
Post:
Time in Post:
Function and link with PAA:
E-mail:
Tel.:
```


## 1. Overall execution of the program

1.1 Versions of the PAA
1.1.1 Which versions of the PAA have been implemented in the state?

| ( ) Direct Purchasing ( ) 2003 ( ) 2004 ( ) 2005 | ( ) Pre-purchasing ( ) 2003 ( ) 2004 ( ) 2005 |
| :--- | :--- |
| ( ) Special Pre-purchasing with: | ( ) Local Purchasing ( ) 2003 ( ) 2004 ( ) 2005 |
| ( ) Simultaneous distribution ( ) 2003 ( ) 2004 ( ) 2005 | Partnerships with: |
| ( ) Stockpiling ( ) 2003 ( ) 2004 ( ) 2005 |  |

1.1.2 Quantities and amounts in each version:

Was such information supplied: ( ) Yes ( ) No File: paper
1.2 Registration of operations:

Brief description of the operational method adopted

Were purchasing centers established?
1.2.1 How was the registration of operations made for each program version (are they available)?
Was any registration made in the SINTEG? ( ) yes ( ) no
Indicate any other form of registration that was used:

Indicate any other form of registration that was used:

## PAA evaluation questionnaire - interview with Conab administrator

If no, record other mechanisms used

| Direct Purchasing | Pre-purchasing | Special Pre-purchasing | Local Purchasing |
| :--- | :--- | :--- | :--- |
| ( ) Paper/notes | ( ) Paper/notes | ( ) Paper/notes | ( ) Paper/notes |
| ( ) Accounting pcdrs. | ( ) Accounting pcdrs. | ( ) Accounting pcdrs. | ( ) Accounting pcdrs. |
| ( ) Electronic means | ( ) Electronic means | ( ) Electronic means | ( ) Electronic means |
| ( ) Other* | ( ) Other* | ( ) Other* | ( ) Other* |
| $*$ | $*$ | * |  |

### 1.2.2 Information Flow:

Where was the information on operations carried out forwarded to?
( ) From the SUREG to the directorate of the Conab in Brasilia
$\qquad$
( ) To the MDS Authority: Name:
1.2.3 Format of the information: In what format is information forwarded?
( ) List of each operations carried out per program version (SINTEG)
( ) Management reports organized by:
( ) Municipality
( ) Group of municipalities
( ) UF
( ) Other $\qquad$
1.3 Publicizing the program:
1.3.1 Institutional

How was the program publicized in the institutional sphere?

| $(\quad)$ Meetings with government bodies | ( ) Others |
| :--- | :--- |

1.3.2 The general public

How was the program made known to the general public?

| ( ) Interviews | ( ) Radios | $(\quad)$ TVs |
| :--- | :--- | :--- |
| $(\quad)$ Newspapers | ( ) Other |  |

### 1.3.3 Farmers

How was the program made known to the farmers?
( ) Meetings with leaders
( ) Visits to rural neighborhoods
( ) Visits to the settlements

### 1.3.4 Other actors

Was the Program made known to other actors?

| ( ) Traders | ( ) Municipal authorities |
| :--- | :--- |
| $(\quad)$ Other: |  |

## Observations:

Was there any kind of process for registering and selecting farmers?


## PAA evaluation questionnaire - interview with Conab administrator

2.2 Institutional arrangements

| How did the relationships between institutions involved in the PAA work? |
| :--- |
|  |
|  |
| What could be done to improve institutional relations? |
|  |
|  |

3. Territorial outreach (use the maps for registration)
3.1 How was the distribution of execution of program versions carried out in the state?

3.2 Why does the distribution of regions active in the program have that format?

3.3 Important areas that could be benefited (mark on the map)

Included

Excluded
3.4 What would be the ideal outreach of the PAA for the state (mark on the map)?

4. Beneficiaries
4.1 Focusing on the PAA target public
4.1.1 Criteria used to select the PAA public
4.1.2 Has it reached those family agriculture producers that are less well-structured and more excluded from the market?
4.1.3. What was the percentage of participation?

Agrarian Reform beneficiaries:
family agriculture:
Associations:
Cooperatives:
Those encompassed by the Pronaf: A B C D
4.1.4 What was the profile of the public participating in the program?

Included:

Excluded:

### 4.2 Organization of participating farmers

4.2.1 Form of organization:
( ) Individual Direct Purchasing
( ) Formal with background of prior activity
( ) Formal specifically for this program = improve interaction of entities
4.2.2 Links

( ) Linked to trade union movement CAESP $\qquad$
( ) Church
( ) Other

## PAA evaluation questionnaire - interview with Conab administrator

4.2.3 Obligatory documentation

Facility and difficulty encountered by family agriculture farmers in obtaining the necessary documentation for each program version?

| Document | $\mathbf{D}$ | MD | E |
| :--- | :---: | :---: | :---: |
| ID Card |  |  |  |
| CPF (Taxpayer Reg. N ${ }^{\circ}$ ) |  |  |  |
| Declaration of eligibility from the Pronaf - DAP |  |  |  |
| Declaration of eligibility - DAPAA for Agrarian Reform squatters in camps |  |  |  |
| Participation proposal |  |  |  |
| Adhering to the Proagro |  |  |  |
| Special participation proposal |  |  |  |
| Various kinds of negative certificates (INSS, FGTS, others) |  |  |  |
| Other |  |  |  |

D = Difficult, MD = Moderately difficult, E = Easy
4.2.3 What kind of document does family agriculture issue when delivering product?

4.2.4 Banking information

Did the farmers have bank accounts before?
( ) Yes
( ) No

## 5. Products

5.1 Products acquired

What were the main products acquired by program version, in order of importance?

| Direct Purchasing | Pre-purchasing | Special Pre-purchasing | Local Purchasing |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

5.2 What were the main reasons for acquiring those products?
$\square$
5.3 Which products have potential for the program but have not been included?
$\square$

### 5.4 Packaging supply

[^22]Other situation:
5.4.1 Transport

How was product transportation carried out?

| From producer to acquisition centers | From acquisition centers to warehouse | From producer to other delivery points |
| :--- | :--- | :--- |

5.4.2 How was storage of the products done?
5.5 Destination of the production
5.5.1 Prior to the program
$\square$
5.5.2 Destination of the products and criteria for defining destination

Where were the products acquired by the program directed to and what criteria were used to decide on the destinations?

| Product | Destination | Criterion |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

## 6. Income and prices

6.1.1 What criteria are used to define market prices for the respective program versions?
$\qquad$
6.1.2 Price in relation to those being operated on the market

## PAA evaluation questionnaire - interview with Conab administrator

### 6.1.3 Price in relation to production costs

$\square$
6.1.4 What do the beneficiaries think about the prices being operated?

### 6.2 Payment flow

How has the payment flow been in regard to effectiveness, punctuality, amounts and forms of control in the following directions: Conab

Farmer $\rightarrow$ Bank $\rightarrow$ Conab

Payment defaulting

## 7. Social contro

Describe the social control mechanisms in place in the PAA
In the state

In the municipalities
control
8.1 For commodities
$\square$
8.2 For all other products

8.3 How is control exercised over quantities of goods received in the case of donation

## 9. Social benefits

9.1 State the main transformations detected among the PAA participants:

| Family agriculture farmers |
| :--- | :--- |
|  |

Middlemen

Local traders

The entities receiving products
9.2 What is the opinion of the participants in regard to the PAA?

Family agriculture farmers

Middlemen

Local traders

Who received the production?
10. Difficulties
10.1 Operational difficulties

| Direct Purchasing |  |
| :--- | :--- |
|  |  |
|  |  |

10.2 Institutional difficulties

10.3 Specify difficulties in the following relations:

| Conab $\rightarrow$ Farmer | Farmer $\rightarrow$ Conab |
| :--- | :--- |
|  |  |

11. Outstanding examples

11.2 Bad ones

12. Conab's perceptions
12.1 Positive aspects

12.3 PAA potential
$\square$
13. Action of the Conab within the PAA
13.1 How was the work in regard to the opening up of new working possibilities and the quality of execution of your responsibilities and functions?

13.2 Conab satisfaction with the program

| How satisfied in regard to the PAA are the Conab directorate and staff? |  |
| :---: | :---: |
| Directorate |  |

14. Suggestions for the itinerary
What should be visited in the suggested itinerary? (use the maps)
How representative is the itinerary of the state/DF?
Important situations not included in the itinerary
Locations of groups of important actors along the itinerary
Suggested itinerary

Interview ended at $\qquad$ h. $\qquad$ m.


| Preliminary information |  |
| :--- | :--- |
| Name of the interviewee: |  |
| Post: |  |
| Time occupying the post: $\quad(\quad)$ |  |


| Name of the interviewee: |  |
| :--- | :--- |
| Post: |  |
| Time occupying the post: | Tel: $(\quad)$ |


| Name of the interviewee: |  |
| :--- | :--- |
| Post: | Tel: $(\quad)$ |
| Time occupying the post: |  |



## Questionnaire - interview with farmers association



Is it linked to any social movement or organization?
( ) Yes*
( ) No
*Which one?
$\square$ Trade union movement of rural workersOther movement engaged in struggling for landPastoral/religious group
$\square$ Other. Which?
$\square$ Only registered in the union

## Questionnaire - interview with farmers association



Questionnaire - interview with farmers association



## Questionnaire - interview with farmers association

| Essential documents |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Documents | Required |  | Already have |  | How difficult to obtain |  |  | Helped to obtain |  | Now have |  |
| CPF | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| ID card | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| DAP | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| DAPAA (camp dwellers) | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Participation proposal | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Bank account | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| CNPJ | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Invoice | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Statute | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Ownership doc. | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| INSS neg. cert. | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| FGTS neg. cert, | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| IR neg. cert | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| State gov. neg. cert | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Mun. gov. neg. cert | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Other: | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |

## Questionnaire - interview with farmers association



## Questionnaire - interview with farmers association


Part III - Food and Nutrition Security Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region

| Has entering the PAA changed your relations with traders? |  |  |  | ( ) Yes |  | ( ) No |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Why? |  |  |  |  |  |  |  |  |
| In addition to commercializing/delivering the products, do you carry out any activities with the: |  |  |  |  |  |  |  |  |
| Farmers association/cooperative |  |  | What? |  |  |  |  |  |
| Beneficiary entity |  |  | What? |  |  |  |  |  |
| NGO |  |  | What? |  |  |  |  |  |
| Conab |  |  | What? |  |  |  |  |  |
| Incra |  |  | What? |  |  |  |  |  |
| Municipal authority |  |  | What? |  |  |  |  |  |
| State government |  |  | What? |  |  |  |  |  |
| In regard to the CPR that was signed (CAEAF e CAAF) |  |  |  |  |  |  |  |  |
| $\begin{gathered} \text { CPR } \\ \mathbf{N}^{\circ} \end{gathered}$ | Yr. |  | Product / planned production |  |  |  | Amount of CPR | Due date |
| 1 | $\begin{aligned} & (03) \\ & (04) \end{aligned}$ | 1 | 1 | 1 | 1 | 1 |  |  |
|  |  | 1 | 1 | 1 | 1 | 1 |  |  |
| 2 | (03) <br> (04) | 1 | 1 | 1 | 1 | 1 |  |  |
|  |  | 1 | 1 | 1 | 1 | 1 |  |  |
| 3 | $\begin{aligned} & (03) \\ & (04) \end{aligned}$ | 1 | 1 | 1 | 1 | 1 |  |  |
|  |  | 1 | 1 | 1 | 1 | 1 |  |  |
| 4 | $\begin{aligned} & (03) \\ & (04) \end{aligned}$ | 1 | 1 | 1 | 1 | 1 |  |  |
|  |  | 1 | 1 | 1 | 1 | 1 |  |  |
| 5 | (03) <br> (04) | 1 | 1 | 1 | 1 | 1 |  |  |
|  |  | 1 | 1 | 1 | 1 | 1 |  |  |



| CPR ${ }^{\circ}$ | Destination of the production |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 1 | PAA Org. $\qquad$ $\qquad$ ]\% Peri. ]\% Peri. $\qquad$ $\qquad$ ] R\$ ] $\mathrm{R} \$$ $\qquad$ $\square$ J/UN J/UN | Interm. Com. $\qquad$ $\qquad$ ]\% Peri. ] \% Peri. $\qquad$ $\qquad$ ] R \$ R\$ $\qquad$ $\square$ IUN I/UN | Cons. <br> Subs. $\qquad$ $\qquad$ ] Peri. ] \% Peri. $\qquad$ $\qquad$ ] R\$[ ] $\mathrm{R} \$[$ $\qquad$ $\square$ J/UN J/UN | Storage <br> Exch. [ $\qquad$ $\qquad$ ]\% Peri. ]\% Peri. $\qquad$ $\qquad$ ] R\$ R\$[ $\qquad$ $\qquad$ J/UN JUN |
| 2 | PAA Org. $\qquad$ $\qquad$ \% Peri. ]\% Peri. $\qquad$ $\qquad$ R\$ ] $\mathrm{R} \$$ $\qquad$ $\square$ J/UN J/UN | Interm. <br> Com. $\qquad$ $\qquad$ ]\% Peri. \% Peri. $\qquad$ $\qquad$ R\$ R\$ $\qquad$ $\square$ J/UN I/UN | Cons. <br> Subs. $\qquad$ $\qquad$ ]\% Peri. ] Peri. $\qquad$ $\qquad$ ] R\$[ R\$ $\qquad$ $\qquad$ J/UN J/UN | Storage <br> Exch. [ $\qquad$ $\qquad$ ]\% Peri. ]\% Peri. $\qquad$ $\qquad$ R\$ R\$[ $\qquad$ $\qquad$ I/UN IUN |
| 3 | PAA <br> Org. $\qquad$ $\qquad$ ]\% Peri. ]\% Peri. $\qquad$ $\qquad$ ] R \$ ] $\mathrm{R} \$$ $\qquad$ $\square$ J/UN J/UN | Interm. Com. $\qquad$ $\qquad$ ]\% Peri. ] \% Peri. $\qquad$ $\qquad$ ] R [ R R $\qquad$ $\square$ J/UN I/UN | Cons. <br> Subs. $\qquad$ $\qquad$ ]\% Peri. ] \% Peri. $\qquad$ $\qquad$ ] $\mathrm{R} \$$ ] $\mathrm{R} \$$ $\qquad$ $\square$ J/UN J/UN | Storage [ <br> Exch. $\qquad$ $\qquad$ ]\% Peri. ] Peri. $\qquad$ $\qquad$ ] R\$ ] R \$ $\qquad$ $\qquad$ J/UN J/UN |
| 4 | PAA [ <br> Org. $\qquad$ $\qquad$ ]\% Peri. ]\% Peri. $\qquad$ $\qquad$ ] R\$[ / R \$ $\qquad$ $\square$ J/UN JUN | Interm. <br> Com. $\qquad$ $\qquad$ ]\% Peri. \% Peri. $\qquad$ $\qquad$ ] R \$ R\$[ $\qquad$ $\square$ I/UN I/UN | Cons. <br> Subs. $\qquad$ $\qquad$ ]\% Peri. ] \% Peri. $\qquad$ $\qquad$ ] R\$ R\$ $\qquad$ $\qquad$ ]/UN J/UN | Storage <br> Exch. $\qquad$ $\qquad$ \% Peri.[ ]\% Peri. $\qquad$ $\qquad$ ] R\$ R\$ $\qquad$ $\qquad$ J/UN J/UN |
| 5 | PAA <br> Org. $\qquad$ $\qquad$ ]\% Peri. ]\% Peri. $\qquad$ $\qquad$ ] R\$ R\$ $\qquad$ $\square$ J/UN J/UN | Interm. <br> Com. $\qquad$ $\qquad$ ]\% Peri. ] \% Peri. $\qquad$ $\qquad$ ] R [ R\$ $\qquad$ $\square$ J/UN J/UN | Cons. <br> Subs. $\qquad$ $\qquad$ ]\% Peri. ] \% Peri. $\qquad$ $\qquad$ ] $\mathrm{R} \$[$ ] $\mathrm{R} \$$ $\qquad$ $\square$ J/UN ]/UN | Storage <br> Exch. $\qquad$ $\qquad$ ]\% Peri.[ ] Peri. $\qquad$ $\qquad$ R\$ R\$ $\qquad$ J/UN $\square$ I/UN |

Part III - Food and Nutrition Security Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region


| Production outside the CPR |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N | Year | Product | ha | Animals | Product destination |  |  |  |  |  |  |  |  |  |  |  |
|  |  | quant./un |  |  |  | R\$ | /un |  | R\$ | /un |  | R\$ | /un |  | R\$ | /un |
| 1 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | / |  |  | Org. |  |  | SUB |  |  | Subs. |  |  | Exchange |  |  |
| 2 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | Commer. |  |  | Subs. |  |  | Exchange |  |  |
| 3 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  | Subs. |  |  | Exchange |  |  |
| 4 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | Commer. |  |  | Subs. |  |  | Exchange |  |  |
| 5 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  | Subs. |  |  | Exchange |  |  |
| 6 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | Commer. |  |  | Subs. |  |  | Exchange |  |  |
| 7 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  | Subs. |  |  | Exchange |  |  |
| 8 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | Commer. |  |  | Subs. |  |  | Exchange |  |  |
| 9 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | SUB |  |  | Subs. |  |  | Exchange |  |  |
| 10 | $\begin{aligned} & 03 \\ & 04 \\ & 05 \end{aligned}$ |  |  |  | PAA |  |  | Interm. |  |  | Cons. |  |  | Storage |  |  |
|  |  | 1 |  |  | Org. |  |  | Commer. |  |  | Subs. |  |  | Exchange |  |  |

Part III - Food and Nutrition Security Comparative Study of the Effectiveness of Different Modalities of the Food Acquisition Program in the Northeast Region


| If $100 \%$ is sold to PAA.To others:(To whom?) = Storage or Subs., $\mathrm{R} \$=0$ ) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| N | Would sell for? | To whom? | N | Would sell for? | To whom? |
| 1 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) | 6 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) |
| 2 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) | 7 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) |
| 3 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) | 8 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) |
| 4 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) | 9 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) |
| 5 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) | 10 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exch.) |

Packaging (only for products delivered to the PAA) - presently = last delivery


| Transport of product last month |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Own |  |  |  | Contracted |  | Municip. authority |  | Conceded |  |  |  | Other |  | Which? |
| Individual |  | Collective |  |  |  |  |  |  |  |  |  |  |
| \% | R\$ | \% | R\$ | \% | R\$ |  |  | \% | R\$ | \% | R\$ | \% | R\$ |  | \% | R\$ |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


| Product | Delivery |  |  |  |  |  | Verification |  |  |  | Classif. |  | Adequate |  | Rejected |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Famers Ass. | Conab | Munic. | Cons. | D/K | Other | Quantity |  | Quality |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |
|  |  |  |  |  |  |  | Y | N | Y | N | Y | N | Y | N | Y | N | \% |


| What did you think about the actions of those that worked together with you? |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Institution | Very good | Good | Bad | Very bad | What should be improved? |
| Conab | Very good | Good | Bad | Very bad |  |
| Incra | Very good | Good | Bad | Very bad |  |
| State government | Very good | Good | Bad | Very bad |  |
| Munic. authority | Very good | Good | Bad | Very bad |  |
| NGO | Very good | Good | Bad | Very bad |  |
| Association/cooperative | Very good | Good | Bad | Very bad |  |
| Banco do Brasil (Bank of Brazil) | Very good | Good | Bad | Very bad |  |
| ( ): | Very good | Good | Bad | Very bad |  |

# Comparative Study of Local Economic Agents of the Food Acquisition Program in the Regions Northeast and South 

Executive Institution: University of Brasília through University of Brasilia Foundation (FUBRA).

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Period Undertaken: July 2005 to April 2006.

## 1. Objectives

To compare the implementation and sustainability of the Food Acquisition Program in the regions South and Northeast with a focus on the following aspects:

- market potential generated by the public purchasing done by the PAA;
- effects stemming from the PAA on the actors involved in commercializing Family Agriculture products;
- institutional design of the program with a focus on the optimizing of access of family agriculture farmers to local markets;
- results of the PAA in the local market.


## 2. Methodology

In the northeastern and southern regions the survey defined a non random sample of the social actors involved in the Food Acquisition Program. Based on the locations where the program had been installed, a selection was made of Family Agriculture farmers who are the program's target public, representatives of associations and cooperatives, traders, public bodies on the demand side of Family Agriculture products and others.

The study combined qualitative and quantitative techniques. Secondary information was gathered from local social organizations and executive bodies involved with the PAA and primary information was obtained using questionnaires with some local social actors involved in the program and semi-structured interviews with others.

## Qualitative study

Various social actors involved in the program were interviewed: federal, state and municipal administrators and managers, direct beneficiaries (producers and members of those institutions that receive program food),
indirect beneficiaries (traders, staff from branches of official banks, crèches and hospitals), members of the local bodies exercising social control over the program in the municipalities, official technical assistance and rural extension bodies and financial institutions.

## Quantitative study

The evaluation of the impact of the Food Acquisition Program in the states of the South and Northeast was made using the following criteria:

- For the South:

The three states that make up the region were selected (Paraná, Santa Catarina and Rio Grande do Sul);

- For the Northeast:

Bahia - The state with the highest number of beneficiary families for the period 2003-2004;
Pernambuco - The state with the second highest number of beneficiary families for the period 2003-2004;
Rio Grande do Norte - Is the state with the highest proportion of Family Agriculture farmers being served.
The definition of municipalities was done on the basis of the ratio between the number of families being supplied by the PAA in its various versions and the number of Family Agriculture farmers in the municipalities benefited by the program. From that list, the 5 to 10 municipalities with the highest numbers of program users were defined and among these last, 3 municipalities were identified using the following criteria: 1) Number of program versions directed at the municipality and 2) The existence of prior studies related to the same issue.

Farmers not benefited by the PAA were chosen preferentially from the same communities as the benefited ones or from nearby areas. In the case of Rio Grande do Sul, interviews were carried out in 4 municipalities. Given that in the municipality of São Lourenço do Sul the organizational functions of the program were shared with the neighboring municipality of Pelotas, the latter was included in the analysis. To operate the criteria, information on the total numbers of beneficiaries was gathered from the study "Novo Retrato da Agricultura Familiar - o Brasil Redescoberto" (A new portrait of family agriculture - the rediscovery of Brazil).

A list of states with the numbers of municipalities where interviewing was done, and the numbers of beneficiaries and non beneficiaries is set out in Table 1 below:

Table 1 - States where questionnaires were used according to numbers of municipalities, beneficiaries and non beneficiaries

| State | Number of municipalities <br> where interviews were done | Number of beneficiaries <br> interviewed | Number of non beneficiaries <br> interviewed |
| :--- | :---: | :---: | :---: |
| Bahia | 10 | 42 | 15 |
| Pernambuco | 12 | 61 | 17 |
| Rio Grande do Norte | 8 | 45 | 5 |
| Paraná | 3 | 85 | 38 |
| Santa Catarina | 3 | 61 | 15 |
| Rio Grande do Sul | 4 | 43 | 12 |
| Total | $\mathbf{4 0}$ | $\mathbf{3 3 7}$ | $\mathbf{1 0 2}$ |

Source: FUBRA.

In both the Northeast and the South, after the program beneficiaries had been identified, non beneficiaries with a profile making them potential beneficiaries were sought out to be interviewed.

The criteria that were used to define the sample imply that it is not statistically representative for the municipalities where the questionnaires were used nor for the universe of beneficiary and non beneficiary farmers that could eventually be served by the program.

[^23]


| Information on origins |  |  |  |  |
| :--- | :--- | :--- | :--- | :---: |
| How long have you been in this municipality? | years | or since : |  |  |
| How long have you been a family farmer? | years | or since: |  |  |
| How long have you worked in rural areas? | years | or since: | () since youth: |  |



## Questionnaire - interview with beneficiary



## Water and Sewage

Where does the water supply in your home come from?
( ) Public water mains
( ) Well or spring
( ) Pre-cast section cistern
( ) Surface rainwater barriers
( ) Dam
Other. Which?
What is the destination of the sewage produced in your residence?
( ) Public sewage mains
( ) Latrine pit
( ) Runs off in uncovered ditch
( ) River or stream
( ) Septic tank

Do you presently participate in any kind of social movement or organization?

## ( ) No

( ) Yes*
*Which one?Farmers and farm workers associationTrade union movement of rural workersMST (Landless peoples movement)Pastoral/religious group
$\square$ Other. Which? $\qquad$

## Questionnaire - interview with beneficiary

Durable goods in the household

| In your home is there: |  | Were they acquired after you entered the PAA? |
| :---: | :---: | :---: |
| Fixed telephone? | Y N | Y N |
| Mobile telephone? | Y N | N |
| Television? | Y N | N |
| Microcomputer? | Y | Y N |
| Access to the Internet? | Y | N |
| Radio? | N | N |
| Refrigerator? | Y | Y N |
| Parabolic antenna? | N | N |
| How many of the following items are there in your home? | ( Mark 0 for none) | How many were acquired after you entered the PAA? |
| Car | $\square$ | $\square]$ |
| Motorcycle | $\square$ | $\square$ |
| Truck | $\square$ | $\square$ |
| Tractor | $\square$ | $\square$ |
| Micro-tractor | $\square$ | $\square$ |
| Harrow | $\square$ | $\square$ |
| Plow | $\square$ | $\square$ |
| Slasher | $\square$ | $\square]$ |
| Planter | $\square$ | $\square$ |
| Sprayer | $\square$ | $\square$ |
| Trailer | $\square$ | $\square$ |
| Others: | $\square$ | $\square$ |

Part III - Food and Nutrition Security Comparative Study of Local Economic Agents of the Food Acquisition Program in the Regions Northeast and South

|  | $\begin{gathered} \text { Sex } \\ \text { (M) (F) } \end{gathered}$ |  | Age | Relationship | Marital Status | Schooling |  |  |  |  | Are you studying now? |  | Do you work: |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Name |  |  | On the property? |  |  |  |  |  |  |  | Off the property? | Income R\$/month |
| 1 | M | F |  |  | Beneficiary | $\begin{aligned} & S() M() \\ & \left.Y()^{\prime}\right) \end{aligned}$ | ( ) Illit. <br> () Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> () Sup. | () year 8 <br> ( ) P. lit. adul. |  |  |  | ( ) HghSc <br> () EYA | Y | $N$ | Y | N | $Y^{*}$ | N |
| 2. | M | F |  |  | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> ( ) Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | Y | $N$ | Y | N | $Y^{*}$ | N |  |
| 3 | M | F |  |  | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | ( ) llit. ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 () Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | Y | $N$ | Y | N | $Y^{*}$ | N |  |
| 4 | M | F |  |  | $\begin{aligned} & S() M() \\ & \left.Y()^{\prime}\right) \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> ( ) Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | Y | $N$ | Y | N | $Y^{*}$ | N |  |
| 5 | M | F |  |  | $\begin{aligned} & S() M() \\ & \left.Y()^{\prime}\right) \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | () year 4 <br> ( ) Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | Y | $N$ | Y | N | $Y^{*}$ | N |  |
| 6 | M | F |  |  | $\begin{aligned} & S() M() \\ & \left.Y()^{\prime}\right) \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> ( ) Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | Y | $N$ | Y | N | $Y^{*}$ | N |  |
| 7 | M | F |  |  | $\begin{aligned} & S() M() \\ & \left.Y()^{\prime}\right) \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> ( ) Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | Y | $N$ | Y | N | $Y^{*}$ | N |  |
| 8 | M | F |  |  | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | () Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 ( ) Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | Y | N | Y | N | $Y^{*}$ | N |  |
| 9 | M | F |  |  | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | ( ) llit. ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> () Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | Y | $N$ | Y | N | $Y^{*}$ | N |  |

## Questionnaire - interview with beneficiary

## Access to the Pronaf and to the PAA

| Access to the Pronaf and to the PAA |
| :--- |
| Have you ever received financing from <br> the Pronaf? |


| In the year: | Participated in the: |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 2003 | $\square$ CDAF | $\square$ CAAF | $\square$ CAEAF- Forming stocks | $\square$ CAEAF- Simultaneous Donation | $\square$ CDLAF |
| 2004 | $\square$ CDAF | $\square$ CAAF | $\square$ CAEAF- Forming stocks | $\square$ CAEAF- Simultaneous Donation | $\square$ CDLAF |
| 2005 | $\square$ CDAF | $\square$ CAAF | $\square$ CAEAF- Forming stocks | $\square$ CAEAF- Simultaneous Donation | $\square$ CDLAF |


| Registration |  |  |
| :--- | :--- | :--- |
| Registration was: | $(\quad)$ Individual | ( ) Collective* |


| Collective* $^{*}$ |  |  |
| :--- | :--- | :--- |
| ( ) Association | ( ) Existed prior to the PAA | ( ) Was organized for the PAA |
| () Cooperative | ( ) Existed prior to the PAA | ( ) Was organized for the PAA |
| () Informal group | $($ ) Existed prior to the PAA | () Was organized for the PAA |


| Documentation for the PAA |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Documents | Was required |  | Already had |  | How difficult to obtain |  |  | Help in obtaining |  | Now has |  |
| CPF (Taxpayers reg. $\mathrm{n}^{\circ}$ ) | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| ID card | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Bank account | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| DAPAA (camp dwellers) | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Participation proposal | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| DAP | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |
| Other: | Y | N | Y | N | Very | Quite | Not very | Y | N | Y | N |

## Questionnaire - interview with beneficiary



## Questionnaire - interview with beneficiary

| Characterizing the land |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| What is the area of your land? | [ $]$ ha |  |  |  |  |
| What is the area that you use for planting? | [ $]$ ha |  |  |  |  |
| Are you the owner of the land you produce on? | ( ) Yes | ( ) No* |  |  |  |
| * In what situation do you exploit the land? | ( ) Tenant | ( ) Share cropper | ( ) Partner | ( ) Title of right to use (settlement) | ( ) squatter |



| IF PARTICIPATED IN THE CAAF |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| In regard to the CPR signed |  |  |  |  |  | Planting |  |  |  |
| N | Year | Product | Amount of CPR | Date due | Production foreseen | CPR planting? |  | *What is the substitute? | Area planted in ha |
| 1 | (03) (04) |  |  |  |  | Y | N* |  |  |
| 2 | (03) (04) |  |  |  |  | Y | N* |  |  |
| 3 | (03) (04) |  |  |  |  | Y | N* |  |  |
| 4 | (03) (04) |  |  |  |  | Y | N* |  |  |
| 5 | (03) (04) |  |  |  |  | Y | $\mathrm{N}^{*}$ |  |  |

Part III - Food and Nutrition Security Comparative Study of Local Economic Agents of the Food Acquisition Program in the Regions Northeast and South


| *Why was payment not made to CPR? |  |  |  |  |  |  |
| :--- | :---: | :---: | :--- | :--- | :--- | :---: |
| Production insufficient | Y | N | Waiting for amnesty | Y | N |  |
| Being negotiated | Y | N | Instructed not to pay | Y | N |  |
| Proagro has to cover | Y | N |  | Y | N |  |

CPR production



| If 100 \% sold to the PAA. <br> To others: |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| N | Would sell for? | To whom? | N | Would sell for? | To whom? |
| 1 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) | 6 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) |
| 2 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) | 7 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) |
| 3 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) | 8 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) |
| 4 | R \$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) | 9 | R \$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) |
| 5 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) | 10 | R\$ | (Org.) (Inter.) (Com.) (Cons.) (Exchange) |


| Packaging (only for products delivered to the PAA) - Presently = last delivery |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Packaging (only for <br> Product <br> Packaging | Use |  | Own |  | Amount. R\$/unit. | Who donated/conceded (\%) |  |  |  |  | Available at ideal moment |  |
|  |  |  | Conab | Munic. |  | Gov. | PAA | Other |  |  |
|  | Y | N |  |  | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | $Y$ | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | Y | N | 1 |  |  |  |  |  | Y | N |
|  | Y | N | $Y$ | N | 1 |  |  |  |  |  | Y | N |


| Transport of product last month |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Own |  |  |  | Contracted |  | Municip. authority |  | Conceded |  |  |  | Other |  | Which? |
| Individual |  | Collective |  |  |  |  |  |  |  |  |  |  |
| \% | R\$ | \% | R\$ | \% | R\$ |  |  | \% | R\$ | \% | R\$ | \% | R\$ | \% | R\$ |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

Part III - Food and Nutrition Security Comparative Study of Local Economic Agents of the Food Acquisition Program in the Regions Northeast and South



Location (neighborhood, district, village, hamlet):

Name of the location

1.1.

| Information on origins |  |  |  |
| :--- | :--- | :--- | :--- |
| How long have you been in this municipality? | years | or since : |  |
| How long have you been a family farmer? | years | or since: |  |
| How long have you worked in rural areas? | years | or since: | () since youth: |

What was your previous main occupation in the rural
area?
( ) None
( ) Farm worker
( ) Daily hired hand
( ) Tenant
( Sharecropper
( ) Farmer proprietor
( ) Partner
( ) Other. What?

| Have you ever worked in the rural area? |
| :--- |
| ( ) No ( ) Yes* |
| *What was your occupation? |
| ( ) Commerce |
| () Industry |
| () Government body |
| () Construction industry |
| () Services |
| () Other, what? |

## Questionnaire - interview with non-beneficiary

| Do you presently participate in any kind of social movement or <br> organization? |
| :--- |
| $\quad(\quad)$ No |
| *Which one? |
| $\square$ Farmers and farm workers association |
| $\square$ Trade union movement of rural workers |
| $\square$ MST (Landless peoples movement) |
| $\square$ Pastoral/religious group |
| $\square$ Other. Which? |
| $\square$ Merely belong to union |


| Documentation |  | Has |  | Difficulty obtaining |  | Help in obtaining |  |
| :--- | :---: | :---: | :---: | :--- | :--- | :--- | :--- |
| Documents | Y | N | Great | Medium | Little | Y | N |
| CPF (Taxpayers reg. $\mathrm{n}^{\circ}$ ) | Y | N | Great | Medium | Little | Y | N |
| ID card | Y | N | Great | Medium | Little | Y | N |
| Bank account | Y | N | Great | Medium | Little | Y | N |
| DAPAA (camp dwellers) | Y | N | Great | Medium | Little | Y | N |
| Participation proposal | Y | N | Great | Medium | Little | Y | N |
| DAP | Y | N | Great | Medium | Little | Y | N |
| Other: |  |  |  |  |  |  |  |



## Durable goods in the household

| In your home is there: |  |  |
| :---: | :---: | :---: |
| Fixed telephone? | ( ) Yes | ( ) No |
| Mobile telephone? | ( ) Yes | ( ) No |
| Television? | ( ) Yes | ( ) No |
| Microcomputer? | ( ) Yes | ( ) No |
| Access to the Internet? | ( ) Yes | ( ) No |
| Radio? | ( ) Yes | ( ) No |
| Refrigerator? | ( ) Yes | ( ) No |
| Parabolic antenna? | ( ) Yes | ( ) No |


| How many of the following items are there in your home? <br> (Mark 0 for none) |  |
| :--- | :--- |
| Car |  |
| Motorcycle |  |
| Truck |  |
| Tractor |  |
| Micro-tractor | $\square$ |
| Harrow | $[$ |
| Plow | $[$ |
| Slasher | $[$ |
| Planter | $[$ |
| Sprayer |  |
| Trailer |  |
| Others: |  |

Part III - Food and Nutrition Security Comparative Study of Local Economic Agents of the Food Acquisition Program in the Regions Northeast and South

|  | $\begin{aligned} & \text { Sex } \\ & \text { (M) (F) } \end{aligned}$ |  | Age | Relationship | Marital Status | Schooling |  |  |  |  | Are you studying now? |  | Do you work: |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Name |  |  | On the property? |  |  |  |  |  |  |  | Off the property? | Income $\mathbf{R} \$ /$ month |
| 1 | M | F |  |  | Beneficiary | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | ( ) Ilit. <br> ( ) Prof. | ( ) Lit. <br> () Tech. | ( ) year 4 <br> ()Sup. | () year 8 <br> ( ) P. lit. adul. |  |  | () HghSc <br> () EYA | S | $N$ | S | N | S* | N |  |
| 2. | M | F |  |  | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> ( ) Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | S | N | S | N | S* | N |  |
| 3 | M | F |  |  | $\begin{array}{\|l} S(~) M() \\ Y() \end{array}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> () Sup. | ( ) year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | S | N | S | N | S* | N |  |
| 4 | M | F |  |  | $\begin{aligned} & \mathrm{S}(\mathrm{I}) \mathrm{M}(\mathrm{I} \\ & \mathrm{Y}(\mathrm{l}) \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 () Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | S | N | S | N | S* | N |  |
| 5 | M | F |  |  | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | ( ) Illit. <br> () Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> () Sup. | ( ) year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | S | N | S | N | S* | N |  |
| 6 | M | F |  |  | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | () Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 () Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | S | N | S | N | S* | N |  |
| 7 | M | F |  |  | $\begin{aligned} & S() M() \\ & Y() \end{aligned}$ | ( ) Illit. <br> () Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> ()Sup. | ( ) year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | S | N | S | N | S* | N |  |
| 8 | M | F |  |  | $\begin{aligned} & \mathrm{S}(\mathrm{I}) \mathrm{M}(\mathrm{I} \\ & \mathrm{Y}(\mathrm{l} \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> () Sup. | () year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | S | N | S | N | S* | N |  |
| 9 | M | F |  |  | $\begin{aligned} & S(\text { ) M( ) } \\ & Y() \end{aligned}$ | ( ) Illit. <br> ( ) Prof. | () Lit. <br> () Tech. | ( ) year 4 <br> ()Sup. | ( ) year 8 <br> ( ) P. lit. adul. | () HghSc <br> () EYA | S | N | S | N | S* | N |  |

[^24]
## Questionnaire - interview with non-beneficiary



## Reference amounts for the benefits received

Bolsa Familia: $\mathrm{R} \$ 15$ to $\mathrm{R} \$ 95$
Bolsa Escola: R\$ 15 to R\$ 45
Cartão Alimentação: R\$50
Gas voucher: R\$ 15 every two months
BPC: 1 minimum salary ( $\mathrm{R} \$ 300 /$ month)
Bolsa Cidadã (Ceará): $\mathrm{R} \$ 5$ to $\mathrm{R} \$ 85$
PETI: R\$ 25 for each rural child up to 2 children
$R \$ 40$ for each urban child up to 2 children

| Characterizing the land |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| What is the area of your land? | [ $]$ ha |  |  |  |  |
| What is the area that you use for planting? | [ ha |  |  |  |  |
| Are you the owner of the land you produce on? | ( ) Yes | ( ) No* |  |  |  |
| * In what situation do you exploit the land? | ( ) Tenant | ( ) Sharecropper | ( ) Partner | ( ) Title of right to use (settlement) | ( ) Squatter |


| Access to the Pronaf and to the PAA |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Have you ever received financing from the Pronaf? | ( ) Yes* ( ) No |  | What is your classification in the Pronaf? |  |  |  |
|  |  |  | ( ) A | ( ) B | ( ) C | ( ) D |
| Do you know about the Proagro? | ( ) Yes | ( ) No | Have the Pr | contracted | ( ) Yes | ( ) No* |
| * Would you contract it? | ( ) Yes | ( ) No |  |  |  |  |
| Why? |  |  |  |  |  |  |

Part III - Food and Nutrition Security Comparative Study of Local Economic Agents of the Food Acquisition Program in the Regions Northeast and South

| CPR Production |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N | Yr. | Product quant./unit | ha | Animals | Destination of production |  |  |  |  |  |  |  |  |
|  |  |  |  |  | \% | R\$ /unit | \% | R\$ /unit | \% | $\mathbf{R \$} \quad$ /unit | \% | R\$ | /unit |
| 1 | 03 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage | R\$ | /unit |
|  | 05 | 1 |  |  | Org. |  | Commer. |  | Subs. |  | Exchange |  |  |
| 2 | 03 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | 05 | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |
| 3 | $03$ |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | 05 | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |
| 4 | 03 04 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | 05 | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |
| 5 | 03 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | $05$ | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |
| 6 | 03 04 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | 05 | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |
| 7 | 03 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | $05$ | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |
| 8 | 03 04 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | 05 | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |
| 9 | 03 04 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | $05$ | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |
| 10 | 03 04 |  |  |  | Org. |  | Interm. |  | Cons. |  | Storage |  |  |
|  | $05$ | 1 |  |  | Org. |  | Commer. |  | Subsis. |  | Exchange |  |  |




| Interview ended at: ___ h__m | Evaluation of the interview Interview |
| :---: | :---: |
|  | Very good |
|  | Good |
|  | Regular |
|  | Bad |
|  | Discard |



# Evaluation of the Food Acquisition Program - Milk 

Executive Institution: Applied Social Sciences Center of Federal University of Pernambuco through the Development Support Foundation (FADE/UFPE).

Team Members: Alfredo Soares (coordinator), André Matos Magalhães and Alexandre Rands Barros.

Period Undertaken: June/2005 to March/2006.

## 1. Objectives

The purpose of the research was to make a general assessment of the implementation process of the Food Acquisition Program - Milk (PAA-Leite) and its results, from the standpoint of the producers and receivers of the milk and the milk market. The study sought: 1) to analyze the process for selecting farmers to be benefited by the program and any changes observed in the means of production being used; 2 ) to analyze the levels of production of the milk plants in regard to their installed production capacity, suppliers (analyzing the participation of family agriculture farmers) and the main purchasers of the milk (analyzing the role of the state administrations); 3) to analyze the perceptions of the program of benefited and non benefited producing farmers and benefited families receiving milk and others not receiving it; 4) to evaluate the impact of government acquisitions on the regional milk markets.

## 2. Methodology

In order to evaluate the implementation process and the results of the program, the study combined qualitative and quantitative methods. The qualitative part took the form of interviews with local and state administrators and representatives of the milk plants and focal group sessions with beneficiaries and non beneficiaries from both the milk producing side and the consuming side of the program. In the quantitative aspect questionnaires were used directed at milk producers benefited by the program and those not benefited as well as an econometric study of the program's impact on prices and volumes in the milk market and the earnings of the milk producers.

Among the aspects investigated by these two methods were the perceptions those involved had of the extent of awareness of the program, its publicizing, the registration and selection processes, relations with state governments, results, positive aspects and obstacles to its implementation

The interviews with the managers and representatives of the milk plants, the interviews using questionnaires and the econometric study were carried out in the 10 states where the program has been implemented ${ }^{1}$. The focal group sessions held with beneficiary and non beneficiary milk producers and with those receiving milk or potential receivers of milk, needy people in situations of high nutritional risk, took place in 5 of the 10 states.

[^25]All the non-beneficiary persons that were surveyed had profiles that made them eligible to receive the benefit but for one reason or another were not participating in the program.

In each of the states where the focal group sessions were held the survey worked with two communities, one of producers and the other of consumers. The communities were selected at random from a list of mediumsized cities located outside great metropolitan areas and at distances of no more than 100 or 150 km from the state capital. Thus an effort was made, not only to avoid large metropolitan areas but also smaller cities located in remote regions. Two groups were obtained for each community: one of beneficiaries, and the other of non beneficiaries.

For the interviews with questionnaires, in each of the 10 states the sample was made up of 200 milk producers from which 120 were randomly selected from the official lists of suppliers of the milk processing plants and the remaining 80 (non suppliers) were identified in the surrounding region using information offered by the selected producers.

Given the considerable scope of the program, it was to be expected that there would be a strong impact on existing prices in the milk market as well as on the volume of milk being produced, which could possibly raise prices that were excessively low due to certain conditions peculiar to the said market. Raising the price of milk would bring a series of consequences for the entire productive chain of the product affecting both the volume being produced and the earnings generated for the milk producers. These latter impacts were measured by means of a temporal series of econometric studies that made use of secondary data on quantities of milk produced in each of the states where the program has been implemented. Various levels of intervention of the program in the demand for milk were analyzed including a simulation wherein the volume of milk purchased by the program was projected as zero so as to obtain the price that would exist if there were no program in place.

## Econometric model used to calculate the program's impact on prices, production and income

The Food Acquisition Program - Milk consists of the systematic purchasing of a significant portion of the milk being produced in the states of the Northeast and in the north of Minas Gerais at a higher price than the previously prevailing market price. The program interferes in the market withdrawing from the offer side a volume of milk equivalent to the government purchase. As the milk acquired by the program is distributed to poor individuals that do not normally form part of the demand side for this product there is no retraction of clients from the milk market. It can consequently be expected that there will be an immediate increase in the price of milk and consequently an increase in the liquid earnings of milk producers.

At a later moment, the increase in earnings tends to induce new investments in the sector thereby leading to an accelerated increase in the offer of the product. This impact varies from one state to another due to factors like the volume of milk acquired by the program (measured as the proportion of the total production in the state) among others. To evaluate the impact, an equation based on a supply and demand model was used that included the quantity of milk purchased by the program as an explicative variable factor.

The econometric model used monthly data supplied by the Getulio Vargas Foundation (FGV) for the period from January 1997 to June 2005. However the FGV did not collect information in the states of Piauí or Alagoas so that those two states were excluded form the analysis. The state of Maranhão, where the program was only initiated in 2005 was also excluded. On the other hand, the states of Pernambuco, Paraíba and Rio Grande do Norte have had state programs for milk acquisition in existence ever since the year 2000 so the analysis for those states is based on figures for the corresponding period.

The estimated price equation is based on the following model:

$$
\begin{align*}
& S_{t}=f\left(P_{0}, \Delta r, \Delta c, S_{t-1}, S_{t-2}\right)  \tag{1}\\
& D=D\left(P_{C,} \Delta r, \Delta Y, L\right)  \tag{2}\\
& P_{C}=\alpha_{0}+\alpha_{1} P_{O_{t}}+\alpha_{2} \Delta O_{t}+\alpha_{3} \Delta r_{t}+\alpha_{4} \Delta E_{t} \tag{3}
\end{align*}
$$

Where:

S = Milk offer;
$\mathrm{D}=$ Milk demand;
$\mathrm{P}_{0}=$ Price paid to the producer;
$\mathrm{Pc}=$ Price paid by the consumer;
$\mathrm{L}=$ Volume of purchasing done by the milk program in the state;
$\mathrm{r}=$ Bank rate;
$\mathrm{y}=$ Income (represented by the industrial production and retail sales);
$\mathrm{c}=$ The monthly rainfall in the milk producing areas;
$\mathrm{O}=$ The price of diesel fuel;
$\mathrm{E}=$ The exchange rate;
$\Delta=$ variation measured in the variable factor.

The first equation represents the supply side of the state market. The offer depends on the price being paid to the producer and consequently it reflects the greater effort producers are inclined to make when the compensation (sale price) is greater. It is also a mathematical function of the bank rate which, when it goes up, raises production costs and obliges some producers to decrease their production. The milk supply side also depends on production levels in the preceding period and the variation in monthly rainfall in the milk producing region.

The second equation is a standard one for demand which appears as a function of income, bank rate, volume of milk purchased by the program and the price of milk paid by the consumer.

The third equation takes into account the cost of transport. The price of milk paid by the consumer tends to establish a stable equilibrium with the price paid to the producer. The relation between the two may vary due to variations in fuel prices, costs of loading and unloading stocks, represented by the bank rate and the exchange rate which represents the variation in competitiveness in regard to the imported product.

A linear version of the natural logarithm of the variables can be represented in the following way:

$$
\begin{align*}
& S_{t}=\gamma_{0}+\gamma_{1} P_{0 t}-\gamma_{2} \Delta r_{t}+\gamma_{3} \Delta c_{t}+\gamma_{4} S_{t-1}+\gamma_{5} S_{t-2}+e_{t} \\
& D_{t}=\beta_{0}+\beta_{1} \Delta Y_{t}+\beta_{2} P_{c t}-\beta_{3} \Delta r_{t}+\beta_{4} L_{t}+v_{t}  \tag{2’}\\
& P_{c t}=\alpha_{0}+\alpha_{1} P_{0 t}+\alpha_{2} \Delta O_{t}+\alpha_{3} \Delta r_{t}+\alpha_{4} \Delta E_{t}+u_{t} \tag{3'}
\end{align*}
$$

The solution of these three equations leads to:

$$
\begin{align*}
P_{0 t}= & \left(\frac{1}{\gamma_{1}-\alpha_{1} \beta_{2}}\right)\left\{\left(\beta_{0}-\gamma_{0}-\beta_{2} \gamma_{0}\right)+\left(\gamma_{2}-\beta_{3}-\beta_{2} \alpha_{3}\right) \Delta r_{t}-\gamma_{3} \Delta c_{t}-\gamma_{4} S_{t-1}-\gamma_{5} S_{t-2}\right\}+ \\
& +\left(\frac{1}{\gamma_{1}-\alpha_{1} \beta_{2}}\right)\left\{\beta_{1} \Delta Y_{t}+\left(\beta_{4}\right) L_{t}-\beta_{2} \alpha_{2} \Delta O_{t}-\beta_{2} \alpha_{4} \Delta E_{t}\right\}+\frac{v_{t}-e_{t}-\beta_{2} u_{t}}{\gamma_{1}-\alpha_{1} \beta_{2}} \tag{4}
\end{align*}
$$

A succinct version of this equation was estimated using an Ordinary Least Squares approach in order to derive the impact of the program on the price paid to the milk producer. As all the variables on the right hand side of the equation are exogenous in regard to the evolution of the milk market, there is no problem of endogeneity or identification for this model.

The impact of the program on the prices paid for milk to the producer was estimated using a simulation with the above model supposing $L_{t}=0$, and comparing the results with those effectively observed with real values for $L$. This meant that a temporal series expressing impact was obtained with a value for each period included in the estimates.

The monthly data used covered the period from January 1997 to June 2005. The various series were obtained from the sources displayed in Table 1. In some cases the period analyzed was reduced because the series were not available for the entire period. This was the case, for example, of the prices of diesel fuel for the years prior to 1999. However, generally speaking data was available for the entire period.

To estimate the impact of the program on the quantity of milk produced in each state and obtain the amount produced as a function of the exogenous variables, it was necessary to substitute equation (4) by equation ( $1^{\text {' }}$ ). This new equation was estimated in a similar way to equation (4) and was based on the same data. A simulation similar to that made to estimate the impact of the milk purchasing on prices was also undertaken in this case. The real data was compared with the simulated data obtained by considering the hypothesis of there being no purchasing whatever $(L=0)$ for the whole period. In that way it was possible to obtain a value for the impact for each month of the period during which the program was in activity.
Table 1 - Variables: descriptions and identification of their sources

| Variables | Description of the variable | Source |
| :---: | :---: | :---: |
| $\mathrm{L}=$ Volume of purchasing done by the milk program in the state | Represented by the size of monthly milk acquisition as declared by the Milk Acquisition Program(PAA) administrators. | Federal program administrating body. State secretariats for agriculture |
| $\mathrm{S}=$ Milk supply | Represented by the production of milk in each state as registered by the IBGE. The series to be found in the IBGE is referred to as Total Milk Acquired in the State. | IBGE, milk surveys |
| $\mathrm{P}_{0}=$ Price of milk paid to the producer | Price received by milk producers according to IBGE estimates. | Getúlio Vargas Foundation, price surveys in the states |
| $\mathrm{r}=$ Bank rate | Bank rate calculated by the Brazilian Central Bank. | Banco Central do Brasil (Brazilian Central Bank) |
| $\mathrm{Pc}=$ Price of milk paid by the consumer | Milk consumer price index which is included in the calculations of consumer price index for the various states. | Getúlio Vargas Foundation, price surveys in the states |
| $y=$ Income (represented by industrial production and retail sales) | First main component linking retail sales and industrial production in those state where the two series exist, or retail sales only when that is the only series available. | IBGE, PIM-PF monthly survey on employment levels |
| $\mathrm{c}=$ Monthly rainfall in the milk producing region | Average monthly rainfall figures for the state. | INPE, state bodies |
| D = Demand | Represented by the milk production of each state as registered by the IBGE. | IBGE, milk surveys |
| $\mathrm{O}=$ Price of diesel fuel | Average price of diesel fuel oil in the state according to data of the National Petroleum Agency. | ANP |
| $\mathrm{E}=$ Exchange rate | Exchange rate for purchasing dollars as published by the Central Bank. | Banco Central do Brasil (Brazilian Central Bank) |

## SURVEY QUESTIONNAIRE

## A) SURVEY QUESTIONNAIRE FOR MILK PROCESSING PLANTS

Date of interview: $\qquad$ 1 1 $\qquad$ Interviewer: $\qquad$
Type of questionnaire: [1] Plant State $\qquad$ Interview began at:

Company
Telephone with DDD: $\qquad$
Post 1:[1] Director
[2] Technician in charge
[3] Accountant
Interviewee 1: $\qquad$ Other (specify)
Post 2:[1] Director/president [2] Technician in charge
[3] Accountant
Other (specify)
Type of interview: [1] Telephone [2] Fax
[2] Cooperative/association
[3] Dairy outlet
[4] Dairy farm
Municipality $\qquad$
any was founded?
(record)
02. Does this plant/outlet/cooperative/association/dairy farm work exclusively with the milk program or does it supply milk or milk products to other market options? (SPONTANEOUS, 1 OPTION)
[1] Exlclusively for the PAA - Milk program Q5
[2] Supply other market options too Q3
WARNING: QUESTIONS 3 AND 4 SHOULD ONLY BE ANSWERED BY THOSE WHO SUPPLY MILK TO OTHER
DESTINATIONS AND NOT THE MILK PROGRAM ALONE
03. To which other markets do you supply milk and milk-derived products: the local, regional state or national one? (SPONTANEOUS,, UP TO 3 OPTIONS)
[1] Local
[2] Regional
[3] State
[4] National
[5] All
04. What products do you supply to that/those market(s)? (SPONTANEOUS, UNLIMITED OPTIONS)
[01] Cheese [03] Yoghurt [05] Milk fudge [07] Type C pasteurized milk
[08] Curds and whey [02] Butter [04] Milk drink [06] Cream cheese [93] All Other
05. Now I would like you to tell me, on average, what is the ...
a) Daily potential for processing milk? (SPONTANEOUS, 1 OPTION)
b) What volume of milk is processed daily for the program? (SPONTANEOUS, 1 OPTION)
(register)
c) What volume of milk is processed daily for other programs (school meals for example)? (SPONTANEOUS, 1 OPTION) (register)
d) What volume of milk is processed daily for the market, that is, outside of government programs? (SPONTANEOUS, 1 OPTION)
06. In which municipalities do you purchase milk? From which state? (SPONTANEOUS, UNLIMITED OPTIONS)
MUNICIPALITY
State/DF
MUNICIPALITY
State/DF
07. How far away is your furthest milk supply point in KM? (SPONTANEOUS, 1 OPTION)
(register)
08. How many farmers supply milk to this plant/outlet/farm/cooperative/association?

## (register)

## WARNING: QUESTIONS 3 AND 4 SHOULD ONLY BE ANSWERED BY THOSE WHO SUPPLY MILK TO OTHER DESTINATIONS, THAT IS, WHO ANSWERED CODE 2 FOR QUESTION 2, OTHERWISE GO TO QUESTION 11

9. How many of those producers supply milk specifically for the milk program? (SPONTANEOUS, 1 OPTION)
$\qquad$ (register)
10. On average, how much does your, plant/outlet/farm/cooperative/association pay per liter of milk (OUTSIDE OF THE MILK PROGRAM)?
(REGISTER WITH CENTS)
11. Did you make any investments in order to participate in the milk program? (SPONTANEOUS, 1 OPTION)
[1] Yes Q12
[2] No Q13
12. What was the amount of the investment? (SPONTANEOUS, 1 OPTION)
(register in $\mathrm{R} \$$ ) [9999998] No answer
13. What was the investment made in? (SPONTANEOUS, UNLIMITED OPTIONS)
[01] Truck
[05] Churning machine
[02] Cooling tank
[06] Reception tank
[09] Packaging
[13] Expansion tank
[03] Run-off tank
[07] Pasteurizer
[08] Isothermal tank
[10] Cold storage chamber
[14] Pumps
[04] Compressed air tank
[18] Pick up
[11] Holding tank
[12] Refrigerated truck
[15] Cooler
[17] Ice making machine
[19] Shed
[16] Packaging machine
[98] No answer

Others (specify )

## WARNING: QUESTION 14 SHOULD ONLY BE ANSWERED BY THOSE WHO SUPPLY MILK TO OTHER MARKETS TOO,

 THAT IS, GAVE A CODE 2 ANSWER TO QUESTION 2 OTHERWISE THANK INTERVIEWEE AND CLOSE QUESTIONNAIRE14. Now I would like you to inform me of the quantities of milk involved and the purchasing price OUTSIDE OF THE MILK PROGRAM.... .... (READ OUT MONTH AND YEAR) N.B. REFUSAL TO INFORM REGISTER [999998] No answer
Year Quantity Price (in Reals)


## [ \| ] h: [ ] min Time interview ended

TERM OF RESPONSIBILITY - INTERVIEWER
I hereby declare that the information I have collected is in compliance with the quality standards required by the FADE, namely:

* The interviewee has the profile required by the quotas
* The information is true and has been correctly registered in the questionnaire.
* The questionnaire has been carefully revised and all the boxes have been filled in correctly
* I am aware that at least $20 \%$ of the material I have gathered will be checked in the field as a quality control measure

SIGNATURE OF THE INTERVIEWER : $\qquad$
ID: $\qquad$ Date: $\qquad$ 1 $\qquad$ / 2005.
B) QUESTIONNAIRE FOR QUANTITATIVE SURVEY WITH MILK PRODUCERS


## Questionnaire - Milk Producers

03.What is the approximate distance from the farm to ...

Items:
Distance (register)
[000] Less then 1 Km

1. the main road?
2. the milk processing plant?
3. the nearest telephone?
4. the nearest public transport point?
5. Now I would like you to evaluate the conditions of access to your farm. Would you say they were excellent, good, regular, bad or terrible? (STIMULATED)
[1] Excellent
[2] Good
[3] Regular
[4] Bad
[5] Terrible
[9] DK/NA
6. Speaking of public transport, I would like to know which form you use the most? (SPONTANEOUS, 1 OPTION)
[1] Bus
[2] Train
[3] Motoboy
[4] Alternative transport (kombi, vans, bestas)
[9] DK/NA
7. How many times a day is that form of transport available? (SPONTANEOUS, 1 OPTION)
$\qquad$ (Register) [00] less than once a day (e.g. every 2 days)
[97] DK [98] NA
8. Now I would like to talk about your property.

Approximately how big is the farm in hectares? (SPONTANEOUS)
$\qquad$ (Register) [99997] Don't know
[99998] No answer
08. Is the farm/property your own or are you a tenant? (STIMULATED)
[1] Own
[2] Tenant
[3] Tenant of a part
[9] DK/NA
09. How many houses are there on your farm/property? (SPONTANEOUS, 1 OPTION)
$\qquad$ (Register)
[997] DK
[998] NA
10. Does the farm/property have any covered area to shelter animals? (SPONTANEOUS, 1 OPTION)
[1] Yes Q10.1
[2] No Q11
[9] No answer Q11
10.1. How many animals can the sheltered area hold? (SPONTANEOUS)
(Register) [9997] DK [9998] NA
11. What is the total area of natural pasture? (SPONTANEOUS)
_ (Register) [99996] No natural pasture [99997] DK [99998] NA
12. And what is the total area of planted pasture (irrigated)? (SPONTANEOUS)
$\qquad$ (Register) [99996] No planted pasture
[99997] DK [99998] NA
13. Do you plant anything else on your farm? What are the main crops? (SPONTANEOUS, UP TO 5 OPTIONS)
[01] No
[02] Maize
[03] Beans [04] Cassava
[05] Potato
Other 1 $\qquad$ Other 2 $\qquad$ [97] DK [98] NR
14. Now I would like to know the annual income generated by those crops not counting income from milk production? (SPONTANEOUS, 1 OPTION)
(Register) [99997] DK [99998] NA
15. Does your farm/property have ... (read out items below)? How many? (SPONTANEOUS, 1 OPTION)

PHYSICAL CAPITAL
15.1 Does it have?

YES
NO
15.2 How many?
(Register)
a) Tractor
b) Plow
c) Truck
d) Passsenger car
e) Grinder
f) Cooler
g) Utility vehicle
h) Motorcycle
i) Bicycle
j) Refrigerator
k) Computer
I) Television
16. Now I would lke to talk about your livestock. What is the total number of ... (READ OUT THE ITEMS BELOW AND THE QUESTIONS IN THE LINE ) (SPONTANEOUS, 1 OPTION)

| Type | 16.1 What is the total number of (READ OUT THE ITEMS ON THE | 16.2 Of these, how many are thoroughbred? (code answer | 16.3 How many are halfbred? (code answer and | 16.4 How many are of mixed breeds? (code | 16.5 How many are lactating? (code answer | 16.6 How much is each ... worth on average (READ OUT THEITEMS |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | LEFT) on your | and line up on | line up on the | answer and | and line up | ON THE LEFT)? |
|  | farm? | the right) | right) | line up on the | on the right) | (code answer and |
|  | [9996] Don't raise |  |  | right) |  | line up on the |
|  | them - go to next |  |  |  |  | right) |
|  | [9997] Don't know | [9997] DK | [9997] DK | [9997] DK | [9997] DK | [99997] DK |
|  | [9998] No answer | [9998] NA | [9998] NA | [9998] NA | [9998] NA | [99998] NA |

a) Cows
b) Steers
c) Horses
d) Goats and sheep
17. Now let's talk about the daily production of your dairy cows. I need to know the numbers of cows yielding from 3 to over 15 liters. How many cows yielding (READ OUT THE OPTIONS BELOW) do you have?

18. What are the milking pails made of? (SPONTANEOUS, UP TO 3 OPTIONS)
[1] Stainless steel
[2] Plastic
[3] Zinc
[4] Aluminum
[5] Tinplate
[8] DK
[9] NA
19. And the churns for transporting milk, what are they made of? (SPONTANEOUS, ATÉ 3 OPTIONS)
[1] Stainless steel
[2] Plastic
[3] Zinc
[4] Aluminum
[5] Tinplate
[8] DK
[9] NA
20. Now let's talk about the animals sold from the farm. From January 2004 to today, how many (READ OUT THE ITEMS BELOW AND THE QUESTIONS IN THE LINE) did you sell?(SPONTANEOUS,)

| Type |  | 20.2. Of these, how many were thoroughbred? | 20.3. How many were half-bred? | 20.4. How many were of mixed breeds? |  | 20.6. What was |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{aligned} & \text { 20.1. How } \\ & \text { many ... (READ } \end{aligned}$ |  |  |  | 20.5. What was the average selling | the average daily yield of |
|  | ITEMS ON THE |  |  |  | (READ OUT THE | each ... (READ |
|  |  |  |  |  | ITEMS ON THE | OUT THE |
|  | sell? |  |  |  | LEFT)? (code) | IEMS ON THE |
|  | sell? |  |  |  | LEFI)? (code) | LEFT)? |

a) Cows
b) Bulls
21. Now let's talk about the animals purchased for the farm. From January 2004 to today, how many (READ OUT THE ITEMS BELOW AND THE QUESTIONS IN THE LINE) did you buy?(SPONTANEOUS,)

| 21.1. How |  |  |  | 21.5. What was the | 21.6. What was |
| :---: | :---: | :---: | :---: | :---: | :---: |
| the average |  |  |  |  |  |

a) Cows
b) Bulls

Now let's talk about your plans for the future in regard to purchasing and selling stock.
22. Are you planning to improve your herd in the coming year (2006). In what way? (STIMULATED, UP TO 3 OPTIONS)
[01] No
[02] Buying new cows similar to the present ones
[03] Buying new cows, more productive than the present ones
[04] Selling the present ones to buy other more productive ones
[05] Purchasing a thoroughbred bull
[06] Carrying out insemination
[07] Seeking to cross the cows with thoroughbred bulls
NB: IMPOSSIBLE COMBINATIONS: [1 AND ANOTHER OPTION], [2 AND 3], [2 AND 4]
23. Do you supply supplementary feed (in addition to pasture) to lactating cows? (SPONTANEOUS, 1 OPTION)
[1] Yes Q 23.1.
[2] No Q 24
[9] No answer Q 24
23.1 During how many months a year?(SPONTANEOUS)
[._(Register) [97] Don't know/not sure No answer
23.2 What is the average daily amount of feed supplied per cow in kg ? (SPONTANEOUS)
[(Register) [97] Don't know/not sure [98] No answer
23.3 What is the average price per kilo of the feed? (SPONTANEOUS)
$\qquad$ (Register)
[97] Don't know/not sure
[98] No answer

DISEASE

ATTENTION: ANSWER THIS QUESTION IN THE COLUMN!!!
24. Which vaccines do you usally apply to your herd? (SPONTANEOUS)
[1] Mentioned them [2] No mention

ATENTION: ONLY ASK Q25 FOR THOSE WITH ANSWER 1 FOR Q24!!!!
25. How often do you vaccinate against ... (READ OUT THE DISEASES ON THE LEFT BELOW)?
[1] Once a year
[7] Don't know
[2] Once every 2 years
[8] NA
a) Foot and mouth
b) Rabies
[ | ]
c) Brucellosis
d) Other (specify) $\qquad$
[ ]
]
[ ]
[ ]
26. Which worm medicine do you use? (SPONTANEOUS)
[96]NoneQ28 [98] No answerQ28 [97] Don't know/not sureQ28
27. How often on average, is worm medicine used? (SPONTANEOUS,)
[1] Once a year
[3] 3 times a year
[7] Don't know/not sure
[2] Twice a year
[4] 6 times a year
[8] No answer
28. What tick medicine do you use? (SPONTANEOUS)

Q29
[96]NoneQ30 [98] No answerQ30 [97] Don't know/not sureQ30
29. How often on average, is tick medicine used? (SPONTANEOUS)
[1] Monthly
[2] Two-monthly
[5] Half-yearly
[7] Don't know/not sure
[3] Quarterly
[8] No answer
[4] Four-monthly
30. Where is milking usually done? (SPONTANEOUS)
[1] In the corral Q31
[2] In a specific milking place Q30.1
[8] DK/NA Q31
30.1 What is the floor-covering in the milking place? (SPONTANEOUS, 1 OPTION)
[1] Beaten earth
[2] Concrete
[3] Other
[7] Don't know
[8] No answer
30.2. Is the area covered? (SPONTANEOUS, 1 OPTION)
[1] Yes
[2] No
[7] Don't know
[8] NA
30.3 Does the milking place have a water supply? What kind?(SPONTANEOUS, 1 OPTION)
[1] No
[2] Running water
[3] Water in cistern/bucket
[7] DK
[8] NA
31. What hygiene measures are taken before milking starts? (SPONTANEOUS)
[01] Wash hands in running water
[03] Wash the cow's udder
[02] Wash bucket with boiled water
Other $\qquad$
[04] Test for mastitis
[97] Don't know [98] No answer
32. On average how many hours elapse between milking and milk delivery? (SPONTANEOUS, 1 OPTION)
$\qquad$ (Register in hours) [00] less than 1 hour [97] Don't know [98] NA
33. How are the cows fertilized ... (READ OUT OPTIONS)? (STIMULATED)
[1] Through artificial insemination [2] By a bull Q33.1
33.1. What type of bull (READ OUT OPTIONS)? (STIMULATED)
[1] Pure bred
[2] Half bred
[3] Mixed breed
[7] Don't know
[8] NA

## Questionnaire - Milk Producers

34. Does your herd receive any kind of veterinary assistance? (SPONTANEOUS, 1 OPTION)
[1] Yes Q34.1
[2] No
[8] Don't know
[9] No answer
34.1. From whom do you receive assistance? (SPONTANEOUS, 1 OPTION)
[1] From the Milk Program
[3] From public institutions
[7] Don't know
[8] NA
[2] From private professionals and entities (vets, agronomists, veterinary supply shops)
34.2 Roughly how many times a year does your herd receive veterinary assistance?
$\qquad$ (Register) [97] Don't know

Now I would like to hear about your participatiuon in courses, lectures and seminars.
35. What courses, lectures or seminars on animal husbandry have you taken part in since January of 2004?
[96] None [98] No answer Q 37
[97] Don't know/not sure Q 37
[ | ]
[ | ]
36. Where were those courses, lectures or seminars offered? (READ OUT THE ANSWERS BELOW)
[01] Emater [03] City hall [98] NA
[02] Sebrae [97] Can't remember Other (specify)
[ | ] $\qquad$
[ | ] $\qquad$
37. On average how many liters of milk a day do you produce during ... (READ OUT THE ANSWERS BELOW)?
A. [ | | ]
a) The dry season? $\qquad$ (Register, considering all lactating cows)
B. $[1 \mid]$
b) And in the rainy season? $\qquad$ (Register, considering all lactating cows)
38. Now I would like you to take look at a list of problems (SHOW ATTACHMENT 1) and tell me which problem most affects cattle-raising in this region? (SPONTANEOUS, UP TO 7 OPTIONS )
38.1 In addition to that problem are there any others? (SPONTANEOUS, UP TO 6 OPTIONS )

| $[$ | $\mid$ | $][$ | $\mid$ | ] | \| | ] |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $[$ | $\mid$ | $][$ | $\mid$ | ][ | \| | ] |

CODES FOR QUESTIONS 38 E 38.1
[01] Water
[03] Diseases
[05] Very low prices
[07] Credit
[97] Don't know
[02] Pasture
[04] Technical Assistance
[06] Market for selling r
[96] None
[98] No answer
39. Roughly how much is this farm/ property worth as it stands with everything on it? (SPONTANEOUS, 1 OPTION)
$\qquad$ (Register in R\$) [999997] DK [999998] NA

Now I would like you to talk about supplying milk to the milk program PAA - Milk
40. Do you supply milk to the program? (SPONTANEOUS, 1 OPTION)
[1] Yes
[2] No $\quad \Rightarrow$ close off the interview
[9] No answer $\Rightarrow$ close off the interview
41. Roughly how long have you been supplying (in months)? (SPONTANEOUS, 1 OPTION)
$\qquad$ (Register in months)
[97] Can't remember
[98] No answer
42. On average how many liters of milk a day do you supply to the program? (SPONTANEOUS, 1 OPTION)
$\qquad$ (Register in liters)
[997] Can't remember
[998] No answer
43. On average how much do you receive per liter of milk sold to the program? (SPONTANEOUS, 1 OPTION)
$\qquad$ (Register in $\mathrm{R} \$$, with cents)
[997] Can't remember
[998] No answer
44. Approximately how far is it from the farm to the point where you deliver the milk? (SPONTANEOUS, 1 OPTION)
$\qquad$ (Register in Km) [00] At the gate

## Questionnaire - Milk Producers

45. How do you transport the milk to the pick up place? (SPONTANEOUS, 1 OPTION)
[1] With own means (on foot, by bike, by motorbike, car, truck, pick up) Q46
[2] Using a third party Q45.1
45.1. How much per liter do you pay to have the milk delivered to the pick up point?
$\qquad$ (Register in R\$, with cents) [98] No answer
46. To whom do you hand over the milk? (SPONTANEOUS, 1 OPTION)
[1] To the truck from the milk processing plant Q47
[2] To the truck from the cooperative/ association Q47
[3] To the cooling tank at the milk processing plant Q46.1
[4] To the cooling tank at the at the cooperative or association Q46.1
[5] To others (intermediaries)
43.1. How much do you pay per liter to have the milk cooled?
$\qquad$ (Register in $\mathrm{R} \$$, with cents)
[98] No answer
47. How is the rest of the milk used, the part not delivered to the program? (SPONTANEOUS, 1 OPTION)
[1] Sold to third parties Q47.1
[2] Sold to the same plant that handles the program milk Q47.1
[3] Transformed into milk derived products (cheese, butter, yoghurt, fudge etc.) Q48

### 47.1 How much is a liter of milk sold for?

_ Q49 (Register in R\$, with cents) [98] No answer Q49
ATTENTION: ONLY THOSE THAT ANSWERED 1
48. What milk-derived products do you produce on the farm with the milk left over from the program? (SPONTANEOUS)
[1] Mentioned [2] No mention

FOR Q 46 CAN ANSWER Q47!

| 49. How many kilos of <br> $(R E A D ~ O U T$ ITEMS ON | 49.1 What is the <br> average price of a kilo <br> (REF $)$ do you produce per |
| :--- | :--- |
| of $\ldots$ (READ OUT ITEMS |  |
| week? (CODIFY) <br> [997] DK [998] NA | $\underline{\text { ON LEFT) }}$ |
|  | $\underline{\text { CODIFY with cents }}$ |
|  | [9997] Don't know |
|  | [9998] No answer |

a) Curd Cheese
b) Butter cheese
c) Butter
$\left.\left.\begin{array}{llll}{[ } & ] & {[ } & \mid \\ \hline\end{array} \right\rvert\, c\right]$

| $[$ | $\mid$ | $],[$ | $\mid$ | $]$ |
| :---: | :---: | :---: | :---: | :---: |
| $[$ | $\mid$ | $],[$ | $\mid$ | $]$ |
| $[$ | $\mid$ | $],[$ | $\mid$ | $]$ |
| $[$ | $\mid$ | $],[$ | $\mid$ | $]$ |

## TERM OF RESPONSIBILITY - INTERVIEWER

I hereby declare that the information I have collected is in compliance with the quality standards required by the FADE, namely:

* The interviewee has the profile required by the quotas
* The information is true and has been correctly registered in the questionnaire.
* The questionnaire has been carefully revised and all the fields have been filled in correctly
* I am aware that at least $20 \%$ of the material I have gathered will be checked in the field as a quality control measure

SIGNATURE OF THE INTERVIEWER :
ID: $\qquad$ Date: $\qquad$ 1 $\qquad$ / 2005.

# PR Profile Survey of Low-Income Restaurant Users 

Executive Institution: Brazilian Institute of Public Opinion and Statistics - IBOPE.

Person Responsible: Maurício Tadeu Garcia.

Period of Survey: from March 2005 to June 2005.

## 1. Objective

To investigate the profile of a representative sample of users of Low-Income Restaurants in operation in the country in order to provide supporting elements to the Ministry of Social Development and the Fight against Hunger for the expansion of this kind of service and for broadening the offer of good quality food at affordable prices to vulnerable populations in Brazilian urban centers.

## 2. Methodology

The evaluation process took place in the following stages:

1) defining the restaurants to be examined;
2) defining the probabilistic sample for each restaurant to be surveyed;
3) interviews with questionnaires with a random selection of Low-Income Restaurants users.

The area surveyed took in the cities of Belo Horizonte - MG, the Federal District - DF, Rio de Janeiro RJ, Salvador - BA, and São Paulo - SP. The sample was stratified by cities and selected using probability criteria in two stages:
a) For each city the restaurants were selected from a list of them;
b) In each selected restaurant the users were selected using probability methods with systematic jumps throughout the period of activity during one week.

With such methodology it was possible to achieve a representative sample of the universe of Low-Income Restaurant users in the cities being surveyed taking into account the distribution of this public among the various restaurants in existence as well as the days and time of services being offered.

In each restaurant 20 interviews were held in which the following variables were investigated: sex, age group, schooling level, type of dwelling, frequency of use of the establishments, reason for use, means of transport used, frequency of use together with members of the family, spending on meals, food consumed and eating habits. Altogether 600 interviews were held, 120 in each of 5 places selected as shown in the following table.

Table 1 - Sample of Low-Income Restaurants surveyed by federal unit

| NR | FU | Munic. DF |  | Interviews |
| :---: | :---: | :---: | :---: | :---: |
| 1 | SP | São Paulo | Restaurante Bom Prato - Bras | 20 |
| 2 | SP | São Paulo | Restaurante Bom Prato - Guaianazes | 20 |
| 3 | SP | São Paulo | Restaurante Bom Prato - Lapa | 20 |
| 4 | SP | São Paulo | Restaurante Bom Prato - Santana | 20 |
| 5 | SP | São Paulo | Restaurante Bom Prato - São Mateus | 20 |
| 6 | SP | São Paulo | Restaurante Bom Prato - Vila Nova Cachoeirinha | 20 |
|  |  |  |  | 120 |
| 7 | RJ | Rio de Janeiro | Restaurante Popular Herbert de Souza Betinho | 40 |
| 8 | RJ | Rio de Janeiro | Restaurante Popular Getúlio Vargas | 40 |
| 9 | RJ | Rio de Janeiro | Restaurante Popular Radialista Jorge Curi | 40 |
|  |  |  |  | 120 |
| 10 | BA | Salvador | Restaurante Popular prato do Povo - Liberdade | 60 |
| 11 | BA | Salvador | Restaurante Popular prato do Povo - Comércio | 60 |
|  |  |  |  | 120 |
| 12 | MG | Belo Horizonte | Restaurante Popular Unidade I | 40 |
| 13 | MG | Belo Horizonte | Restaurante Popular Unidade II | 40 |
| 14 | MG | Belo Horizonte | Restaurante Popular | 40 |
|  |  |  |  | 120 |
| 15 | DF | Distrito Federal | Restaurante Comunitário São Sebastião | 24 |
| 16 | DF | Distrito Federal | Restaurante Comunitário Samabaia | 24 |
| 17 | DF | Distrito Federal | Restaurante Comunitário Ceilândia | 24 |
| 18 | DF | Distrito Federal | Restaurante Comunitário Paranoá | 24 |
| 19 | DF | Distrito Federal | Restaurante Comunitário Santa Maria | 24 |
|  |  |  |  | 120 |

Source: IBOPE.

The field work was carried out by a permanently registered experienced team of IBOPE interviewers specially trained in public opinion polling, using personal interview techniques and a questionnaire specifically designed for the survey. To verify the correct use of the criteria for sampling and handling the questionnaires, 20\% of the total number of each interviewer's questionnaires were inspected and all questionnaires were submitted to a critical analysis and verification of consistency.

## Questionnaire - Low-Income Restaurants

City. District $\qquad$

Could you please tell me your telephone number just for the purpose of my work here being verified?
DDD $\qquad$ 99 ( ) No phone No answer
OP 062/205-5 CAPITALS - $\mathbf{6 0 0}$ INTERVIEWS - MAY/25/2005

| IBOPE - OPINIÃO PÚBLICA LTDA | AL, SANTOS, 2101-7 ANDAR - S. PAULO - SP |
| :---: | :---: |
| Interviewer: | L_L___\| Date: |
| Supervisor/Checker: | ı___\|_1_| Date:__I |

\#\#\# SURVEY OF LOW-INCOME RESTAURANT USERS \#\#\#

| SEX | CODE |
| :--- | :--- |
| Male | 1 |
| Female | 2 |


| SCHOOLING LEVEL |  | CODE |
| :--- | :--- | :--- |
| Illiterate | 01 |  |
| Can read and write but has no schooling |  | 02 |
| AFTER 1971 | UP TO 1971 |  |
| PRIMARY | Year 1 to year 3 | 03 |
| Year 1 to year 3 | Year 4 to year 5 | 04 |
| Year 4 | Year 1 to year 3 | 05 |
| JUNIOR HIGH | Year 4 | 06 |
| Year 5 to year 7 | Years 1 and 2 | 07 |
| Year 8 |  |  |
| SENIOR HIGH | Year 3/Univ. entrance | 08 |
| Years 1 and 2 |  |  |
| Year 3/Univ. entrance | Yea |  |
| HIGHER EDUCATION | 09 |  |
| Graduate - incomplete | 10 |  |
| Graduate - complete |  |  |

$\qquad$
Post:

| PLACE OF INTERVIEW | CODE |
| :--- | :--- |
| São Paulo | 1 |
| Rio de Janeiro | 2 |
| Belo Horizonte | 3 |
| Distrito Federal | 4 |
| Salvador | 5 |


| OCCUPATION SITUATION | CODE |
| :--- | :--- |
| Working | 1 |
| NOT working | 2 |


| FIXED ABODE | CODE |
| :--- | :--- |
| Yes | 1 |
| No | 2 |


| MARITAL STATUS | CODE |
| :--- | :--- |
| Married/living with partner | 1 |
| Single | 2 |
| Divorced | 3 |

BLOCK $1^{\text {*** }}$
Q01) To start off I would like to know with which of the following frequencies you usually have meals in Low-Income Restaurants like this one. (ONE OPTION ONLY)
1( ) Always $\rightarrow$
| GO
2 ( ) Almost always $\rightarrow$
| TO
3( ) Sometimes $\rightarrow$ |QUESTION
4( ) Seldom $\rightarrow$
| 02
9() This is the first time $\rightarrow \mathbf{G O}$ TO Q03

ONLY FOR THOSE WHO USUALLY FREQUENT THE RESTAURANT (CODES 1 to 4 in Q. 01)
Q02) And on average, how many times a WEEK do you usually take meals in Low-Income Restaurants like this one ? (SPONTANEOUS - ONE OPTION ONLY - USE SINGLE DIGIT)
___|
TIMES A WEEK
9 - DON'T KNOW/ NO ANSWER

## Questionnaire - Low-Income Restaurants

## \#\#\# FOR ALL USERS\#\#\#

Q03) Could you please tell me the main reasons that make you decide to take meals in this restaurant? Are there any other reasons? Any more? (SPONTANEOUS - SEVERAL OPTIONS - IF THE REASON IS NOT LISTED REGISTER OTHERS IN THE SPACE BELOW - INVESTIGATE THOROUGHLY)


OPTIONS

| 1( ) Bus | 5 ( ) Bicycle |
| :--- | :--- |
| 2( ) Train/metro | 6 ( ) On foot |
| 3 ( ) Car |  |
| 4 ( ) Motorcycle | $9(\quad)$ No answer |

## *** BLOCK 2 ***

Q05) Where are you usually right before coming to the Low-Income Restaurant? (ONLY ONE OPTION)
1 ( ) At home
8 ( ) Some other place
2 ( ) At work
9 ( ) No answer
3 () In the street

Q06) Do you usually have to queue up to get your meal in this restaurant? (IF YES) How long do you usually have to spend in the queue? (SPONTANEOUS - ONE OPTION ONLY)

| 1 ( ) Up to 10 minutes | 6 ( ) From 50 to 60 minutes |
| :--- | :--- |
| 2 ( ) From 10 to 20 minutes | 7 ( ) More than 1 hour |
| 3 ( ) From 20 to 30 minutes |  |
| 4 ( ) From 30 to 40 minutes | 8 ( ) Don't usually have to queue |
| 5 ( ) From 40 to 50 minutes | 9() No answer |

Q07) Apart from yourself, does any other member of your family usually have meals in this Low-Income Restaurant? (IF YES) Who? (SPONTANEOUS - VARIOUS OPTIONS)

| $1($ ) Husband/wife | 8() Cousin |
| :--- | :--- |
| 2() Partner | 9() Uncle/aunt |
| 3 ( ) Son/daughter | 10() Nephew/niece |
| 4 ( ) Father/mother | 11() Grandchild |
| 5 ( ) Brother/sister | 97() Other relative |
| 6 ( ) Father/mother-in-law | 98() No other family member. $\rightarrow$ GO TO Q09 |
| 7 ( ) Brother/sister-in-law | 99() No answer $\rightarrow$ GO TO Q09 |

\#\#\# ONLY FOR THOSE WHO DECLARE THAT OTHER FAMILY MEMBERS TAKE MEALS AT THE RESTAURANT (CODES 1 to 97 in Q07)\#\#\#

## *** BLOCK 1 ***

Q08) And on average with which of the following frequencies does that family member usually take meals in Low-Income Restaurants
1 ( ) Always
2 ( )Almost always
3 ( ) Sometimes
4 ( ) Seldom

## \#\#\#FOR ALL INTERVIEWEES\#\#\#

Q09) In your opinion, is the amount presently being charged for meal in this Low-Income Restaurant affordable for your monthly budget? (ONLY ONE OPTION)
1 ( ) Affordable
2 ( ) Not affordable
9 ( ) No answer

Q10) How high a proportion of your monthly budget does what you spend on Low-Income Restaurant meals represent? Would you say it very high, high, low or very low? (ONLY ONE OPTION)

> 1 ( ) Very high
> 2 ( ) High

3 ( ) Very low $8($ ) Neither high nor low (SPONTANEOUS)
4 ( ) Low 9 ( ) No answer/no opinion

## Questionnaire - Low-Income Restaurants

Q11) If there were an increase in the price of Low-Income Restaurant meals, would you be prepared to pay a higher amount than what is presently being charged? (IF YES) And how much would you be prepared to pay for this meal? (SPONTANEOUS - ONE OPTION ONLY)

| 1 ( ) Up to 2 Reals |  |
| :--- | :--- |
| 2 ( ) From 2 to 5 Reals | 8 ( ) Not prepared to pay more |
| 3 ( ) Over 5 Reals | $9($ )Don't know/no answer |

Now let's talk about your eating habits in general...
Q12) When taking your meals do you usually take care to choose food that is considered to be healthy? (ONE OPTION ONLY)

1 ( ) Usually take care $2($ ) Not usually $9($ ) Don't know/No answer
*** BLOCK $3^{* * *}$
Q13) Apart from the meals served in the Low-Income Restaurants, generally speaking, which of the following food items are always present in your daily meals, that is to say those food items that you consume most in your daily meals? (SEVERAL OPTIONS)

| 001 ( ) Rice | 014 ( ) Cassava, eddoes, yams, tapioca |
| :--- | :--- |
| 002 ( ) Beans | 015 ( ) Cereals |
| 003 ( ) Meat/fried beef | 016 ( ) Ground maize/cuscus |
| 004 ( ) Sun-dried beef/beef jerky | 017 ( ) Sandwiches |
| 005 ( ) Chicken | 018 ( ) Savories |
| 006 ( ) Fish | $019($ ) Fruits |
| 007 ( ) Macaroni | $020($ ) Milk/yoghurt |
| $008($ Salad | 021 ( ) Sweetmeats |
| $009($ ) Vegetables | $022($ ) Cake |

Vegetables
010 ( ) Greens
011 ( ) Bread
012 ( ) Cassava meal
997 ( ) Other foods. Which? | _ _
013 ( 998 ( ) No main food in particular
013 ( ) Eggs 999 ( ) Don't know/No answer
Q14) Where do you usually eat when you don't come to Low-Income Restaurants like this one? (SPONTANEOUS SEVERAL OPTIONS) - REGISTER AS FULLY AS POSSIBLE IN THE LINES BELOW - INVESTIGATE THOROUGHLY
$\qquad$ 1
+___|
998 ( ) Doesn't go anywhere else
999 ( ) No answer
Q15) How much do you spend on average when you take meals in those other places? (SPONTANEOUS - ONE OPTION ONLY - ACCEPT ONLY WHOLE NUMBERS)
|___ REALS
97-DOES NOT SPEND/EATS AT HOME
98 - BEGS MONEY FROM OTHER PEOPLE 99 - DOES NOT KNOW/NO ANSWER

Q16) Considering only the food options available in this Low-Income Restaurant what do you MOST enjoy eating? (SPONTANEOUS - ONE OPTION ONLY)


Q17) Which of the food options available in this Low-Income Restaurant do you LEAST enjoy eating (SPONTANEOUS DO NOT ACCEPT SAME ANSWERS AS IN Q16 - ONE OPTION ONLY)


Now regarding this particular Low-Income Restaurant...
Q18) How would evaluate the service being offered by this Low-Income Restaurant? Would you say the service is (READ OUT OPTIONS 1 TO 5 - ONE OPTION ONLY)

| 1 ( ) Excellent | $3($ ) Fair | $5($ ) Terrible? |
| :--- | :--- | :--- |
| 2() Good | 4() Bad or | $9($ ) Don't know/No answer |

## Questionnaire - Low-Income Restaurants

Q19) Now I would like you to evaluate some specific aspects of the services being offered here in the Low-Income Restaurant. For each of the items mentioned please say whether you consider the service to be excellent, good, fair, bad or terrible: (READ OUT EACH ITEM AND MARK AN X AGAINST THE CORRESPONDING ANSWER - ONE OPTION PER LINE

THE BUILDING

1) Location of the Low-Income Restaurant
2) Organization of physical space inside
3) Comfort in the place
4) Hygiene and cleanliness of the restaurant
5) Hygiene and cleanliness in the kitchen

| Excellent | Good | Fair | Bad | Terrible | NA/NO |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1() | 2() | 3() | 4() | 5() | 9() |
| 1() | 2() | 3() | 4() | 5() | 9() |
| 1() | 2() | 3() | 4() | 5() | 9() |
| 1() | 2() | 3() | 4() | 5() | 9() |
| 1() | $2(t)$ | 3() | 4() | 5() | 9() |
| 1() | 2() | 3() | 4() | 5() | 9() |

6) Hygiene and cleanliness in the toilets

## SERVICE

| 07) Time taken to be served | 1() | 2() | 3() | 4() | 5() | 9() |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 08) Speed of service | 1() | 2() | 3() | 4() | 5() | 9() |
| $09)$ Cordiality and politeness of employees | 1() | 2() | 3() | 4() | 5() | 9() |

## MEALS

$\begin{array}{lllllll}\text { 10) Quality of food in the meals } & 1() & 2() & 3() & 4() & 5() & 9() \\ \text { 11) Quantity of food in the meals } & 1() & 2() & 3() & 4() & 5() & 9()\end{array}$
12) Variety in the menu of meals $1\left(\begin{array}{lll}2(1) & 2() & 3() \\ \hline\end{array}\right.$

## CULTURAL PROGRAM

13) The way in which the nutritional re-education campaigns are presented
14) The way in which campaigns directed at the eating habits of diabetics and hyper tense individuals are promoted

| 1() | 2() | 3() | 4() | 5() | 9() |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 1() | 2() | 3() | 4() | 5() | 9() |
| 1() | 2() | 3() | 4() | 5() | 9() |

shows, plays, exhibitions $1\left(\begin{array}{lllll} & 2(1) & 3() & 4() & 5()\end{array}\right.$

Q20) Now I would like to know whether or not you usually patronize or participate in the cultural events like shows, plays or lectures on healthy eating habits promoted by the Low-Income Restaurant. (ONE OPTION ONLY)

$$
\begin{array}{ll}
1 \text { ( ) Usually participate } & 8(\mathrm{)} \text { This restaurant does not promote such activities (spontaneous) } \\
2 \text { ( ) Don't usually participate } & 9() \text { No answer }
\end{array}
$$

Q21) In your opinion, does the work being undertaken by the Low-Income Restaurants make it possible for the people frequenting them to enjoy BETTER LIVING CONDITIONS ? (ONE OPTION ONLY)

1() Yes, it makes it possible $3($ ) No, it doesn't 9 ( ) No answer
Q22) Now I would like you to evaluate the importance of the Low-Income Restaurant project financed by the FEDERAL GOVERNMENT. Do you think the continuation of this project is very important, important, of little importance, of no importance? (ONE OPTION ONLY)


Q23) Now to close off, bearing in mind all that we have mentioned so far what suggestion or suggestions would you make for improving the situation of the Low-Income Restaurants? Any other suggestion? Anything else?
(SPONTANEOUS - VARIOUS OPTIONS - INVESTIGATE THOROUGHLY - DO NOT ACCEPT VAGUE ANSWERS).

$\qquad$
998 ( ) No suggestions
999 ( ) Don't know/No answer

## Questionnaire - Low-Income Restaurants

## *** INCOME BLOCK ***

INCOME 1) In which of the following income ranges did your last months personal income lie in? (ONE OPTION ONLY REGISTER IN THE FIRST COLUMN BELOW)

## *** INCOME BLOCK ***

INCOME 2) In which of the following income ranges did your last months family income adding together the incomes of all the persons that live with you and your own income as well? (ONE OPTION ONLY - REGISTER IN THE SECOND COLUMN BELOW)

## PERSONAL INCOME

(MS = Official Minimum Salary)
1 ( ) Over 5 MS
2() Over 3 up to 5 MS
3 ( ) Over 1 up to 3 MS
4 ( ) Up to 1 MS
8 ( ) No personal income
9 ( ) No answer

## FAMILY INCOME

1 ( ) Over 5 MS
2 ( ) Over 3 up to 5 MS
3 ( ) Over 1 up to 3 MS
4 () Up to 1 MS
9( ) No answer

## FOR INTERNAL USE ONLY:

| QUESTION N ${ }^{\text {}}$ | CODE 1 | CODE 2 | CODE 3 | CODE 4 | CODE 5 | CODE 6 | CODE 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | -__\|- | -__\| | L__\|_| | - _ - _\| | - _ - _ \| | - _L_ | - _ - - |
| CODE | L_-_\| | - _ - - \| | L_-_\| | - _ - - | L__ - \| | L_-_\| | L_- - - |

Executive Institution: Brazilian Research and Agribusiness Support Foundation - FAGRO/ Embrapa Semi-arid.

Team Members: Aderaldo de Souza e Silva (coordinator), Luiza Teixeira Brito, Paulo Pereira da Silva Filho, Suzana Valle Lima.

Period Undertaken: June 2005 to April 2006.

## 1. Objectives

- to evaluate the effects of the increase in supply and quality of water made available by cisterns in improving the living conditions of families benefited by the Cisterns Program of the MDS;
- to analyze the compliance of the cisterns with the technical requirements of the program;
- to evaluate the need for repairs to, and maintenance of the cisterns and evaluate the repairs already carried out;
- to determine a scarcity index for potable water for human consumption coming from rural cisterns as a function of the direct benefit of saving time formerly dedicated to obtaining drinking water, especially by women and children.


## 2. Methodology

Research was divided into three components; the first consisted of a technical evaluation of the cisterns, and of the living conditions of the beneficiary families and an analysis of the quality of the water in the cisterns. The second investigated the quality of the water from sources traditionally used by the communities, and the third dealt with the existence of potential sources of pollution of the local water resources.

To evaluate the living conditions of the beneficiary families, a social, economic and environmental profile was delineated in accordance with an evaluation of the edaphic-environmental location of the household, the conditions of the rural establishment, the characteristics of the household members, the way in which multiplepurpose water was managed and the management of the water stored in the rural cistern.

For data gathering a specific questionnaire containing 113 questions was drawn up and validated by pretesting in the field, with the object of evaluating the cistern and the living conditions of the beneficiary family,
taking into account aspects such as household characteristics, characteristics of the residents, income indicators in regard to rural establishment, water use prior to the MDS Cisterns Program, living conditions of the beneficiaries, technical inventory of the cistern (volume of stored water, area of water collection, repairs and maintenance) and a quality analysis of the water stored in the cistern.

In the case of the second and third research components, while component 1 was being carried out with the selected rural communities, the geo-references of the traditional sources of water were registered as well as those of potential sources of community water resource pollution and water samples were collected for laboratory analysis using "Aquapack" kits.

The research methodology used took into account those geo-environmental units that are representative of the Brazilian semi-arid region and was based on the Agro-ecological Zoning of the Brazilian Northeast (digital ZANE). Eleven regions for stratified sampling were defined based on the ZANE and representing various Homogeneous Landscape Units characterizing the differentiated environmental conditions to which the communities benefited by the Cisterns Program are subject to in the Brazilian semi-arid region.

Finally, using data obtained from the three research components, environmental and socioeconomic indexes were developed for the purpose of supplying supporting elements for the monitoring and evaluation of the Cisterns Program. The following indexes were generated by the survey: Water Scarcity Index (IEA), Water Quality Alert Index (IAQ), Microbiological Contamination Risk Index (ICM), Beneficiary Family Socio-economic Situation Index (ICS) and the Environmental Sustainability Index (ISA).

An important research instrument was the digital thematic maps that were produced using geo-processing technology for the purpose of making a spatial evaluation of the cisterns program; verifying the distances traversed by the families when obtaining water from traditional sources; and expressing the environmental and socioeconomic indices in a spatial context.

## The sample

To define the sample a stratified sampling technique associated to results obtained from geo-processing was used so as to encompass the various geo-environmental units of the semi-arid region defined in the Agroecological Zoning of the Brazilian Northeast. As a result, a sample of 4,264 cisterns was obtained, distributed in 11 Geo-environmental Units, 83 municipalities and 83 rural communities in the states of Ceará, Piauí, Rio Grande do Norte, Alagoas, Paraíba, Pernambuco, Sergipe, Bahia, Maranhão, Espírito Santo and Minas Gerais.

During the field work 3,517 (three thousand five hundred and seventeen) Cisterns Program beneficiary families were interviewed in 86 (eighty-six) municipalities in the semi-arid region. In tables 1 and 2 the database used and the samples defined for survey purposes are set out.

Table 1 - Database used to define the sample

| State | Frequency | Percentage (\%) | Accumulated <br> frequency | Accumulated percentage (\%) |
| :---: | :---: | :---: | :---: | :---: |
| AL | 1,172 | 3 | 1,172 | 3 |
| BA | 9,047 | 21 | 10,219 | 24 |
| CE | 6,363 | 15 | 16,582 | 39 |
| ES | 258 | 1 | 16,840 | 40 |
| MA | 434 | 1 | 17,274 | 41 |
| MG | 1,315 | 3 | 18,589 | 44 |
| PB | 7,232 | 17 | 25,821 | 61 |
| PE | 6,542 | 15 | 32,363 | 76 |
| PI | 3,887 | 9 | 36,250 | 85 |
| RN | 5,126 | 12 | 41,376 | 97 |
| SE | 1,130 | 3 | 42,506 | 100 |

[^26]Table 2 - Sample definition

| State | Freq. <br> (cisterns) | Percentage <br> $(\%)$ | Accumulated <br> frequency | Accumulated <br> percentage (\%) | $\mathbf{N}^{0}$. Families <br> (estimate) | $\mathbf{N}^{0}$. Locations <br> (estimate) | $\mathbf{N}^{\circ}$. Families <br> (interviewed) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| AL | 83 | 3 | 83 | 3 | 83 | 3 | 126 |
| BA | 642 | 21 | 725 | 24 | 642 | 21 | 18 |
| CE | 452 | 15 | 1,177 | 39 | 18 | 1 | 23 |
| ES | 18 | 1 | 1,195 | 4 | 18 | 1 | 23 |
| MA | 31 | 1 | 1,226 | 41 | 31 | 1 | 141 |
| MG | 93 | 3 | 1,319 | 44 | 93 | 3 | 227 |
| PB | 513 | 17 | 1,832 | 61 | 513 | 17 | 182 |
| PE | 464 | 15 | 2,296 | 76 | 464 | 15 | 473 |
| PI | 276 | 9 | 2,572 | 85 | 276 | 9 | 438 |
| RN | 364 | 12 | 2,936 | 97 | 364 | 12 | 421 |
| SE | 80 | 3 | 3,016 | 100 | 80 | 3 | 195 |
| Total | 3016 | - | - | - | 3,016 | 100 | 4,264 |

Source: Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental Sustainability Index, FUNDER, Embrapa Semi-arid, 2005.


> INTERVIEW CONTROL

Interviewer's code and name
Total length of interview (not counting time to get to it)
Status of the interview:
$1 \square$ Totally completed
$2 \square$ Partially completed
$3 \square$ Refusal (not held)
$4 \square$ House closed or vacant (not held)
$5 \square$ Person not found (not held)
$6 \square$ Cistern not in use (not held) (specify below)
$7 \square$ Not held for other reasons (specify below)


Is the interviewee in charge of the cistern?
$1 \square$ Yes $\quad 2 \square$ No
NAME OF INTERVIEWEE

Relationship between interviewee and person in charge of cistern
$1 \square$ Wife
Son/stepson mother, father/mother-in-law
$4 \square$ Brother, sister
$5 \square$ Another person
Has there been a change of the person in charge of the cistern
$1 \square$ Yes $\quad 2 \square$ No
New person in charge of the cistern

| NAME | CPF |
| :--- | :--- |

Block A - The dwelling

| 1 Location of the Dwelling | $4 \square$ House in an indigenous village |
| :--- | :--- |
| $1 \square$ Isolated house | $5 \square$ House in a Quilombola community |
| $2 \square$ House in a hamlet | $6 \square$ Other |
| $3 \square \ln$ an agrarian reform settlement |  |



| 3 Main material used for the walls |
| :--- | :--- |
| $1 \square$ Plastered Brickwork |
| $2 \square$ non plastered brickwork |
| $3 \square$ Mud and Lathes |
| $4 \square$ Other |


| 4 Main material used for the roof |
| :--- |
| $1 \square$ Ceramic tiles |
| $2 \square$ Asbestos cement sheets |
| $3 \square$ Other |

5 Number of rooms (living room, bedroom, bathroom, kitchen)


## Questionnaire I

| Part III - Food and Nutrition Security $\mid$ Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental SustainabilBlock B - Characteristics of the household residents |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |
| ALL RESIDENTS |  |  |  |  | 5 YEARS OLD AND OVER |  | 10 YEARS OLD AND OVER |  |  |  |
| $\mathrm{N}^{\circ}$ | Name | Relationship with person in charge | Sex | Age | Attend school | Schooling | Marital status | Usual activity | Position held in activity | Months worked in the last 12 month period |
| 1 |  |  |  | L_\| - - | |  | - - - |  |  |  | \|_|-| |
| 2 |  |  |  | L-\| - - - |  | - - - |  |  |  | - - - |
| 3 |  |  |  | L-\| - - - |  | - - - |  |  |  | - - - \| |
| 4 |  |  |  | L-\| - - - |  | - - - |  |  |  | -_\| |
| 5 |  |  |  | L-\| - - | |  | -_-」 |  |  |  | -_\|_| |
| 6 |  |  |  | L-\| - - | |  | -\|-1 |  |  |  | \| - - | |
| 7 |  |  |  | \|-| - - | |  | - - - |  |  |  | -_-\| |
| 8 |  |  |  | L-\| - - - |  | - - - |  |  |  | - - - |
| 9 |  |  |  | L-\| - - |  | - - - |  |  |  | -_\| |
| 10 |  |  |  | L-\| - - |  | -\|-1 |  |  |  | -_\|-| |
| 11 |  |  |  | L-L- |  | -1-1 |  |  |  | - - - |
| 12 |  |  |  | L-\| - - | |  | ■-1 |  |  |  | \|_| |
| 13 |  |  |  | L-\| - - - |  | - - - |  |  |  | - - - |
| 14 |  |  |  | L-\| - - - |  | - - - |  |  |  | \|_|-| |
| 15 |  |  |  | - - ا - - |  | - - - |  |  |  | \|-| |
|  |  | 1Person <br> responsible for <br> the Cistern <br> 2 Wife <br> 3 Child/ stepchild <br> 4 Father/mother/ <br> F\&M-in-law <br> 5 Grandchild great grandchild 6 Brother/sister <br> 7 Other relation <br> 8 Other person | 1 Male <br> 2 Female |  | $\begin{aligned} & 1 \mathrm{Yes} \\ & 2 \mathrm{No} \end{aligned}$ | 1 Cannot read/ write <br> 2 No schooling but reads and writes <br> 3 Literacy training <br> course for adults <br> 4 Years/Grades $1 / 2$ <br> 5 Years/Grades 3/4 <br> 6 Years/Grades 5/7 <br> 7 Year/Grade 8 <br> 8 Incomplete Senior High school <br> 9 Complete Senior high school <br> 10 Higher education incomplete <br> 11 Higher education complete | 1 Living with a partner 2 Single but once lived with a partner 3 Never lived with a partner | 0 Looks after the house <br> 1 Works regularly <br> 2 Works occasionally <br> 3 Looking for work <br> 4 Has independent <br> income no need to work <br> 5 Retired <br> 6 Handicapped <br> 7 Studying <br> 8 Works and studies <br> 9 Other activity | 1 Non remunerated family worker <br> 2 Private sector employee <br> 3 Public sector employee <br> 4 Contractor <br> 5 Independent <br> Professional <br> 6 Established in business <br> 7 Not established, <br> works autonomously <br> 8 Rural Laborer <br> 9 Proprietor, Squatter, tenant <br> 0 Partner, sharecropper, right-to-use contract |  |


| 17 Crops grown (accept multiple answers) |  |
| :--- | :--- |
| 1 | $\square$ Algarroba (Prosopis juliflora) |
| 2 | $\square$ Cotton |
| 3 | $\square$ Rice |
| 4 | $\square$ Cashew |
| 5 | $\square$ Buffel grass |
| 6 | $\square$ African liver seed grass (Urochloa mosambicensis) |
| 7 | $\square$ Elephant (napier) grass |
| 8 | $\square$ Onion |
| $9 \square$ Lima beans |  |
| $10 \square$ Beans |  |
| $11 \square$ Sesame |  |
| $12 \square$ Guava |  |
| $13 \square$ Sour sop |  |
| $14 \square$ Pigeon peas |  |
| $15 \square$ Pigeon peas (forage variety) |  |
| $16 \square$ Lead tree (Leucaeana leucocephala) |  |
| $17 \square$ Castor bean/ common beans (combined) |  |
| $18 \square$ Castor bean (alone) |  |
| $19 \square$ Sweet cassava |  |
| $20 \square$ Bitter cassava |  |
| $21 \square$ Ceará rubber tree (Manihot glaziovii) |  |
| $22 \square$ Cantaloupe |  |
| $23 \square$ Watermelon |  |
| $24 \square$ Forage melon |  |
| $25 \square$ Maize and beans (combined) |  |
| $26 \square$ Maize (alone) |  |
| $27 \square$ Pearl millet |  |
| $28 \square$ Forage cactus |  |
| $29 \square$ Sisal |  |
| $30 \square$ Sorghum |  |
| $31 \square$ Tomato |  |
| $32 \square$ Umbu |  |
| $33 \square$ Other |  |

Block C - Income indicators

$15 \square$ Other types of assistance (other person pays bills etc.)
Block D - Farm products and livestock production

| 13 Does he/she have a piece of land, property or production unit? |
| :--- |
| $1 \square$ Yes, proprietor, squatter, right-to-use contract |
| $2 \square \mathrm{Yes}$, tenant, partner or sharecropper |
| $3 \square \mathrm{No} \rightarrow$ Go to question 23 |

14 Total area of the property/rented land (in hectares)

| 15 Are agricultural products grown on the property/rented land? |
| :--- |
| $1 \square$ Yes $\quad 2 \square$ No $\rightarrow$ Go to question 19 |


Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental Sustainability Index

## Block E-Cistern: information supplied by the family

| 25 How long have you been using cistern water to drink? (years and months) |
| :--- | :--- | :--- |

26 Did any member of the family receive information on how to use the cistern?
$\mathbf{1} \square$ Yes
$2 \square$ No $\rightarrow$ Go to question 31
$3 \square$ Don't know $\rightarrow$ Go to question 31

| 27 Which people were given this information? |  |
| :--- | :--- |
| $1 \square$ Only the husband and/or wife | $3 \square$ The whole family |
| $2 \square$ All the adults | $4 \square$ The sons and daughters |


| $\mathbf{2 8}$ Who passed on the information? (Answer all the lines) |  |
| :--- | :--- |
| Yes | No |
| $1 \square$ | $2 \square$ Builder |
| $3 \square$ | $4 \square$ NGO |
| $5 \square$ | $6 \square$ Unions |
| $7 \square$ | $8 \square$ Associations |
| $9 \square$ | $0 \square$ Church |
| $1 \square$ | $2 \square$ Others |


| 29 How was the information transmitted? (Answer all the lines) |  |
| :--- | :--- |
| Yes | No |
| $1 \square$ | $2 \square$ Meetings |
| $3 \square$ | $4 \square$ Courses/capacity building |
| $5 \square$ | $6 \square$ Poster |
| $7 \square$ | $8 \square$ Newspaper |
| $9 \square$ | $0 \square$ Brochure |
| $1 \square$ | $2 \square$ Pamphlet |
| $3 \square$ | $4 \square$ Others |


| 18 Destination of products |
| :--- |
| $1 \square$ Own consumption |
| $2 \square$ Sale |
| $3 \square$ Both |




| 22 Destination of animals and their production |
| :--- |
| $1 \square$ Own consumption |
| $2 \square$ Sale |
| $3 \square$ Both |


| 23 Are any of the following extracted or produced? (accept multiple answers) |
| :--- |
| $\mathbf{1} \square$ Firewood |
| $2 \square$ Charcoal |
| $3 \square$ Babaçu |
| $4 \square$ Timber |
| $5 \square$ Others |


| 30 Type of information received (Answer all the lines) |  |
| :--- | :--- |
| Yes | No |
| $1 \square$ | $2 \square$ How to take out water |
| $3 \square$ | $4 \square$ How to build the cistern |
| $5 \square$ | $6 \square$ How to clean the cistern |
| $7 \square$ | $8 \square$ How to store extracted water |


| 31 Use made of cistern water? (Answer all the lines) |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| Always | Sometimes | Not very | Almost |  |
| often | never | Never |  |  |
| $1 \square$ | $2 \square$ | $3 \square$ | $4 \square$ | $5 \square$ For drinking |
| $1 \square$ | $2 \square$ | $3 \square$ | $4 \square$ | $5 \square$ Hygiene |
| $1 \square$ | $2 \square$ | $3 \square$ | $4 \square$ | $5 \square$ For cooking |
| $1 \square$ | $2 \square$ | $3 \square$ | $4 \square$ | $5 \square$ For washing clothes |
| $1 \square$ | $2 \square$ | $3 \square$ | $4 \square$ | $5 \square$ For washing dishes |
| $1 \square$ | $2 \square$ | $3 \square$ | $4 \square$ | $5 \square$ For animals |
| $1 \square$ | $2 \square$ | $3 \square$ | $4 \square$ | $5 \square$ Other |

32 Did household residents work on building cistern?
$1 \square \mathrm{Yes} \quad 2 \square \mathrm{No} \rightarrow$ Go to question 34
33 How many people worked and how many days? ___|__| people |______| days

34 Does the family take part in any rotational mutual support initiative or fund? $1 \square \mathrm{Yes}$

35 Did the family join the fund or initiative voluntarily?
$1 \square$ Yes $2 \square$ No

[^27]| 37 How often is the contribution made? |  |
| :--- | :--- |
| $1 \square$ Weekly | $4 \square$ Half yearly |
| $2 \square$ Monthly | $5 \square$ Annually |
| $3 \square$ Quarterly | $6 \square$ Only once |


39 Does the family know how the money is used?
$1 \square$ Yes
$2 \square$ No
40 How much money do you think the completed cistern cost altogether?

| 41 Was the cistern washed right after it was built? |
| :--- | :--- |
| $1 \square$ Yes $\quad 2 \square$ No $\quad 3 \square$ Don't know |

42 How money days after it was completed did it receive water?

Did
43 Did the cistern pass any period empty after having received water for the 43 Did the cistern pass any period empty after having received water for the
first time? $1 \square$ Yes

$$
2 \square \mathrm{No} \rightarrow \text { Go to question } 45
$$ 44 How money days did it spend without any water in it?

45 Does the cistern only receive rainwater? $1 \square$ Yes $\rightarrow$ Go to question 47

2 No
Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental Sustainability Index

| 51 Was it hard to clean the cistern? |
| :--- |
| $1 \square$ Yes |
| $2 \square$ Quite hard |
| $3 \square$ No |

52 How money times has the cistern been cleaned?

| 53 When it rains, do you eliminate the first waters? |
| :--- |
| $1 \square$ Yes |
| $2 \square$ More or less |
| $3 \square$ No |
| $4 \square$ Don't know |


| 54 Does the water in the cistern receive any kind of treatment? |
| :--- |
| $1 \square$ Yes (chlorine, sodium hypochlorite, bleach) |
| $2 \square$ No |


| 55 How is water taken out of the cistern? |
| :--- |
| $1 \square$ By hand (bucket) |
| $2 \square$ Pump |


| 56 Where is water for drinking stored after it is taken from the cistern? |
| :--- | :--- |
| $\mathbf{1} \square$ Jar /pitcher |
| $2 \square$ Filter Jar with porcelain filter |
| $3 \square$ Filter jar without porcelain filter |
| $4 \square$ Bucket |
| $5 \square$ Other |


| 57 Does the water from the cistern receive any kind of treatment after it leaves |
| :--- | :--- |
| the cistern? |
| $1 \square$ Yes, chlorine $2 \square$ Yes, filtered <br> $3 \square$ Yes, boiled $4 \square$ No |


| 46 How is the cistern filled? (Answer all the lines) |  |
| :--- | :--- |
| Yes | No |
| $1 \square$ | $2 \square$ Brought by a water truck (treated water) |
| $3 \square$ | $4 \square$ Brought by a water truck with (untreated water) |
| $5 \square$ | $6 \square$ Brought by an animal guided by a child |
| $7 \square$ | $8 \square$ Brought by an animal guided by a woman |
| $9 \square$ | $10 \square$ Brought by an animal guided by a man |
| $11 \square$ | $12 \square$ Brought by a person unaided |
| $13 \square$ | $14 \square$ Brought on a cart or an ox cart |
| $15 \square$ | $16 \square$ Brought by a motorized vehicle |
| $17 \square$ | $18 \square$ Brought by bicycle |
| $19 \square$ | $20 \square$ Others |


| 47 What is the estimated volume of the cistern? |
| :--- |
| $1 \square 16$ thousand liters |
| $2 \square$ Less than 16 thousand liters |
| $3 \square$ More than 16 thousand liters |
| $4 \square$ Don't know |


| 48 Do you know how to clean the cistern? <br> $1 \square$ Yes <br> $2 \square$ No <br>  <br> 49 Has the cistern ever been cleaned? <br> $1 \square$ Yes <br> $2 \square$ No $\rightarrow$ Go to question 53 |
| :--- |

50 Who cleaned the cistern?
$1 \square$ Did it alone
$2 \square$ Did it with help from others
$3 \square$ Another person
Block F - For the woman

| 65 With the cistern installed do household residents have water to drink |
| :--- |
| throughout the year? |
| $1 \square$ Yes |
| $2 \square$ More or less |
| $3 \square$ No |
| 66 How many months a year do you have good water available? |
| $\quad ⿴ \quad 冂 \quad$ |


| 67 Do you think your life has changed for the better with the cistern installed? |
| :--- |
| $1 \square$ Yes |
| $2 \square$ More or less |
| $3 \square$ No |


69 Do you think the cistern water meets the domestic needs of your family water for drinking, cooking, brushing teeth etc.)?
$1 \square \mathrm{Yes}$
$2 \square \mathrm{No}$
70 Why not?

60 How money times have these problems occurred?

| 61 Did you inform the team that built the cistern? |
| :--- |
| $1 \square$ Yes $2 \square$ No |



| 63 Are you satisfied with the performance of the cistern? |
| :--- |
| $1 \square$ Yes $2 \square$ No |


Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental Sustainability Index

## Block G - Cistern: Information supplied by the family

## 71 Before the cistern existed where did water for drinking and cooking come from? <br> $1 \square$ Dam, dyke, pond <br> $2 \square$ Water hole, spring <br> $3 \square$ Permanent river <br> $4 \square$ Well <br> $5 \square$ Fountain <br> $6 \square$ Other

73 How long did it take? (in hours and minutes, total round trip)


Block H-Evaluation of living conditions

| 80 In your opinion, your family's total monthly income enables you to get by |
| :--- |
| until the end of the month with ... |
| $1 \square$ Great difficulty |
| $2 \square$ Difficulty |
| $3 \square$ A little difficulty |
| $4 \square$ Some ease |
| $5 \square$ Ease |
| $6 \square$ Great ease |


| 81 How much money do you think that in your present family situation, you |
| :--- |
| would need to get by until the end of the month? |
| 82 In your present family situation, what is the minimum monthly amount you |
| would need to cover the cost of the food your family consumes? |
| $\mathrm{R} \$$ (in Reals and cents) |


| 83 Which of the following statements describes most adequately the amount of |
| :--- |
| food your family consumes? |
| $1 \square$ Normally not enough |
| $2 \square$ Sometimes not enough |
| $3 \square$ Always enough |

84 Which of the following statements describes most adequately the kind of
food your family consumes?
$1 \square$ Always the kind we want
$2 \square$ Not always the kind we want
$3 \square$ Seldom the kind we want

[^28]Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental Sustainability Index
Block I - Measurements made by the interviewer
93 How long is the house? (the side with a gutter)

| 94 How wide is the house? (the side with NO gutter) |
| :--- | :--- |


| 95 How many roof slopes are there? |
| :--- |
| $1 \square$ One roof slope |
| $2 \square$ Two roof slopes | | 96 How many gutters? |
| :--- |
| $1 \square$ One gutter |
| $2 \square$ Two gutters |



| 98 How far is it from the gutters to the cistern? (meters and centimeters) |
| :--- | :--- | :--- |


100 Depth of the cistern? (inside floor to overhead runoff drain)
90 To improve the living conditions of the residents of this household how
necessary do you think the following items would be? (answer all lines) Not
necessary
$4 \square$ Education/schooling $4 \square$ Health/medical care $4 \square$ Housing, sanitation $4 \square$ Public security $4 \square$ Leisure/entertainment $4 \square$ Food

$$
\text { би!̣ı이 } \square \text { t }
$$

| 91 When the household or a member of it is in financial difficulties you (accept |
| :--- |
| multiple answers) |
| $1 \square$ Ask friends or relations for help |
| $2 \square$ Ask your employer for help |
| $3 \square$ Ask the church, an NGO or other organization for help |
| $4 \square$ Try to borrow money |
| $5 \square$ Cut down on spending for food |
| $6 \square$ Cut down other spending |
| $7 \square$ Look for extra work |
| $8 \square$ Open accounts and purchase on credit |
| $9 \square$ Default on payments or delay them |
| $10 \square$ Other measures |
| $11 \square$ Don't do anything |
| $12 \square$ Never happens |

92 How would you assess your household's financial situation as compared to
12 months ago?

[^29]Necessary
not vessary
$3 \square$
$3 \square$
$\square$
$3 \square$
$3 \square$
$3 \square$
$3 \square$
Necessary
$2 \square$
$2 \square$
$2 \square$
$2 \square$
$2 \square$
$2 \square$
$2 \square$ necessary $\qquad$
$1 \square$
$\square$
$1 \square$
$1 \square$
$1 \square$
$$
4 \square \text { Employment/work }
$$
$$
4 \square \text { Transport }
$$

\begin{tabular}{|c|}
\hline 110 Sampling method \\
\hline \(1 \square\) Manual (bucket) \(2 \square\) Pumped \\
\hline 111 Appearance of the water \\
\hline \begin{tabular}{l}
\(1 \square\) Clear \\
\(2 \square\) Transparent \\
\(3 \square\) Turbid \\
4
\\
5
Dark Presence of algae
\end{tabular} \\
\hline 112 Smell of the water \\
\hline \begin{tabular}{l}
1 \\
2 \\
3 \\
4

No smell Chlorine Rotten eggs Chemicals
\end{tabular} <br>

\hline 113 Parameters to be analyzed using the ECOKIT: <br>
\hline  <br>
\hline Notes: <br>
\hline Signature of interviewee: <br>
\hline Signature of interviewer: <br>
\hline
\end{tabular}

Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental Sustainability Index
Brazilian Agricultural Research Corporation
EMBRAPA SEMI-ARID
Ministry of Agriculture, Livestock and
Survey 2. Evaluation of water and supply source quality, Ex-Antes and Ex-Post , of the Cisterns of the MDS/P1MC-ASA
FAGRO/EMBRAPA-MDS/SAGI/FAO (UTF/BRA/O64/BRA)


| Estimated volume of cistern |  |  |  |  |
| ---: | :--- | :--- | :--- | :--- |
| 1 | $16 \mathrm{~m}^{3}$ | 2 | Less than $16 \mathrm{~m}^{3}$ |  |
| 3 |  | From $17 \mathrm{~m}^{3}$ to $30 \mathrm{~m}^{3}$ | 4 | $\square$ |
| 5 | Over $50 \mathrm{~m}^{3}$ |  |  |  |
|  | From $31 \mathrm{~m}^{3}$ to $50 \mathrm{~m}^{3}$ |  |  |  |



[^30]
Identification of the source of water


| 2 | Less than $16 \mathrm{~m}^{3}$ |
| :--- | :--- |
| $4 \square$ | Over $50 \mathrm{~m}^{3}$ |

Estimated volume of cistern 5 From $31 \mathrm{~m}^{3}$ to $50 \mathrm{~m}^{3}$

| State | MUNICIPALITY |
| :--- | :--- |

Environmental Assessment of the Performance of the Cisterns Program of the MDS in Partnership with the ASA: Environmental Sustainability Index

> Brazilian Livestock and Agricultural Research Company
Ministry of Agriculture, Livestock and Supply
Survey to Evaluate Rural Cisterns
Survey 3. Geo-referencing water supply points and sources of pollution in rural communities in the sampling region
FAGRO/EMBRAPA-MDS/SAGI/FAO (UTF/BRA/064/BRA)


| COLLECTING POINT: |
| :--- |
| LATITUDE |
| NB (Local name for the spot if there is one): COLLECTING POINT = COMMUNITY CODE (000) + CÓDE FOR TECHNICAL OBJECT) |

N.B.: COLLECTING POINT = COMMUNITY CODE (000) + CÓDE FOR TECHNICAL OBJECT) Codes for respective technical objects:
01 Dam/lake
01 Dam/lake
$\begin{array}{llll}02 & \text { Water hole/spring } & 13 & \text { Church } \\ 03 & \text { Permanent river } & 14 & \text { Association buildings } \\ 04 & \text { Tubular well } & 15 & \text { Lime pit } \\ 05 & \text { Fountain } & 16 & \text { Abattoir }\end{array}$
$\begin{array}{llll}02 & \text { Water hole/spring } & 13 & \text { Church } \\ 03 & \text { Permanent river } & 14 & \text { Association buildings } \\ 04 & \text { Tubular well } & 15 & \text { Lime pit } \\ 05 & \text { Fountain } & 16 & \text { Abattoir }\end{array}$
$\begin{array}{llll}05 & \text { Fountain } & 16 & \text { Abattoir } \\ 06 & \text { Cistern } & 17 & \text { Scrap iro }\end{array}$
$\begin{array}{llll}06 & \text { Cistern } & 17 & \text { Scrap iron deposit } \\ 07 & \text { Amazonas -type well/cacimbão } & 18 & \text { Waste dump }\end{array}$
$\begin{array}{llll}07 & \text { Amazonas -type well/cacimbão } & 18 & \text { Waste dump } \\ 08 & \text { Underground dam } & 19 & \text { Piggery }\end{array}$
09 Irrigation canal 20 Sports court/ Football ground
$\begin{array}{llc}10 & \text { School } & 21 \text { Others (Register): } \\ 11 & \text { Cemetery } & \text { Name: } \\ 22 & \text { Filter, bucket, jar, ewer (In this case geo-reference the dwelling). }\end{array}$
Signature of Consultant-Inspector:

(NB: A single location or rural community may have
several forms filled out. However a single form may not
COLLECTING POINT:
COLLECTING POINT:



Executive Institution: Brazilian Agricultural Research Corporation (Embrapa) and Embrapa Semi-arid through Regional Development Foundation - FUNDER.

Team Members: Suzana Maria Valle Lima (coordinator), Aderaldo de Souza Silva, Luiza Teixeira de Lima Brito, Maria Paula Camargo de Freitas, Cláudia de Castro Anzolini.

Period Undertaken: August 2005 to October 2006.

## 1. Objectives

- to evaluate, using equity as the criterion, the way in which the beneficiary families were selected;
- to evaluate the capacity building processes administered by ASA for program beneficiaries as well as the results of courses in regard to the use made of water and the maintenance of the cisterns;
- to evaluate the social impact of the MDS/P1MC-ASA in the eyes of the beneficiaries in regard to the principles and objectives of the program.


## 2. Methodology

The survey evaluated three components of the MDS's Cisterns Program: the selection process, results of the capacity building done with beneficiaries and the social impact.

To evaluate the selection process an attempt was made to verify whether the selection criteria established by the program had been respected and whether they did indeed guarantee equity. To that end, the methodology adopted was to make a comparison between the socio-economic characteristics of a sample of families benefited by the cisterns program and a sample of rural families in the semi-arid region that had not been benefited by the program.

The purpose of evaluating the results of capacity building was to check whether the courses, training and guidance offered had been effective in the sense of ensuring good management and maintenance of the cisterns on the part of the beneficiary families. The methodology adopted was to verify the quality of cistern management done by the beneficiary families in comparison with that of families with cisterns built by other programs, and in addition, to obtain a self-appraisal made by the beneficiaries themselves in regard to the capacity building activities they participated in and of their actual competence for managing the water and the cistern that had been the object of such training.

Finally the evaluation of social impact of the Cisterns Program compared the perceptions of P1MC beneficiaries with those of families with cisterns built by other programs taking into account: quality of life; time available for leisure, domestic activities, education and work; family income; quality of water for human consumption; family health; spending on health; migration and gender relations.

The data gathering was done using three questionnaires (one for each sample) with closed questions. The work was done by a group of 40 health agents specially trained for the purpose. Before being applied, the 30 questionnaires were validated and adjusted by means of tests carried out with groups of households and the health agents themselves.

The material used for data analysis was basically measurements of averages and standard deviations, frequencies and percentages of households or of answers. That is to say, a descriptive analysis of the data. This analysis mainly made use of Statistical Analysis System (SAS) software.

The entire analysis involved comparison of samples. The research presents descriptions of variables, comparing the three samples in the case of issues concerning the household characteristics and those of the people responsible for them; work and income; and alternatives for obtaining water. The management of cistern water and the changes induced by the cistern were also analyzed by making comparisons between the two samples of cistern owners.

## 3. The sample

The procedure used was a stratified sample of households with samples being taken from eleven regions with differing geo-environmental characteristics based on the Agro-ecological Zoning of the Northeast's semiarid region.

The sampling universe represented by households located in the same areas as those encompassed by the program MDS/P1MC-ASA in the Brazilian semi-arid region was stratified into eleven strata each one represented by a Landscape Unit. Each Landscape Unit contains several Geo-environmental Units ${ }^{1}$. The Brazilian semi-arid region contains 110 Geo-environmental Units. For each stratum the size of each one of the three samples per Geoenvironmental Unit was calculated. The sample covered over $80 \%$ of the existing Units. Thus the sampling procedure used was identical to that used for the environmental evaluation of the P1MC Program (Silva et al., 2006).

Based on this procedure three samples were taken:
Sample 1: made up of 1,923 households with cisterns built by the P1MC; this sample is a sub-sample of the sample used to evaluate the quality of water in the cisterns (Silva et al., 2006);

Sample 2: sub-group of 1,601 households taken in a similar way in the same Geo-environmental Units but not owning cisterns;

Sample 3: sub-group of 665 households taken in the same Geo-environmental Units but owning cisterns not built by the P1MC.

In determining samples 2 and 3 there were no previous surveys or registers that would have allowed for the prior identification of households to be selected. Thus the selection was made in the field by direct consultation with the residents in households of the region embraced by the project.

[^31]Brazilian Agricultural Research Corporation EMBRAPA SEMI-ARID
Ministry of Agriculture, Livestock and Supply Partnership with ASA: FUNDER/EMBRAPA-MDS/SAGI/FAO (UTF/BRA/064/BRA)
Identification of the cistern

Person in charge of the cistern

| Person in charge of the cistern |
| :--- |
| NAME |

is the interviewee in charge of the cistern?
NAME OF INTERVIEWEE $\quad 2 \square$ No
Has there been any alteration in the attribution of responsibility for the cistern?
$1 \square$ Yes $2 \square$ No
Name of new person in charge of the cistern
Person in charge of the household
Is the interviewee in charge of the household?
Suggestion for the interviewer's approach: With this questionnaire I would like
to get to know your living conditions and any changes that have come about
through the building of the cistern. The questions will be about the household, the work of the residents and what has changed in their lives since the cistern was built.
115. What monthly bills do household residents have to pay? (read out all the options - accept multiple answers) $1 \square$ Electricity
$2 \square$ Water (piped)
$3 \square$ Telephone
$4 \square$ Credit finance/ installments on household appliances (TV, telephone, parabolic antenna, fridge, stove)
$5 \square$ Gas
$6 \square$ Other
$7 \square$ No bill
116. Does he/she have a piece of land, property or production unit? (read out all the options)
$1 \square$ Yes, proprietor, squatter, right-to-use contract
$2 \square$ Yes, tenant, partner or sharecropper
$3 \square$ Yes, freely loaned
$4 \square$ No $\rightarrow$ GO TO QUESTION 121
117. Total area of the property/rented land (in hectares, acres or square meters - choose one option only) (if unknown register 999.9)

## 

118. Are agricultural products grown on the property/ rented land?
$1 \square$ Yes
$2 \square$ No
119. Total area cultivated (in hectares, acres or square meters - choose one option only ) (if unknown register 999.9)

## 



Block 2 - Work and income indicators
Suggestion for the interviewer's approach: "In this block I would like to know
how the household residents make a living and what bills they have to pay"
114. The household residents have income from: (read out all the options -
114. The household residents have income from: (read out all the options -
accept multiple answers)
$1 \square$ Working (salary, occasional jobs, own business)
$1 \square$ Working (salary, occasional jobs, own business)
$2 \square$ Bolsa Familia Program (Family Grant Program)
$3 \square$ Support for the elderly
Is it in the form of Continuous Cash Benefit - BPC?
$1 \square$ Yes
$4 \square$ Support for the handicapped
Is it in the form of Continuous Cash Benefit - BPC?
$1 \square$ Yes
$5 \square$ Gas voucher
$6 \square$ Bolsa Escola Program (School Grant Program)
$7 \square$ Rural old age retirement pension
$9 \square$ Pension, retirement pay
$10 \square$ Other earnings (savings account, rents, renting out land)
$11 \square$ Payment received in kind (goods or services)
$12 \square$ Money from churches and non governmental organizations
$13 \square$ Money from people living outside the household
$14 \square$ Food basket goods received regularly
$15 \square$ Child Labor Eradication Program - PETI
$16 \square$ Other types of assistance (other person pays bills, etc.)

| Block 3- Alternative ways of obtaining water |
| :--- |
| $\begin{array}{l}\text { Suggestion for the interviewer's approach: "I would like to know about other } \\ \text { ways of acquiring water used by the residents in addition to the water from the } \\ \text { cistern " }\end{array}$ |

125. Apart from the cistern what is the main form of water supply used by your household? (accept one answer only) $1 \square$ Only cistern used $\rightarrow$ GO TO BLOCK 4 $2 \square$ Water hole/ spring
$3 \square$ Permanent river
$4 \square$ Seasonal river
$5 \square$ Piped water (mains) $\rightarrow$ GO TO QUESTION 135
$6 \square$ Dam/ pond/ lake
$7 \square$ Tubular well
$8 \square$ Amazonas-type well / large shallow well
9 Fountain
$11 \square$ Underground dam
$12 \square$ Irrigation canal
$13 \square$ Other
126. How is that water transported? (accept multiple answers)
$1 \square$ Brought by a water truck (treated water)
$2 \square$ Brought by a water truck (untreated water)
$3 \square$ Brought by an animal guided by a child
$4 \square$ Brought by an animal guided by a woman
$5 \square$ Brought by an animal guided by a man
$6 \square$ Brought by a person unaided
$7 \square$ Brought on a cart or an ox cart
$8 \square$ Brought by a motorized vehicle (not water truck)
$9 \square$ Brought by bicycle
$10 \square$ Others
127. How much water do you bring each time? (if unknown register 99) |_____|.|___| liters
128. What is the main way of storing that water? (accept one answer only)
$1 \quad \square$ Vat/drum/barrel
$2 \square$ Demijohn
$3 \square$ Concrete tank
$4 \square$ Jar or ewer
$5 \square$ Ceramic water
$6 \square$ Cistern
$7 \square$ Other
$8 \square$ Not applicable
 transport)?
$1 \square$ Yes $\quad 2 \square$ No $\rightarrow$ GO TO QUESTION 137
129. How much do they pay for the water? (if unknown register 99)
130. Household residents use this water for: (read out all the options accept multiple answers)
$1 \square$ Drinking
$2 \square$ Cooking
$3 \square$ Brushing their teeth
$4 \square$ Bathing
$5 \square$ Washing
$5 \square$ Washing clothes
$7 \square$ Animals to drink
$8 \square$ Agriculture
131. Which household member is responsible for transporting the water? (accept one answer only)
NB: ANSWER CONSIDERING RELASHIONSHIP TO THE PERSON IN CHARGE OF
THE HOUSEHOLD
$1 \square$ The same one responsible for the household
$2 \square$ Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter (under 18)
$5 \square$ Daughter/stepdaughter (over 18)
$6 \square$ Son/stepson (under 18)
$7 \square$ Son/stepson (over 18)
$8 \square$ Other woman
$9 \square$ Other man
132. Do the household residents have to pay for such transport? $1 \square$ Yes $\quad 2 \square$ No $\rightarrow$ GO TO QUESTION 131

## 130. How much do they pay for transport? (if unknown register 99)

## Reals $\$ \mid$

131. How long does it take to bring in water from that source?
(if unknown register 99)
|_____| hours |_____| minutes
132. Approximately how often do you bring in water from that source? (accept one answer only)
$1 \square$ Every day
$2 \square$ Three times
$2 \square$ Three times a week
$3 \square$ Once a week
$4 \square$ Once a fortnigh
$4 \square$ Once a fortnight
$5 \square$ Once a month
$\square$ Once every two months
$7 \square$ Once every six months
$8 \square$ Once a year
133. What is the quality of water used for drinking?
$4 \square$ Very bad
134. Do the household members share that water with other families? $1 \square$ Yes $\quad 2 \square$ No $\rightarrow$ GO TO BLOCK 4
135. With how many families? (if unknown register 99) |___|__|

[^32]152. The first water put into the cistern came from: (accept one answer)
$1 \quad \square$ Water tanker truck with treated water (paid for by you)
$2 \square$ Water tanker truck with untreated water (paid for by you)
$3 \square$ Water tanker truck with treated water (donated)
$4 \square$ Water tanker truck with untreated water (donated)
$5 \square$ Rainwater
$6 \square$ Other
$7 \square$ Don't know
153. Was that first water used for drinking?
$1 \square$ Yes $\quad 2 \square$ No
$3 \square$ Can't say
154. How many months a year do the people in your household have the
benefit of cistern water? (if unknown register 99 )
|_____| months
145. How was that orientation given about the correct use of the cistern and the water? (read out all the options - accept multiple answers) $1 \square$ At community meetings
3 In courses 4 There was no orientation $5 \square$ Can't remember
146. What kind of printed material was distributed about the cistern that was built where you live? (read out all the options - accept multiple
answers)

- Poster
$\square$ Newspaper
$4 \square$ Booklet or cordel-type booklet $\qquad$ 147. Does any household member who was trained know how to take

147. Does any househol
water from the cistern?

148. Does any household member who was trained know how to clean the cisterns?
149. Does any household member who was trained know how to store
water taken from the cistern?
$\begin{aligned} & 1 \square \text { Yes } 2 \square \text { No }\end{aligned}$
150. Does any household member who was trained know how to treat the cistern water?
$2 \square$ No $3 \square$ Can't say
151. Nowadays, how is the cistern in your home filled up? (accept multiple answers
(accept multiple answers)
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF
THE HOUSEHOLD
$1 \square$ The same person responsible for the household
$2 \square$ Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter
$5 \square$ Son/stepson
$6 \square$ Several family members
$7 \square$ Other person (non family)

[^33]161. If your community holds meetings to decide on water use, how often does it do so?
$1 \square$ No meetings are held
$3 \square$ Every six months
$4 \square$ Every two months
$5 \square$ Once a month
$6 \square$ Other:
162. Do community members participate in meetings concerning the cistern?
$1 \square$ No participation $\rightarrow$ GO TO QUESTION 71
$2 \square$ Participate sometimes
$4 \square$ Don't know $\rightarrow$ GO TO QUESTION 71
163. Does your household abide by decisions made at the community meetings?
$1 \square$ Yes, always
2 Sometimes yes, sometimes no
$3 \square$ Does not abide by them
$4 \square$ Don't know 4 Don't know
$1 \square$ Rainwater
$2 \square$ Brought by a water truck (treated water)
$3 \square$ Brought by a water truck (untreated water)
$4 \square$ Brought by an animal guided by a child
$5 \square$ Brought by an animal guided by a woman
$6 \square$ Brought by an animal guided by a man
$7 \square$ Brought by a person unaided
$8 \square$ Brought on a cart or an ox cart
$9 \square$ Brought by a motorized vehicle (not tanker)
$10 \square$ Brought by bicycle
$11 \square$ Others
156. Is your cistern water used by neighbors? $1 \square$ No $\rightarrow$ GO TO QUESTION 158
$2 \square$ Yes but seldom
$3 \square$ Yes, quite often
157. How many neighbors share the cistern with your household?
158. Who does the greatest share of cistern maintenance work? (accept one answer only)
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF
THE HOUSEHOLD
$1 \square$ The same person responsible for the household
$2 \square$ Wife/partner
$\square$ Husband/partner
$4 \square$ Daughter/stepdaughter
$\square$ Son/stepson
6 Several family members
Block 5 －Changes induced by the cistern

| Suggestion for the interviewer＇s approach：＂This is the last block of questions． 1 |
| :--- |
| am going to ask you about the lives of the household residents before and after |
| the cistern was built．The questions are about their health，expenditure on |
| water and the time devoted to other activities before and after the cistern was |
| built．＂ |

165．Do you feel that the cistern meets the needs（water to drink，for cooking，brushing teeth etc．）of the household residents？ $1 \square$ The water does not meet any one of those needs
$3 \square$ No the water is only enough for drinking and cooking
$4 \square$ Yes it totally meets all those needs
166．Has the building of the cistern worsened or improved the live of the residents in this household？
$\square$ Improved it a lot
167．How important do you think the cistern is？
$\square$ Not important $\quad \square$ Quite important $\quad$ Very important
168．The time spent in hours per day，going for water（to drink）was and is：（if unknown register 99）

169．The money spent on purchasing water（in Reals per week）was and

AFTER THE CISTERN
164．Household residents receive visits from some of the following entities，to talk about water：（mark one option for each entity）

## CITY HALL $\quad 1 \square$ Never


$\square$ Never

，
Never
Twice

Never

Twice a year
Every three months
$\begin{array}{ll}4 \\ 5 & \text { Once a month } \\ 5 & \text { Only once ever }\end{array}$
Never
rNのナー
ーN
COMMUNITY
ASSOCIATION
170. How many adults attend/attended school: (if none register 0) BEFORE THE CISTERN |_____|

## AFTER THE CISTERN

171. How many children attend/attended school: (if none register 0) BEFORE THE CISTERN |_____ Children from 0 to 14 years old AFTER THE CISTERN |______| Children from 0 to 14 years old

BEFORE THE CISTERN |_____| Adolescents from 14 to 18 years old AFTER THE CISTERN |____| Adolescents from 14 to 18 years old
172. BEFORE THE CISTERN, were there individuals in this household aged 14 to 18, helping the family? (read out all the options) $1 \square$ Yes, helping in the household chores
$2 \square$ Yes, helping to fetch water
$3 \square$ Yes, helping with other tasks outside the house
$4 \square$ No
173. AFTER THE CISTERN, are there individuals in this household aged 14 to 18, helping the family? (read out all the options) $1 \square$ Yes, helping in the household chores
$2 \square$ Yes, helping to fetch water
$4 \square$ No
174. Did/do the children have any time to play?

[^34]184. In your opinion what is the quality of the water used by household residents to drink and cook?
$\frac{\text { BEFORE THE CISTERN }}{\text { Terrible }}$
Good
Good
.
$3 \square$
185. In your opinion AFTER the cistern preparing food became: Hard work The same Better Not applicable
186. In your opinion AFTER the cistern the quality of your food became: Not applicable
187. Still on the subject of household resident's food, how many a meals a day are generally taken on weekdays?
$2 \square$ One meal a day
3 Two meals a day
4 Three meals a day
188. Has there been any improvement in the health of the household members SINCE the cistern was built?
$1 \square$ No improvement
$2 \square$ A little improvement
$3 \square$ Yes, it has improved a lot
180. Has any household resident formerly responsible for fetching water begun to work with other activities since the cistern was built? (accept multiple answers)
181. Is there anybody in the household that has learned to build cisterns and do they occasionally work at tasks related to building cisterns? $1 \square$ Yes, in the building of other cisterns
$2 \square$ Yes, in other building activities
$3 \square$ No one does that kind of work
$4 \square$ Not applicable
182. Has any household member become a community leader after

[^35]NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF
THE HOUSEHOLD
$$
1 \square \text { Some days there is nothing }
$$ $5 \square$ More than thre
$6 \square$ Not applicable
183. Has any household member become a health agent as a result of
what was learned about using the cistern?
$1 \square$ Yes, the very person responsible for the household
$2 \square$ Yes, his wife/partner
$3 \square$ Yes, her husband/partner
$4 \square$ Another member of the family
189. How often are/were the household adults sick? BEFORE THE CISTERN

Never $\quad$ Sometimes Always


Never Sometimes Always
Never
1
$\square$
190. How often are/were the household children sick? BEFORE THE CISTERN
Sometimes Always Don't know
Never
$1 \square$ AFTER THE CISTERN

## Never Sometimes Always <br> Never Sometimes Always Don't know <br> $1 \square$

191. How often did/do household members get diarrhea?

BEFORE THE CISTERN
$\begin{array}{ccc}\text { Sometimes } & \text { Always } & \text { Don't know } \\ 2 \square & 3 \square & 4\end{array}$
4
Don't know
$4 \square$
AFTER THE CISTERN
Sometimes Always

Never
$1 \square$
Never
192. How often did/do household members suffer from dehydration? BEFORE THE CISTERN
$\begin{array}{cccc}\text { Never } & \text { Sometimes } & \text { Always } & \text { Don't know } \\ 1 \square & 2 \square & 3 \square & 4 \square \\ & \text { AFTER THE CISTERN } & \\ \text { Never } & \text { Sometimes } & \text { Always } & \text { Don't know } \\ 1 \square & 2 \square & 3 \square & 4 \square\end{array}$

| 200. Who is/was responsible for cooking, household chores and washing and ironing clothes? (accept multiple answers for each period) |  |
| :---: | :---: |
| NB: ANSWER CONSIDERING RELA THE HOUSEHOLD | NSSII TO THE PERSON IN CHARGE OF |
| BEFORE CISTERN | AFTER CISTERN |
| $1 \square$ The same person responsible for the household | $1 \square$ The same person responsible for the household |
| $2 \square$ Wife/partner | $2 \square$ Wife/partner |
| $3 \square$ Husband/partner | $3 \square$ Husband/partner |
| $4 \square$ Daughter/stepdaughter (age 5 to 10) | $4 \square$ Daughter/stepdaughter (age 5 to 10) |
| $5 \square$ Daughter/stepdaughter (age 10 to 18) | $5 \square$ Daughter/stepdaughter (age 10 to 18) |
| $6 \square$ Daughter/stepdaughter (over 18) | $6 \square$ Daughter/stepdaughter (over 18) |
| $7 \square$ Son/stepson (age 5 to 10) | $7 \square$ Son/stepson (age 5 to 10) |
| $8 \square$ Son/stepson (age 10 to 18) | $8 \square$ Son/stepson (age 10 to 18) |
| $9 \square$ Son/stepson (age over 18) | $9 \square$ Son/stepson (age over 18) |
| $10 \square$ Other woman | $10 \square$ Other woman |
| $11 \square$ Other man | $11 \square$ Other man |
| $12 \square$ Not applicable | $12 \square$ Not applicable |
| 201. Who helps/helped with the cooking, household chores and washing and ironing clothes? (accept multiple answers for each period) |  |
| NB: ANSWER CONSIDERING RELATI THE HOUSEHOLD | NSSIP TO THE PERSON IN CHARGE OF |
| BEFORE CISTERN | AFTER CISTERN |
| $1 \square$ The same person responsible for the household | $1 \square$ The same person responsible for the household |
| $2 \square$ Wife/partner | $2 \square$ Wife/partner |
| $3 \square$ Husband/partner | $3 \square$ Husband/partner |
| $4 \square$ Daughter/stepdaughter (age 5 to 10) | $4 \square$ Daughter/stepdaughter (age 5 to 10) |
| $5 \square$ Daughter/stepdaughter (age 10 to 18) | $5 \square$ Daughter/stepdaughter (age 10 to 18) |
| $6 \square$ Daughter/stepdaughter ( over 18 ) | $6 \square$ Daughter/stepdaughter ( over 18 ) |
| $7 \square$ Son/stepson(age 5 to 10) | $7 \square$ Son/stepson(age 5 to 10) |
| $8 \square$ Son/stepson (age 10 to 18) | $8 \square$ Son/stepson (age 10 to 18) |
| $9 \square$ Son/stepson (age over 18) | $9 \square$ Son/stepson (age over 18) |
| $10 \square$ Other woman | 10 Other woman |
| 11 Other man | 11 Other man |
| $12 \square$ Not applicable | $12 \square$ Not applicable |

[^36]202. Who is/was responsible for guaranteeing the household's

| E HOUSEHOLD |  |
| :---: | :---: |
| BEFORE CISTERN | AFTER CISTERN |
| $1 \square$ The same person responsible for the household | $1 \square$ The same person responsible for the household |
| $\square$ Wife/partner | $2 \square$ Wife/partner |
| $\square$ Husband/partner | $3 \square$ Husband/partner |
| $\square$ Daughter/stepdaughter (age 5 to 10) | $4 \square$ Daughter/stepdaughter (age 5 to 10) |
| $\square$ Daughter/stepdaughter (age 10 to 18) | $5 \square$ Daughter/stepdaughter (age 10 to 18) |
| $\square$ Daughter/stepdaughter ( over 18 ) | $6 \square$ Daughter/stepdaughter ( over 18 ) |
| $\square$ Son/stepson(age 5 to 10) | $7 \square$ Son/stepson(age 5 to 10) |
| $8 \square$ Son/stepson (age 10 to 18) | $8 \square$ Son/stepson (age 10 to 18) |
| $9 \square$ Son/stepson (age over 18) | $9 \square$ Son/stepson (age over 18) |
| $10 \square$ Other woman | $10 \square$ Other woman |
| $11 \square$ Other man | $11 \square$ Other man |
| $12 \square$ Not applicable | $12 \square$ Not applicable |

203. Who helps/helped with the family income (to earn a little more)?
(accept multiple answers for each period)
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF
THE HOUSEHOLD

[^37]Evaluation of the Social Impact of the Selecting and Capacity Building Processes of the Cisterns Program - MDS/P1MC-ASA

[^38]| Identification |
| :--- |
| STATE MUNICIPALITY |
| LOCATION/COMMUNITY |
| CISTERN NUMBER |
| LATITUDE |
| Interviewee <br> NAME |

Is the interviewee responsible for obtaining water?
$1 \square$ Yes $2 \square$ No
Is the interviewee in charge of the household?
$1 \square$ Yes $2 \square$ No (register name of person
Person in charge of the household
NAME
Block 1 - Characteristics of the household residents
Suggestion for the interviewer's approach: "Let's begin by making a list of the names, age, schooling, marital status and occupations of the members of the family living here."
FOR ALL RESIDENTS

| $\mathbf{N}^{\circ}$ | Name |
| :--- | :--- |
| 1 |  |
| 2 |  |
| 3 |  |
| 4 |  |
| 5 |  |
| 6 |  |
| 7 |  |
| 8 |  |
| 9 |  |
| 10 |  |
| 11 |  |
| 12 |  |
| 13 |  |
| 14 |  |
| 15 |  |
|  |  |
|  |  |

7. Drainage from bathroom/toilet 1 Septic tank
$2 \square$ Simple p
$3 \square$ Other
8. Durable goods in the dwelling (read out all the options - answer all the lines) Telephone
Gas stove
Wood burn
Television
Wood burning stove
Wolevision
Radio or radio/tape/CD player
Refrigerator
Sewing machine
Bicycle
Motorcycle
Car
Mobile phone
Parabolic antenna
9. Is electricity installed?
1 $\square$ Yes
$2 \square$ No $\rightarrow$ GO TO QUESTION 12
10. Type of electricity supply General grid Solar panel $\square$ Generato
11. How would you evaluate your loving conditions in regard to: (read out all the options - answer all the lines)

Good Bad Non existent Piped collection

> Waste collection Street lighting Drainage/rainwater runoff Drainage/rainwate
Electricity supply
13. Do you have any of the following problems in your home? (read out all the options - answer all the lines)

Yes No aks in the roof
$3 \square \quad 4 \square$ Damp foundations, floor, walls, noisy street or neighbors
$5 \square 6 \square$ Deteriorated woodwork in doors and windows
14. Do you have any of the following problems in your neighborhood? (read out all the options - answer all the lines)

Theft, violence or vandalism in area surrounding residence Lack of a school

Lack of health center/medical care Lack of leisure options

Lack of transport
$11 \square 12 \square$ Lack of employment opportunities
17. Do you have a piece of land, property or production unit? (read out all the options)
$1 \square$ Yes, proprietor, squatter, right-to-use contract $2 \square$ Yes, tenant, partner or sharecropper
$3 \square$ Yes, freely loaned
$4 \square$ No $\rightarrow$ GO TO QUES
18. Total area of the property/rented land (in hectares, acres, square meters -

## choose one option only) (if unknown register 999.9)

1 l ha or

19. Are agricultural products grown on the property/ rented land?
$1 \square \mathrm{Yes}$
20. Total area cultivated (in hectares, acres, square meters - choose one option only) (if unknown register 999.9)

21. What crops are grown? (accept multiple answers) $1 \square$ Algarroba (Prosopis juliflora)
$3 \square$ Rice
$4 \square$ Cashew
$6 \square$ African liver seed grass (Urochloa mosambicensis)
$7 \square$ Elephant (napier) grass
$8 \square$ Onion
$9 \square$ Lima beans
$10 \square$ Beans
25. Area dedicated to raising livestock (in hectares, acres, square meters choose one option only) (if unknown register 999.9)


26. Animals raised (accept multiple answers)

$1 \square$ Cattle

$3 \square$ Pigs

$5 \square$ Chickens

$6 \square$ Others
27. Destination of animals and its production (accept multiple answers) $1 \square$ Own consumption
$2 \square$ Sale to Food Acquisition Program - PAA
$3 \square$ Sale (to market, neighbors, intermediaries, community etc.)
28. Are any of the following extracted or produced?

Babaçu $\stackrel{\boxed{0}}{\underline{\circ}}$
눈
Yes

[^39]32. Which household member is responsible for transporting the water?
NB: ANSWER CONSIDERING RELASHIONSHIP TO THE PERSON IN CHARGE OF THE
HOUSEHOLD
$1 \square$ The same person responsible for the household
$2 \square$ Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter (under 18)
$5 \square$ Daughter/stepdaughter (over 18)
Son/stepson (under eighteen)
$7 \square$ Son/stepson (over 18)
$8 \square$ Other woman
$9 \square$ Other man
33. Do the household residents have to pay for such transport?
34. How much do they pay for transport? (if unknown register 99.99)

35. How long does it take to fetch water from that source?
(if unknown register 99 99)
|_____| hours |_____| minutes
36. Approximately how often do you bring in water from that source? $1 \square$ Every day
$2 \square$ Three times a week
$3 \square$ Once a week
$\square$ Once a fortnight
two months
$7 \square$ Once every six months
$8 \square$ Once a year
37. How much water do you bring each time? (if unknown register 99999)
44. With how many families? ([f unknown register 99) ___||
45. Does the family take part in any rotational mutual support initiative or fund?
$1 \square \mathrm{Yes}$
$2 \square \mathrm{No} \rightarrow$ GO TO QUESTION 51
$3 \square$ Don't know $\rightarrow$ GO TO QUESTION 51
46. Did the family join the fund or initiative voluntarily? $1 \square$ Yes $\quad 2 \square$ No $\quad 3 \square$ Don't know
47. What is the amount of the contribution required? (if unknown register 999.99)

## 

48. How often is the contribution made?
$1 \square$ Weekly $\quad 4 \square$ Half yearly
$\begin{array}{ll}2 \square \text { Monthly } & 5 \square \text { Annually } \\ & 6 \square \text { Only once }\end{array}$

| 49. How many contributions have been made? |
| :--- |
| Ongoing |

L__ |__ | $\quad$ Ongoing
50. Does the family know how the money is used?
$1 \square$ Yes $\quad 2 \square$ No
Block 5 - Quality of life
Suggestion for the interviewer's approach: "This is the last block of
questions. I am going to ask about the health of those living in your
household and how much time they spend getting water and working in
activities that require water."
52. How many adults are attending school? (if none register 0) (if unknown


## ___|_1

53. How many children are attending school? (if none register 0) (if unknown register 99)
____ children from 0 to 14 years old
54. Is there anyone in this home from 14 to 18 years old, helping the family? $1 \square$ Yes, helping with domestic chores
$\square$ Yes, helping to fetch water
$\quad \square$ Yes, helping in tasks outside the home
$4 \square$ No
55. Do the children have any time to play?
Never Sometimes Always Not applicable
56. Do the adults have time to look after the childreable
$\begin{array}{ccc}\text { Sometimes } & \text { Always } & \text { Not app } \\ 2 \square & 3 \square & 4\end{array}$
57. Do the household residents treat the water?
58. How is the water treated? (accept multiple answers)
Filtered
$\square$ Chlorinated
$3 \square$ Boiled
$\square$ No treatment
$6 \square$ Don't know
59. Who is responsible for guaranteeing the household's sustenance? (accept
multiple answers for each period)
NB: ANSWER CONSIDERING RELASHIONSHIP TO THE PERSON IN CHARGE OF THE
HOUSEHOLD
$1 \square$ The same person responsible for the household
$1 \square$ Wife/partner
$2 \square$ Husband/partner
$3 \square$ Daughter/stepdaughter (age 5 to 10)
$4 \square$ Daughter/stepdaughter (age 10 to 18)
$5 \square$ Daughter/stepdaughter (over 18)
$6 \square$ Son/stepson (age 5 to 10)
$7 \square$ Son/stepson (age 10 to 18)
$8 \square$ Son/stepson (age over 18)
$9 \square$ Other woman
$10 \square$ Other man
$11 \square$ Not applicable
60. Who helps with the family income (to earn a little more)? (accept multiple answers for each period) HOUSHO
$1 \square$ The same person responsible for the household
$2 \square$ Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter (age 5 to 10)
$5 \square$ Daughter/stepdaughter (age 10 to 18)
$6 \square$ Daughter/stepdaughter (over 18 )
$7 \square$ Son/stepson(age 5 to 10)
$8 \square$ Son/stepson (age 10 to 18)
$9 \square$ Son/stepson (age over 18)
$10 \square$ Other woman
$11 \square$ Other man
$12 \square$ Not applicable
61. How often do the household members take time off work because of
illness? Ness?
$1 \square$

| Never <br> 1 <br> $\square$ | Sometimes $2$ | Always <br> 3 | $\begin{gathered} \text { Don't know } \\ 4 \end{gathered}$ |
| :---: | :---: | :---: | :---: |
| 69. How often do household members go to the doctor because if because of illness? |  |  |  |
| Never <br> 1 | Sometimes $2$ | Always <br> 3 | Don't know 4 |
| 70. Who roning c NB: ANSW HOUSEHO | responsible s? (accept m CONSIDERIN | cookin ple answ ELASHIO | household <br> for each per <br> HIP TO THE |
| The same person responsible for the household |  |  |  |
| $2 \square$ Wife/partner |  |  |  |
| $3 \square$ Husband/partner |  |  |  |
| $\square$ Daughter/stepdaughter (age 5 to 10) |  |  |  |
| $\square$ Daughter/stepdaughter (age 10 to 18) |  |  |  |
| Daughter/stepdaughter (over 18) |  |  |  |
| $\square$ Son/stepson (age 5 to 10) |  |  |  |
| $\square$ Son/stepson (age 10 to 18) |  |  |  |
| $\square$ Son/stepson (age over 18) |  |  |  |
| $0 \square$ Other woman |  |  |  |
| $1 \square$ Other man |  |  |  |
| $12 \square$ Not | icable |  |  |

[^40]Total length of interview (not counting time to get to it)

Status of the interview:
$1 \square$ Totally completed
$\square$ Refusal (not held)
$\square$ House closed or vacant (not held)
Person not found (not held)
$6 \square$ Cistern not in use (not held) (specify below)
$7 \square$ Not held for other reasons (specify below)
Reason:
Brazilian Agricultural Research Corporation
EMBRAPA SEMI-ARID
Evaluation of the Social Impact of the MDS Rural Cisterns Program in Partnership with ASA: Survey 6 - Families with cisterns, non-beneficiares of/BRA/064/BRA)
FUNDER/EMBRAPA -MDS/SAGI/FAO (UTF/BRA
Identification of the cistern

| STATE | MUNICIPALITY |
| :--- | :--- |
| LOCATION/COMMUNITY |  |
| CISTERN NUMBER |  |
| LATITUDE |  |

Person in charge of the cistern

| NAME |
| :--- | :--- |

Is the interviewee in charge of the cistern?
$1 \square$ Yes $\quad 2 \square$ No

| NAME OF INTERVIEWEE |
| :--- | :--- |

Person in charge of the household
is the interviewee in charge of the household?
$1 \square \mathrm{Yes}$
How long time ago was the cistern built?
__|_| years |__|_| months (if unknown register approximately)
Suggestion for the interviewer's approach: "With this questionnaire I would like to get to know your living conditions and any changes that have come about
through the building of the cistern. The questions will be about the household,
the work of the residents and what has changed in their lives since the cistern
was built."
Block 1 - Characteristics of the household residents

| FOR ALL RESIDENTS |  |  |  |  |  | 5 YEARS OLD AND OVER |  | 10 YEARS OLD AND OVER |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{N}^{\circ}$ | Name | Relationship with person in charge | In charge of the cistern? | Sex | Age | Attend school | Schooling | Marital status | Usual activity | Position held in activity | Months worked in the last 12 month period |
| 1 |  |  |  |  | \|_-_| |  |  |  |  |  | \|__|__| |
| 2 |  |  |  |  | \| - - _| |  |  |  |  |  | \|__|__| |
| 3 |  |  |  |  | \|_|_| |  |  |  |  |  | \|__|__| |
| 4 |  |  |  |  | \|_1_| |  |  |  |  |  | \|__|__| |
| 5 |  |  |  |  | \|_1_| |  |  |  |  |  | L__\|__| |
| 6 |  |  |  |  | \|_|_| |  |  |  |  |  | \|__|__| |
| 7 |  |  |  |  | \| - - _| |  |  |  |  |  | I__I__\| |
| 8 |  |  |  |  | \|_1_| |  |  |  |  |  | \|__|__| |
| 9 |  |  |  |  | \| - - _| |  |  |  |  |  | -__\|__| |
| 10 |  |  |  |  | \|_|_| |  |  |  |  |  | \|__|__| |
| 11 |  |  |  |  | \|_|_| |  |  |  |  |  | \|__|__| |
| 12 |  |  |  |  | \|_1_| |  |  |  |  |  | \|__|__| |
| 13 |  |  |  |  | \|_|_| |  |  |  |  |  | I__\|__| |
| 14 |  |  |  |  | \|_1_| |  |  |  |  |  | I__\|__| |
| 15 |  |  |  |  | \|_|_| |  |  |  |  |  | \|__|__| |
|  |  | 1 Person <br> responsible for the <br> household <br> 2 Wife/partner <br> 3 Husband/ <br> partner <br> 4 Child/stepchild <br> 5 Father/mother/ <br> F\&M -in-law <br> 6 Grandchild/great <br> 7 Brother/ sister <br> 8 Other relation <br> 9 Other person | $\begin{aligned} & \hline 1 \mathrm{Yes} \\ & 2 \mathrm{No} \end{aligned}$ | 1 Male 2 Female |  | $\begin{aligned} & \hline 1 \mathrm{Yes} \\ & 2 \mathrm{No} \end{aligned}$ | 1 Cannot read/ write <br> 2 No schooling but reads and writes <br> 3 Literacy training course for adults <br> 4 Years/grades $1 / 2$ <br> 5 Years/grades 3/4 <br> 6 Years/grades 5/7 <br> 7 Year/grade 8 <br> 8 Incomplete senior high school <br> 9 Complete senior high school <br> 10 Higher education incomplete <br> 11 Higher education complete | 1 Living with a partner <br> 2 Single but once lived with a partner <br> 3 Never lived with a partner | 0 Looks after the house <br> 1 Works regularly <br> 2 Works <br> occasionally <br> 3 Looking for work <br> 4 Has independent <br> income no need to work <br> 5 Retired <br> 6 Handicapped <br> 7 Studying <br> 8 Works and studies <br> 9 Other activity | 1 Non remunerated family worker 2 Private sector employee 3 Public sector employee 4 Contractor 5 Independent professional 6 Established in business 7 Not established, works autonomously 8 Rural laborer 9 Proprietor, squatter, tenant 10 Partner, sharecropper, right-to-use contract |  |

9. Durable goods in the dwelling (read out all the options - answer all the lines)
 $\stackrel{8}{8} \stackrel{+}{\infty}$
Fixed telephone Gas stove

Nood burning
Television
Radio or radi Refrigerator

Sewing machine
Bicycle
Motorcycle
©
Mobile phone Parabolic antenna
10. Is electricity installed?
$1 \square \mathrm{Yes}$
$2 \square$ No $\rightarrow$ GO TO QUESTION 12
11. Type of electricity supply
$1 \square$ General grid
$2 \square$ Solar panels
$3 \square$ Generator
$4 \square$ Other
12. How would you evaluate your living conditions in regard to: (read out all the options - answer all the lines)

Good Bad Non existent
Good Bad Non existent water service
$1 \square \quad 2 \square \quad 3 \square \quad$ Waste collection
$1 \square \quad 2 \square \quad 3 \square$ Drainage/rainwater runoff
$1 \square \quad 2 \square \quad 3 \square \quad$ Electricity supply
13. Do you have any of the following problems in your home? (read out all the options - answer all the lines)

Yes No Leaks in the roof
Damp foundations, floor, walls
Deteriorated woodwork in doors, windows, floor


Yes
$3 \square \quad 4 \square$
$4 \square$ House in an indigenous village
$5 \square$ House in a quilombola community
$6 \square$ Other

## 1. Type of residence <br> $1 \square$ Isolated house <br> $2 \square$ House in a hamlet <br> $3 \square$ In agrarian reform settlement <br> 2. Status of the property <br> $1 \square$ Own, paid for <br> $2 \square$ Own, being paid for <br> $4 \square$ Squatter's rights <br> $5 \square$ Other <br> 3. Main material used in walls <br> $1 \square$ Plastered brickwork <br> $2 \square$ Non plastered brickwork <br> $3 \square$ Mud and lathes (not plastered) $4 \square$ Other <br> 4. Main material used in roof <br> $1 \square$ Ceramic tiles <br> $2 \square$ Asbestos cement sheets $3 \square$ Other

5. Number of internal divisions (room, bedroom, bathroom, kitchen) $\qquad$ 6. Type of bathroom or toilet
$1 \square$ Inside the dwelling
2 Outside the dwelling
$3 \square$ None $\rightarrow$ GO TO QUESTION 8
6. Drainage from bathroom/toilet
$1 \square$ Septic tank
$2 \square$ Simple pit
$3 \square$ Other
4 None
7. Solid waste disposal
$1 \square$ Burnt
$3 \square$ Thrown out in the open air
Evaluation of the Social Impact of the Selecting and Capacity Building Processes of the Cisterns Program - MDS/P1MC-ASA
Block 2 - Work and income indicators
8. The household residents have income from: (read out all the options - accept multiple answers)
$1 \square$ Working (salary, occasional jobs, own business) $2 \square$ Bolsa Família Program (Family Grant Program)
Is it in the form of Continuous Cash Benefit - BPC?
$4 \square$ Support for the handicapped

| Is it in the form of Continuous Cash Benefit - BPC? |
| :--- |
| $1 \square$ Yos | $1 \square$ Yes $\quad 2 \square$ No

$5 \square$ Gas voucher
$6 \square$ Bolsa Escola Program (School Grant Program)
$7 \square$ Rural old age retirement pension
$8 \square$ Other type of benefit paid by gover
$10 \square$ Other earnings (savings account, rents, renting out land)
$11 \square$ Payment received in kind (goods or services)
$12 \square$ Money from churches and non governmental organizations
$13 \square$ Money from people living outside the household
$14 \square$ Food basket goods received regularly
$16 \square$ Other types of assistance (other person pays bills, etc.)
16. What monthly bills do household residents have to pay? (read out all the options - accept multiple answers)
$1 \square$ Electricity
$2 \square$ Water (pip
$4 \square$ Credit finance/ installments on household appliances (TV. telephone, parabolic antenna, fridge, stove) Bolsa Família
$5 \square$ Gas
17. Do you have a piece of land, property or production unit? $1 \square$ Yes, proprietor, squatter, right-to-use contract $2 \square$ Yes, tenant, partner or sharecropper
$3 \square$ Yes, freely loaned
$4 \square \mathrm{No} \rightarrow$ GO TO QUESTION 23
14. Do you have any of the following problems in your neighborhood? (read out all the options - answer all the lines)

[^41]$\stackrel{\otimes}{\infty}$
18. Total area of the property/rented land (in hectares, acres, square meters choose one option only) (if unknown register 999.9)


[^42]20. Total area cultivated (in hectares, acres, square meters - choose one option only) (if unknown register 999.9)

## 

21. What crops are grown (accept multiple answers) $1 \square$ Algarroba (Prosopis juliflora)
$15 \square$ Pigeon peas (Forage variety)
$17 \square$ Castor bean/ common beans (combined)
$18 \square$ Castor bean (alone)
21 Ceará rubber tree (Manihot glaziovii)
Cantaloupe
Watermelon
3 Rice
$4 \square$ Cashew
$6 \square$ African liver seed grass (Urochloa mosambicensis) $7 \square$ Elephant (napier) grass
$8 \square$ Onion
9 Lima beans
$11 \square$ Sesame
$12 \square$ Guava
14 Pigeon peas
19 Sweet cassava
24 Forage melon

| Block 3 - Alternative ways of obtaining water |
| :--- |
| Suggestion for the interviewer's approach: "I would like to know other ways of <br> obtaining water used by household residents apart from the cistern." |

29. Apart from the cistern what is the main form of water supply used by your household? (accept one answer only) $1 \square$ Only cistern used $\rightarrow$ GO TO BLOCK 4 $2 \square$ Water hole/ spring
$3 \square$ Permanent river
$5 \square$ Piped water (mains) $\rightarrow$ GO TO QUESTION 39
$6 \square$ Dam/ pond/ lake
$11 \square$ Underground dam
$12 \square$ Irrigation canal
$13 \square$ Others
30. How is that water transported? (accept multiple answers)
$1 \square$ Rainwater
$2 \square$ Brought by a water truck (treated water)
$4 \square$ Brought by an animal guided by a child
$5 \square$ Brought by an animal guided by a woman
$6 \square$ Brought by an animal guided by a man
$9 \square$ Brought by a motorized vehicle (not water truck) $10 \square$ Brought by bicycle
$11 \square$ Others
31. When do you receive water this way? $1 \square$ All year long
$2 \square$ In the dry season
$3 \square$ In the rainy season

[^43]32. Which household member is responsible for transporting the water? (accept one answer only)
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE HOUSEHOLD
$1 \square$ The same person

- Wife/parther
$4 \square$ Daughter/stepdaughter (under 18)
$\square$ Daughter/stepdaughter (over 18)
6 Son/stepson (under 18)
$7 \square$ Son/stepson (over 18)
$8 \square$ Other woman
Other man

33. Do the household residents have to pay for such transport?
$1 \square$ Yes $\quad 2 \square$ No $\rightarrow$ GO TO QUESTION 35
34. How much do they pay for transport? (if unknown register 99.99)

## Reals \$ |_____|. |___||

35. How long does it take to fetch water from that source? (if unknown register)
36. How much water do you bring each time? (if unknown register 99999)
37. Do the household members share that water with other families?
$1 \square$ Yes $\quad 2 \square$ No $\rightarrow$ GO TO BLOCK 4
38. With how many families? (if unknown register 99)
39. What is the main way of storing that water? (accept one answer only) $1 \square$ Vat/drum/barrel
$2 \square$ Demijohn
3 Concrete tank
$4 \square$ Jar or ewer
$5 \square$ Ceramic water
$6 \square$ Cistern
$7 \square$ Other
$8 \square$ Not applicable
40. Do household residents have to pay for that water? (not counting

## $1 \square$ Yes $2 \square$ No $\rightarrow$ GO TO QUESTION 41

40. How much do they pay for a liter of water? (if unknown register 99.99)
41. Household residents use this water for: (read out all options - accept multiple answers)
$1 \square$ Drinking
$2 \square$ Cooking
$3 \square$ Brushing their teeth
4 Bathing
$5 \square$ Washing clothes
$6 \square$ Washing dishes
$7 \square$ Animals to drink
$8 \square$ Agriculture
42. What is the quality of water for drinking?
1 Good
$2 \square$ Reasonable
$3 \square$ Bad
$4 \square$ Very
$4 \square$ Very bad
 |_____| hours |__|__| minutes
43. Approximately how often do you bring in water from that source?
$1 \square$ Every day
$2 \square$ Three times $2 \square$ Three times a week
$3 \square$ Once a week
$4 \square$ Once a fortnight
$5 \square$ Once a month
$6 \square$ Once every two mon
$7 \square$ Once every six month
$8 \square$ Once a year $2 \square$ Three times a week
$3 \square$ Once a week
$4 \square$ Once a fortnight
$5 \square$ Once a month
$6 \square$ Once every two mon
$7 \square$ Once every six month
$8 \square$ Once a year $2 \square$ Three times a week
$3 \square$ Once a week
$4 \square$ Once a fortnight
$5 \square$ Once a month
$6 \square$ Once every two months
$7 \square$ Once every six months
$8 \square$ Once a year $2 \square$ Three times a week
$3 \square$ Once a week
$4 \square$ Once a fortnight
$5 \square$ Once a month
$6 \square$ Once every two months
$7 \square$ Once every six months
$8 \square$ Once a year $2 \square$ Three times a week
$3 \square$ Once a week
$4 \square$ Once a fortnight
$5 \square$ Once a month
$6 \square$ Once every two mon
$7 \square$ Once every six month
$8 \square$ Once a year
99 99)
44. How was that orientation given about the correct use of the cistern and the
water? (read out all the options - accept multiple answers)
$1 \square$ At community meetings
$2 \square$ In courses
$3 \square$ In household visits made by entities (ASA, church, union etc.)
$4 \square$ There was no orientation
$5 \square$ Can't remember
$6 \square$ Others
45. What kind of printed material was distributed about the cistern hat was built where you live? (read out all the options - accept multiple answers) $1 \square$ Poster
$2 \square$ Newspaper
4 Booklet or cordel-type booklet
$5 \square$ No material received
$6 \square$ Can't remember
46. Does any household member who was trained know how to take water
from the cistern?
47. Does any household member who was trained know how to clean the cisterns?
48. Does any household member who was trained know how to store water taken from the cistern?
49. Does any household member who was trained know how to treat the
$1 \square$ Yes $\quad 2 \square$ No $\quad 3 \square$ Can't say
Sugge
Suggestion for the interviewer's approach: "In this block we would like to know whether the
household residents received any instruction in the use and maintenance of the cistern
and whether they are in contact with any group that supports them in regard to the cistern."
50. What type of cistern supplies this household? (accept one answer only) $1 \square$ Rural cistern of the MDS/P1MC - ASA
51. Has anyone in this household received instruction on the correct way to use the cistern and the cistern water? (accept one answer only)
$1 \square \mathrm{Yes}$
52. In this household, who received instruction on the correct way to use the
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE
HOUSEHOLD
$1 \square$ The same person responsible for the household
$2 \square$ Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter
$6 \square$ Several family members
$7 \square$ Other person (non family)
53. Who orientated them in regard to using the cistern and the water? (accept multiple answers)
multiple answers)
$1 \square$ Builder when cistern was being built
$2 \square$ NGO - Non governmental organization such as ASA
$3 \square$ Union
$4 \square$ Association
$5 \square$ Someone from the church
$6 \square$ Others
multiple answers)
$1 \square$ Builder when cistern was being built
$2 \square$ NGO - Non governmental organization such as ASA
$3 \square$ Union
$4 \square$ Association
$5 \square$ Someone from the church
$6 \square$ Others
54. Do you know whom to turn to when there are problems with the cistern? (accept one answer)
$1 \square$ Builder when cistern was being built
$2 \square$ NGO - Non governmental organization
4 Association
$5 \square$ Church
55. Does the water in the cistern receive any kind of treatment? $1 \square$ Yes (chlorine, sodium hypochlorite, bleach)
$2 \square$ No
56. Does the water from the cistern receive any kind of treatment after it leaves the cistern?
$1 \square$ Yes, chlorine
$2 \square$ Yes, boiled
$3 \square \mathrm{No}$
57. Is your cistern water used by neighbors? $1 \square \mathrm{No} \rightarrow$ GO TO QUESTION 65 $1 \square$ No $\rightarrow$ GO TO QUESTION 65
$2 \square$ Yes but seldom
$3 \square$ Yes, quite often
58. How many neighbors share the cistern with your household? (if unknown
register 99)
59. Who does the greatest share of cistern maintenance work? (accept one
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE
HOUSEHOLD person responsber for
2 Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter

- Senstan members
$7 \square$ Other person (non family)

71. Household residents receive visits from some of the following entities, to talk about water: (mark one option for each entity) CITY HALL $1 \square$ Never CITY HALL
$\begin{array}{lll}1 \\ 2 \\ 3 \\ 4 \\ 4 & \square & \text { Never } \\ \\ 5 & \square & \text { Every a yrear } \\ \text { Once a month } \\ \text { Only once ever }\end{array}$

$\begin{array}{ll}4 \\ 5 & \text { Once a month } \\ & \text { Only once ever }\end{array}$

72. Who are those most involved in taking water out of the cistern? (accept
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE
HOUSEHOLD
$1 \square$ The same person responsible for the household
$2 \square$ Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter
$5 \square$ Son/stepson
$6 \square$ Several family members
$7 \square$ Other person (non family)
73. How are decisions on the use of the cistern made? (accept one answer only) $1 \square$ Each household decides how it will use the water $\rightarrow$ GO TO QUESTION 71 $2 \square$ Decisions are made at community meetings
$3 \square$ Don't know
74. If your community holds meetings to decide on water use, how often does it do so (approximately)? $1 \square$ No meetings are held
$2 \square$ Every six months
$3 \square$ Every three months
4 Every two months
$5 \square$ Once a month

[^44]78. How many children attend/attended school: (if none register 0) (if unknown
BEFORE THE CISTERN |______| Children from 0 to 14 years old
AFTER THE CISTERN |__ | Children from 0 to 14 years old
BEFORE THE CISTERN |_____| Adolescents from 14 to 18 years old AFTER THE CISTERN |_____| Adolescents from 14 to 18 years old
79. BEFORE THE CISTERN, were there individuals in this household aged 14 to 18, helping the family? (read out all the options)
$1 \square$ Yes, helping in the household chores
$2 \square$ Yes, helping to fetch water
$3 \square$ Yes, helping with other tasks outside the house
80. AFTER THE CISTERN, are there individuals in this household aged 14 to 18, helping the family? (read out all the options)
$2 \square$ Yes, helping to fetch water
$3 \square$ Yes, helping with other tasks outside the house
81. Did/do the children have any time to play?

83. Before the cistern existed did the household residents use to treat the
water?
$2 \square \mathrm{No} \rightarrow$ GO TO QUESTION 85
84. How was that treatment done? (accept multiple answers)
$1 \square$ Filtered
$2 \square$ Chlorinated
$2 \square$ Chlorinated
$3 \square$ Boiled
Don't know
85. What form does the treatment take now?
$1 \square$ Filtered
$2 \square$ Chlorinated
$3 \square$ Boiled
$5 \square$ No treatment
$6 \square$ Don't know
86. Has family income increased since the cistern was built?
$1 \square$ No, income has gone down
$2 \square$ Income has stayed the same as before it was built
$3 \square$ Yes, increased a little
$4 \square$ Yes, increased a lot
87. Has any household resident formerly responsible for fetching water begun to work with other activities since the cistern was built? (accept multiple answers)
 NB: ANSWER
HOUSEHOLD
$1 \square$ Yes, the
$2 \square$ Yes, his
$3 \square$ Yes, her
$4 \square$ Yes, daugh
$5 \square$ Yes, son
$6 \square$ Yes, seve
$7 \square$ No
$6 \square$ Yes, several members of the family
$7 \square$ No
90. Has any household member become a health agent as a result of what was
learned about using the cistern?
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE
HOUSEHOLD
$1 \square$ Yes, the very person responsible for the household
$2 \square$ Yes, his wife/partner
$4 \square$ Yes, daughter/stepdaughter
$5 \square$ Yes, son/stepson
100. How often did/do the household members get skin diseases? BEFORE THE CISTERN

101. How often did/do the household members have kidney problems? BEFORE THE CISTERN

107. Who is/was responsible for cooking, household chores and washing and ironing clothes? (accept multiple answers for each period) NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE

> AFTER CISTERN
$1 \square$ The same person responsible for the household
$2 \square$ Wife/partner
$\begin{array}{ll}4 \square \text { Daughter/stepdaughter (age } 5 & \begin{array}{l}4 \square \text { Daughter/stepdaughter (age 5 } \\ \text { to 10) }\end{array} \\ 5 \square \text { to 10) }\end{array}$
108. Who helps/helped with the cooking, household chores or washing and
ironing clothes? (accept multiple answers for each period)
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE
HOUSEHOLD
AFTER CISTERN
$1 \square$ The same person responsible
for the household for the household
$2 \square$ Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter (age 5
to 10)
$\square$ Daughter/stepdaughter (age 10
$6 \square$ Daughter/stepdaughter (over
$7 \square$ Son/stepson (age 5 to 10)
$8 \square$ Son/stepson (age 10 to 18)
$9 \square$ Son/stepson (age over 18)
9 Son/stepson (age over 18)
$11 \square$ Other man
HUOOLIVLL
$1 \square$ The same person responsible
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter (age 5
to 10)
$\square$ Daughter/stepdaughter (age 10
to 18)
$6 \square$ Daughter/stepdaughter (over
18)
$7 \square$ Son/stepson (age 5 to 10) $8 \square$ Son/stepson (age 10 to 18) $12 \square$ Not applicable
Never illness?
HOUSEHOLD

$$
12 \square \text { Not applicable }
$$

$12 \square$ Not applicable
BEFORE CISTERN $9 \square$ Son/stepson (age over 18) $10 \square$ Other woman
$11 \square$ Other man
$12 \square$ Not applicable
103. How often did/do the household members take time off work because of
illness?

104. How often did/do the household members go to the doctor because of
105. Household spending on sickness SINCE the cistern was built have: $1 \square$ Remained the same as before
$2 \square$ Gone down a little
Gone down a lot
$4 \square$ Don't know
106. A household resident that planned look for work in another region or city,
after the cistern was built:
$1 \square$ Has left the community (put the idea into practice)
$2 \square$ Has not given up the idea yet but has decided to put off leaving $3 \square$ Has completely given up the idea
$4 \square$ Not applicable
INTERVIEW CONTROL
Interviewer's code and name
Date of interview
Status of the interview:
$1 \quad \square$ Totally completed
$2 \square$ Partially completed
$3 \square$ Refusal (not held)
$4 \square$ House closed or vacant (not held)
$5 \square$ Person not found (not held)
$6 \square$ Cistern not in use (not held) (specify below)
$7 \square$ Not held for other reasons (specify below)
Reason:
109. Who is/was responsible for guaranteeing the household's sustenance? NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE

HOUSEHOLD








110. Who helps/helped with the family income (to earn a little more)? (accept multiple answers for each period)
NB: ANSWER CONSIDERING RELATIONSHIP TO THE PERSON IN CHARGE OF THE
HOUSEHOLD
BEFORE CISTERN AFTER CISTERN
$1 \square$ The same person responsible $1 \square$ The same person responsible
for the household
$2 \square$ Wife/partner
$3 \square$ Husband/partner
$4 \square$ Daughter/stepdaughter (age 5
to 10)
Daughter/stepdaughter (age 10
$6 \square$ Daught
Daughter/stepdaughter (over
18)
$7 \square$ Son/stepson (age 5 to 10)
$8 \square$ Son/stepson (age 10 to 18)
$9 \square$ Son/stepson (age over 18)
$10 \square$ Other woman
11 Other man
$12 \square$ Not applicable for the household
$2 \square$ Wife/partner
$4 \square$ Daughter/stepdaughter (age 5
$5 \square$ Daughter/stepdaughter (age 10
$6 \square$ Daughter/stepdaughter (over
18)
$7 \square$ Son/stepson (age 5 to 10)
$8 \square$ Son/stepson (age 10 to 18)
$9 \square$ Son/stepson (age over 18)
$10 \square$ Other woman
$11 \square$ Other man
$11 \square$ Other man
$12 \square$ Not applicable

# Health and Nutrition Day for Children under Five Living in the Semi-arid Regions and in Agrarian Reform Settlements 

Executive Institutions: Ministry of Social Development and the Fight against Hunger (MDS), Ministry of Health (MS), Fluminense Federal University (UFF) through Euclides da Cunha Foundation (FEC/UFF), Sérgio Arouca National School of Public Health - Oswaldo Cruz Foundation (ENSP/Fiocruz), São Paulo University (USP).

Team Members: Leonor Pacheco (MDS); Luciene Burlandy C. de Alcântara (UFF); Carlos Augusto Monteiro (USP); Romulo Paes-Sousa (MDS) and collaborators.

Period Undertaken: May to August 2005.

## 1. Objectives

- to undertake large scale monitoring of the nutrition situations of specific groups;
- to promote professional training and updating of municipal technical staff and assistants responsible for nutrition surveillance.


## 2. Methodology

Research was carried out in the semi-arid region and in settlements in the Northeast of Brazil and in the northern part of the state of Minas Gerais. One of the spheres of investigation encompassed 1,133 municipalities of the semi-arid region as delineated by the Ministry of National Integration in 2005, located in eight states of the Brazilian Northeast (Maranhão was excluded) and the northern part of the State of Minas Gerais. The existing population is estimated at 26 million people of whom 2.3 million are children under five years old.

The other sphere of survey investigation was a set of settlements to be found in the Northeast region and the north of Minas Gerais and duly registered in the Projects Register of the National Institute for Colonization and Agrarian Reform - INCRA/MDA - as of June 3, 2005. It included 3,329 projects in 9 states in the Northeast of Brazil and the north of Minas Gerais state, where 213,878 families have been settled on the land. The Census for the year 2000 showed that the proportion of children 5 years old or less, in the Brazilian population was $9.6 \%$. In turn, the study carried out by the INEP/MEC in 2004 on education in agrarian reform settlements showed that typically, a family settled in such projects consists of the parents and three children. That data led to the construction of an estimated size for the target population of 102,661 children.

For data gathering a questionnaire was used that had been pre-tested in June 2005, in a primary health unit in Ceilândia, Federal District, during the first stage of the vaccination campaign.

The questionnaire included questions on schooling levels of those interviewed and of heads of families, access to basic goods and social benefits, numbers of meals per day taken by the family, accompaniment of children's growth and development, symptoms of diseases prevalent in childhood (acute respiratory infection, diarrhea), breastfeeding habits, antenatal examinations carried out etc. On the Health and Nutrition Day the questionnaire was used to interview the person responsible for the daily care of the child.

To measure the body length of the children, CARCI-brand wooden infantometers were used calibrated from $10-99 \mathrm{~cm}$ in intervals of 1 mm . To measure height, in almost all the states, the Microtoise SECA model 206 Stadiometer, with frontal readings was used, calibrated from 0 to 2 meters with intervals of 1 millimeter. In Ceará, Minas Gerais and Maranhão, AlturaExata metal stadiometers were used, calibrated from 0 to 1.80 meters with intervals of 1 mm .

To get the weight figures, anthropometric scales were used (load capacity 150 Kg calibrated in 100 g intervals) and pediatric scales (load capacity 16 Kg calibrated in 10 g intervals) both of which already existed in the health services of the respective municipalities. To ensure accuracy, all scales used were verified during the period of training carried out in the municipalities.

The anthropometric measurements of stature and weight were made by a pair of anthropometrists one of whom was responsible for verifying weight and the other, body length/stature. Measurements were taken twice for each child. The figures obtained were not rounded off and were registered complete with decimal amounts (grams and millimeters) for the weight and body lengths respectively.

After completing the anthropometric examination, each child had its measurements duly registered in the Children's Card and the parents or persons responsible for the child were informed as to the child's nutritional status. Children diagnosed as suffering from undernourishment (weight/age ratio less than 3 ) were referred to the nearest primary health unit with a due document of referral. A more detailed form was used to investigate the social situation of such children including registration of reference points to make it possible to locate their addresses. After the survey was over, the forms were handed in to the social assistance secretariats - or equivalent entities - in each one of the municipalities.

## 3. The sample

The sampling for the research in the semi-arid region was designed so as to obtain independent estimates for each state, by means of probabilistic sampling wherein the states corresponded to domains or strata. The primary sampling unit was the municipality and with a view to guaranteeing the representativity of the sample, the random selection process also took into account the homogeneous micro-regions defined by the IBGE in which the municipalities lie.

To calculate the sample size, the reference mark established was the prevalence of a deficit of $8.3 \%$ in the weight/age ratio in the northeast region as estimated by the most recent National Demographic and Health Survey (PNDS 1996). The sample size thus calculated using Epi-Info 2002 software was 2,284 children with an expected prevalence of $8.3 \%( \pm 1.6 \%)$, a degree of reliability of $95 \%$ and a design bias of 2 . This sample size amounted to 76 children for each selected municipality giving a total of 21,052 children. Bearing in mind possible sampling losses it was decided that 80 children per semi-arid municipality, would be selected.

In short, the sampling plan in the semi-arid region was as follows:

- target population - children under five in the semi-arid region;
- geographical unit - the semi-arid region (8 states in the Northeast of Brazil plus the northern part of Minas Gerais - 1,133 municipalities altogether);
- primary sampling unit - municipality (30 per state - except Sergipe - 29 - and Alagoas - 38);
- secondary sampling unit - vaccination points or locations (two per municipality);
- total number of sampling units - 60 vaccination points per state (Sergipe - 58 - and Alagoas - 76);
- sample of children per municipality - 80 children ( 40 per point);
- sample size per state in the semi-arid region - 2,400 children (Sergipe - 2,320-and Alagoas - 3,040).

To conduct the research in the agrarian reform settlements the sample was stratified into three stages in such a way as to guarantee the participation of all the states. In the first stage the municipalities were randomly selected, in the second, the settlements and in the third, the children.

To calculate the sample size, the reference mark established was the prevalence of a deficit of $9.2 \%$ in the weight/age ratio in rural Brazil as a whole, as estimated by the most recent National Demographic and Health Survey (PNDS 1996). The calculated sample size was 1,426 children with a reliability of $95 \%$, but it was increased to 1,600 (expected sample size) bearing in mind possible sample losses.

The sampling was distributed among 75 settlements located in 40 municipalities in 10 states and was allocated proportionally into strata but respecting a minimum sample size of 80 children and a maximum of 480 per state. The samples were generated using the SURVEYSELECT procedure of the SAS system. Municipalities and settlements were selected with probabilities proportional to their numbers of children under five living in settlements so that children residing in the same settlement had an equal probability of being included. The selection of the children was done by the field research personnel in the settlements themselves when the weighing was being done. The researcher registered the number of children in that particular age group and then proceeded to select them for the sample.

In short, the sampling plan in the settlements was as follows:

- target population - children under five in the settlements;
- geographical unit - 9 states in the Northeast of Brazil plus the northern part of Minas Gerais;
- primary sampling unit - settlement project;
- secondary sampling unit - vaccination points or locations;
- total number of sampling units -75 vaccination points/locations;
- total number of children per sampling unit - 10 to 40 children per point.

Before the data was analyzed measures were taken to expand the samples. Each child taking part in the Health and Nutrition Day sample represents a certain number of children that were vaccinated during the Second National Vaccination Day Campaign in each municipality. Therefore, for each child, a sample weighting or expansion factor was associated which, when attributed to the characteristics being investigated in the Health and Nutrition Day (children's weights and measurements), made it possible to obtain estimates for the expanded universe or ambit of the survey. The sample expansion factors were calculated based on cluster sampling principles.

| Nutrition Call for Children under five living in the semi-arid region and in settlements |  |
| :---: | :---: |
| VACCINATION POINT: | POINT |
| LOCATION OF POINT: Urban ( ) Rural ( ) | AREAP |
| INTERVIEWEE: _Sex: F ( ) M ( ) | SEXOE |
| RELATION TO CHILD OF INTERVIEWEE: | PAREN |
| NAME OF CHILD: __ Sex: F ( ) M ( ) | SEXOC |
| ADDRESS OF CHILD: |  |

## IDENTIFICATION, SOCIOECONOMIC AND DEMOGRAPHIC DATA



## Questionnaire



# Food Insecurity Supplement to the National Household Sample Survey - PNAD 2004 

Executive Institution: Brazilian Institute of Geography and Statistics (IBGE) and State University of Campinas (UNICAMP).

Team Members: Angela Filgueiras Jorge (IBGE), Terezinha Batista Tavares Coutinho (IBGE), Ana Maria Segall Corrêa (UNICAMP), Rafael Pérez-Escamilla (University of Connecticut, USA).

Period Undertaken: October /2003 to May/2006.

## 1. Objective

To investigate food security situations in Brazilian households.

## 2. Methodology

The food security situation in Brazilian households was revealed using methodology that allowed for the detection and dimensioning of food insecurity problems and their severest manifestation - hunger.

The questions to be directed at a household resident considered capable of answering them were elaborated on the basis of the Brazilian Scale of Food Insecurity (EBIA), a method that has been adapted from various international experiences and especially from the scale used by the United States Food and Agriculture Department. The method embraces both qualitative and quantitative aspects and makes it possible to identify various degrees of food insecurity ranging from Food Security to different levels of Food Insecurity : light, moderate, or serious.

Investigation was made of: people's concern as to whether food would run out before there was any money to buy more; relative insecurity in the form of worsening of the quality of the diet but without restrictions on quantity; and the most serious of all, quantitative insecurity whereby the family goes through concrete periods of restriction on the availability of food for its members.

People are considered to enjoy Food Security when in the 90 days previous to the interview they have had access to food in adequate amounts and of adequate quality and do not feel themselves to be about to suffer any restriction whatever on food in the immediate future. Moderate Food Insecurity means limited access to food in terms of quantity, with or without the presence of actual hunger; and serious Food Insecurity corresponds to restrictions on food almost every day, on some days, or on one or two days, in the 90 day period preceding the day of the interview.

## 3. Data gathering instrument

Use of the EBIA in the national survey took place after a validation study had been made between 2003 and 2004, which ran through the quantitative and qualitative stages of investigation in five municipalities, each in a different Brazilian macro-region. The qualitative stage consisted of holding sessions of panels of specialists and working groups with a view to adapting the instrument. Several modifications to the original Scale were suggested and contents and directives for qualitative and quantitative validation were recommended for both urban and rural areas of the five selected municipalities. The result was a Scale with 15 questions each one corresponding to a certain event with alternatives offered for the frequency of occurrence of the said event. To each question there was an option of Yes or No in regard to occurrence and in the case of an affirmative answer the frequency of occurrence of the event during the period in question was investigated offering the following alternative answers: almost every day, on some days, and on one or two days. Thus the validity of the scale was confirmed in the different Brazilian regions before it was incorporated into the PNAD 2004.

In order that it might be adapted to the standard questionnaire model for IBGE national surveys, it proved necessary to adapt the language used and to break down further some of the questions which were subsequently regrouped when the tabulating was being done and then they took the form set out in Table 1 below.

Table 1 - Questions included in the Food Security Supplement to the PNAD - 2004 regarding the EBIA

| Questions |  |
| :---: | :--- |
| 1 | Whether household residents were concerned that food would finish before they were able to buy or receive more. |
| 2 | Whether food usually finished before the residents had any money to buy more food |
| 3 | Whether residents had insufficient money to enjoy a healthy and varied diet. |
| 4 | Whether residents ate only whatever food was left because they had run out of money. |
| 5 | Whether at any time any household member 18 years old or over had cut down on the amount of food in meals or <br> gone without a meal because there was no money to buy food. |
| 6 | Whether at any time any household member 18 years old or over had eaten less because there was no money to buy <br> more food. |
| 7 | Whether at any time any household member 18 years old or over had been hungry but had not eaten because there <br> was no money to buy food. |
| 8 | Whether at any time any household member 18 years old or over had lost wait through not having enough to eat <br> because of the lack of money to buy food. |
| 9 | Whether at any time any household member 18 years old or over had had only a single meal for the day or gone a <br> whole day without eating because there was no money to buy food. |
| 10 | Whether at any time any household member under 18 years old had not enjoyed a healthy and varied diet because <br> there was no money to buy food. |
| 11 | Whether at any time any household member under 18 years old had not had enough to eat because there was no <br> money to buy food. |
| 12 | Whether at any time any household member under 18 years old had cut down on the amount of food in meals because <br> there was no money to buy food. |
| 13 | Whether at any time any household member under 18 years old had missed a meal because there was no money to <br> buy food. |
| 14 | Whether at any time any household member under 18 years old had been hungry but had not eaten because there was <br> no money to buy food. |
| 15 | Whether at any time any household member under 18 years old had gone a whole day without eating because there <br> was no money to buy food. |

Source: IBGE/MDS. National Household Survey, PNAD 2004. Food Security. Rio de Janeiro: IBGE, 2002.

## 4. Sampling, collecting and analyzing data

The PNAD 2004 surveyed 130,157 households and their 399,354 residents. The sample was designed in multiple stages so that it would be representative of all 26 states and the Federal District and the rural and urban areas of all the macro-regions including the Northern Region.

After the statistical analysis and the expansion of the sample it was possible to establish profiles of Food Security for private homes in Brazil as a whole, for the macro-regions and for the States and Federal District. Cross referencing was done for numbers of family members, presence of children in the family, race/color, family income, schooling, etc.

## 5. Reference

IBGE/MDS. National Household Survey, PNAD 2004. Food Security. Rio de Janeiro: IBGE, 2002.

## Questionnaire

| Research Directorate Work and Income Coordinating Body <br> Brazilian Statistics Institute |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| IDENTIFICATION AND CONTROL |  |  |  |  |  |  |  |  |
| NATIONAL HOUSEHOLD SAMPLING SURVEY PNAD 2004 <br> PNAD 1.01 - Survey Questionnaire |  |  | Sector Number <br> Situation | 1 | 1 PNAD order № 2.02 or 2.03 | 2 | Control ${ }^{\circ}$ | 3 Series $\mathrm{N}^{\circ}$ |
| Municipality |  |  |  |  |  |  |  |  |
| 4 Type of Interview |  |  |  |  |  |  |  |  |
| Type A - Unit occupied |  | Type B - Unit empty |  |  | Type C - Unit non existent |  |  |  |
| $1 \square$ Held |  | $5 \square$ Habitable |  |  | $9 \square$ Demolished |  |  |  |
| $2 \square$ Closed |  | $6 \square$ Occasional use |  |  | $10 \square$ Not found |  |  |  |
| $3 \square$ Refusal |  | $7 \square$ Under construction/repair |  |  |  | $11 \square$ Non residential |  |  |
| $4 \square$ Other |  | $8 \square \mathrm{In}$ ruins 12 |  |  |  | $12 \square$ Outside sector |  |  |
| RESIDEN <br> 5 Total | NTS <br> 6 Age ten plus | 7 Registration of interviewer in SIAPE |  | 8 Registration of supervisor in SIAPE |  |  | Name of interviewer <br> Name of supervisor |  |
| ¢ Visit № 10 VISITS MADE FOR INTERVIEW PURPOSES |  |  |  |  |  |  |  | 14 Total $\mathrm{N}^{\circ}$ Questionnaires used |
| $9 \quad$ Visit ${ }^{\circ}$ | 10 Day and Month of visit | Time of visit |  |  | 13 Total visits |  |  | of tionnaire |
| $\left\lvert\, \begin{gathered} 1 \square \\ 2 \square \\ 3 \square \end{gathered}\right.$ | day month day month day month | 11 Began hrs. mins. hrs. mins. hrs. mins. | 12 Ended hrs. mins. hrs. mins. hrs. mins. |  |  |  |  |  |

```
STATE OR DF
```

$\qquad$

```
ADDRESS
SIGNATURE OF INFORMANT
```

By law all information supplied to the IBGE is of a confidential nature and may only be used for statistical purposes (Law No 5.534 dated November 14 1968)

| REMARKS: | Contact telephone: |
| :--- | :--- |

## Questionnaire




## Questionnaire

## 2 CHARACTERISTICS OF THE HOUSEHOLD UNIT 2

23 The stove in this household burns mainly:
$1 \square \quad$ Cylinder gas
$2 \square \quad$ Piped gas
$3 \square \quad$ Firewood
$4 \square \quad$ Charcoal
$5 \square \quad$ Electricity
$6 \square \quad$ Other fuel (specify)
(go to 24)

24 Does the household have any kind of filter for the water?
$2 \square \quad$ Yes
$4 \square$ No
(go to 25)
25 Does the household have a radio?
$1 \square \quad$ Yes $3 \square \quad$ No
(go to 26)

26 Does this household have color TV?
$2 \square \quad$ Yes (go to 28)
$4 \square \quad$ No (go to 27)

27 Does the household have black and white TV?
$1 \square$ Yes
$3 \square$ No
(go to 28)
28 Does this household have a refrigerator?
$2 \square \quad$ Yes, two doors
$4 \square \quad$ Yes, one door
$6 \square \quad$ No

29 Does this household have a freezer?
$1 \square \quad$ Yes
$3 \square$ No
(go to 30)
30 Does this household have a washing machine?
$2 \square \quad$ Yes
$4 \square$ No
(go to 31)
31 Does this household have a micro-computer?
$1 \square \quad$ Yes (go to 32)
$3 \square \quad$ No (close off this part)

32 Does this household use the computer to access the internet?
$2 \square \quad$ Yes
$4 \square$ No

REMARKS: $\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

## Questionnaire

## LIST OF HOUSEHOLD RESIDENTS

FIRST QUESTIONS:
How many people live in this household?
What is the name of the person (main person) responsible for the household? $\qquad$

| List |
| :---: | :---: | :---: | :---: | :---: |
| $N^{\circ}$ |

## QUESTIONS TO CHECK LIST OF RESIDENTS

I have listed (read out the names in the second column). Is there anyone else, including children, living here?
$1 \square$ Yes (check that anyone mentioned is in fact a resident before adding name to list of residents)

Have I left out the name of anyone that normally lives here but that is temporarily absent because of work, or being in hospital or any other reason?
$1 \square$
Yes (check that anyone mentioned is in fact a resident before adding name to list of residents)
$2 \square$ No
$2 \square$ No

| 3 | IDENTIFICATION OF THE HOUSEHOLD RESIDENTS |  |  |  |  |  | 3 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \hline 1 \\ & \text { List No } \end{aligned}$ | Name |  | $\begin{aligned} & 1 \\ & \text { List } N^{\circ} \end{aligned}$ | Name |  |  |  |
| $\begin{aligned} & 2 \text { Sex } \\ & 2 \square \quad \text { Male } \end{aligned}$ |  | Female | $\begin{aligned} & 2 \text { Sex } \\ & 2 \square \quad \text { Male } \end{aligned}$ |  |  | Female |  |
| 3 Date of Birth day | month year | Number of jobs | 3 Date of Birth day | month |  |  | Number of jobs |


| GENERAL CHARACTERISTICS OF THE HOUSEHOLD RESIDENTS |  | 4 |
| :---: | :---: | :---: |
| 1 Status in the household | 1 Status in the household |  |
| $1 \square$ Reference person | $1 \square$ Reference person |  |
| $2 \square$ Spouse | $2 \square$ Spouse |  |
| $3 \square$ Son/daughter | $3 \square$ Son/daughter |  |
| $4 \square \quad$ Other relation | $4 \square \quad$ Other relation |  |
| $5 \square$ Associated to the family | $5 \square$ Associated to the family |  |
| $6 \square$ Pensioner | $6 \square$ Pensioner |  |
| $7 \square$ Domestic servant | $7 \square$ Domestic servant |  |
| $8 \square$ Relation of Domestic servant (go to 2) | $8 \square$ Relation of Domestic servant ( go to 2 ) |  |
| 2 Status in the family | 2 Status in the family |  |
| $1 \square$ Reference person | $1 \square$ Reference person |  |
| $2 \square$ Spouse | $2 \square$ Spouse |  |
| $3 \square$ Son/daughter | $3 \square$ Son/daughter |  |
| $4 \square \quad$ Other relation | $4 \square \quad$ Other relation |  |
| $5 \square$ Associated to the family | $5 \square$ Associated to the family |  |
| $6 \square$ Pensioner | $6 \square$ Pensioner |  |
| $7 \square \quad$ Domestic servant | $7 \square$ Domestic servant |  |
| $8 \square \quad$ Relation of Domestic servant ( go to 2 ) | $8 \square$ Relation of Domestic servant (go to 2) |  |
| 3 Family ${ }^{\text {º }}$ | 3 Family ${ }^{\circ}$ |  |
| (go to 4) | (go to 4) |  |

## Questionnaire



## Questionnaire



| CHARACTERISTICS OF THE HOUSEHOLD RESIDENTS MIGRATION 5 |  |
| :---: | :---: |
| 1 $\qquad$ (name) was born in this municipality <br> $1 \square \quad$ Yes (go to 4) <br> $3 \square \quad$ No (go to 2) | 1. $\qquad$ (name) was born in this municipality <br> $1 \square \quad$ Yes (go to 4) <br> $3 \square \quad$ No (go to 2) |
| 2 $\qquad$ (name) was born in this state or federal district? | 2. $\qquad$ (name) was born in this state or federal district? |
| 3 In which state (or federal district) or foreign country $\qquad$ (name) was born? <br> Code <br> (go to 10) | 3 In which state (or federal district) or foreign country $\qquad$ (name) was born? <br> Code <br> (go to 10) |
| 4 $\qquad$ (name) had lived in other state or foreign country? | 4 $\qquad$ (name) had lived in other state or foreign country? <br> $2 \square$ Yes (go to 5) <br> $4 \square$ No (go to 10) |
| 5 On September 25 2004, was $\qquad$ (name) living in this State or Federal District? <br> $1 \square \quad$ Yes (go to 6) <br> $3 \square \quad$ No (close off part) | 5 On September 25 2004, was $\qquad$ (name) living in this State or Federal District? <br> $1 \square \quad$ Yes (go to 6) <br> $3 \square \quad$ No (close off part) |
| 6 How long had $\qquad$ (name) been living uninterruptedly in this state on September 25 2004? | 6 How long had $\qquad$ (name) been living uninterruptedly in this state on September 25 2004? |

## Questionnaire

| 5 CHARACTERISTICS | HOLD RESIDENTS MIGRATION |
| :---: | :---: |
| 7 On September 25 1999, was $\qquad$ (name) living in this State or Federal District? <br> $1 \square \quad$ Yes (go to 9) <br> $3 \square \quad$ No (go to 8) <br> $5 \square \quad$ Not yet born (go to 9) | 7 On September 25 1999, was $\qquad$ (name) living in this State or Federal District? <br> $1 \square \quad$ Yes (go to 9) <br> $3 \square \quad$ No (go to 8) <br> $5 \square \quad$ Not yet born (go to 9) |
| 8 In which state or federal district was (name) living on September 25 1999? <br> Code <br> (go to 9) | 8 In which state or federal district was (name) living on September 25 1999? <br> Code (go to 9) |
| 9 In which state or federal district was $\qquad$ living last, before living here? <br> Code (go to 10) | 9 In which state or federal district was $\qquad$ living last, before living here? <br> Code <br> (go to 10) |
| 10 Was $\qquad$ living in this municipality on September 26 2004? <br> $2 \square$ Yes (go to 11) $4 \square$ No (Close off part) | 10 Was $\qquad$ living in this municipality on September 25 2004? <br> $2 \square$ Yes (go to 11) $4 \square$ No (Close off part) |
| 11 Has $\qquad$ lived in any other municipality in this state or federal district? <br> $1 \square \quad$ Yes (go to 12) <br> 3 No <br> (Close off part) | 11 Has $\qquad$ lived in any other municipality in this state or federal district? <br> $1 \square$ Yes (go to 12) <br> 3 No <br> (Close off part) |
| 12 How long had $\qquad$ been living uninterruptedly in this municipality on September 252004 ? <br> $2 \square \quad$ Up to 4 years <br> $4 \square \quad$ From 5 to 9 years <br> $6 \square \quad 10$ years or more | 12 How long had $\qquad$ been living uninterruptedly in this municipality on September 252004 ? <br> $2 \square$ Up to 4 years <br> $4 \square \quad$ From 5 to 9 years <br> $6 \square \quad 10$ years or more |




| 6 GENERAL CHARACTERISTICS HO | SEHOLD RESIDENTS EDUCATION 6 |
| :---: | :---: |
| 8 Was the course $\qquad$ formerly attended structured in series or grades? <br> $2 \square \quad$ Yes (go to 9) <br> $4 \square \quad$ No (go to 11) | 8 Was the course $\qquad$ formerly attended structured in series or grades? <br> $2 \square \quad$ Yes (go to 9) <br> $4 \square \quad$ No (go to 11) |
| 9 Did $\qquad$ successfully conclude at least the first year of that course formerly attended course? <br> $1 \square \quad$ Yes (go to 10) <br> $3 \square$ No (close off this part) | 9 Did $\qquad$ successfully conclude at least the first year of that course formerly attended course? <br> $1 \square \quad$ Yes (go to 10) <br> $3 \square \quad$ No (close off this part) |
| 10 What is the highest level of the formerly attended course that $\qquad$ successfully concluded? <br> (go to 11) | 10 What is the highest level of the formerly attended course that $\qquad$ successfully concluded? <br> (go to 11) |
| 11 Did. $\qquad$ finish the course that he/she formerly attended? <br> $1 \square$ Yes <br> 3 <br> No | 11 Did. $\qquad$ finish the course that he/she formerly attended? <br> $1 \square$ Yes <br> $3 \square$ No |

## Questionnaire

| 19 | COMPLEMENTARY CHARACTERISTICS OF EDUCATION AND ACCESS TO SCHOOL MEALS OF HOUSEHOLD RESIDENTS FROM 0 TO 17 YEARS OLD (BORN BETWEEN SEPT. 261986 AND SEPT. 25 2004) |  |  | 19 |
| :---: | :---: | :---: | :---: | :---: |
| The informant should NOT be asked Questions 1,2 and 3. They are designed for the control of the interview |  | The informant should NOT be asked Questions 1,2 and 3. They are designed for the control of the interview |  |  |
| 1 The person giving information for this part is: |  | 1 The person giving information for this part is: |  |  |
| 1 | The person himself/herself $\}$ go to 3 | $1 \square$ | The person himself/herself | to 3 |
|  | A person not residing in household \} go to 3 | $3 \square$ | A person not residing in household | to 3 |
|  | Another household resident (go to 2) | $5 \square$ | Another household resident | 2) |
| 2 The list $\mathrm{N}^{\circ}$ of the informant for this part |  | 2 The list $\mathrm{N}^{\circ}$ of the informant for this part |  |  |
| (go to 3) |  | (go to 3) |  |  |
| 3 Schooling situation: <br> Mark here according to the answers marked in part 6 |  | 3 Schooling situation: <br> Mark here according to the answers marked in part 6 |  |  |
|  |  |  |  |  |
|  | Attending school or crèche $\}$ (go to 4) (Qu. 2 code 2) | $1$ | Attending school or crèche $\}$ (go to 4) (Qu. 2 code 2) |  |
|  | Not Attending school or crèche \} (go to 10) (Qu. 2 code 4) | $3$ | Not Attending school or crèche \} (go (Qu. 2 code 4) |  |
| 4 How many hours a day does $\qquad$ normally spend in school or in the crèche? |  | 4 How many hours a day does $\qquad$ normally spend in school or in the crèche? |  |  |
| 2 | Up to 4 hours | $2 \square$ | Up to 4 hours |  |
| 4 | From 4 to 6 hours | $4 \square$ | From 4 to 6 hours |  |
| 6 | More than 6 hours | $6 \square$ | More than 6 hours |  |
| (go to 5) |  | (go to 5) |  |  |
| 5 Between the dates July 28 and September 25 of 2004 did .......... fail to attend the school or crèche at least once? |  | 5 Between the dates July 28 and September 25 of 2004 did .......... fail to attend the school or crèche at least once? |  |  |
| $1 \square$ | Yes (go to 6) | $1 \square \quad$ Yes (go to 6) |  |  |
|  | No (go to 8) | $3 \square$ | No (go to 8) |  |


| 19 | COMPLEMENTARY CHARACTERISTICS OF EDUCATION AND ACCESS TO SCHOOL MEALS OF HOUSEHOLD RESIDENTS FROM 0 TO 17 YEARS OLD (BORN BETWEEN SEPT. 261986 AND SEPT. 25 2004) |  |  | 19 |
| :---: | :---: | :---: | :---: | :---: |
| 6 Between the dates July 28 and September 25 of 2004 how many days did $\qquad$ fail to attend the school or crèche? |  | 6 Between the dates July 28 and September 25 of 2004 how many days did $\qquad$ fail to attend the school or crèche? |  |  |
| $2 \square$ | From 1 to 5 days | $2 \square$ | From 1 to 5 days |  |
| $4 \square$ | From 6 to 10 days | $4 \square$ | From 6 to 10 days |  |
| $6 \square$ | From 11 to 20 days | $6 \square$ | From 11 to 20 days |  |
| $8 \square$ | Over 20 days | $8 \square$ | Over 20 days |  |
|  | (go to 7) | (go to 7) |  |  |
| 7 What was the main reason for $\qquad$ 's failing to attend the school or crèche on those days? |  | 7 What was the main reason for $\qquad$ 's failing to attend the school or crèche on those days? |  |  |
| $01 \square$ | Helping with domestic chores | $01 \square$ Helping with domestic chores |  |  |
| $02 \square$ | Working or looking for work | $02 \square$ Working or looking for work |  |  |
| $03 \square$ | Lack of school transport | $03 \square$ Lack of school transport |  |  |
| $04 \square$ | Lack of money to meet expenses (monthly fee, transport etc) in order to stay in the school or crèche | $04 \quad$ Lack of money to meet expenses (monthly fee transport etc) in order to stay in the school or crèche |  |  |
| $05 \square$ | School or crèche too far away | $5 \square$ School or crèche too far away |  |  |
| $06 \square$ | No one to take him/her there | $06 \square$ | No one to take him/her there |  |
| $07 \square$ | No teacher, teacher's strike | $07 \square$ No teacher, teacher's strike |  |  |
| $08 \square$ | Difficulties in accompanying the course | $08 \square$ Difficulties in accompanying the course |  |  |
| $09 \square$ | Sick | $9 \mathrm{\square}$ Sick |  |  |
| $10 \square$ | Didn't want to attend | $10 \square$ Didn't want to attend |  |  |
| $11 \square$ | Parents or guardians didn't want him/her to attend | 11 Parents or guardians didn't want him/her to attend |  |  |
| 12 | Other reasons | $12 \square$ | Other reasons |  |
|  | (go to 8) |  | (go to 8) |  |

## Questionnaire

| 19 | COMPLEMENTARY CHARACTERISTICS OF EDUCATION AND ACCESS TO SCHOOL MEALS OF HOUSEHOLD RESIDENTS FROM 0 TO 17 YEARS OLD (BORN BETWEEN SEPT. 261986 AND SEPT. 25 2004) |  |  | 19 |
| :---: | :---: | :---: | :---: | :---: |
| 8 In a sch <br> 2 <br> 4 | e school or crèche that $\qquad$ attends is there ol snack or other meal usually provided? <br> Yes (go to 9) <br> No (close off this part) | 8 In a sch <br> $2 \square$ <br> 4 - | he school or crèche that $\qquad$ attend ol snack or other meal usually provide <br> Yes (go to 9) <br> No (close off this part) | there |
|  | $\qquad$ usually eat the snack or meal offered school or crèche he/she attends? <br> Yes <br> No <br> (close off this part) |  | $\qquad$ usually eat the snack or m school or crèche he/she attends? <br> Yes <br> No <br> (close off this part) |  |
| 10 What is the main reason $\qquad$ does not attend the school or crèche? |  | 10 What is the main reason $\qquad$ does not attend the school or crèche? |  |  |
| $01 \square$ | Helping with domestic chores | $01 \square$ | Helping with domestic chores |  |
| $02 \square$ | Working or | $02 \square$ | Working or looking for work |  |
| $03 \square$ | Lack of school transport | $03 \square$ | Lack of school transport |  |
|  | Lack of money to meet expenses (monthly fee, transport etc) in order to stay in the school or crèche | $04 \square$ | Lack of money to meet expenses ( $m$ transport etc) in order to stay in the crèche |  |
| $05 \square$ | Lack of necessary documents | $05 \square$ | Lack of necessary documents |  |
| $06 \square$ | No school or crèche nearby | $06 \square$ | No school or crèche nearby |  |
| $07 \square$ | No vacancy in the school or crèche | $07 \square$ | No vacancy in the school or crèche |  |
| $08 \square$ | Finished the desired schooling level | $08 \square$ | Finished the desired schooling level |  |
| $09 \square$ | No on e to take him/her there | $09 \square$ | No on e to take him/her there |  |
|  | Sickness or handicap |  | Sickness or handicap |  |
| $11 \square$ | Didn't want to attend school or crèche | $11 \square$ | Didn't want to attend school or crèch |  |
| $12 \square$ | Parents or guardians didn't want him/her to attend | $12 \square$ | Parents or guardians didn't want him attend |  |
| $13 \square$ | Parents or guardians prefer that he/she works attend | $13 \square$ | Parents or guardians prefer that he/ attend |  |
|  | Other reasons <br> (close off this part) |  | Other reasons <br> (close off this part) |  |


| 7 CHARACTERISTICS OF WORK DONE <br> (BORN BETWEEN SEPT. 2  | BY RESIDENT CHILDREN AGED 5 TO 9 1994 AND SEPT. 25 1999) |
| :---: | :---: |
| 1 Did $\qquad$ do any kind of work in the period from Sept. 262003 to Sept. 25 2004? <br> $1 \square \quad$ Yes (go to 4) <br> $3 \square \quad$ No (go to 2) | 1 Did $\qquad$ do any kind of work in the period from Sept. 262003 to Sept. 25 2004? <br> $1 \square \quad$ Yes (go to 4) <br> $3 \square \quad$ No (go to 2) |
| 2 In the period from Sept. 262003 to Sept. 252004 did $\qquad$ .do any kind of planting or animal husbandry work or fishing aimed at providing food for the family? $\begin{array}{ll} 2 & \square \text { Yes (go to 4) } \\ 4 & \text { No (go to 3) } \end{array}$ | 2 In the period from Sept. 262003 to Sept. 252004 did $\qquad$ do any kind of planting or animal husbandry work or fishing aimed at providing food for the family? $2 \square \quad \text { Yes (go to 4) }$ $4 \quad \text { No (go to 3) }$ |
| 3 In the period from Sept. 262003 to Sept. 252004 did. $\qquad$ do any kind of building work on the building or rooms of the dwelling or on a well destined to be used by the household members? <br> $1 \square \quad$ Yes (go to 4) <br> $3 \square$ No (close off this part) | 3 In the period from Sept. 262003 to Sept. 252004 did. $\qquad$ .do any kind of building work on the building or rooms of the dwelling or on a well destined to be used by the household members? <br> $1 \square \quad$ Yes (go to 4) <br> $3 \square$ No (close off this part) |
| 4 Did $\qquad$ work in the week from Sept. 19 to 25 2004? <br> $2 \square \quad$ Yes (go to 9) <br> $4 \quad$ No (go to 5) | 4 Did $\qquad$ work in the week from Sept. 19 to 25 2004? <br> $2 \square \quad$ Yes (go to 9) <br> $4 \quad$ No (go to 5) |
| 5 In the week from Sept. 19 to 25 2004, was $\qquad$ temporarily laid off remunerated work because of holidays, leave, voluntary absence, a strike, temporary suspension of work contract, illness, bad weather or any other reason? <br> $1 \square$ Yes (go to 9) $3 \square \quad$ No (go to 6) | 5 In the week from Sept. 19 to 25 2004, was $\qquad$ temporarily laid off remunerated work because of holidays, leave, voluntary absence, a strike, temporary suspension of work contract, illness, bad weather or any other reason? <br> $1 \square$ Yes (go to 9) $3 \square \quad$ No (go to 6) |



## Questionnaire



| 7 | CHARACTERISTICS OF WORK DONE BY RESIDENT CHILDREN AGED 5 TO 9 |
| :--- | :---: | :---: |

12 What was ........... 's normal monthly salary at the
time of the work done in the week from Sept. 19 to time of the work done in the week from Sept. 19 to 25 2004?

2

| $2 \square$ |  |
| :--- | :--- |
| $4 \square$ | .00 |
| In cash (Reals) |  |
| $6 \square$ | In benefits only |
| $8 \square$ | Unpaid |

(go to 13)
13 How many hours a week did $\qquad$ normally work at the time of the work done in the week from Sept. 19 to 25 2004?

12 What was $\qquad$ 's normal monthly salary at the time of the work done in the week from Sept. 19 to 25 2004?
$2 \square$
In cash (Reals) .00
$4 \square \longrightarrow .00$
in kind- value of products and goods (Reals)
$6 \square \quad$ In benefits only
$8 \square$ Unpaid
(go to 13)
13 How many hours a week did normally work at the time of the work done in the week from Sept. 19 to 25 2004?

## Hours

(close off this part)
(close off this part)
$\qquad$
REMARKS:
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

| 9 WORK AND INCOME CHARACTERIST <br> (BORN ON OR BEF  | CS OF RESIDENTS AGED 10 OR OVER 9 |
| :---: | :---: |
| 1 Did $\qquad$ do any kind of work in the week from Sept. 19 to 25 2004? <br> $1 \square \quad$ Yes (go to 5) <br> $3 \square \quad$ No (go to 2) | 1 Did $\qquad$ do any kind of work in the week from Sept. 19 to 25 2004? <br> $1 \square \quad$ Yes (go to 5) <br> $3 \square \quad$ No (go to 2) |
| 2 In the week from Sept. 19 to 25 2004, was $\qquad$ temporarily laid off remunerated work because of holidays, leave, voluntary absence, a strike, temporary suspension of work contract, illness, bad weather or any other reason? <br> $2 \square \quad$ Yes ( goto 5 ) <br> $4 \square$ No (go to 3) | 2 In the week from Sept. 19 to 25 2004, was $\qquad$ temporarily laid off remunerated work because of holidays, leave, voluntary absence, a strike, temporary suspension of work contract, illness, bad weather or any other reason? <br> $\begin{array}{ll}2 \square & \text { Yes }(\text { go to } 5) \\ 4 & \square\end{array}$ |
| 3 In the period from Sept. 19 to 252004 did $\qquad$ do any kind of planting or animal husbandry work or fishing aimed at providing food for the household residents? <br> $1 \square \quad$ Yes (go to 5) <br> $3 \square \quad$ No (go to 4) | 3 In the period from Sept. 19 to 252004 did $\qquad$ do any kind of planting or animal husbandry work or fishing aimed at providing food for the household residents? <br> $1 \square \quad$ Yes (go to 5) <br> $3 \square$ No (go to 4) |
| 4 In the period from Sept. 19 to 252004 did $\qquad$ do any kind of building work on the building or rooms of the dwelling or on a well destined to be used by the household members? $\begin{array}{lll} 2 & \square & \text { Yes } \\ \text { (go to 5) } \\ 4 & \square & \text { No } \quad \text { (go to 67) } \end{array}$ | 4 In the period from Sept. 19 to 252004 did $\qquad$ do any kind of building work on the building or rooms of the dwelling or on a well destined to be used by the household members? $\begin{array}{lll} 2 & \square & \text { Yes } \end{array}(\text { (go to 5) }) ~(\text { No to 67) }$ |


| 9 | WORK AND INCOME CHARACTERISTI <br> (BORN ON OR BEF | CS OF RESIDENTS AGED 10 OR OVER ORE SEPT. 25 1994) | 9 |
| :---: | :---: | :---: | :---: |
| FOR OCCUPIED PERSON IN REFERENCE WEEK |  | FOR OCCUPIED PERSON IN REFERENCE WEEK |  |
| 5 How many occupations did $\qquad$ have in the week from Sept. 19 to 25 2004? |  | 5 How many occupations did $\qquad$ have in the week from Sept. 19 to 25 2004? |  |
|  | One | $1 \square$ One |  |
|  | Two | $3 \square$ Two |  |
|  | Three or more | $5 \square$ Three or more |  |
| (go to 6) |  | (go to 6) |  |
| Questions 6 to 61 should refer to the single or main occupation that the person had in the week from Sept 19 to 252004. |  | Questions 6 to 61 should refer to the single or main occupation that the person had in the week from Sept 19 to 252004. |  |
| 6 What was ............ 's occupation in the work undertaken in the week from Sept. 19 to 25 2004? <br> Code <br> (go to 7) |  | 6 What was $\qquad$ 's occupation in the work undertaken in the week from Sept. 19 to 25 2004? <br> Code |  |
|  |  |  |  |
|  |  | (go to 7) |  |
| 7 What was the main line of activity of the enterprise (business, institution, entity) where $\qquad$ worked? |  | 7 What was the main line of activity of the enterprise (business, institution, entity) where $\qquad$ worked? |  |
| Code |  | Code |  |
| (If the activity is in the field of agriculture, animal husbandry, forestry, fishing, extractivism, fish farming or any service related to such activities go to 8 otherwise go to 29 ) |  | (If the activity is in the field of agriculture, animal husbandry, forestry, fishing, extractivism, fish farming or any service related to such activities go to 8 otherwise go to 29 ) |  |



## Questionnaire





## Questionnaire



## Questionnaire



## Questionnaire

|  | WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER (BORN ON OR BEFORE SEPT. 25 1994) |  | 9 |
| :---: | :---: | :---: | :---: |
|  | rior commitment to sell any part of that work? <br> $4 \square$ No <br> (go to 25) | 24 In the period from Sept. 262003 to Sept. 252004 did $\qquad$ make any prior commitment to sell any part of the main production of that work? <br> 2 Yes <br> 4 No |  |
|  | he period from Sept. 262003 to make sell any part of the ma work? <br> Yes (go to 26) <br> 3 <br> No | 25 In the period from Sept. 262003 to Sept. 252004 did $\qquad$ make sell any part of the main production of that work? <br> $1 \square \quad$ Yes (go to 26) $3 \square \quad$ No (go to 27) |  |
|  | Company <br> Cooperative <br> Government <br> Owner of the property used for the venture <br> Private intermediary <br> Consumer-direct purchase <br> Other buyer (specify) | 26 Who purchased the entire main production or the greater part of the production of that work that $\qquad$ sold? <br> $1 \square$ Company <br> $2 \square$ Cooperative <br> $3 \square$ Government <br> $4 \square$ Owner of the property used for the venture <br> $5 \square \quad$ Private intermediary <br> $6 \square$ Consumer-direct purchase <br> $7 \square \quad$ Other buyer (specify) |  |
|  | Yes (go to 28) $3 \square \quad$ No (go to 51 ) | 27 In that venture in September of 2004, did $\qquad$ have any type of production that was consumed as food by the household residents?$1 \square \quad \text { Yes (go to 28) } 3 \square \quad \text { No (go to 51) }$ |  |
|  | $\begin{array}{lc}\text { Up to half } & 4 \square \\ & \text { (go to 51) }\end{array}$ | 28 In September 2004, what proportion of the food consumed by household residents was taken from that production? |  |

## Questionnaire



## Questionnaire



## Questionnaire

| WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER (BORN ON OR BEFORE SEPT. 25 1994) |  |
| :---: | :---: |
| 40 How many people were occupied with that work in September 2004? <br> $2 \square$ Two <br> $4 \square$ Three to five <br> $6 \square$ Six to ten <br> $8 \square$ Eleven or more <br> (go to 41) | 40 How many people were occupied with that work in September 2004? <br> $2 \square$ Two <br> $4 \square$ Three to five <br> $6 \square$ Six to ten <br> $8 \square$ Eleven or more <br> (go to 41) |
| 41 In that employment payment was contracted: <br> $1 \square$ Only for days worked <br> $3 \square \quad$ Only for production or commission <br> $5 \square \quad$ Only by piecework or sub-contract <br> $7 \quad$ By days worked plus production or commission <br> $8 \square \quad$ Other form (specify) <br> (go to 42) | 41 In that employment payment was contracted: <br> $1 \square$ Only for days worked <br> $3 \square$ Only for production or commission <br> $5 \square \quad$ Only by piecework or sub-contract <br> $7 \quad$ By days worked plus production or commission <br> $8 \square \quad$ Other form (specify) <br> (go to 42) |
| 42 In that work, did $\qquad$ have his/her work card duly signed? <br> $2 \square$ Yes <br> 4 <br> No <br> (go to 43) | signed? $\begin{array}{ll} 2 \square \quad \text { Yes } & \begin{array}{c} 4 \square \\ \text { (go to 43) } \end{array} \end{array}$ |
| 43 In that work, did $\qquad$ receive a lodgings allowance in September 2004?: <br> $1 \square$ Yes <br> $3 \square$ No <br> (go to 44) | 43 In that work, did $\qquad$ receive a lodgings allowance in 2004?: <br> 1 Yes <br> 3 No (go to 44) |


| 9 WORK AND INCOME CHARACTERISTI <br> (BORN ON OR BEF  | OF RESIDENTS AGED 10 OR OVER 9 |
| :---: | :---: |
| 44 In that work, did $\qquad$ receive meals allowance in September 2004? $\begin{array}{ll} 2 \square \quad \text { Yes } & \begin{array}{c} 4 \square \\ \text { (go to 45) } \end{array} \end{array}$ | 44 In that work, did $\qquad$ receive meals allowance in September 2004? $\begin{array}{ll} 2 \square \quad \text { Yes } & \begin{array}{c} 4 \square \\ \text { (go to 45) } \end{array} \end{array}$ |
| 45 In that work, did $\qquad$ receive a travel allowance in September 2004? $\begin{array}{\|ll} 1 \square \quad \text { Yes } & \begin{array}{c} 3 \square \\ \text { (go to 46) } \end{array} \end{array}$ | 45 In that work, did $\qquad$ receive a travel allowance in September 2004? <br> 1 Yes <br> 3 No <br> (go to 46) |
| 46 In that work, did $\qquad$ receive an education or crèche allowance in September 2004? <br> 2 Yes <br> 4 $\qquad$ No (go to 47) | 46 In that work, did $\qquad$ receive an education or crèche allowance in September 2004? <br> 2 Yes <br> 4 $\qquad$ No (go to 47) |
| 47 In that work, did $\qquad$ receive a health or convalescence allowance in September 2004? <br> 1 Yes <br> 3 $\qquad$ No (go to 53) | 47 In that work, did $\qquad$ receive a health or convalescence allowance in September 2004? <br> 1 Yes <br> 3 $\qquad$ No (go to 53) |
| 48 How many employees did $\qquad$ make use of in that work in September 2004? | 48 How many employees did $\qquad$ make use of in that work in September 2004? |
| 49 In that work, did $\qquad$ have at least one partner occupied in it in September 2004? <br> 1 Yes (go to 50) <br> $3 \square \quad$ No (go to 51) | 49 In that work, did $\qquad$ have at least one partner occupied in it in September 2004? <br> 1 Yes (go to 50) <br> 3 $\square \quad \text { No (go to } 51 \text { ) }$ |

## Questionnaire



## Questionnaire



## Questionnaire

| 9 | WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER <br> (BORN ON OR BEFORE SEPT. 25 1994) |  |  | 9 |
| :---: | :---: | :---: | :---: | :---: |
| 56 Did $\qquad$ go straight from where he lived to the work? <br> $2 \square \quad$ Yes (go to 57) <br> $4 \square \quad$ No (go to 58) |  | 56 Did work? <br> $2 \square$ <br> 4 | $\qquad$ go straight from where he lived <br> Yes (go to 57) <br> No (go to 58) | the |
| 57 How long did it take $\qquad$ to get from the place where he lived to the work place? |  | 57 How long did it take $\qquad$ to get from the place where he lived to the work place? |  |  |
|  | Up to 30 minutes | $1 \square$ | Up to 30 minutes |  |
|  | From 30 minutes to 1 hour | $3 \square$ | From 30 minutes to 1 hour |  |
|  | From 1 to 2 hours | $5 \square$ | From 1 to 2 hours |  |
|  | Over 2 hours | $7 \square$ | Over 2 hours |  |
| (go to 58) |  | (go to 58) |  |  |
| 58 How many hours a week did $\qquad$ usually work in that occupation? |  | 58 How many hours a week did $\qquad$ usually work in that occupation? |  |  |
|  | hours |  | hours |  |
| (go to 59) |  | (go to 59) |  |  |
| 59 Was $\qquad$ a contributor to a Social Welfare scheme during that work? |  | 59 Was $\qquad$ a contributor to a Social Welfare scheme during that work? |  |  |
|  | Yes (go to 60) | $1 \square$ Yes (go to 60) |  |  |
|  | No (go to 61) | $3 \square$ No (go to 61) |  |  |
| 60 In that work, which kind of Social Welfare institute did $\qquad$ contribute to? |  | 60 In that work, which kind of Social Welfare institute did $\qquad$ contribute to? |  |  |
|  | Federal | $2 \square$ | Federal |  |
|  | State | $4 \square$ | State |  |
|  | Municipal | $6 \square$ | Municipal |  |

## Questionnaire



## Questionnaire



| 9 | WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER (BORN ON OR BEFORE SEPT. 25 1994) |  |  |
| :---: | :---: | :---: | :---: |
|  | ERSONS WITH AN OCCUPATION DURING THE OF 358 DAYS BEING INVESTIGATED those who continued to be YED IN THE SAME MAIN ACTIVITY DURING FERENCE WEEK). | FOR PERSONS WITH AN OCCUPATION DURING THE PERIOD OF 358 DAYS BEING INVESTIGATED (EXCEPT THOSE WHO CONTINUED TO BE EMPLOYED IN THE SAME MAIN ACTIVITY DURING THE REFERENCE WEEK). |  |
|  | ons 71 to 86 should refer to the single or occupation that the person left during the from Sept. 262003 to Sept. 182004. | Questions 71 to 86 should refer to the single or main occupation that the person left during the period from Sept. 262003 to Sept. 182004. |  |
|  | t was $\qquad$ 's occupation in the former ne in the period from September 262003 to ber 18 2004? | 71 What was $\qquad$ 's occupation in the former work done in the period from September 262003 to September 18 2004? <br> Code |  |
|  | (go to 72) | (go to 72) |  |
|  | was the main activity of the venture <br> s, company, institution, entity, etc.) in which had that former occupation? | 72 What was the main activity of the venture (business, company, institution, entity, etc.) in which $\qquad$ had that former occupation? <br> Code |  |
|  | activity is in the field of agriculture, animal dry, forestry, fishing, extractivism, fish farming service related to such activities go to 73 e go to 77 ) | (If the activity is in the field of agriculture, animal husbandry, forestry, fishing, extractivism, fish farming or any service related to such activities go to 73 otherwise go to 77 ) |  |

## Questionnaire



## Questionnaire

| 9 | WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER <br> (BORN ON OR BEFORE SEPT. 25 1994) |  |  |  | 9 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 75 In that former work did....... have any kind of partnership with the employer? |  | 75 In that former work did....... partnership with the employer? |  |  |  |
| 1 - | Yes $3 \square$ No | $1 \square$ | Yes $3 \square$ | No |  |
| (go to 75) |  | (go to 75) |  |  |  |
| 76 In that former work, ............was a: |  | 76 In that former work, ...........was a: |  |  |  |
|  | Partner |  | Partner |  |  |
|  | Tenant | $2 \square$ | Tenant |  |  |
|  | Squatter |  | Squatter |  |  |
|  | Concessionaire |  | Concessionaire |  |  |
|  | Proprietor |  | Proprietor |  |  |
| $6 \square \quad$ Other (specify) |  | $6 \square$ | Other (specify) |  |  |
| (go to 85) |  | (go to 85) |  |  |  |
| 77 In that former work, ......... was a: |  | 77 In that former work, ......... was a: |  |  |  |
|  | Employee ----------------------- $\rightarrow$ ( go to 78) | $1 \square$ | Employee ------------------ | ------ $\rightarrow$ (go to |  |
|  | Domestic servant ------------- $\rightarrow$ (go to 82) | $2 \square$ | Domestic servant --------- | ----- $\rightarrow$ (go to |  |
|  | Working on own account $\}$ (go to 85) | $3 \square$ | Working on own account | \} (go to 85) |  |
|  | Employer $\quad$ ( go to 85) |  | Employer | \} (go to 85) |  |
|  | Unpaid worker member of household unit $\quad\}$ (go to 85) |  | Unpaid worker member o household unit | $\text { \} (go to 85) }$ |  |
|  | Other unpaid worker $\quad$ (go to 85) | $6 \square$ | Other unpaid worker | \} (go to 85) |  |
|  | Working on construction $\quad$ (go to 85) for own use |  | Working on construction for own use | \} (go to 85) |  |

## Questionnaire

| WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER (BORN ON OR BEFORE SEPT. 25 1994) |  |
| :---: | :---: |
| 78 In which sector was that former employment? <br> $2 \square \quad$ Private (go to 83) <br> $4 \square \quad$ Public ( go to 79 ) | 78 In which sector was that former employment? <br> $2 \square \quad$ Private (go to 83) <br> $4 \square \quad$ Public (go to 79) |
| 79 In which sphere was that former employment? | 79 In which sphere was that former employment? <br> $\begin{array}{lll}1 \square & \text { Federal } & -------------\rightarrow \text { (go to } 80) \\ 3 \square & \text { State } & \{\text { (go to } 81) \\ 5 \square & \text { Municipal } & \{\text { (go to } 81)\end{array}$ |
| 80 In that work, was $\qquad$ a member of the military?: <br> 2 Yes (go to 86) <br> 4 No (go to 81) | 80 In that work, was $\qquad$ a member of the military? <br> 2 Yes (go to 86) <br> 4 No (go to 81) |
| 81 In that former work, was $\qquad$ a permanent civil servant?: <br> 1 Yes (go to 86) <br> 3 No (go to 83) | 81 In that former work, was $\qquad$ a permanent civil servant?: <br> $1 \square \quad$ Yes (go to 86) <br> $3 \square \quad$ No (go to 83) |
| 82 During the last thirty days of that former employment did ...... provide remunerated domestic services in more than one household?: <br> 2 Yes <br> 4 No (go to 83) | 82 During the last thirty days of that former employment did $\qquad$ provide remunerated domestic services in more than one household?: <br> 2 Yes <br> 4 No (go to 83) |
| 83 In that former work, did $\qquad$ have his/her work card duly signed? <br> $1 \square \quad$ Yes (go to 84) <br> 3 <br> No (go to 85) | 83 In that former work, did $\qquad$ have his/her work card duly signed? <br> $1 \square \quad$ Yes (go to 84) <br> 3 <br> No (go to 85) |



| 9 | WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER (BORN ON OR BEFORE SEPT. 25 1994) |  | 9 |
| :---: | :---: | :---: | :---: |
| 89 How old was $\qquad$ when he/she first started to work? |  | 89 How old was $\qquad$ when he/she first started to work? |  |
| $1 \square$ | Up to 9 years old | $1 \square \quad$ Up to 9 years old |  |
|  | From 10 to 14 years old | $2 \square$ From 10 to 14 years old |  |
|  | From 15 to 17 years old | $3 \square \quad$ From 15 to 17 years old |  |
|  | From 18 to 19 years old | $4 \square \quad$ From 18 to 19 years old |  |
| $5 \square$ | From 20 to 24 years old | $5 \square$ From 20 to 24 years old |  |
|  | From 25 to 29 years old | $6 \square \quad$ From 25 to 29 years old |  |
|  | 30 years old or more | $7 \square 30$ years old or more |  |
|  | vo or more jobs held in the reference week - code 5 in question 5 - go to 90 . Otherwise go to 115) | (if two or more jobs held in the reference wee 3 or 5 in question 5 - go to 90 . Otherwise go | $\begin{aligned} & \text { code } \\ & \text { 115) } \end{aligned}$ |
|  | R PERSONS WITH TWO OCCUPATIONS OR MORE DURING THE REFERENCE WEEK | FOR PERSONS WITH TWO OCCUPATIONS OR DURING THE REFERENCE WEEK | ORE |
| $\begin{array}{\|l\|} \hline 90 \\ \text { durir } \end{array}$ | hat was $\qquad$ 's secondary occupation g the week from September 19 to 252004 ? | 90 What was $\qquad$ 's secondary occup during the week from September 19 to 25200 |  |
|  | Code | Code |  |




## Questionnaire



## Questionnaire

| WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER (BORN ON OR BEFORE SEPT. 25 1994) |  |
| :---: | :---: |
| 103 Was $\qquad$ a contributor to a Social Welfare scheme in those additional occupations? <br> $1 \square \quad$ Yes (go to 104) <br> $3 \square$ No (go to 105) | 103 Was $\qquad$ a contributor to a Social Welfare scheme in those additional occupations? <br> $1 \square \quad$ Yes (go to 104) <br> $3 \square$ No (go to 105) |
| 104 In which sphere was the Welfare scheme to which $\qquad$ contributed in those additional occupations? <br> $2 \square$ Federal <br> $4 \square$ State <br> $6 \square$ Municipal <br> (go to 105) | 104 In which sphere was the Welfare scheme to which $\qquad$ contributed in those additional occupations? <br> $2 \square$ Federal <br> $4 \square$ State <br> $6 \square$ Municipal <br> (go to 105) |
| 105 How many hours a week did $\qquad$ normally work in those additional occupations? <br> Hours <br> (go to 115) | 105 How many hours a week did ............ normally work in those additional occupations? <br> Hours <br> (go to 115) |
| FOR PERSONS WITH NO WORK IN THE 365 DAY REFERENCE PERIOD | FOR PERSONS WITH NO WORK IN THE 365 DAY REFERENCE PERIOD |
| 106 Did ....... have any work prior to September 26 2003? <br> $2 \square \quad$ Yes (go to 109) <br> $4 \square \quad$ No (go to 107) | 106 Did $\qquad$ have any work prior to September 26 2003? <br> $2 \square \quad$ Yes (go to 109) <br> $4 \square \quad$ No (go to 107) |
| 107 In the period prior to Sept. 26 2003, did $\qquad$ do any kind of planting or animal husbandry work or fishing aimed at providing food for the family? <br> $\begin{array}{ccc}1 & \square & \text { Yes } \\ \text { (go to 109) } \\ 3 & \square & \text { No }\end{array}$ (go to 108) | 107 In the period prior to Sept. 26 2003, did $\qquad$ do any kind of planting or animal husbandry work or fishing aimed at providing food for the family? $\begin{array}{llll} 1 & \square & \text { Yes } & \text { (go to 109) } \\ 3 & \square & \text { No } & \text { (go to 108) } \end{array}$ |


| 9 | WORK AND INCOME CHARACTERISTICS OF RESIDENTS AGED 10 OR OVER (BORN ON OR BEFORE SEPT. 25 1994) |  | 9 |
| :---: | :---: | :---: | :---: |
|  | the period prior to Sept. 262003 did $\qquad$ do of building work on the building or rooms of lling or on a well destined to be used by the Id members? <br> Yes (go to 109) <br> No (go to 115) | 108 In the period prior to Sept. 262003 did ..... any kind of building work on the building or room the dwelling or on a well destined to be used by household members? $\begin{array}{lll} 2 & \square & \text { Yes (go to 109) } \\ 4 & \square & \text { No (go to 115) } \end{array}$ | ..do of he |
|  | PERSONS WHO ONLY HAD WORK PRIOR TO THE 365 DAY REFERENCE PERIOD | FOR PERSONS WHO ONLY HAD WORK PRIOR TO THE 365 DAY REFERENCE PERIOD |  |
|  | September 25 2004, how long had it been .......... left the last work he/she had had? <br> $\overline{\text { Years }} \overline{\text { months }}$ <br> han 5 years go to 110. Otherwise go to 115) | 109 On September 25 2004, how long had it been since $\qquad$ left the last work he/she had had? <br> $\overline{\text { Years }} \overline{\text { months }}$ <br> (If less than 5 years go to 110. Otherwise go to 115) |  |
|  | hat was $\qquad$ 's occupation in the last work had? | 110 What was $\qquad$ 's occupation in the last he/she had? <br> Code |  |
|  | (go to 111) | (go to 111) |  |
|  | hat was the main line of activity of the se (business, company, institution, entity) $\qquad$ last worked? | 111 What was the main line of activity of the enterprise (business, company, institution, entity) where .......... last worked? |  |
|  | (go to 112) | (go to 112) |  |




## Questionnaire




## Questionnaire

| FERTILITY CHARACTERISTICS OF WOMEN RESIDENTS AGED 10 OR OVER (BORN ON OR BEFORE SEPT. 25 1994) |  |
| :---: | :---: |
| 1 Prior to September 252004 was any child born alive (or that showed some signs of life at birth) to ..................? <br> $1 \square \quad$ Yes (go to 2) <br> $3 \square \quad$ No (go to 10) | 1 Prior to September 252004 was any child born alive (or that showed some signs of life at birth) to ..................? <br> $1 \square \quad$ Yes (go to 2) <br> $3 \square \quad$ No (go to 10) |
| 2 How many live born children did $\qquad$ give birth to prior to September 25 2004? <br> Male <br> Female <br> 6 Don't know <br> 8 Don't know (go to 3) | 2 How many live born children did $\qquad$ . give birth to prior to September 25 2004? <br> Male <br> Female <br> 6 Don't know <br> 8 Don't know (go to 3) |
| 3 Of those children born alive to $\qquad$ how many are still alive? <br> Male <br> Female <br> 5 Don't know <br> 7 Don't know (go to 4) | 3 Of those children born alive to $\qquad$ how many are still alive? <br> Male <br> Female <br> 5 Don't know <br> 7 Don't know (go to 4) |
| 4 Of those children born alive to $\qquad$ how many are living in this household? <br> Male <br> Female <br> (go to 5) | 4 Of those children born alive to $\qquad$ how many are living in this household? <br> Male <br> Female <br> (go to 5) |
| 5 Of those children born alive to $\qquad$ how many a living somewhere else? | 5 Of those children born alive to $\qquad$ how many are living somewhere else? |


| 3 | IDENTIFICATION OF THE HOUSEHOLD RESIDENTS |  |  |  |  |  | 3 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & 1 \\ & \text { List } N^{\circ} \end{aligned}$ | Name |  | $\begin{array}{\|l\|} \hline 1 \\ \text { List No } \end{array}$ | Name |  |  |  |
| $\begin{array}{\|l\|} \hline 2 \text { Sex } \\ 2 \square \quad \text { Male } \end{array}$ | $4 \square$ | Female | $\begin{aligned} & 2 \text { Sex } \\ & 2 \square \quad \text { Male } \end{aligned}$ |  |  | Female |  |
| 3 Date of Birth day | month year | Number of jobs | 3 Date of Birth day | month |  |  | Number of jobs |


| FERTILITY CHARACTERISTICS OF <br> (BORN ON OR BE | REN RESIDENTS AGED 10 OR OVER 11 |
| :---: | :---: |
| 6 Of those children born alive to $\qquad$ how many have already died? | 6 Of those children born alive to $\qquad$ how many have already died? |
| 7 What was the sex of the last child born alive to ........? <br> 1 Male 3 $\qquad$ Female <br> 5 $\qquad$ Don't know (go to 8) | 7 What was the sex of the last child born alive to .......? <br> 1 Male 3 $\qquad$ Female <br> 5 Don't know (go to 8) |
| 8 In what year and month was the last child born alive to. $\qquad$ ? <br> month <br> (go to 9) | 8 In what year and month was the last child born alive to. $\qquad$ ? <br> month <br> Year <br> (go to 9) |
| 9 Was the last child born alive to $\qquad$ still alive on September 25 2004? <br> 1 Yes <br> 3 No <br> 5 Don't know (go to 10) | 9 Was the last child born alive to $\qquad$ still alive on September 25 2004? <br> 1 Yes <br> 3 No <br> 5 $\qquad$ Don't know (go to 10) |
| 10 Prior to September 252004 had $\qquad$ ever had a stillborn baby born after the seventh month of pregnancy? <br> $2 \square$ Yes (go to 11) <br> $4 \square$ No (close off this part) | 10 Prior to September 252004 had $\qquad$ ever had a stillborn baby born after the seventh month of pregnancy? $2 \square \text { Yes (go to 11) }$ <br> $4 \square$ No (close off this part) |
| 11 How many stillborn babies had been born to $\qquad$ prior to September 25 2004? | 11 How many stillborn babies had been born to $\qquad$ prior to September 25 2004? |

## Questionnaire



| The informant should NOT be asked Questions 1 <br> and 2. They are designed for the control of the <br> interview |
| :--- |
| 1 The informant for this part is: |
| $1 \square$ A household resident (go to 2) |
| $3 \square$ Not resident in the household (go to 3) |
| 2 |

2 The list number of the informant for this part is:
(go to 3)
3 During the last 3 months have the residents of this household been concerned that the food would finish before they would be able to buy more?
$1 \square$ Yes (go to 4)
$3 \square \quad$ No $\quad($ go to 5$)$

4 During the last 3 months, how often have the residents of this household been concerned that would no be able to buy or receive more food?
$2 \square$ Almost every day
$4 \square \quad$ On some days
$6 \square$ Only one or two days
$8 \square$ Don't know
(go to 5)
5 During the last 3 months has it happened that food finished before the household residents got money to buy more food?
$1 \square$ Yes (go to 6)
$3 \square \quad$ No $\quad$ (go to 7)

6 During the last 3 months how often has it happened that food finished before the household residents got money to buy more food?
$2 \square$ Almost every day
$4 \square \quad$ On some days
$6 \square$ Only one or two days
$8 \square$ Don't know
(go to 7)
7 During the last three months has it happened that the household residents found themselves without enough money to maintain a healthy and varied diet?
$\begin{array}{lll}1 \square & \text { Yes } & \text { (go to 8) } \\ 3 \square & \text { No } & (\text { go to } 9)\end{array}$
$3 \square \quad$ No (go to 9)

8 During the last three months how often has it happened that the household residents found themselves without enough money to maintain a healthy and varied diet?
$2 \square$ Almost every day
$4 \square \quad$ On some days
$6 \square$ Only one or two days
$8 \square$ Don't know
(go to 9)
9 In the last 3 months has it happened that the household residents have eaten only the food that was left because they ran out of money?
$1 \square \quad$ Yes (go to 10)
$3 \square$ No $\}$ (if in questions 3,5 , and 7 , the answer was No in all of them then close off the interview. Otherwise go to 11)

## Questionnaire

| 21 | FOOD SECURITY CHRACTERIS |
| :--- | :--- |
| $\begin{array}{l}10 \\ \text { that the last } 3 \text { months, how often has it happened } \\ \text { the houseld residents have eaten only the }\end{array}$ |  | that the household residents have eaten only the food that was left because they ran out of money?

$2 \square$ Almost every day
$4 \square \quad$ On some days
$6 \square$ Only one or two days
$8 \square$ Don't know
(go to 7)
Questions 11 to 19 should only be asked if at least one of the questions $3,5,7$ or 9 was answered with a "Yes".
11 During the last 3 months has any household resident 18 years old or over, cut down on the food in meals because there was no money to buy food?

```
1\square Yes (go to 12)
3\square No (go to13)
```

12 During the last 3 months how often has a household resident 18 years old or over, cut down on meals because there was no money to buy food?
$2 \square$ Almost every day
$4 \square \quad$ On some days
$6 \square$ Only one or two days
$8 \square$ Don't know
(go to 13)

13 During the last 3 months has a household resident 18 years old or over, cut out a meal because there was no money to buy food?
$1 \square \quad$ Yes (go to 14)
$3 \square \quad$ No (go to 15)
14 During the last 3 months how often has a household resident 18 years old or over, cut out a meal because there was no money to buy food?
$2 \square$ Almost every day
$4 \square$ On some days
$6 \square$ Only one or two days
$8 \square$ Don't know
(go to 7)
15 During the last 3 months has a household resident 18 years old or over, eaten less because there was no money to buy food?
$1 \square$ Yes
(go to 16)
$3 \square$ No (go to 17)

16 During the last three months how often has a household resident 18 years old eaten less because there was no money to buy food?
$2 \square$ Almost every day
$4 \square$ On some days
$6 \square$ Only one or two days
$8 \square$ Don't know
(go to 9)

17 During the last 3 months has any household resident 18 years old or over felt hungry and not eaten because there was no money to buy food?
$1 \square \quad$ Yes (go to 18)
$3 \square \quad$ No (go to 19)

18 During the last 3 months, how often has a household resident 18 years old or over felt hungry and not eaten because there was no money to buy food?
$2 \square$ Almost every day
$4 \square$ On some days
$6 \square$ Only one or two day
$8 \square$ Don't know
(go to 19)
19 During the last 3 months has any household resident 18 years old or over lost weight because there was no money to buy food?
$1 \square$ Yes
(go to 20)
$3 \square \quad$ No (go to 21)

20 During the last 3 months how much weight was lost by a household resident 18 years old or over because there was no money to buy food?
$2 \square \mathrm{~A}$ lot
$4 \square$ A fair amount
$6 \square$ A little

21 During the last 3 months has a household resident 18 years old or over had only one meal or gone a day without eating because there was no money to buy food?

| $1 \square$ | Yes | (go to 22) |
| :--- | :--- | :--- |
| $3 \square$ | No | (go to 23) |

22 During the last 3 months, how often has a household resident 18 years old or over had only one meal or gone a day without eating because there was no money to buy food?
$2 \square$ Almost every day
$4 \square$ On some days
$6 \square$ Only one or two days
$8 \square$ Don't know
(go to 23)
The informant should not be asked question 23. It is designed for the control of the interview
23 Are there any residents in the household in the 0 to 17 age group (born between September 261986 and September 25 2004):
$1 \square$ Yes, there are (go to 24)
$3 \square \quad$ No there aren't (go to 36 )

Questions 24 to 35 should only be asked if there is at least one under 17 year old living in the household.
24 During the last 3 months has a household resident under 18 years old failed to have a healthy and varied diet because there was no money to buy adequate food?

| $1 \square$ | Yes | (go to 25) |
| :--- | :--- | :--- |
| $3 \square$ | No | (go to 26) |

## Questionnaire

21 FOOD SECURITY CHRACTERISTICS OF HOUSEHOLD RESIDENTS 21

25 During the last 3 months how often has a household resident under 18 years old failed to have a healthy and varied diet because there was no money to buy adequate food?
$1 \square$ Almost every day
$3 \square \quad$ On some days
$5 \square \quad$ Only one or two day
$7 \square$ Don't know
(go to 19)
26 During the last 3 months has any household resident under 18 years old not had enough to eat because there was no money to buy food?
$2 \square \quad$ Yes (go to 27)
$4 \square \quad$ No $\quad$ (go to 28)

27 During the last 3 months how often has a household resident under 18 years not had enough to eat because there was no money to buy food?
$1 \square$ Almost every day
$3 \square \quad$ On some days
$5 \square \quad$ Only one or two day
$7 \square$ Don't know
(go to 28)
28 During the last 3 months was the quantity of food in the meals cut down for a household resident under 18 years old because there was no money to buy food?
$2 \square \quad$ Yes (go to 29)
$4 \square \quad$ No $\quad$ (go to 30 )

2928 During the last 3 months how often was the quantity of food in the meals cut down for a household resident under 18 years old because there was no money to buy food?
$1 \square \quad$ Almost every day
$3 \square \quad$ On some days
$5 \square \quad$ Only one or two day
$7 \square$ Don't know
(go to 30)
30 During the last 3 months, has any household resident under 18 years old missed a meal because there was no money to buy food?
$2 \square \quad$ Yes (go to 31)
$4 \square \quad$ No $\quad$ (go to 32)

31 During the last 3 months, how often has a household resident under 18 years old missed a meal because there was no money to buy food?
$1 \square \quad$ Almost every day
$3 \square \quad$ On some days
$5 \square \quad$ Only one or two day
$7 \square$ Don't know

> (go to 32)

32 During the last 3 months has any household resident under 18 years old over felt hungry and not eaten because there was no money to buy food?
$2 \square \quad$ Yes (go to 33)
$4 \square \quad$ No $\quad$ (go to 34 )

## Questionnaire

| 21 | FOOD SECURITY CHRACTERISTICS OF HOUSEHOLD RESIDENTS | $\mathbf{2 1}$ |
| :--- | :--- | :--- |

33 During the last 3 months, how often has a household resident under 18 years old felt hungry and not eaten because there was no money to buy
food?
$1 \square$ Almost every day
$3 \square \quad$ On some days
$5 \square$ Only one or two days
$7 \square$ Don't know
(go to 34)
34 During the last 3 months has a household resident under 18 years old ever gone a day without eating because there was no money to buy food?

| $2 \square \quad$ Yes | (go to 35) |
| :--- | :--- |
| $4 \square$ | No |
| (go to 36 ) |  |

36 During the last 3 months, how often has a household resident under 18 years old gone a day without eating because there was no money to buy food?
$1 \square$ Almost every day
$3 \square \quad$ On some days
$5 \square \quad$ Only one or two days
$7 \square$ Don't know
(go to 36)
36 During the last 3 months has any household resident at any time received help in the form of food from an institution, employer or person not residing in the household?
$2 \square \mathrm{Yes}$
$4 \square$ No
(close off the interview)

REMARKS: $\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

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This publication presents the methodologies applied in 18 research surveys to evaluate the programs and policies of the Ministry of Social Development and Fight against Hunger, within the scope of its monitoring and evaluation system. The coordination of these studies is carried out by the Secretariat for Evaluation and Information Management together with the secretariats responsible for the management of the programs: National Secretariat for Citizenship Income, National Secretariat for Social Assistance, National Secretariat for Food and Nutrition Security and Secretariat for Institutional Network and Partnerships.

The methodologies and the instruments of evaluation gathered in this book cover the programs: Bolsa FamTia, Social Protection for Children, Adolescents and Families who are Affected by Sexual Violence, Abuse and Exploitation, Continuous Cash Benefit, Food Acquisition Program, Low Income Restaurants and Cisterns. There are also diagnostic studies: Special Social Assistance Supplement to the Basic Municipal Survey, Survey of Private Non-Profit Social Assistance Organizations, Food Insecurity Supplement to the National Household Sample Survey - 2004 and Health and Nutrition Day for Children under Five Living in the Semi-arid Regions and in Agrarian Reform Settlements.


DFID
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interational Development


Banco Mundial


[^0]:    ${ }^{1}$ For a description of the construction of this system see Vaitsman, J.; Rodrigues, R. W.; and Paes-Sousa, R. "The System for Evaluating and Monitoring Social Development Programs and Policies", Unesco, Brasília, 2006. For a summarized description of the evaluation research activities, see Paes-Sousa, R.; and Vaitsman, J. (orgs.) "Síntese das Pesquisas de Avaliação de Programas Sociais do MDS". In: Cadernos de Estudos Desenvolvimento Social em Debate, 2007.
    ${ }^{2}$ Provisional Measure $n^{\circ}$. 163, dated January 23 2004, made into law $n^{\circ} 10.868$, dated May 132004.

[^1]:    ${ }^{3}$ Investment was made in technical competence and multi-disciplinary qualification thereby building a team that in 2006 consisted of 50 persons of whom 7 held doctorates and 12 masters degrees.
    ${ }^{4}$ The results corresponding to the methodology that is set out here have been published in: Evaluation of MDS Policies and Programs - Results, vols. 1 and 2 .

[^2]:    ${ }^{5}$ The questionnaires presented in this publication are reproduced with the original layout applied by the research institutes on the field.

[^3]:    ${ }^{1}$ The PAIF was created by decree $\mathrm{n}^{\circ} 5.085$, dated May 19 2004. It carries out actions and offers basic services to families in situations of vulnerability in a unit known as the Social Assistance Reference Center (CRAS). The CRAS are those public physical spaces where the services are offered.

[^4]:    ${ }^{2}$ Presently (First half of 2007) the PAA modalities have been reorganized and bear the following names: Direct Purchase from Family Agriculture, Purchase for Simultaneous Donation, Stockpiling with Family Agriculture and Incentives for Milk Production and Consumption.
    Further details can be obtained at: www.mds.gov.br

[^5]:    www.unb.br/ceam/nepem

[^6]:    ${ }^{1}$ Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Mexico, Panama, Paraguay, Peru, Portugal, United States, Uruguay and Venezuela.

[^7]:    Source: MDS/Cedeplar, Evaluation of the Impact of the Bolsa Família, 2004.

[^8]:    ___ 1 Never receives visits

[^9]:    

[^10]:    | Part I - Citizenship Income | Evaluation of the Impact of the Bolsa Família Program - Phase 1 |
    | :--- | :--- |

[^11]:    Source: MDS/Pólis Pesquisa.

[^12]:    14. Note down the relationship with the legally responsible person
    15. Legally responsible 2. Partner 3. Son/daughter
    16. Other relative 5. Lodger 3. Son/daugh
    17. Pensioner
    18. Domestic servant 8. Relative of domestic servant
[^13]:    Part I-Citizenship Income $\mid$ Survey of Food and Nutrition Security Conditions of Bolsa Família Program Beneficiaries

[^14]:    ${ }^{1}$ Social Protection for Children, Adolescents and Families who are Affected by Sexual Violence, Abuse and Exploitation.

[^15]:    ${ }^{1}$ Social Protection for Children, Adolescents and Families who are Affected by Sexual Violence, Abuse and Exploitation.

[^16]:    ${ }^{2}$ This Index was created in the sphere of the Study for the Elaboration of the Sentinela Program Index of Municipal Eligibility also promoted by the SAGI/MDS, with the object of classifying Brazilian Municipalities, including those where the Sentinela Program was already implanted, in regard to their situations of risk and vulnerability to practices of ESCCA with a view to directing the allocation of program resources to those populations most exposed to such practices.

[^17]:    ${ }^{1}$ Stages for screening the registrations: exclusion of registers of beneficiaries who received the benefit in a municipality that is not from the Southeastern Region, of beneficiaries associates to the APS with a not identified code, of beneficiary with disability which was not possible the identification of CID code for the differentiation between mental and physical disability and of beneficiaries who had not passed for at least one revision in the last 3 years.

[^18]:    Executive Institutions: Regional Planning Development Center of the Federal University of Minas Gerais (Cedeplar/UFMG) through the Research Development Foundation (FUNDEP/UFMG).

[^19]:    ${ }^{1}$ BALL, Lawrence \& ROBERT, Moffit (2000). Productivity Growth and Philips Curve.
    ${ }^{2}$ TURRA, C. \& QUEIROZ, B. (2005). Before it's too late: Demographic Transition, Labor Supply, and Social SecurityProblems in Brazil. United Nations Expert Group Meeting on Social and Econimic Implications of Changing Population Age Structure, Mexico City, United Nations.

[^20]:    ${ }^{1}$ The PAA is operated in four different versions: Direct Purchases from Family Agriculture (CDAF), Anticipated Purchases from Family Agriculture (CAAF), Special Anticipated Purchases from Family Agriculture (CAEAF), and Local Direct Purchases from Family Agriculture (CDLAF).

[^21]:    R\$ / month

[^22]:    100\% of acquisitions Yes ( ) No ( ) Special Pre-purchase NO

[^23]:    ${ }^{1}$ INCRA/FAO. Novo retrato da agricultura familiar. Brasília: INCRA/FAO, 2000.

[^24]:    What is the average monthly income from agricultural production on the
    / month

[^25]:    ${ }^{1}$ In the case of the econometric study, due to the unavailability of data it was not possible to obtain results for the states of Alagoas, Piauí or Maranhão.

[^26]:    Source: MDS-SAGI, 2005.

[^27]:    36 What is the amount of the contribution required?
    ___|__|_| | |___| $\mid$ in Reals and cents)

[^28]:    85 What is the reason your family is not eating what it wants to?
    $1 \square$ Not applicable
    $2 \square$ Because family income does not permit it
    $3 \square$ Because the food the family wants is not available in the market
    $3 \square$ For other reasons

[^29]:    $2 \square$ The same $\quad 3 \square$ Worse

[^30]:    | Length of house (side with the gutter) |
    | :--- |
    | Width of the house side with NO gutter) |
    | (in meters and centimeters) |

[^31]:    ${ }^{1}$ Geo-environmental Unit is a geographic reference unit of territory or location and it corresponds to a spatially defined entity in which the substrate (material that gives rise to the soil), the natural vegetation and the distribution of soils in the landscape form a set of aspects that show minimal variability according on a cartographic scale.

[^32]:    151. Do you know whom to turn to when there are problems with the cistern? (accept multiple answers)
    $1 \square$ Builder when cistern was being built
    $2 \square$ NGO - Non governmental organization such as ASA
    $3 \square$ Union
    $4 \square$ Association
    $5 \square$ Church
    $6 \square$ Others
[^33]:    160. How are decisions on the use of the cistern made? (accept one
    answer only)
    $1 \square$ Each household decides how it will use the water $\rightarrow$ GO TO Q. 71
    $2 \square$ Decision are made at community meetings
    $3 \square$ Don't know
[^34]:    Sometimes Always Not applicable
    Sometimes Always Not applicable
    

[^35]:    having participated in building the cistern?
    having participated in building the cistern?
    THE HOUSEHOLD
    $1 \square$ Yes, the very persor
    $2 \square$ Yes, his wife/partner
    $3 \square$ Yes, her husband/partner
    $4 \square$ Another member of the family
    $5 \square$ No

[^36]:    197. How often did/do the household members go to the doctor because if
    because of illness?
    
    198. Household spending on sickness SINCE the cistern was built have: $1 \square$ Remained the same as before $2 \square$ Gone down a little
    199. A household resident that planned look for work in another region or city, after the cistern was built:
    $1 \square$ Has left the community (put the idea into practice)
    $2 \square$ Has not given up the idea yet but has decided to put off leaving
    $3 \square$ Has completely given up the idea
    4 Not applicable
[^37]:    $1 \square$ The same person responsible for
    the housenol
    5 to 10)
    Daughter/stepdaughter (age 50 to 18)
    Daughter/stepdaughter (age 10 to
    $6 \square$ Daughter/stepdaughter (o)
    $7 \square$ Son/stepson(age 5 to 10 )
    $9 \square$ Son/stepson (age over 18)
    $10 \square$ Other woman
    $12 \square$ Not applicable

[^38]:    Brazilian Agricultural Research Corporation EMBRAPA SEMI-ARID
    Ministry of Agriculture, Livestock and

    Evaluation of the Social Impact of the MDS Rural Cisterns Program in Partnership with ASA: Survey 5 - Families without cisterns, non-beneficiares of the MDS/ P1MC-ASA
    FUNDER/EMBRAPA -MDS/SAGI/FAO (UTF/BRA/064/BRA)

[^39]:    31. When do you receive water this way?
    $1 \square$ All year long
    $2 \square$ In the dry season
    $3 \square$ In the season
[^40]:    71. Who helps with the cooking, household chores and washing and ironing clothes? (accept multiple answers for each period)

    HOUSEHOLD
    $1 \square$ The same person responsible for the household
    $2 \square$ Wife/partner
    $3 \square$ Husband/part
    $4 \square$ Daughter/stepdaughter (age 5 to 10)
    Daughter/stepdaughter (age 10 to 18)
    $\square$ Daughter/stepdaughter (over 18)
    $\square$ Son/stepson (age 5 to 10)
    $\square$ Son/stepson (age 10 to 18)
    $\square \square$ Son/stepson (age over 18)
    $10 \square$ Other woman
    $12 \square$ Not applicable

[^41]:    Theft, violence or vandalism in area surrounding residence
    Lack of a school
    Lack of health center/medical care
    Lack of leisure options
    Lack of transport
    Lack of employment opportunities
    

[^42]:    19. Are agricultural products grown on the property/ rented land?
    $1 \square$ Yes
    $2 \square \mathrm{No} \rightarrow$
[^43]:    Are any of the following extracted? (accept multiple answers) Firewood Babaçu Others $\sim \square \square \infty$ ㅇ
    

[^44]:    69. Do community members participate in meetings concerning the cistern? $1 \square$ No participation $\rightarrow$ GO TO QUESTION 7
    $4 \square$ Don't know $\rightarrow$ GO TO QUESTION 71
    70. Does your household abide by decisions made at the community meetings?
    $1 \square$ Yes, always
    $2 \square$ Sometimes yes, sometimes no
    $3 \square$ Does not abide by them
